## THE BIBLICAL RESOURCE SERIES

# Joseph A. Fitzmyer, S.J.

# TO ADVANCE THE GOSPEL

# New Testament Studies

Second Edition

#### THE BIBLICAL RESOURCE SERIES

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New Testament Studies

SECOND EDITION

JOSEPH A. FITZMYER, S.J.

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#### PREFACE TO THE SECOND EDITION

WHEN EERDMANS PUBLISHING COMPANY asked about the possibility of reprinting *To Advance the Gospel*, I was only too happy to comply. The book was originally published by The Crossroad Publishing Company of New York in 1981 and has been out of print for a number of years. My editor at Eerdmans also asked that I consider adding some further New Testament studies to the eleven that were in the original publication. Again, I was happy agree to the suggestion, and the reader will find eight further topics treated in this reprint edition.

The original eleven studies are simply reprinted as they first appeared, but the reader will find in the appendix some further notes, bibliographic and otherwise, that deal with some aspects of the articles as they first appeared. The eight new articles, which are being added in this reprint edition of *TAG*, have been slightly revised and updated. In the first printing of *TAG*, the original eleven articles dealt with Gospel Topics and Pauline Topics; the eight new articles discuss further Pauline Topics and some Lucan Topics, i.e., matters that deal with the Lucan Gospel and the Acts of the Apostles.

In the Preface of *TAG* acknowledgement was duly made to the editors of periodicals and publishers of books in which the eleven original articles first appeared. There is no need to repeat that acknowledgement here. I am further indebted to the editors of periodicals and publishers of books in which the eight new articles have first appeared. Grateful acknowledgement is hereby expressed to the following sources of these articles:

- 12. "Jesus in the Early Church through the Eyes of Luke-Acts," Scripture Bulletin 17 (1987) 26-35.
- 13. "The Ascension of Christ and Pentecost," TS 45 (1984) 409-40.
- "The Use of the Old Testament in Luke-Acts," SBL Seminar Papers 1992 (ed. E. H. Lovering, Jr.; Atlanta, GA: Scholars, 1992) 524-38 (with the permission of Scholars Press).

- "The Designations of Christians in Acts and Their Significance," Unité et diversité dans l'Eglise: Texte officiel de la Commission Biblique Pontificale et travaux personnels des membres (Vatican City: Libreria Editrice Vaticana, 1989) 223-36 (with permission of Libreria Editrice Vaticana).
- 16. "'A Certain Sceva, a Jew, a Chief Priest' (Acts 19:14)," Der Treue Gottes trauen. Beiträge zum Werk des Lukas. Für Gerhard Schneider (ed. C. Bussmann and W. Radl; Freiburg im B.: Herder, 1991) 299-305 (with the permission of Verlag Herder).
- 17. "Kephalē in I Corinthians 11:3," Interpretation 47 (1993) 52-59.
- "The Consecutive Meaning of ἐφ' ὦ in Romans 5.12," NTS 39 (1993)
   321-39 (with permission of Cambridge University Press).
- 19. "The Resurrection of Jesus Christ according to the New Testament," *The Month* 258 (No. 1439, November 1987) 402-10.

Finally, I want to express my thanks to Michael Thomson and Charles Van Hof of Eerdmans for their help in bringing all these studies into a new book form.

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#### PREFACE TO THE FIRST EDITION

"... what has happened to me has really served to advance the gospel..."

PHILIPPIANS 1:12

THE ELEVEN ESSAYS which are gathered together in this book were written over a period of thirteen years and present reflections on a number of important New Testament problems. They fall into two main categories, which have been the object of my research and investigation over the years, the Synoptic Gospels and the Pauline corpus. Some of the essays in Part I, devoted to "Gospel Topics," make use of new Palestinian evidence that has recently come to light to aid in the interpretation of old Gospel problems; others (chaps. One and Two) are attempts to reformulate older problems in the light of modern Gospel research. Topics such as the Marcan priority and the Lucan use of "Q," the virginal conception of Jesus, the Matthean form of the divorce prohibitions, the play on Peter's name in Matt 16:18, and the question of crucifixion in ancient Palestine are of continual interest to modern readers. Among "Pauline Topics" those dealing with the meaning of the gospel, the law, reconciliation, the power of Jesus' resurrection, the meaning and origin of kyrios, and the use of Hab 2:3-4 are of perennial concern. These two bodies of essays may not be a closely knit unit, but they seem to be of sufficient interest to present them together between the covers of one volume.

None of these essays repeats those in either of the two previous volumes of my collected essays, either *Essays on the Semitic Background of the New Testament* (London: Chapman, 1971; reprinted, Missoula, MT: Scholars Press, 1974) or *A Wandering Aramean: Collected Aramaic Essays* (SBLMS 25; Missoula, MT: Scholars Press, 1979). [Note: these two works have now been reprinted in one volume (Grand Rapids: Eerdmans, 1997).] One essay, however, "New Testament *Kyrios* and *Maranatha* and Their Aramaic Background," gives a résumé of the discussion of the *Kyrios*-title in *A Wandering Aramean*, but presses further in the contextual discussion of *Maranatha*. Hence its inclusion here.

In gathering these essays for republication in this volume, I have made some slight alterations. I have made references uniform, and have called attention to new material bearing on the topics; I have also introduced a number of minor changes in wording and occasionally a paragraph or two in the interest of clarity. On a few occasions, I have added a postscript to discuss views of those who might have commented on earlier forms of the essays. Apart from such changes the essays remain substantially as they originally appeared; the basic thesis in none of them has changed.

Grateful acknowledgement is hereby expressed to the editors of the following periodicals who have granted permission for the reprinting of the essays which originally appeared as articles in their publications: Catholic Biblical Quarterly, Interpretation, The Jurist, Perspective, and Theological Studies. My gratitude is also hereby expressed to Cambridge University Press for permission to reprint "Aramaic Kephā' and Peter's Name in the New Testament" from the Festschrift for Matthew Black; to the Institute for Antiquity and Christianity, Claremont, for permission to reprint "Reconciliation in Pauline Theology" from the Festschrift for John L. McKenzie; to Editions J. Duculot of Gembloux, Belgium, for permission to reprint "'To Know Him and the Power of His Resurrection' (Phil 3:10)" from the Festschrift for Béda Rigaux; to the editor for permission to reprint "New Testament Kyrios and Maranatha and Their Aramaic Background" from the Festschrift destined for Bo Reicke; and to Editions Desclée of Paris for permission to reprint "Habakkuk 2:3-4 and the New Testament" from De la Loi au Messie, Mélanges for Henri Cazelles. Full details about the credits will be given in the asterisked footnote at the beginning of each chapter.

Finally, I must express sincere thanks to my colleague, Juan B. Cortés, S.J., who has helped me in many ways in the production of this book; and to Richard Ressa and Joseph Wysocki for furnishing me with the Hebrew text of the passages quoted.

JOSEPH A. FITZMYER, S.J. The Catholic University of America Washington, DC 20064

#### ABBREVIATIONS

-

Α	Alexandrinus (New Testament Greek MS)
AAS	Acta apostolicae sedis
AB	Anchor Bible
Ag.Ap.	Josephus, Against Apion
AHR	American Historical Review
ALBO	Analecta lovaniensia biblica et orientalia
ALUOS	Annual of the Leeds University Oriental Society
AnBib	Analecta biblica
Ant.	Josephus, Antiquities of the Jews
ANTF	Arbeiten zur neutestamentlichen Textforschung
AOS	American Oriental Series
AP	A. E. Cowley, Aramaic Papyri of the Fifth Century B.C. (Oxford:
	Clarendon, 1923)
ASAE	Annales du service des antiquités de l'Egypte
ASNU	Acta seminarii neotestamentici upsaliensis
AsSeign	Assemblées du Seigneur
ASTI	Annual of the Swedish Theological Institute
ATR	Anglican Theological Review
В	Vaticanus (New Testament Greek MS)
- BA	Biblical Archaeologist
B-A	W. Bauer, Griechisch-deutsches Wörterbuch zu den Schriften des
2	Neuen Testaments und der frühchristlichen Literatur (rev. K. and
	B. Aland; Berlin: de Gruyter, 1988)
BAC	Biblioteca de autores cristianos
BAG	W. Bauer, A Greek-English Lexicon of the New Testament (tr. W. F.
	Arndt and F. W. Gingrich; Chicago, IL: University of Chicago, 1957;
	2d ed., rev. F. W. Danker, 1979)
BASOR	Bulletin of the American Schools of Oriental Research
BBezC	Bulletin of the Bezan Club
BDF	F. Blass and A. Debrunner, A Greek Grammar of the New Testament
	(tr. R. W. Funk; Chicago, IL: University of Chicago, 1961)

xii	ABBREVIATIONS
BDR	F. Blass and A. Debrunner, Grammatik des neutestamentlichen Griechisch (rev. F. Rehkopf; Göttingen: Vandenhoeck & Ruprecht, 1976)
BETL	Bibiotheca ephemeridum theologicarum lovaniensium
BEvT	Beiträge zur evangelischen Theologie
BGU	Ägyptische Urkunden aus den königlichen Museen zu Berlin,
<b>D</b> 00	Griechische Urkunden (11 vols.; ed. W. Schubart et al.; Berlin: Weidmann, 1895-1976)
BHT	Beiträge zur historischen Theologie
Bib	Biblica
BibLeb	Bibel und Leben
BibOr	Biblica et orientalia
BIRL	Bulletin of the John Rylands (University) Library (of Manchester)
BLE	Bulletin de littérature ecclésiastique
BMAP	E. G. Kraeling, Brooklyn Museum Aramaic Papyri (New Haven, CT:
DMAT	Yale University, 1953)
DNITC	Black's New Testament Commentaries
BNTC	
BR	Biblical Research
BSac	Bibliotheca Sacra
BSOAS	Bulletin of the School of Oriental and African Studies
BTB	Biblical Theology Bulletin
BVC	Bible et vie chrétienne
BWANT	Beiträge zur Wissenschaft vom Alten und Neuen Testament
BZ	Biblische Zeitschrift
BZNW	Beihefte zur ZNW
СВ	Cultura bíblica
CBQ	Catholic Biblical Quarterly
CBQMS	Catholic Biblical Quarterly — Monograph Series
CC	Corpus Christianorum
CCLat	Corpus Christianorum, Latin Series
CD	Cairo (Genizah) Damascus (Document)
ChrC	Christian Century
ChrT	Christianity Today
CIG	Corpus inscriptionum graecarum
CIS	Corpus inscriptionum semiticarum
CNT	Commentaire du Nouveau Testament
col(s).	column(s)
CP	Classical Philology
CRAIBL	Comptes-rendus de l'Académie des Inscriptions et belles-lettres
CSCO	Corpus scriptorum christianorum orientalium
CSEL	Corpus scriptorum ecclesiasticorum latinorum
СТМ	Concordia Theological Monthly
CurTM	Currents in Theology and Mission
D	Codex Bezae (New Testament Greek MS)
– DACL	Dictionnaire d'archéologie chrétienne et de liturgie

#### **Abbreviations**

DBS Did.	Dictionnaire de la Bible, Supplément Didache				
DJD	Discoveries in the Judaean Desert				
DS	Denzinger-Schönmetzer, Enchiridion symbolorum				
E	English (added to a biblical reference) = in English versions				
EBib	Etudes bibliques				
EDNT	H. Balz and G. Schneider, <i>Exegetical Dictionary of the New</i> <i>Testament</i> (3 vols.; Grand Rapids, MI: Eerdmans, 1990, 1991, 1993)				
EKKNT	Evangelisch-Katholischer Kommentar zum Neuen Testament				
EMar ESDNT	Ephemerides mariologicae				
ESBNT	J. A. Fitzmyer, Essays on the Semitic Background of the New Testament (London: Chapman, 1971; Missoula, MT: Scholars, 1974; repr. in The Semitic Background of the New Testament [Grand Rapids, MI: Eerdmans, 1997])				
EstBíb	Estudios bíblicos				
EstEcl	Estudios eclesiásticos				
ETL	Ephemerides theologicae lovanienses				
ETR	Etudes théologiques et religieuses				
EvQ	Evangelical Quarterly				
EvT	Evangelische Theologie				
ExpTim	Expository Times				
frg.	fragment				
FRLANT	Forschungen zur Religion und Literatur des Alten und Neuen				
	Testaments				
G	Boernerianus (New Testament Greek MS)				
GCS	Griechischen christlichen Schriftsteller				
GGA	Göttinger gelehrte Anzeiger				
Greg	Gregorianum				
GuL	Geist und Leben				
2.11_					
HAW	Handbuch zum Altertumswissenschaft				
HNT	Handbuch zum Neuen Testament				
HNTC	Harper's New Testament Commentaries				
HTKNT	Herders theologischer Kommentar zum Neuen Testament				
HTR	Harvard Theological Review				
ICC	International Critical Commentary				
IDB	Interpreter's Dictionary of the Bible (4 vols.; Nashville, TN:				
	Abingdon, 1962)				
	Abinguoli, 1902)				
IDBSup	Supplementary volume to IDB (1976)				
IDBSup IEJ	Supplementary volume to IDB (1976) Israel Exploration Journal				
IDBSup IEJ Int	Supplementary volume to IDB (1976) Israel Exploration Journal Interpretation				
IDBSup IEJ	Supplementary volume to IDB (1976) Israel Exploration Journal				

xiv	A B B R E V I A T I O N S
<i>j</i> .	Jerusalem Talmud (prefixed to name of tractate)
JAAR	Journal of the American Academy of Religion
JB	<i>Jerusalem Bible</i> (ed. A. Jones et al.; Garden City, NY: Doubleday, 1966)
JBC	R. E. Brown et al. (eds.), <i>The Jerome Biblical Commentary</i> (Englewood Cliffs, NJ: Prentice Hall, 1968)
JBL	Journal of Biblical Literature
JES	Journal of Ecumenical Studies
JJS	Journal of Jewish Studies
JPS	Jewish Publication Society
JQR	Jewish Quarterly Review
JR	Journal of Religion
JSS	Journal of Semitic Studies
JTS	Journal of Theological Studies
<i>J.W</i> .	Josephus, Jewish War
KAI	H. Donner and W. Röllig, Kanaanäische und aramäische Inschriften (3 vols.; Wiesbaden: Harrassowitz, 1962)
KJV	King James Version
"L"	Lucan private source (in the Third Gospel)
LCL	Loeb Classical Library
LD	Lectio divina
Leš	Lešonénu
LQ	Lutheran Quarterly
LTK	Lexikon für Theologie und Kirche (11 vols.; 2d ed.; ed. J. Höfer and K. Rahner; Freiburg im B.: Herder, 1957-67)
LXX	Septuagint
"M"	Matthean private source (in the First Gospel)
<i>m</i> .	Mishnah (prefixed to name of tractate)
MeyerK	H. A. W. Meyer (ed.), Kritisch-exegetischer Kommentar über das Neue Testament
MGWJ	Monatsschrift für Geschichte und Wissenschaft des Judentums
Midr.	Midrash
MM	J. H. Moulton and G. Milligan, <i>The Vocabulary of the Greek</i> <i>Testament</i> (London: Hodder and Stoughton, 1930)
MNT	Brown, R. E. et al. (eds.), Mary in the New Testament (New York: Paulist; Philadelphia, PA: Fortress, 1978)
MS(S)	manuscript(s)
MT	Masoretic Text (Old Testament in Hebrew)
MTZ	Münchener theologische Zeitschrift
Mur	Murabba <sup>°</sup> at (see p. 181 n. 18)
N-A	E. Nestle and K. Aland, Novum Testamentum graece (27th ed.; Stuttgart: Deutsche Bibelgesellschaft, 1993)
NAB	New American Bible

NCD	New Century Bible (commentary series)
NCB	New English Bible
NEB	New International Commentary
NIC	New International Version
NIV	
NJBC	R. E. Brown et al. (eds.), <i>The New Jerome Biblical Commentary</i>
NT 20	(Englewood Cliffs, NJ: Prentice Hall, 1990)
NovT	Novum Testamentum
NovTSup	Supplements to NovT
NRSV	New Revised Standard Version
NRT	La nouvelle revue théologique
NTAbh	Neutestamentliche Abhandlungen
NTD	Das Neue Testament Deutsch
NTS	New Testament Studies
NTT	Norsk Teologisk Tidsskrift
NTTS	New Testament Tools and Studies
Р	Descusio
P PCTSA	Papyrus Proceedings of the Catholic Theological Society of America
	Proceedings of the Catholic Theological Society of America
PG	J. Migne (ed.), Patrologia graeca
PL DVT	J. Migne (ed.), Patrologia latina
PNT	R. E. Brown et al. (eds.), <i>Peter in the New Testament</i> (New York:
no	Paulist; Minneapolis, MN: Augsburg, 1973)
POxy	Papyrus Oxyrhynchus
PSI	Pubblicazioni della società italiana per la ricerca dei papiri greci e
	latini in Egitto (15 vols.; ed. G. Vitelli et al.; Florence: Ariani,
51 J 7	1912-57)
PVTG	Pseudepigrapha Veteris Testamenti graece
PW	Pauly-Wissowa, Real-Encyclopädie der classischen
	Altertumswissenschaft
"Q"	The Q-Source in the Synoptic Gospels (see p. 35)
RAC	Reallexikon für Antike und Christentum
RB	Revue biblique
REB	Revised English Bible
RechBib	Recherches bibliques
RES	Revue des études sémitiques
RevExp	Review and Expositor
RevQ	Revue de Qumran
RGG	Religion in Geschichte und Gegenwart (3d ed.; 7 vols.; ed.
	K. Galling et al.; Tübingen: Mohr [Siebeck], 1957-65)
RHPR	Revue d'histoire et de philosophie religieuses
RivB	Rivista biblica
RivBSup	Supplements to RivB
RNT	Regensburger Neues Testament
RSR	Recherches de science religieuse
RSV	Revised Standard Version

xvi	ABBREVIATIONS
RTAM	Recherches de théologie ancienne et médiévale
RTL	Revue théologique de Louvain
RTP	Revue et théologie et de philosophie
SANT	Studien zum Alten und Neuen Testament
SBFLA	Studii biblici franciscani liber annuus
SBLMS	Society of Biblical Literature Monograph Series
SBLRBS	Society of Biblical Literature Resources for Biblical Study
SBLSBS	Society of Biblical Literature Sources of Biblical Study (now = SBLRBS)
SBM	Stuttgarter biblische Monographien
SBS	Stuttgarter biblische Studien
SBT	Studies in Biblical Theology
SC	Sources chrétiennes
Scr	Scripture
SE I, II, III,	Studia evangelica I, II, III, etc. (TU 73 [1959], 87 [1964], 88 [1964],
etc.	etc.)
SEĂ	Svensk exegetisk Årsbok
SEG	Supplementum epigraphicum graecum
Sem	Semitica (Paris)
SJLA	Studies in Judaism in Late Antiquity
SJT	Scottish Journal of Theology
SNTS	Studiorum Novi Testamenti Societas
SNTSMS	Studiorum Novi Testamenti Societas Monograph Series
ST	Studia theologica
STDJ	Studies on the Texts of the Desert of Judah
Str-B	[H. Strack and] P. Billerbeck, Kommentar zum Neuen Testament aus
	Talmud und Midrasch (6 vols.; Munich: Beck, 1926-65)
SUNT	Studien zur Umwelt des Neuen Testaments
TAG	J. A. Fitzmyer, <i>To Advance the Gospel: New Testament Studies</i> (New York: Crossroad, 1981 [this book in its original printing])
TBT	The Bible Today
TCGNT	B. M. Metzger, A Textual Commentary on the Greek New Testament (London/New York: United Bible Societies, 1971; 2d ed., 1994)
TD	Theology Digest
TDNT	G. Kittel and G. Friedrich (eds.), <i>Theological Dictionary of the New Testament</i> (10 vols.; Grand Rapids, MI: Eerdmans, 1964-76)
TF	Theologische Forschung
Tg.	<i>Targum</i> (prefixed to name of an Aramaic translation of Old Testament books)
TGl	Theologie und Glaube
TLZ	Theologische Literaturzeitung
ТР	Theologie und Philosophie
TPQ	Theologisch-Praktische Quartalschrift
TQ	Theologische Quartalschrift
TRE	Theologische Realenzyklopädie

TrinJ TS TSK TTijd TTZ TU TynBull TynNTC TZ	Trinity Journal Theological Studies Theologische Studien und Kritiken Theologische Tijdschrift Trierer theologische Zeitschrift Texte und Untersuchungen Tyndale Bulletin Tyndale New Testament Commentary Theologische Zeitschrift
UBSGNT	United Bible Societies, Greek New Testament (3d ed., 1975; 4th ed., 1993)
VD	Verbum Domini
VT	Vetus Testamentum
VTSup	Supplements to VT
WA	J. A. Fitzmyer, A Wandering Aramean: Collected Aramaic Essays (SBLMS 25; Missoula, MT: Scholars, 1979; repr. in The Semitic Background of the New Testament [Grand Rapids, MI: Eerdmans, 1997])
WMANT	Wissenschaftliche Monographien zum Alten und Neuen Testament
WTJ	Westminster Theological Journal
WUNT	Wissenschaftliche Untersuchungen zum Neuen Testament
ZAW ZKG ZKT ZNW ZRGG ZTK	Zeitschrift für die alttestamentliche Wissenschaft Zeitschrift für Kirchengeschichte Zeitschrift für katholische Theologie Zeitschrift für die neutestamentliche Wissenschaft Zeitschrift für Religions- und Geistesgeschichte Zeitschrift für Theologie und Kirche

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## Part I GOSPEL TOPICS

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#### One

#### THE PRIORITY OF MARK AND THE "Q" SOURCE IN LUKE\*

THE STEERING COMMITTEE for the Pittsburgh Festival on the Gospels, held in April 1969 to celebrate the 175th anniversary of the Pittsburgh Theological Seminary, asked me to prepare a survey of the state of the question of Luke's dependence on Mark and "Q." This question is part of the so-called Synoptic Problem, a vast area of New Testament study. I had been asked to do this because I once espoused the priority of Mark.<sup>1</sup> To admit the priority of Mark over Luke and the use of "Q" would be to adopt a form of the Two-Source Theory as a solution to the Synoptic Problem.<sup>2</sup> This solution has been called in question from time to time; indeed, recently there has been a call for the reopening of the entire Synoptic question.<sup>3</sup> My task is, obviously, not to re-examine the problem itself *ab ovo*, even with respect to the limited part with which I have been asked to deal. It is rather a survey of the current scene, as this is known to me.

Before I begin the survey proper, several preliminary remarks seem to be called for. First of all, the Synoptic Problem has been debated for over 150 years, during which time the corporate effort of scholars has come a long way, and yet no wholly satisfying solution has been found. Indeed, the literary source analysis of the Synoptic Gospels has long since yielded to other phases of gospel study: to form criticism and to *Redaktionsgeschichte*, to mention only the two most important phases. These advances have all been the result of *critical study* of the Gospels, carried on with the developing philological tools of literary and historical research. Sometimes the advances were made in one phase or another because of previous misdirected or even false steps, as we can recognize today when we view the process with hindsight. To admit this, however, does not mean that one who accepts elements of past solutions necessarily agrees with all the presuppositions that were in vogue at the time. The subsequent recognition of false steps does not necessarily imply that the whole process has been somehow vitiated, unless, of course, one subscribes to some sort of determinism in history. Thus, few will support today the priority of Mark because this Gospel is judged to serve as a better bridge to the historical Jesus than the Gospels of Matthew or Luke, which are considered to be more artificial. On the other hand, if arguments used in the past seem to have been inadequate or weak, it does not necessarily mean that they are such today; presuppositions affecting them may have changed, or the arguments may have received further analysis, confirmation, or support.

Second, the history of Synoptic research reveals that the problem is practically insoluble.<sup>4</sup> As I see the matter, we cannot hope for a definitive and certain solution to it, since the data for its solution are scarcely adequate or available to us. Such a solution would imply a judgment about the historical genesis and literary relationship of the first three Gospels, whereas the data for a historical and literary judgment of this nature are so meagre and of such a character as to preclude certitude. It is in this general context that I believe B. H. Streeter's oft-quoted statement ought to be repeated (and not apropos of some specific difficulty). Streeter wrote, ". . . we cannot possibly know, either all the circumstances of churches, or all the personal idiosyncrasies of writers so far removed from our own time."<sup>5</sup> I stress this point at the outset, because one finds often enough in recent discussions a straining after what is called "the truth" of the matter.<sup>6</sup> I submit, however, that "the truth" of the matter is largely inaccessible to us, and that we are forced to live with a hypothesis or a theory. This means too that there are loopholes in the theory, and that the value of the hypothesis may have to be judged by criteria other than its sheer truth.

Third, because the corporate critical study of the Synoptic Problem has resulted only in a theory or theories about its solution, there are at least two other criteria that have been operative in this work, and I am speaking of criteria that involve critical judgment, not "non-scientific" or "extra-scientific" factors. One of these is appeal; the other is usefulness. The Two-Source Theory has certainly appealed to the majority of twentieth-century scholars.<sup>7</sup> In saying this, I do not intend "an appeal to authority," as if the sentiment of the majority closed the issue. It is a simple statement of fact. Opponents complain of "the consensus" or the "collective bias," and subtly contrast the majority to "all careful students of the Synoptic Problem" and to the "perceptive critic," as if these appellations were proper only to those who criticize

the theory. Little is obviously gained by this sort of approach; and it certainly does not do away with the fact itself of the appeal of the Two-Source Theory to the critical judgment of many scholars. As for its usefulness-its Brauchbarkeit (to repeat a word used by G. Strecker and W. Marxsen that has become a sort of tag, even in English discussions)-who can deny that the Two-Source Theory has served as the springboard for both the form criticism of the Gospels and later on for Redaktionsgeschichte? In the latter case it is almost a fundamental prop. I am, however, aware that neither of these methods of critical gospel study is organically or necessarily tied to the Two-Source Theory. In the former case, the method was derived from Old Testament Gattungsgeschichte. Yet, historically, it was applied to the Gospels on the basis of the Two-Source Theory, as the works of M. Dibelius and R. Bultmann manifest on almost every page. I know of no comparable form-critical studies that operate on the basis of another theory and have commanded the attention of scholars which can claim to rival the Dibelius-Bultmann approach.<sup>8</sup> As for Redaktionsgeschichte, this method of gospel study could theoretically be carried out on the basis of another theory of Synoptic relationships. But it has not really been done.9 Until it is, the Brauchbarkeit argument is a valuable, but extrinsic, criterion for judging the worth of the hypothesis.

These preliminary remarks do not touch the real issue. But they have been made to clear the air on certain aspects of the problem before we confront the major task.

#### I. The Priority of Mark

This survey of the study of the relationship of the Lucan Gospel to the Synoptic Problem may be begun with the priority of Mark. I propose to set forth briefly the main arguments that have normally been proposed for it and comment on them from the standpoint of some recent developments.

First of all, the priority of Mark over Luke (and Matthew) has been espoused in recent times because the bulk of Mark is found in Luke (55 percent of it, according to Streeter) and in Matthew (90 percent of it).<sup>10</sup> This common agreement in subject-matter is often referred to as the Triple Tradition. In itself, the mere common possession of the same matter does not argue for the priority of Mark. The situation could be due to Mark's dependence on Luke (and/or Matthew), as Augustine once held with reference to Matthew (*De consensu evangelistarum*, 1.2,4), and as J. J. Griesbach,<sup>11</sup> and more recently W. R. Farmer,<sup>12</sup> have held with reference to both Matthew and Luke. In other words, "Mark is necessarily the connecting-link between Matthew and Luke in these passages, but not necessarily the source of more than one of them."<sup>13</sup> Thus stated theoretically and abstractly as a propositional argument (often with the aid of diagrams and arrows), the intermediary position of Mark is certain, but the priority of Mark over the other two is still to be shown.

When the argument is thus left on the theoretic level, as it often is, the priority of Mark appears to be more of an assumption than a conclusion. But the retort is made that the priority of Mark over Matthew and Luke depends as well on the concrete comparison of individual texts and on the complex of subsidiary questions related to it that must be answered. For instance, in the case of the latter one may ask a series of questions: (1) Why would anyone want to abbreviate or conflate Matthew and Luke to produce from them a Gospel such as Mark actually is? (2) Why is so much of Matthew and Luke omitted in the end-product? Why is so much important gospel material that would be of interest to the growing and developing church(es) eliminated by Mark? Why, for example, has he omitted the Sermon on the Mount and often encumbered narratives in the retelling with trivial and unessential details (e.g., the cushion in the boat, Mark 4:38; the "four men" in Mark 2:3; etc.). In other words, given Mark, it is easy to see why Matthew and Luke were written; but given Matthew and Luke, it is hard to see why Mark was needed in the early Church. (3) How could Mark have so consistently eliminated all trace of Lucanisms? If he were a modern practitioner of Redaktionsgeschichte, the elimination might be conceivable. But was he so inclined? (4) What would have motivated Mark to omit even those elements in the infancy narratives of Matthew and Luke that are common? His alleged interest in narratives, rather than teaching, would have led him instead to present a conflated and harmonized infancy narrative. (5) Mark's resurrection narrative, even if it be limited to 16:1-8, is puzzling. Can it really be regarded as an abbreviation or conflation of the Matthean and/or Lucan accounts? (6) What sort of early theologian does Mark turn out to be if his account is based on Matthew and Luke? Having behind him the certainly more developed christologies and ecclesiologies of Matthew and Luke, what would be his purpose in constructing such a composition? There is an unmistakable Marcan theology, with which one has to cope, as is now evident from the study of the *Redaktionsgeschichte* of the second Gospel. But that this was produced by an abbreviation or conflation of Mat-thean and/or Lucan theologies is incomprehensible to most students of the Synoptics.

These considerations are admittedly subsidiary; but they do affect the argument that is based on the bulk of the material that is common to the Triple Tradition. It might even be admitted that no one of these reasons is in itself cogent or sufficient to prove the priority of Mark.

This does not mean that there have been no attempts to answer such questions from other points of view.<sup>14</sup> But does the conviction that these other attempts have carried outweigh the more common interpretation?

A second reason usually given for asserting that Luke depends on Mark is the order or sequence of episodes in the Third Gospel that is so similar to that of Mark, even when other material is interspersed in Luke. This sequence is, moreover, even more strikingly compared to Mark's, when Matthew's order is taken into account, for Matthew and Luke agree in sequence only to the extent that they agree with Mark. When one departs from the Marcan sequence and pursues an independent course, the other still agrees with the Marcan order. Or, to put it another way, within the Triple Tradition, Matthew and Luke never agree with one another against Mark in regard to the order of episodes. As far as the common sequence of material in Luke and Mark is concerned, one sees it best in the five blocks of material set forth below:

(1) Mark 1:1-15 = Luke 3:1-4:15 (five episodes)<sup>15</sup>
(2) Mark 1:21-3:19 = Luke 4:31-6:19 (eleven episodes) (Luke's Little Interpolation, 6:20-8:3)
(3) Mark 4:1-9:40 = Luke 8:4-9:50 (twenty episodes) (At 9:17, Luke's Big Omission, Mark 6:45-8:26) (At 9:50, Luke's Little Omission, Mark 9:41-10:12) (Luke's Big Interpolation, 9:51-18:14)
(4) Mark 10:13-13:32 = Luke 18:15-21:33 (twenty-two episodes)
(5) Mark 14:1-16:8 = Luke 22:1-24:12 (sixteen episodes)

Within these major blocks of material common in sequence to Mark and Luke, there are occasional insertions from the Double Tradition or from Luke's special source that fill out an episode. Yet they do not affect the common order, for despite them the blocks of material in Mark are still seen as units in Luke. Such insertions can be found at Luke 3:7–14 (John's preaching); 3:23–38 (the genealogy of Jesus); 4:2b–13 (the temptation); 19:1–27 (Zacchaeus and the parable of the pounds); 19:41–44 (lament over Jerusalem); 22:27–32, 35–38 (the discourse at the Last Supper); 23:27–32 (the road to Calvary); 23:39b–43 (two criminals on crosses); 23:47b–49 (Calvary). Moreover, within these large blocks smaller units are confirmed by the same order or sequence in Matthean episodes:

Matt 9:1-8, 9-13, 14-	Mark 2:1–12, 13–17, 18–22	Luke 5:17–26, 27–32, 33–39
Matt 12:1-8, 9-14, 15-	Mark 2:23-28, 3:1-6,	Luke 6:1–5, 6–11, 17–
21 Matt 13:1–9, 10–17,	7-12 Mark 4:1-9, 10-12,	Luke 8:4–8, 9–10, 11–
18–23 Matt 16:13–23, 24–28,	13–20 Mark 8:27–33, 8:34–	15 Luke 9:18–22, 23–27,
17:1-9	9:1, 9:2–10	28-36
Matt 22:15-22, 23-33, 34-40, 41-46	Mark 12:13-17, 18-27, 28-34, 35-37	Luke 20:20–26, 27–40, 41–44

Once again the query is in order, whether the sequence of sections and incidents in Matthew and Luke over against Mark argues for anything more than the intermediary position of Mark, or for anything more than Mark as a connecting-link between Matthew and Luke.<sup>16</sup> To assert that it actually proves the priority of Mark would be to fall into the so-called Lachmann Fallacy.<sup>17</sup> Yet again, one has to make a distinction between the theoretic and abstract presentation of this argument, and the concrete application of it in the Triple Tradition. For many students the telling factor is not simply the comparison of Luke with Mark, but also with Matthew, and the more plausible reasons that can be assigned for the Lucan omission and addition of material within the Marcan order.

It is undoubtedly this argument more than any other that has been assailed as "inconclusive or fallacious." The "fallacious" character of it has been stressed by E. W. Lummis, H. G. Jameson, B. C. Butler, and W. R. Farmer, to cite only the main names.<sup>18</sup> It was especially Butler who insisted on the intermediary position of Mark and maintained that Mark "was not necessarily the source of more than one of them." But H. G. Wood put his finger on a difficulty in Butler's own solution, which argues from this intermediary position, viz., "that Mark is a source only for Luke, and the knowledge of Matthew's order comes to Luke through Mark. This is very strange because Dom Butler claims to have proved that Luke is also dependent on Matthew. Why, having Matthew in his hands, Luke should follow Matthew's order only when it reappears in Mark is difficult to understand and explain. If Dom Butler's thesis were true, there should be numerous agreements in order between Matthew and Luke against Mark, and admittedly there are none or next to none."<sup>19</sup> Wood also criticizes Butler for not having examined the question of order "in detail;" he devotes a large part of his short article precisely to the refutation of Butler in the matter of

sequence or order. Unfortunately, it cannot be reproduced here, but many of his points are quite telling; his article should not be overlooked.

One last remark in this matter of order pertains to the so-called Lucan transpositions. In at least five places Mark and Luke do not have the same order of episodes, where they might have: (1) The imprisonment of John the Baptist (Mark 6:17-18) is found in Luke 3:19-20. (2) Iesus' visit to Nazareth (Mark 6:1-6) is found at the beginning of the Galilean ministry in Luke 4:16-30. (3) The call of the four disciples (Mark 1:16-20) appears later in Luke 5:1-11. (4) The choosing of the Twelve (Mark 3:13-19) and the report of the crowds that followed Jesus (Mark 3:7-12) are presented in an inverted sequence in Luke 6:12-16, 17-19. (5) The episode about Jesus' real relatives (Mark 3:31-35) is found after the parables in Luke 8:19-20. Of less significance are two other episodes that appear in a different order: the parable of the mustard seed (Mark 4:30-32), which is found in Luke 13:18-19 (in this instance an independent Lucan source may be involved); and the betraval of Jesus (Mark 14:20-21 and Luke 23:21-23, an episode of the passion narrative). In any case, a more plausible reason can be assigned for the transposition of the five episodes by Luke than for their transposition by Mark.<sup>20</sup> This would again argue for the priority of Mark over Luke.

Third, the priority of Mark has been espoused because of the actual wording of the passages within the Triple Tradition, which is frequently the same. This affects even the collocation of words and the structure of sentences. Yet from this observation, baldly stated, one might wonder how one can conclude to the priority of Mark. What makes the difference, however, for many scholars is the concrete comparison. Streeter suggested using different colors to distinguish the words that agree in all three, and those that agree in Matthew and Mark, or in Luke and Mark. Such a comparison is facilitated by the use of W. G. Rushbrooke's Synopticon or by the more recent book of W. R. Farmer with the same title.<sup>21</sup> Rushbrooke's work openly espoused the Two-Source Theory and presented the matter in colored columns accordingly; Farmer's Synopticon presents the text of each of the first three Gospels in its entirety, and not in parallel columns, and highlights the agreements between the various compositions in different colors. It is thus better designed to assist the student to determine the nature and extent of the verbatim agreements without reference to any particular source-theory. Yet even the use of Farmer's book pushes one in the direction of the Two-Source Theory. I cannot help but still be impressed by that part of the argument that singles out the agreement of

Matthew or Luke with Mark, when the other disagrees. This aspect must be taken into account in conjunction with the agreement of all three. When it is so considered, I find it hard to see Mark as a mere connecting-link. And even less can I find a plausible reason for saying that Mark borrowed from Matthew or Luke.

A fourth reason for espousing the priority of Mark over Luke (and Matthew) has been found in the more primitive character of the narrative of the second Gospel, or what has been called its "freshness and circumstantial character." This refers to the greater quantity in Mark of vivid, concrete details, phrases likely to cause offense, roughness of style and grammar, and the preservation of Aramaic words. These traits abound in Mark and are present in Matthew and Luke to a less degree. One cannot regard them as evidence for Mark's "greater historical candour,"<sup>22</sup> since they do not really support such a judgment. Again, they are not found solely in the so-called Petrine passages in Mark, but in others as well.<sup>23</sup>

Streeter's analysis of the details of this Synoptic feature is well known; he regards the differences in Matthew and Luke as improvements and refinements of Mark's version. For instance, he maintains that "the difference between the style of Mark and of the other two is not merely that they both write better Greek. It is the difference which always exists between the spoken and the written language. Mark reads like a shorthand account of a story by an impromptu speaker—with all the repetitions, redundancies and digressions which are characteristic of living speech."<sup>24</sup> He cites as further evidence the "context-supplements" of J. C. Hawkins, those enlargements of the narrative which add nothing to the information conveyed by it,<sup>25</sup> the majority of which are omitted by Matthew, and a large number of which are omitted by Luke as well.

Butler also treated this material, and he admitted that this point was the only one of Streeter's five arguments that tended "to support the theory of Marcan priority to the exclusion of all other solutions . . . , an argument deserving serious attention."<sup>26</sup> Faced, however, with a mass of data on this point, Butler sought a solution in Mark's dependence on Matthew, by insisting that the references in Mark to Peter's remembering (11:21) reveal him to have been a preacher who "was using Matthew as his *aide-mémoire.*"<sup>27</sup> "Peter made use of Matthew as the source-book for his own 'instructions', he selected passages which his own memory could confirm and enlarge upon, he omitted incidents that occurred before he met our Lord, and most of Matthew's discourse-material, as not suitable for his purpose and not such as he could reinforce with a personal and independent recollection. He altered his Palestinian-Jewish source in various ways to make it more palatable to his Gentile audience."<sup>28</sup> Thus Butler returned to a form of Augustine's solution, but apparently he has had little following in such an opinion. It is noteworthy that Butler had to interpose between Matthew and Mark a *preacher*, in effect, an oral source. As such, this becomes another stage in his solution of the Synoptic Problem, which he does not formally acknowledge. It is a hypothetical element that is really devoid of any control, and this is its deficiency.

A more frontal attack on this argument, however, was made by Farmer, who pointed out several defects in the argument as it was used by Streeter. Indeed, he turns the usual argument around and maintains that precisely those things that point to the "primitivity" of Mark's language are indications of the Gospel's lateness. It is understandable that Farmer is critical of Streeter's facile distinction between characteristics of spoken and written languages, of his idea that Mark has resulted from dictation, and of his assigning of the second Gospel to John Mark of Acts.<sup>29</sup> But Farmer's attribution of the "interesting and picturesque" details to the "well-attested tendency in the church to make the tradition more specific by the addition of just such details" goes undocumented.

What is really needed in this argument is a set of independent criteria. The more recent book of E. P. Sanders, The Tendencies of the Synoptic Tradition,<sup>30</sup> has addressed itself to this question in some detail. But whereas the Synoptic and pre-canonical tradition of what Jesus did and taught was formerly studied in comparison with the tendencies of folk tradition, or of rabbinical tradition, or of the early church as revealed in the epistles, Sanders seeks criteria from the post-canonical tradition. Under three main headings (increasing length, increasing detail, and diminishing Semitism, as possible tendencies of the tradition) he compares the post-canonical tradition and the Synoptic Gospels. From the standpoint of increasing length, Sanders finds that the evidence "weighs against the two-document hypothesis, and especially against Mark's priority, unless it can be offset by the redaktionsgeschichtlich consideration that Matthew and Luke were abbreviators."31 Under the second heading Sanders concludes that "the simple priority of any one Gospel to the others cannot be demonstrated by the evidence of this chapter [i.e., increasing details]. It is clear, rather, that the questions which finally emerge from this section concern redactional method and the relation of Mark to the eyewitness period. The categories which argue for Matthew's priority to Mark are just those which some would explain as containing material which Mark owes to his eyewitness source." "In summary, we must conclude that the principal lesson to be

learned from the study of details is that of caution, . . . the criterion of detail should not be used too quickly to establish the relative antiquity of one document or another."<sup>32</sup> Finally, Sanders concludes that "Semitic syntax and grammar do not necessarily prove a tradition to be either relatively or absolutely early," and that Mark is richer in parataxis, asyndeton, and the use of the historic present. "It certainly suited Mark's redactional style to write vernacular Greek more than it did the style of Matthew or Luke, but we cannot thereby prove Mark to be the earliest of the Gospels."<sup>23</sup> The study of Sanders deserves greater attention than this one paragraph I have devoted it, since it bears on a vital aspect of what has been called "an argument deserving serious attention."<sup>34</sup> But the book significantly ends in a *non liquet:* "While certain of the useful criteria support Mark's priority, some do not. Both Matthean priorists and Lukan priorists can find some support in this study."<sup>35</sup> Sander's study may be important, but it is really limited in scope; it has to be considered alongside of other comparative studies of the Synoptic and similar tendencies. Yet even this detailed study has not really undermined the primitive character of the Marcan Gospel.

Undoubtedly the weakest point in the usual line-up of reasons set forth for the Two-Source Theory is Streeter's fifth point. When it is scrutinized today from the vantage-point of hindsight, his presentation is seen to be not so much an argument as a preliminary statement and a preoccupation to answer two objections: (1) Why did Matthew and Luke both omit certain sections of Mark (viz., Mark 1:1; 2:27; 3:20-21; 4:26-29; 7:2-4, 32-37; 8:22-26; 9:29, 48-49; 13:33-37; 14:51-52)? These represent a total of some thirty verses. (2) How can we explain certain minor verbal agreements (omissions or alterations) of Matthew and Luke against Mark in the Triple Tradition?<sup>36</sup>

To explain the omitted sections of Mark, Streeter appealed to a variety of reasons which were not always cogent. To explain the minor verbal agreements of Matthew and Luke against Mark, Streeter classified the passages and offered reasons for the independent changes. In the main, his classification used these headings:<sup>37</sup> (a) *Irrelevant agreements:* Since unnecessary or unimportant Marcan words were often omitted by Matthew or Luke in their compression of details, "coincidence in omission" in these parallel passages from the historic present to imperfects or aorists, the common substitution of *de* for *kai*, the common insertion of noun-subjects in sentences where Mark merely has "he" or "they," and the common introduction of *idou* in five parallel passages (whereas Mark never uses it in narrating). In all of these details Streeter's point was that changes were otherwise wide-

spread in Matthew or Luke and inevitably led to coincidental, and hence irrelevant, cases of agreement, constituting "considerably more than half the total number of the Minor Agreements."38 (b) Deceptive agreements: "When Mark uses a word which is linguistically inadmissible, the right word is so obvious that, if half-a-dozen independent correctors were at work, they would all be likely to light upon it. For instance Mark uses pherein of animals or persons as objects, and every time Matthew and Luke concur in altering it to agein (or some compound of it),<sup>39</sup> Similarly, common corrections are made for krabbaton, thygatrion, kentyrion, basileus (used of Herod), etc. Streeter applied the same judgment to "coincidences" that extended beyond single word agreements (e.g., the five-word sequence in Matt 9:7; Luke 5:25 over against Mark 2:12-showing that four of the five words are derived from the immediate Marcan context); cf. Mark 16:8; Matt 28:8; Luke 24:9;-Mark 3:1: Matt 12:9-10; Luke 6:6-Mark 4:10; Matt 13:10; Luke 8:9-Mark 4:36; Matt 8:23; Luke 8:22; etc. (c) The Influence of "Q": Certain phrases were commonly introduced into passages derived from Mark by Matthew and Luke because of the overlapping of "Q" and Mark (i.e., because "Q" also contained versions of John's preaching, the baptism of Jesus, the temptation, the Beelzebul controversy, etc.). Yet Streeter used this influence to explain the agreements in Matthew and Luke in their parallels to only three Marcan passages:

> Mark 4:21 = Matt 5:15 = Luke 8:16 = Luke 11:33Mark 4:22 = Matt 10:26 = Luke 8:17 = Luke 12:2Mark 8:12 = Matt 12:38 = Matt 16:4 = Luke 11:29

(d) Textual corruption: ". . . in nearly every case where a minute agreement of Matthew and Luke against Mark is found in B  $\times$  it is absent in one or more of the other early local texts." From this Streeter concluded, "A careful study of the Ms. evidence distinctly favours the view that all those minute agreements of Matthew and Luke against Mark, which cannot be attributed to coincidence, were absent from the original text of the Gospels, but have crept in later as a result of 'assimilation' between the texts of the different Gospels." (e) Some Residual Cases: Here Streeter treated chiefly Mark 14:65, Matt 26:67-68 and Luke 22:64: the plural participle legontes and the phrase tis estin ho paisas se, "the most remarkable of the minor agreements." To handle it, Streeter appealed to the addition of the phrase in the Marcan text of MSS W,  $\Theta$ , 13, etc., 579, 700, and after a rather lengthy discussion concluded that the phrase is really "an interpolation into Matthew from Luke."<sup>40</sup>

The fifth point in Streeter's presentation has often been criticized,

and this loophole in the Two-Source Theory has been exploited by its opponents.<sup>41</sup> For instance, in seeking to dispense with "Q," A. M. Farrer drew an argument precisely from Luke's "small alterations in the wording of his Marcan original" which were made in common with Matthew.<sup>42</sup> Though Farrer admits that Luke worked directly "upon the more ancient narrative of St. Mark," yet his alterations of Mark were owing to "Matthean echoes," because Luke was after all acquainted with Matthew. Farrer's premise is that the Two-Source Theory was erected "on the incredibility of St. Luke's having read St. Matthew's book,"-a presupposition that has undergone a change in recent times and that enables Farrer simply to assert to the contrary. Farrer criticizes Streeter for classifying the minor agreements and for finding a distinct hypothesis for each class of them (such as scribal error assimilating Luke to Matthew or Matthew to Luke, or scribal error subsequently effacing the text of Mark, or stylistic and doctrinal changes, or dependence on a "Q" parallel). "Thus the forces of evidence are divided by the advocate, and defeated in detail."43 Farrer's criticism of Streeter on this point was, however, analyzed by R. McL. Wilson, who retorted with the observation that his criticism was written "with the balance tilted against it from the beginning"-an admirable "example of the demolition of one's opponent by means of the gentle art of ridicule."44 (To another aspect of Farrer's argument I shall return below.)

The one more or less valid point of criticism that Farrer levelled against Streeter—that of classifying the minor agreements and then finding a distinct hypothesis of each—was subsequently developed by W. R. Farmer, who labelled Streeter's procedure as "the atomization of the phenomena."<sup>45</sup> By this he means the separate classification and discussion of the phenomena in one group at a time, which obscured the total concatenation of agreements in a given Synoptic passage. So treated, the reader would scarcely become aware of the "web of minor but closely related agreements" of Matthew and Luke against Mark in any given passage.<sup>46</sup> Farmer analyzes in great detail the arguments of Streeter under four main headings; many of his analyses have detected historical defects in Streeter's presentation, and some of them unveil a rather cavalier procedure.

Yet not all of Farmer's remarks are as telling as they might seem to be. For instance, his claim that the readers of Matthew and Luke "were used to a Greek upon which the influence of Latin had long been felt. At least this is a presumption that would follow naturally from the historic and cultural realities of the times. . . .<sup>47</sup> One would have expected a little documentation here instead of a presumption. Or

again, Farmer's comment on the common Lucan and Matthean shift from the Marcan legei (an historic present) to eipen in 20 passages: "Possibly all twenty instances of this particular agreement are irrelevant. In each case, however, it is necessary to see this particular agreement in the context of all related phenomena in the concrete passage in which the agreement occurred."48 In this regard Farmer seems to be uncovering a defect in the process of atomization; indeed, in the abstract it appears to be a point well made. However, if one fishes out the 20 passages (which are undocumented) and compares them, even using Farmer's new colored Synopticon, it is difficult to see what the telling "web of minor but closely related agreements" is in most of these passages. True, one will find in these passages other words than eipen highlighted in red, i.e., common to Matthew and Luke. Sometimes a few significant words are common, but at times a common kai or de or idou (e.g., Matt 8:1-4; 9:3-4; 12:48) might be the words. In such cases it is hard to discern what the "web" really is. Consequently, until Farmer spells out what is meant by this "web of closely related agreements," one may have to live with the atomizing explanation. In most instances, to be sure. Streeter's explanations still command stronger assent than Farmer's alleged web.

Concerning these common minor agreements of Matthew and Luke against Mark in the Triple Tradition, one should recall that they represent only a small fraction of the data to be considered in the Synoptic Problem. They constitute a problem which cannot be denied; they are one of the loopholes in the Two-Source Theory. Whatever explanation (or explanations) may account for this phenomenon, it scarcely weighs as evidence that completely counterbalances the other data pointing to a dependence of Luke (and of Matthew) on Mark. Furthermore, the distinction made long ago between significant and insignificant agreements of Matthew and Luke against Mark is still valid. The longest list of significant agreements, constructed from four earlier attempts to collect them, numbers only 46. And when these are further examined, they can be reduced to six: Matt 26:68, 75; 17:3, 17; 9:7, 20 (and parallels).<sup>49</sup> The last word on this issue has not been said, and unfortunately what has at times been written about it has been laced with more emotion than reason.

These are the main reasons that have been proposed for the priority of Mark over Luke (and Matthew). They are not without their difficulties, but some of them are less cogent than others. But, as I see the situation, the day has not yet come, even in 1980, "when the absolute priority of Mk [is] regarded as an a priori position in an obsolete stage of criticism."<sup>50</sup>

Before leaving the topic of the priority of Mark, a word should be said about the form of Mark that is thought to underlie Matthew and Luke. If the majority consensus seems to favor the priority of Mark over Luke (and Matthew), it can be said to be largely against the idea of Ur-Markus, i.e., against a form of Mark that Matthew and Luke would have used which was earlier than and slightly different from canonical Mark. V. Taylor, in his commentary The Gospel according to St. Mark, surveyed the various forms in which this hypothesis had been proposed up to that time and felt "compelled to reject all known forms of the Ur-Markus hypothesis"; yet he admitted that "there is something unseemly in an investigation which ends with Requiescat Ur-marcus."51 Unfortunately, the hypothesis has not quite died. Some of the earlier forms in which it had been proposed have, indeed, proved inadequate; but some recent studies have been supporting one or other aspect of it. Aside from the problems of the commonly omitted Marcan passages in Matthew and Luke and the minor verbal agreements, there is also the problematic ending of Mark, the textual evidence for a "Western" (or perhaps "Caesarean") form of Mark, and the textual evidence for a second-century revision of Mark.<sup>52</sup> These are, in the main, the reasons invoked for the Ur-Markus hypothesis. None of this evidence, however, is as cogent as the other factors favoring the Two-Source Theory, and this is basically the reason for the reluctance of many to accept it. Then, too, there is the more recent emphasis on Redaktionsgeschichte, which may allow for some of the differences that the hypothesis itself was seeking to handle. To my way of thinking, the possibility of Ur-Markus is still admissible.53

#### II. Luke's Use of "Q"

Once again it is almost impossible to discuss the hypothesis of Luke's use of "Q" without bringing in the question of Matthew's use of it too, since by definition "Q" is the postulated Greek written source underlying some 230 verses common to Matthew and Luke and not found in Mark. This non-Marcan material in Matthew and Luke is usually referred to as the Double Tradition. Such common non-Marcan material could be owing to Matthew borrowing from Luke, or to Luke borrowing from Matthew, or to their common use of an earlier source. Today, very few would consider it likely that Matthew has derived such material from Luke.<sup>54</sup> A number of Gospel commentators, however, do maintain that Luke has used Matthew, but the majority rather insist on their common use of a postulated Greek, written source, called "Q."<sup>55</sup>

To establish the independent existence of this source is more difficult than to establish the priority of Mark. Moreover, it is to be noted that some commentators who admit the priority of Mark over Luke<sup>56</sup> deny the existence of the postulated second source (maintaining either the priority of Matthew over both Mark and Luke, or at least that Luke depends on both Mark and Matthew).

Before the survey of the reasons for the postulated "Q" is begun, the more general question of Luke's dependence on Matthew has to be posed.<sup>57</sup> For Luke's dependence on Matthew is an issue that is not restricted only to the Double Tradition. We have already noted Farrer's contention that the Two-Source Theory was erected on "the incredibility" of Luke having used Matthew. Some of the main reasons for denying such use must now be reviewed, before we proceed to the more specific questions of "Q." They can be summed up under the following five headings.

First, the apparent reluctance of Luke to reproduce typically Matthean "additions" within the Triple Tradition. In thus phrasing the matter, I may seem to be prejudging the issue. I am only trying to refer to the fuller Matthean formulation of parallels in Mark, such as the exceptive phrase on divorce (Matt 19:9; cf. Mark 10:11);58 Jesus' promise to Peter (Matt 16:16b-19; cf. Mark 8:29);59 Peter's walking on the waters (Matt 14:28-31; cf. Mark 6:50);60 and the peculiar Matthean episodes in the passion narrative. When Matthew and Mark are considered alone, it may be difficult to decide the dependence or priority in such cases. To my way of thinking, they are more readily intelligible as Matthean "additions" than as Marcan excisions. But the real issue is to explain Luke's failure to adopt the extra Matthean materials in his parallels, or at least some of them, if he has written in dependence on Matthew-or used Mark as his main source and quarried Matthew only for such material as would suit his own edifice.<sup>61</sup> The few examples cited above, having to do with pericopes, do not give a full picture of this phenomenon; it is necessary to compare a whole list of smaller Matthean additions to Mark, which are absent in Luke.62 For instance.

Luke 3:22	Matt	3:17	(the public proclamation)	Cf. Mark	1:11
5:3			("who is called Peter")		1:16
5:27		9:9	("Matthew")		2:14
6:4-5		12:5–7	(plucking grain on the Sabbath)		2:26– <b>27</b>
8:18b		13:12a	(being given in excess)		4:25
8:10-11			(quotation of Isa 6:9-10)		4:12
9:1-5			(nearness of the kingdom)		6:7-11
9:20Ь			(Peter's confession)		8:29b

Similar instances could still be added. The question is how to account for the Lucan omission of such Matthean material in the hypothesis that Luke used Matthew. It is not convincing merely to state that he preferred the simpler Marcan form.<sup>63</sup>

Second, it is difficult to explain adequately why Luke would want to break up Matthew's sermons, especially the Sermon on the Mount, in order to incorporate a part of it in his Sermon on the Plain and scatter the rest of it in an unconnected and disjointed fashion in the loose context of the travel account. Even though one must admit that this central portion of Luke is redactionally very important in the composition of the Third Gospel and that it constitutes a "mosaic" in its own right, yet the tension between its matter and its form (i.e., between its loosely connected or almost unconnected episodes or sayings and its unifying preoccupation with Jesus' movement toward Jerusalem that appears from time to time [Luke 9:51, 53; 13:22; 17:11; 19:28]) has always been a problem.<sup>64</sup> Whatever explanation is to be given for it and for Luke's redactional purpose in constructing this central section, the explanation that he has quarried the material from Matthew's sermons is the least convincing.

Third, aside from 3:7-9, 17 and 4:2-13 Luke has never inserted the material of the Double Tradition into the same Marcan context as Matthew. If he derives such material from Matthew—and otherwise manifests such respect for a source that he is following, as his dependence on Mark would suggest<sup>65</sup>—it is surprising that at least some of the remaining Double Tradition material does not occur in contexts that parallel Matthew, which are often quite appropriate to this material. The frequent disagreement with the Matthean order in this regard is crucial to any judgment about Luke's dependence on Matthew; in fact it suggests that he does not depend.

Fourth, an analysis of the Double Tradition material in Matthew and Luke shows that sometimes Matthew, sometimes Luke has preserved what can only be described as the more original setting of a given episode.<sup>66</sup> This would seem to be scarcely the case if Luke were always dependent on Matthew within this tradition. It is, however, readily intelligible in the hypothesis that both of them have been following and editing a common source.

Fifth, if Luke depends on Matthew, why has he almost constantly omitted Matthean material in episodes where there are Matthean, but no Marcan parallels, e.g., in the infancy and resurrection narratives?

These are the five main reasons for denying Luke's dependence on Matthew. They have to be coped with in a real way whenever the contrary thesis is maintained. They form, moreover, the background for the more specific discussion of the Lucan and Matthean use of "Q." That Matthew and Luke both used a common source is a partial hypothesis of the Two-Source Theory. Farrer has maintained that this "is its weakness," and that the Lucan use of Matthew is not "a contrary hypothesis."<sup>67</sup> This view of the situation was exposed by F. G. Downing, who maintained "that Luke used Matthew must in the nature of the case remain as much an hypothesis as the one-time existence of Q."<sup>68</sup> It is but another reason for my own preliminary remark about the "truth of the matter" and the hypothesis with which one must deal in this matter. "Q" is admittedly a hypothetical entity, but it remains to be seen whether it is "an unnecessary and vicious hypothesis," as Butler has labelled it, or "a nebulosity, a capriciousness, an intractability," as S. Petrie has called it.<sup>69</sup>

The following are the main reasons for the postulated Greek written source "Q."<sup>70</sup> First, the number of crucial texts in which Matthew and Luke agree almost with identical wording, at times even word-forword, is such that common dependence on a source is called for.<sup>71</sup> Thus in passages such as these:

Matt 3:7b-10	Luke 3:7b–9	(The speech of John the Baptist; 60 out of 63 words are identical and two of the differences are clearly Lucan stylistic improvements [arxēsthe for dox- ēte; an added adverbial kai]).
Matt 6:24	Luke 16:13	(The saying about serving two masters; 27 out of 28 words are identical)
Matt 7:3–5	Luke 6:41–42	(On judging; 50 out of 64 words are identical)
Matt 7:7-11	Luke 11:9–13	(The efficacy of prayer; 59 out of 74 words are identical)
Matt 11:4–6, 7b–11	Luke 7:22–23, 24b–28	(Jesus' answer and testimony about John the Baptist; 100 out of 121 words are identical)
Matt 11:21-23	Luke 10:13-15	(Woes against the towns of Galilee; 43 out of 49 words are identical)
Matt 11:25-27	Luke 10:21-22	(Jesus' praise of the Father; 50 out of 69 words are identical)
Matt 12:43-45	Luke 11:24–25	(Return of the evil spirit; 53 out of 61 words are identical)
Matt 23:37-38	Luke 13:34-35	(Lament over Jerusalem; 46 out of 55 words are identical)
Matt 24:45-51	Luke 12:42–46	(Sayings about vigilance; 87 out of 104 words are identical)

The differences in the above list may seem at times a little high; but one would have to look at the concrete cases, which often enough involve stylistic variants (e.g., Luke eliminating a paratactic kai that Matthew has preserved). Second, it is scarcely coincidental that the material of the Double Tradition inserted into the First and Third Gospels in different contexts manifests a common general underlying sequence or order. This can hardly be due to oral tradition and seems rather to argue for a written source. Most of this material is inserted in Matthew into Marcan contexts and sermon blocks, whereas in Luke it scarcely ever appears in Marcan contexts but is generally grouped in separate, interpolated blocks—or, as Streeter once put it, "Matthew conflates his sources, Luke alternates them."<sup>72</sup> Given this situation, one would scarcely expect a common sequence of any sort in the Double Tradition. And yet, there is a trace of such a sequence.

One way of detecting this common sequence is found in the twocolumn line-up of parallels of the Double Tradition frequently pre-sented in Introductions to the New Testament (e.g., in that of W. G. Kümmel<sup>73</sup>). This list begins with the order of the Lucan material and compares the Matthean with it; the common order is more apparent at the beginning and the end of the list than in the middle. A better way, however, has been discovered by V. Taylor,<sup>74</sup> who at first set forth the Double Tradition in seven columns: the first of which presented the Lucan order, the next five columns the common material as it appears in each of the five great Matthean sermons, and the seventh as it appears in Matthew outside of the sermons. Taylor's method respects the Matthean scattering of the material, mainly in the sermons, and beyond them. In his first discussion of this matter Taylor had eliminated certain questionable material; but he returned to the issue later and did a more comprehensive study, comparing the Lucan order in detail with each of the sermons in Matthew and the extra-sermon passages. What is striking in this detailed comparison is the amount of agreement in sequence that is revealed, not in the over-all order, but in the individual Matthean sections when they are compared with Luke. When there is lack of agreement, it frequently occurs because Matthew inserts Double Tradition material into blocks of his own special material ("M"), and strives for a topical arrangement. At times this argument from the order of the Double Tradition material has been impugned, but I have so far uncovered no real attempt to cope with or refute the Taylor presentation of it.75

A third reason for postulating "Q" is found in the doublets in Luke (and in Matthew). By "doublet" is meant here an account of the same event or a saying occurring twice in either Luke or Matthew and related in such wise that they seem to be part of the Triple Tradition, on the one hand, and of the Double Tradition, on the other—or to put it another way, that one belongs to a tradition parallel to Mark and one to a tradition not parallel to Mark. The conclusion drawn from this phenomenon is that Matthew and Luke have retained in their Gospels the double accounts of the same event or double sayings as they inherited them independently from Mark and from "Q." Thus, in Luke we note the following doublets:

From the Marcan Source	From the "Q" Source
8:16 (= Mark 4:21)	11:33 (= Matt 5:15)
8:17 $(=$ Mark 4:22 $)$	12:2 (= Matt 10:26)
8:18 (= Mark 4:25; Matt 13:1	2) $19:26 (= Matt 25:29)$
9:3-5 (= Mark 6:8-11)	10:4,5-7,10-11
	(= Matt 10:1,10-12,14)
9:23–24 (= Mark 8:34–35; Matt	16:24-25) 14:27 (= Matt 10:38-39)
9:26 (= Mark 8:38; Matt 16:2	7) $12:9 (= Matt \ 10:33)^{76}$

These are the three main reasons for postulating "Q." Admittedly, no one has even seen this source isolated; attempts to ferret it out have certainly not been able to command universal agreement.<sup>77</sup> It may never have been the literary unit that Mark is (or that Ur-Markus was). Part of the problem encountered here is the lack of agreement as to how much really belongs to "Q"; this is the loophole in this part of the theory. There is also the difficulty of passages that, considered globally, would seem to belong to the "Q" source and yet display such a disagreement in word order and vocabulary that one hesitates to label them clearly as derived solely from Q. Taylor lists seven such passages:

Luke 10:25–28 Luke 12:54–56 Luke 13:23–24	Matt 22:34–39 Matt 16:2–3 Matt 17:13–14	(Saying about the great commandment) (Saying about signs of the times) (Saying about the narrow gate)
Luke 13:25–27	Matt 7:22–23;	
	25:10-12	(Saying about the shut door)
Luke 14:15-24	Matt 22:1-10	(Parable of the great supper)
Luke 15:4–7	Matt 18:12–14	(Parable of the lost sheep)
Luke 19:22–27	Matt 25:14-30	(Parable of the pounds) <sup>78</sup>

How can one account for the verbal disagreement in such passages? Is it owing to the simultaneous dependence of such passages on another source which had a parallel to "Q" (e.g., on "L" or "M")? Is it owing simply to the redactional work of Matthew or Luke? Is it owing to the fact that "Q" existed in different forms? Was "Q" possibly a composite document? Taylor believes that there is a "wide consent" that Matthew is dependent on a second source other than "Q" and would apparently ascribe such verbal disagreement to the conflation of "M" and "Q."<sup>79</sup> A common understanding of "Q" maintains that Luke presents substantially the original order of "Q," while the more original wording is found in Matthew, since Luke has undoubtedly modified "Q" stylistically, as he has done Mark. Sometimes the verbal disagreement can be ascribed to a known Lucan or Matthean characteristic; yet this does not account for all of it. It is precisely this difficulty of the verbal disagreement in certain Lucan and Matthean passages that one would otherwise be inclined to label as "Q" that hampers scholars from agreeing on the extent of "Q."

otherwise be inclined to label as "Q" that nampers scholars from agreeing on the extent of "Q." It is sometimes argued that "Q" existed in different forms that were used independently by Matthew and Luke. Thus C. K. Barrett would distinguish two written forms of it.<sup>80</sup> This suggestion, however, might be acceptable, if it meant that a passage in the written source was from time to time replaced by a better form of the same story or saying which was derived from oral tradition. So revised, the "Q" source might have been used at different times by Matthew and Luke. Plausible though this suggestion is, it is quite speculative.

Some writers have suggested that "Q" represents only layers of tradition that existed largely in an oral, catechetical, or liturgical form.<sup>81</sup> To admit such a multiple form of "Q," however, fails to account for the almost word-for-word identical phrasing which is met at times and which we have mentioned above. It would mean, in effect, a return to a form of the *Traditionshypothese* with all its consequent difficulties.

An objection to "Q" has often been derived from its content, that it consist almost entirely of sayings of Jesus, contains very few narratives (e.g., the temptation, the cure of the centurion's servant, the disciples of John the Baptist), and lacks a passion narrative. This last defect is claimed to be crucial, for how could the early church have composed an evangelical text that lacked the kerygmatic proclamation of the saving Christ-event itself? This objection, however, stems from a modern, preconceived idea of what *euangelion* was in the early church. No one will deny that *euangelion* was related to *kerygma*, but the two are not necessarily co-extensive terms. Moreover, regardless of the position one takes about the origin of the sayings ascribed to Jesus in the Coptic *Gospel according to Thomas*—whether they are to be regarded as derived from the canonical sources, from Gnostic composition, or from an independent ancient oral tradition—the significant thing is that this collection of 114 sayings was frankly labelled "Gospel" in antiquity. Save for a few small sections (No. 13, No. 22, No. 100, which contain the tiniest bit of narrative), the *logoi* ascribed to Jesus in this text are devoid of contextual settings, and there is no passion narrative. And yet it was entitled *peuaggelion pkata Thōman.*<sup>82</sup> This apocryphal Gospel, then, shows us at least that the argument against "Q" drawn from its content is not necessarily valid. To argue thus, however, does not mean that one ascribes a link between "Q" and the Coptic apocryphal Gospel; if there be a relation, it must be established on other grounds. Finally, to my way of thinking, the postulated "Q" source may not represent a kerygmatic document of the early church at all. It may rather have been part of the *didachē* of some early community or communities, representing mainly a collection of sayings of Jesus gathered from various oral traditions and formulated anew in view of various Sitze im Leben (e.g., preaching, controversy, casuistry, catechetics, liturgy).

Anyone who has made use of the Two-Source Theory in Synoptic Gospel study is aware of the difficulties and the inadequacies of the "Q" hypothesis. Part of the problem is, as Farrer has rightly recognized, that it is a hypothetical entity. That it is unnecessary is another matter; this is still to be established. In my opinion, the "Q" source will continue to command the attention of students, despite its difficulties, until a more useful hypothesis is convincingly proposed—one that is freer of serious objections to it than is "Q."

A subsidiary question involving "Q" must finally be mentioned, before this section is brought to a close. It is the so-called overlapping of "Q" and Mark in the Gospels of Matthew and Luke. This refers to the suggestion that some episodes or sayings were found in both Mark and "Q" and have been combined in passages basically related to the Triple Tradition. For instance, the preaching of John the Baptist (Luke 3:1– 18), the baptism of Jesus (Luke 3:21–22), the temptation of Jesus (Luke 4:1–13), the parables of the mustard seed (Luke 13:18–19) and of the leaven (Luke 13:20–21). In these cases there is evidence of conflationary composition. Streeter's view was that Mark and "Q" represent independent traditions in these passages; this seems to be commonly accepted, and only a few would maintain that Mark depends on "Q" or has incorporated part of "Q."<sup>83</sup>

#### **III.** Other Solutions

Before finishing this survey of the question of Luke's dependence on Mark and "Q," a few words ought to be added concerning other recent theories about the composition of the Third Gospel which differ from the Two-Source Theory. Some remarks have already been made above about such solutions, but there is room for further comment. I restrict myself to the question of Luke's dependence on sources in the theories of L. Vaganay, X. Léon-Dufour, and W. R. Farmer.<sup>84</sup>

The solutions of L. Vaganay and X. Léon-Dufour have in common a desire to give more play to oral tradition in the formation of the Synoptic Gospels.<sup>85</sup> Vaganay also seeks to allow for some of the early

church's tradition or extrinsic testimony about the Gospels. He distinguishes seven steps in the formation of the Synoptic Gospels: (1) The stage of oral, Aramaic and Greek, tradition about what Jesus said and did (marked by mnemonic devices of oral style as parallelism, rhythm, catchwords, and inclusion). (2) The stage of early attempts to record the oral tradition in writing, Aramaic and Greek, for different local churches. (3) The Aramaic Gospel of Matthew (M), attested to by Papias, and its Greek translation (Mg), postulated because of long passages in the Triple Tradition having the same systematic sequence, common Old Testament citations, traces of a five-book division, and agreements of Matthew and Luke against Mark. (4) The collection of sayings-material in a secondary, supplementary Synoptic source (S), originally composed in Aramaic, but eventually translated into Greek (Sg), corresponding roughly to the sayings in the Lucan travel account and some 145 verses of Matthew; this is in no way the same as "Q" in content or order. (5) The canonical Mark, composed in ignorance of S, but based on Peter's catechesis, especially at Rome, and also on Mg. (6) The canonical Matthew, a reworking of Mg, Sg, and Mark (changing the order of Mg, displacing the sayings in Sg, and condensing the three sources). (7) The canonical Luke, using Mark as its principal source and both Mg and Sg as secondary and complementary sources (respectively).86

Vaganay's solution is thus much more complicated than the Two-Source Theory. With respect to Luke, it differs mainly in postulating a dependence on the Greek translation of Aramaic Matthew and in assigning a different content to Sg than would be in "Q." Significant is Vaganay's conviction that Luke was composed independently of canonical Matthew.<sup>87</sup>

The major difficulty in Vaganay's solution is the assignment of Lucan dependence on both Mark and Mg, when the latter is not really sufficiently distinguished from Mark. Vaganay himself senses the difficulty.<sup>88</sup> Again, the solution is quite conjectural in spots. Vaganay seems to think that his M contained the Sermon on the Mount; but then there is a major problem (already mentioned above) to convince us that Mark, even as an abbreviator, would omit such a section from Mg. Moreover, his view of Sg encounters the same difficulties as "Q," for it admits word-for-word identity in some places, but considerable verbal disagreement in others. This is scarcely an improvement on the "Q" hypothesis. There is, further, a host of small passages about which one wonders, when one reads Vaganay's solution and his assignment of them to one or other source (e.g., the preaching of the Baptist in Matt 3:7–10 and Luke 3:7–9 as a part of Mg). Though I find certain elements in

Vaganay's solution intriguing, I cannot regard it as a more successful rival to the Two-Source Theory.

X. Léon-Dufour emphasizes the tell-tale evidence of oral style in the Synoptics (parallelism, rhythm, catchwords, and inclusion), verbal shifts which are scarcely due to simple editing, and the context-supplements of Mark. He maintains, moreover, that the detailed argumentation usually based on the similarity between Matthew, Mark, and Luke "proves hardly more than the existence of literary contacts between the respective sources."89 He accordingly abandons "the rigid system of literary interrelationships,"90 or dependence of one canonical Gospel on another, and insists that his solution is not a return to the facile hypothesis of oral tradition alone. Rather, it is a via media that seeks to allow for both oral tradition and literary dependence. Oral tradition has been at work both at the beginning of the gospel tradition and at its end, just before the definitive form of the three Gospels, between the literary contacts and the final redaction. Literary contacts occurred, not between the Gospels as such, but "within a presynoptic documentation already more or less systematized."91 He would thus postulate five stages of formation: (1) The stage of crystallized oral tradition. (2) The first systematization in Aramaic Matthew. (3) Successive written documents, at least three in number, which enjoyed literary contacts. (4) Oral modifications in the various communities of these documents. (5) The definitive Gospels of Matthew, Mark, and Luke. Thus there is no dependence of Luke on Mark or on Matthew (as we know them), but only an undefined literary contact between them in an early stage, and modifications from subsequent oral tradition.

The difficulties that such a theory encounters are several. First, Léon-Dufour himself admits that it "rests on partial analysis."<sup>92</sup> Second, it cannot wholly escape the charge of being somewhat aprioristic and speculative. Third, the presentation of this solution is built up too much on objections voiced against the Two-Source Theory (as if none of these have an answer) and on opponents to it who do not agree among themselves in their own solutions.<sup>93</sup>

The thesis of W. R. Farmer departs from that of Vaganay and Léon-Dufour in not being concerned with the influence of oral tradition on the formation of the Synoptics. Succinctly put, Farmer's thesis is a renewed appeal for the Griesbach hypothesis: "Matthew is in many respects secondary to the life situation of Jesus, and the primitive Christian community, but . . . this Gospel was nonetheless copied by Luke, and . . . Mark was secondary to both Matthew and Luke, and frequently combined their respective texts."<sup>94</sup> Farmer would date Mark at the beginning of the second century, regarding it as a composition written in Alexandria, or possibly Rome, where a need was felt for a shorter Gospel for some liturgical event in the Church's life in which the whole of Mark was to be read and "in which the worshipper's powers of concentration and his eschatological expectations were sharply focused on the words of the Lord of the cult: 'What I say to you I say to all: Watch!,' followed immediately by a dramatic representation of the final acts in the redemptive drama of universal salvation through the Passion of the Son of God."95 This shorter Gospel was needed in "mixed" congregations, i.e., in congregations such as at Alexandria or Rome in which Christians lived who had come from different places and were accustomed to different Gospels (e.g., either Matthew or Luke). Mark was fashioned as a text from Matthew and Luke and was to be read on "high liturgical occasions where it would have been particularly important to the adherents of the various Gospels for their favorite Gospel to be read-by creating a new Gospel largely out of existing Gospels concentrating on those materials where their texts bore concurrent testimony to the same Gospel tradition."96 Thus it is that Farmer has returned to the Griesbach thesis: that Matthew was the first Gospel composed, then Luke in dependence on it, and finally Mark as a conflation or an abridgment of Matthew and Luke.

Farmer's thesis is preceded by a lengthy exposé of Synoptic studies, accompanied by a detailed analysis of certain Gospel parallels, and set forth in a series of sixteen propositions, which unfortunately cannot be reproduced here because of their length. They are, however, essential to his thesis. Finally, he caps his discussion with notes for a history of the redaction of Synoptic Tradition in Mark. Thus it is that Farmer's main preoccupation is with the Marcan Gospel, which for him represents the term of the Synoptic development. Many of the arguments that others have proposed for the primitive character of Mark are cleverly turned by him into reasons for its late date. Farmer's thesis is provocative, even if it has not commanded much assent.

When it is critically viewed, Farmer's thesis raises a number of serious difficulties. Though it sets out to "investigate the history of the Synoptic problem,"<sup>97</sup> the number of American, English, French, and German writers who have dealt with some phase of the Synoptic question and who are passed over in silence is surprising. Farmer proposed to write a "critical review of the history of the Synoptic problem,"<sup>98</sup> but it has turned out to be a sketch interlaced with value judgments and remarks of a "non-scientific" or "extra-scientific" character (to use his own terms).<sup>99</sup> In the course of the above discussion I have already commented on some aspects of his thesis, as they were concerned with the Two-Source Theory (e.g., on Mark as an abridgment or conflation of

Matthew and Luke, or on Luke as dependent on Matthew—admittedly a secondary issue for Farmer).<sup>100</sup> A few more specific remarks, however, may be in order.

First of all, it seems to be an argument from order on which Farmer ultimately depends in his attempt to justify the creation of Mark. The dominant reason for his contention that Mark is an abridgement or a conflation of Matthew and Luke is precisely the agreement, not in subject matter, but in order. If it were true that Mark was composed by a concentration "on those materials where their [i.e., Matthew's and Luke's] texts bore concurrent testimony to the same Gospel tradition," then why has Mark not copied at least some of the so-called Double Tradition? In this case, the order of the material differs greatly in Matthew and Luke. This seems to mean, then, that the mixed congregations of Alexandria or Rome, for which Mark was allegedly composed, were interested on the great liturgical feast-days not only in listening to concurrent parts of the Gospel to which they had been accustomed in the places from which they originally came, but were demanding that the selected episodes from Matthew and Luke be read to them in the same order. Only thus can one account for the omission of the Double Tradition in Mark. Accordingly, we must assume that even though these Christians all knew of the stories of Jesus' triple temptation, they were content with the Marcan abridgment. Even though they knew of the Beatitudes, the Lord's Prayer, and a host of other common Gospel episodes, they were apparently so fixed on the order and sequence of episodes as to prefer an abridged form of the Gospel in accustomed sequence to other common and concurrent material in Matthew and Luke.<sup>101</sup>

Second, to cite some difficulties in the details of Farmer's argumentation, no convincing reason has been given why Mark should have omitted the preaching of John the Baptist (Matt 3:7–10; Luke 3:7–9), which not only is an element to which Matthew and Luke bear "concurrent testimony," but even in the same place in the Synoptic tradition. Similarly, the account of the triple temptation of Jesus (Matt 4:1–11; Luke 4:1–13); in this case the inversion of the last two temptation scenes cannot be alleged as a factor for not using them.

Third, Farmer cites a "classic example" of an inconclusive theological or christological argument for the primitive character of Mark: the idea of the virginal conception of Mary, found in the Matthean and Lucan infancy narratives, and the absence of a birth narrative in Mark; from this it would appear that Mark had been written "before this idea had been accepted in the Church."<sup>102</sup> He prefers rather to follow S. Davidson, in thinking that Mark 6:3, which identifies Jesus as "a carpenter, the son of Mary," reflects a later formulation and one stemming from a community in which the idea of Mary's virginal conception was already accepted. Roman Catholics are often said to misinterpret Mark 6:3, and so I hesitate to comment on it. But in this case I find it very difficult to think that the sole meaning of Mark's phrase, "the son of Mary," is a clear and obvious reference to her virginity. I can readily understand how this phrase might have been the seedbed for the belief passed on in the Matthean and Lucan infancy narratives; but to regard that cryptic, and possibly innocuous, Marcan phrase as a factor "weighing in favor of a date for Mark after this development"<sup>103</sup> [i.e., of a belief in the virginal conception] is asking too much.

Unfortunately, this catalogue of disagreements with details in the Farmer presentation of the matter could go on for a long time. Farmer has sought to propose alternate reasons to answer objections often levelled against the Griesbach hypothesis. Some of them are ingenious and challenging; but in the long run they are not convincing.

I have now come to the end of this survey of the question of Luke's dependence on Mark and "Q." As is to be hoped, it represents largely the present state of the question and the chief reactions to it. There are undoubtedly items that should have been included, for one reason or another. Conceivably, the most recent attempts to solve the Synoptic Problem might be on the right track or might be more valid than the Two-Source Theory. However, they are deficient in so many details—some of which I have pointed out above—and raise at least as many problems as the ones they seek to resolve. Until a more convincing way is found to present one or the other of them, the Two-Source Theory is still the most attractive hypothesis.

By the latter I mean chiefly the priority of Mark and the postulated source "Q" as the chief documents underlying the Gospels according to Matthew and Luke. However, I am inclined to allow for the influence of oral tradition, even at the redactional level which is responsible for the canonical form of these Gospels. Indeed, I would be more inclined to admit this for Luke than for Matthew, i.e., for "L" than for "M." My only hesitation is that one has to distinguish between what might be "L" and what migh be Lucan redaction. This distinction is not easily made. Recent studies, however, have made all of us more aware of Lucan characteristics and Lucan compositional devices. Allowance for these must be made in any re-evaluation of the sources "Q" and "L." This sketch has been intended to spark discussion on the Synoptic Problem which has been something of a moribund issue in gospel studies; there are undoubtedly some who think that it should remain in that state because we have moved on to the more important tasks of studying the Gospels in and for themselves. This has been due in large part to the phases of Form Criticism and *Redaktionsgeschichte* that have succeeded Source Analysis. It remains to be seen whether the reopening of the entire Synoptic question would yield better fruit.<sup>104</sup>

### Postscript (1980)

Since the above essay was composed, a few articles have appeared touching on the issue with which it deals. A few comments on one or other of them may be in order here.

First of all, in the volume in which the essay first appeared one finds another by D. L. Dungan, "Mark—the Abridgement of Matthew and Luke."<sup>105</sup> It deals with the same arguments drawn from Streeter which I sought to support anew. Since it appears earlier in the volume than my article, it has seemed to some that I had a copy of Dungan's essay and sought to refute the work of this younger scholar. My article, however, was written in complete independence of his. I did not even know of it until we were sent copies of the page-proofs of the volume prior to the Pittsburgh Festival. I shall leave it to others to judge whether my arguments meet Dungan's objections.

Second, a colloquy was held at Trinity University in San Antonio, Texas, 26-29 May 1977, on the relationships among the Gospels.<sup>106</sup> It was an attempt at an interdisciplinary approach to problems of such interrelationships, discussing them from the viewpoints of oral traditional literature, classical studies (especially of ancient rhetoric), Judaic studies (especially in rabbinic parallels), and literary criticism. Though the colloquy brought the methods of these other disciplines to bear on the study of the Gospels, it scarcely contributed anything to the classic problem of the Synoptic interrelationships. I cannot agree with the conclusion of the last seminar leader, J. B. Tyson, that "most participants in the seminar concluded that the Griesbach Theory had now achieved a position of respectability, that it is at least a possible solution."107 It has always been a possible solution (otherwise it would not have been proposed), but a respectable one. . . ? As a result of that colloquy, the Two-Source Theory, as a modified form of the Two-Document Hypothesis has suffered no real set-back; "the Colloquy in

no way contributed to any alleged 'eroding' of the dominance of the Two-Source Theory"<sup>108</sup>—an allegation heard at times during the colloquy on the lips of convinced Griesbachians.

Third, about the same time W. R. Farmer in a review article entitled "Modern Developments of Griesbach's Hypothesis,"<sup>109</sup> included a section, "Objections to Griesbach's Hypothesis."<sup>110</sup> In it he took up nine objections that I have formulated in the above essay and sought to answer them. They are too long (occupying almost 10 pages) to reproduce here or try to respond to them here. That will have to await another occasion. But even the lapse of seven years did not enable him to come up with more than feeble answers, in almost every paragraph of which there is room for an incredulous expostulation.

#### NOTES

\* Originally published in *Jesus and Man's Hope* (Perspective Books, 1; 2 vols.; Pittsburgh: Pittsburgh Theological Seminary, 1970), 1. 131-70.

<sup>1</sup> "The Aramaic Qorban Inscription from Jebel Hallet et-Tûri and Mark 7:11/ Matt 15:5," JBL 78 (1959) 60-65; reprinted, ESBNT, 93-100. I realize that the admission of having espoused the priority of Mark in the past leaves me open to the charge of beginning with an assumption. I suppose I shall have to live with it; my intention is to survey the current situation, as I see it.

<sup>2</sup> There is a slight difficulty in the topic assigned, which is that of Luke's dependence on Mark and "Q." Formally, it does not include Matthew's dependence. But since what is really at stake in this discussion is the Two-Source Theory, I shall be forced to include the Matthean aspect from time to time for the sake of the argument. In any case, I leave aside the discussion of reasons for modifications of the classic theory (e.g., the special sources, "L" and "M," and their respective natures; possible multiple sources underlying Mark; the relation of "Q" to an Aramaic collection of *logia*; Proto-Luke; etc.). Unfortunately, this means leaving aside some important Synoptic studies such as that of P. Parker, *The Gospel Before Mark* (Chicago: Chicago University, 1953).

<sup>3</sup> See S. Petrie, "'Q' Is Only What You Make It," NovT 3 (1959) 28-33; W. R. Farmer, The Synoptic Problem: A Critical Analysis (New York: Macmillan, 1964) vii; J. Bligh, CBQ 31 (1969) 390: E. P. Sanders, The Tendencies of the Synoptic Tradition (SNTSMS 9; Cambridge: University Press, 1969) 279.

<sup>4</sup> I would qualify this opinion to admit that there may be some as yet undreamed-of application of data-processing by computers to the problem, i.e., some method not tied to the usual sort of literary judgments which have marked the history of Synoptic research so far.

<sup>5</sup> The Four Gospels: A Study of Origins, Treating of the Manuscript Tradition, Sources, Authorship, Dates (4th impr.; New York: Macmillan, 1930) 169.

<sup>6</sup> See W. R. Farmer, Synoptic Problem, viii, 200. From a different point of view, cf. also W. Marxsen, Introduction to the New Testament: An Approach to Its Problems (Philadelphia: Fortress, 1968) 118: "This Two-Source theory has been so widely

accepted by scholars that one feels inclined to abandon the term "theory" (in the sense of 'Hypothesis')."

<sup>7</sup> This includes at present many Roman Catholic scholars. Perhaps the most significant recent contribution from this quarter to Synoptic study has been the work of B. de Solages, A Greek Synopsis of the Gospels: A New Way of Solving the Synoptic Problem (Leiden: Brill, 1959). His application of a mathematical method, involving statistical analysis, combinatory analysis, and the calculus of the probability of causes to word occurrences within pericopes, plus an independent graphic method of demonstration of the common order of pericopes in Matthew, Mark, Luke, again with the aid of combinatory analysis, has resulted in an unexpected confirmation of the Two-Source Theory. De Solages labelled his sources Mk and X; and even though his work is limited in character, it appears as a support for the classic theory.

The significance of the book was not lost on K. Grayston and G. Herdan (NTS) 7 [1960-61] 97-98), who wrote, "The outcome of this laborious study is that the two-document hypothesis is systematically established; and it is worthy of note that the book has an approving preface by Cardinal Tisserant, President of the Biblical Commission." What is not expressed here, however, is the volta face that this work, so prefaced, represents in the history of the Biblical Commission and in the realm of Roman Catholic Synoptic studies in this century. One need only recall the Commission's negative responsum of 26 June 1912 to the (quite loaded!) question posed about the Two-Source Theory (see DS 3578; or Enchiridion biblicum [7th ed.; Naples: M. D'Auria, 1961], 400; or Rome and the Study of Scripture [7th ed.; St. Meinrad, IN: Grail, 1962] 132). I personally find it difficult today to rid myself of the impression that the Commission's earlier opposition to the Two-Source Theory was basically the reason why an older generation of Roman Catholic scholars sought for solutions to the Synoptic Problem that differed considerably from the Two-Source Theory. While there were some who espoused modifications of it that made it possible to live with the responsum (e.g., by insisting that Aramaic Matthew was at the basis of "Q," or by adopting other modifications-cf. A. Wikenhauser, New Testament Introduction [New York: Herder and Herder, 1958] 252-53; J. Levie, "L'évangile araméen de saint Matthieu est-il la source de l'évangile de saint Marc?" NRT 76 (1954) 689-715, 812-43 [reprinted separately, Tournai: Casterman, 1954]; M. Meinertz, Einleitung in das Neue Testament [Paderborn: Schöningh, 1950], most of the other attempts at a solution subconsciously at least proceeded from the responsum (e.g., J. Chapman, Matthew, Mark and Luke [London: Longmans, Green, 1937]; B. C. Butler, The Originality of St. Matthew: A Critique of the Two-Document Hypothesis [Cambridge: University Press, 1951]; L. Vaganay, Le probleme synoptique: Une hypothèse de travail (Bibliothèque de théologie, 3/1; Paris: Desclée, 1954) 139-310.

The occasion for the volta face came with the semi-official clarification provided by the two secretaries of the Biblical Commission in 1955, when they reviewed the new edition of the Euchiridion biblicum and admitted that the responsa that dealt with literary questions were by and large outdated and that the "interpreter of Sacred Scripture can pursue his scientific investigations with full liberty and accept the results of these investigations. . ." (see A. Miller, Benediktinische Monatschrift 31 [1955] 49-50; A. Kleinhaus, Antonianum 30 [1955] 63-65; cf. E., F. Siegman, CBQ 18 [1956] 23-29).

For a Roman Catholic reaction to de Solages' book, see P. Benoit, RB 67

(1960) 93-102; cf. the author's rejoinder, BLE 61 (1960) 287-311. See also L. Hartman, "Synoptica," SEA 31 (1966) 133-35.

In still more recent times the stauchest defender of the Two-Source Theory has been the Belgian Roman Catholic, F. Neirynck. He has done this in numerous publications, some of which will be cited below at appropriate places.

<sup>8</sup> Perhaps an exception could be made for some of the form-critical work of P. Benoit and L. Cerfaux.

<sup>9</sup> Presumably, chap. VII in W. R. Farmer's book Synoptic Problem is an attempt along these lines; but even he admits the incompleteness of the notes in this chapter.

<sup>10</sup> The Four Gospels, 151, 159-60. See also G. Bornkamm, "Evangelien, synoptische," RGG, 753-66. See further F. Neirynck, "La matière marcienne dans l'évangile de Luc," L'Evangile de Luc: Problèmes littéraires et théologiques: Mémorial Lucien Cerfaux (ed. F. Neirynck; BETL 32; Gembloux: Duculot, 1973) 157-201. This is a detailed analysis of T. Schramm, Der Markus-Stoff bei Lukas: Eine literarkritische und Redaktionsgeschichtliche Untersuchung (SNTSMS 14; Cambridge: University Press, 1971).

<sup>11</sup> CSEL, 43.4; PL, 34.1043–44. Cf. X. Léon-Dufour, "The Synoptic Problem," *Introduction to the New Testament* (ed. A. Robert and A. Feuillet; New York: Desclée, 1965) 266.

<sup>12</sup> Synoptic Problem, 199–232.

<sup>13</sup> B. C. Butler, Originality, 65.

<sup>14</sup> See, for instance, W. R. Farmer, Synoptic Problem, 278-83, 230-32, 253, 227-28, et passim; X. Léon-Dufour, "The Synoptic Problem," 269-74.

<sup>15</sup> The numbering of the episodes differs with the way various scholars divide up the blocks of material. The exact numbering is immaterial. It is intended merely to give a general indication of incidents involved.

<sup>16</sup> One could also argue that all three evangelists copied an earlier source independently and thus account for the common order. This argument for a sort of *Urevangelium* has been used. But it is of little concern today, and we need not pursue this possibility further.

<sup>17</sup> This title for the error in logic involved was first coined by B. C. Butler (Originality, 62–71), even though he was careful not to ascribe directly to Lachmann what he calls a "schoolboyish error of elementary reasoning." This has been made clear in the article of N. H. Palmer, "Lachmann's Argument," NTS 13 (1966–67) 368–78, which provides an abridged English translation of Lachmann's article. Farmer (Synoptic Problem, 66) traces the fallacy itself to F. H. Woods, "The Origin and Mutual Relation of the Synoptic Gospels," Studia biblica et ecclesiastica: Essays Chieffy in Biblical and Patristic Criticism (Oxford: Clarendon, 1890), 2. 59–104. More recently he has pointed out that the first person in the English-speaking world to attribute the error to Lachmann was F. C. Burkitt in his Jowett Lectures for 1906 ("The Lachmann Fallacy," NTS 14 [1967–68] 441– 43).

<sup>18</sup> See E. W. Lummis, How Was Luke Written: Considerations Affecting the Two-Document Theory with Special Reference to the Phenomena of Order in the Non-Marcan Matter Common to Matthew and Luke (Cambridge: University Press, 1915); H. G. Jameson, The Origin of the Synoptic Gospels: A Revision of the Synoptic Problem (Oxford: Blackwell, 1922); B. C. Butler, Originality, 62–71. W. R. Farmer is content to remark, "Since Streeter's first three reasons for accepting the priority of Mark were exposed as fallacious by Jameson in 1922 and again by Butler in 1951, there is no need to give them further consideration" (Synoptic Problem, 169). O. E. Evans, however, still considers the argument from order to be of "decisive importance" (*ExpTim* 72 [1960-61] 296). And in this he is not alone.
<sup>19</sup> "The Priority of Mark," *ExpTim* 65 (1953-54) 17-19; cf. O. E. Evans,

ExpTim 72 (1960-61) 296.

<sup>20</sup> In each case Matthew has preserved the Marcan order of these five "transpositions," except for a partial transposition of his own in Matt 10:1-4. Luke moves up the report of the Baptist's imprisonment in an effort to finish off the story of the Baptist before the baptism and before the ministry of Jesus is begun, because either John does not belong to the period of Jesus (Conzelmann) or he represents a separate preparatory period within the time of fulfillment (W. Wink). The visit to Nazareth is transferred to the beginning of the ministry for a programmatic purpose, to present in capsule-form the theme of fulfillment and to symbolize the rejection that marks the ministry of Jesus as a whole. The call of the four disciples is given a more plausible, psychological position by Luke in its later appearance, when it is narrated after a certain portion of Jesus' ministry; it makes the response to the call more intelligible than in Mark. The inversion of the choosing of the Twelve and the report of the crowds again produces a more logical setting for the Sermon on the Plain (6:20-49). And the shifting of the episode about Jesus' real relatives provides an illustration of who the real hearers and doers of the word of God are (8:19-20). See further my commentary on Luke (AB 28; Garden City, NJ: Doubleday, 1981).

On this whole question of Lucan transpositions, see now F. Neirynck, "The Argument from Order and St. Luke's Transpositions," in *The Minor Agreements* [see n. 49 below], 291-322; cf. *ETL* 49 (1973) 784-815.

<sup>21</sup> See W. G. Rushbrooke, Synopticon: An Exposition of the Common Matter of the Synoptic Gospels (London: Macmillan, 1880?); W. R. Farmer, Synopticon: The Verbal Agreement between the Greek Texts of Matthew, Mark and Luke Contextually Exhibited (Cambridge: University Press, 1969).

<sup>22</sup> See D. Guthrie, New Testament Introduction: The Gospels and Acts (London: Tyndale, 1965) 127.

<sup>23</sup> See V. Taylor, *The Gospel according to St. Mark* (London: Macmillan, 1953) 102, 44-66.

<sup>24</sup> The Four Gospels, 162-64. Cf. B. C. Butler, Originality, 147-56.

<sup>25</sup> J. C. Hawkins, *Horae synopticae: Contributions to the Study of the Synoptic Problem* (2d ed.; Oxford: Clarendon, 1909), 114–53, esp. pp. 125–26.

<sup>26</sup> Originality, 68.

<sup>28</sup> Ibid., 168–69.

<sup>29</sup> Synoptic Problem, 170–71.

<sup>30</sup> (SNTSMS 9; Cambridge: University Press, 1969).

<sup>31</sup> Ibid., 87.

<sup>32</sup> Ibid., 188.

<sup>33</sup> Ibid., 255.

<sup>34</sup> The book came into my hands unfortunately only at a date when the original form of this sketch was practically finished.

<sup>35</sup> Ibid., 276.

<sup>36</sup> See the lists in E. A. Abbott, *The Corrections of Mark Adopted by Matthew and Luke* (Diatesserica II; London: Black, 1901) 307-24; or J. C. Hawkins, *Horae synopticae*, 143-53, 208-212; B. de Solages, *A Greek Synopsis*, 1052-66.

<sup>37</sup> The Four Gospels, 179-81, 293-331.

<sup>27</sup> Ibid., 168.

<sup>38</sup> Ibid., 298.

<sup>39</sup> This point has been discussed in my article, "The Use of Agein and Pherein in the Synoptics," Festschrift to Honor F. Wilbur Gingrich (ed. E. H. Barth and R. E. Cocroft; Leiden: Brill, 1972) 146–60.

<sup>40</sup> The Four Gospels, 325-29. Cf. W. R. Farmer, Synoptic Problem, 284-86 (and 148-51).

<sup>41</sup> See A. W. Argyle, "Agreements between Matthew and Luke," *ExpTim* 73 (1961–62) 19–22; N. Turner, "The Minor Verbal Agreements of Mt. and Lk. against Mk.," SE I, 233–34; X. Léon Dufour, "The Synoptic Problem," 271–74. Cf. L. Vaganay, Le problème synoptique, 69–74, 405–25; J. Schmid, Matthäus und Lukas: Eine Untersuchung des Verhältnisses ihrer Evangelien (Freiburg im B.: Herder, 1930).

<sup>42</sup> "On Dispensing with Q," Studies in the Gospels: Essays in Memory of R. H. Lightfoot (ed. D. E. Nineham; Oxford: Blackwell, 1957), 55-88, esp. p. 61. <sup>43</sup> Ibid., 62.

<sup>44</sup> "Farrer and Streeter on the Minor Agreements of Mt and Lk against Mk," SE 1, 254–57.

<sup>45</sup> Synoptic Problem, 118.

<sup>46</sup> Ibid., 125.

47 Ibid., 124.

<sup>48</sup> Ibid.

<sup>49</sup> See S. McLoughlin, "Les accords mineurs Mt-Lc contre Mc et le problème synoptique: Vers la théorie des Deux Sources," *De Jésus aux Evangiles: Tradition et* rédaction dans les évangiles synoptiques (BETL 25; Donum natalicium I. Coppens, II; Gembloux: Duculot, 1967) 17-40. This article must be used, however, with caution. It is cited here only because it indicates some of the lines along which one may have to proceed in evaluating the thrust of these minor agreements in the Two-Source Theory. See further now F. Neirynck, "Minor Agreements Matthew—Luke in the Transfiguration Story," *Orientierung an Jesus: Zur Theologie der Synoptiker für Josef Schmid* (ed. P. Hoffmann et al.; Freiburg im B.: Herder, 1973) 253-66. cf. F. Neirynck (in collaboration with T. Hansen and F. van Segbroeck), *The Minor Agreements of Matthew and Luke against Mark with a Cumulative List* (BETL 37; Louvain: Leuven University; Gembloux: Duculot, 1974).

<sup>50</sup> X. Léon-Dufour, "The Synoptic Problem," 277.

<sup>51</sup> Mark (see n. 23 above), 68-77.

<sup>52</sup> See T. F. Glasson, "Did Matthew and Luke Use a 'Western' Text of Mark?" ExpTim 55 (1943-44) 180-84 (and the debate that ensued with C. S. C. Williams, ExpTim 55 [1944-45] 41-45; 57 [1945-46] 53-54; 58 [1946-47] 251; 77 [1965-66] 120-21); J. P. Brown, "An Early Revision of the Gospel of Mark," JBL 78 (1959) 215-27 (and the note by T. F. Glasson with the same title, JBL 85 [1966] 231-33); O. Linton, "Evidences of a Second-Century Revised Edition of St. Mark's Gospel," NTS 14 (1967-68) 321-55; A. F. J. Klijn, "A Survey of the Researches into the Western Text of the Gospels and Acts," NovT 3 (1959) 162.

<sup>53</sup> R. Bultmann (Form Criticism: A New Method of New Testament Research [Chicago: Willett Clark, 1934] 13-14) has made use of this hypothesis; see also G. Bornkamm, RCG, 2. 756. The arguments commonly brought against it can be found in W. G. Kümmel, Introduction to the New Testament (rev. ed.; Nashville: Abingdon, 1975) 49-50. See further now F. Neirynck, "Urmarcus redivivus? Examen critique de l'hypothèse des insertions matthéenes dans Marc," in L'Evangile selon Marc: Tradition et rédaction (BETL 34; Gembloux: Duculot, 1974) 103-45.

<sup>54</sup> See the discussion in L. Vaganay, *Le problème synoptique*, 294–95. A nuanced position is found in the article of H. P. West, Jr., "A Primitive Version of Luke in the Composition of Matthew," NTS 14 (1967–68) 75–95.

<sup>55</sup> For attempts to trace the origin of this siglum, see W. F. Howard, "The Origin of the Symbol 'Q," *ExpTim* 50 (1938–39) 379–80. See further now H. K. McArthur, "The Origin of the 'Q' Symbol," *ExpTim* 87 (1976–77) 119–20; F. Neirynck, "The Symbol Q (= Quelle)," *ETL* 54 (1978) 119–25; "Once More: The Symbol Q," ibid., 55 (1979) 382–83 (writing against L. H. Silberman, "Whence Siglum Q? A Conjecture,' *JBL* 98 [1979] 287–88). The custom of referring to the Double Tradition as "Q" is traced to Johannes Weiss (1891).

For recent studies of "Q," see M. Devisch, De geschiedenis van de Quellehypothese: I. Inleiding; II. Van J.-G. Eichhorn tot B. H. Streeter; III. De recente Exegese (2 vols.; Louvain: Katholiek Universiteit te Leuven, 1975); D. Lührmann, Die Redaktion der Logienquelle (WMANT 33; Neukirchen-Vluyn: Neukirchener-V., 1969); P. Hoffmann, Studien zur Theologie der Logienquelle (NTAbh ns 8; Münster: Aschendorff, 1972); S. Schulz, Q: Die Spruchquelle der Evangelisten (Zürich: Zwingli-V., 1972); R. A. Edwards, A Concordance to Q (SBLSBS 7; Missoula, MT: Scholars Press, 1975); A. Polag, Die Christologie der Logienquelle (WMANT 33; Neukirchen-Vluyn: Neukirchener-V., 1977); P. Vassiliadis, Hē peri tēs pēgēs ton logion theoria (Athens: University of Athens Theological School, 1977).

<sup>56</sup> E.g., B. C. Butler, A. M. Farrer.

<sup>57</sup> It has been espoused by J. H. Ropes, *The Synoptic Gospels* (2d ed.; London: Oxford, 1960); H. G. Jameson, *Origin*, 6; B. C. Butler, *Originality*, 22; "St. Luke's Debt to St. Matthew," *HTR* 32 (1939) 237–308; W. R. Farmer, *Synoptic Problem*, 221–25; R. T. Simpson, "The Major Agreements of Matthew and Luke against Mark," *NTS* 12 (1965–66) 273–84; W. Wilkens, "Zur Frage der literarischen Beziehung zwischen Matthäus und Lukas," *NovT* 8 (1966) 48–57; A. W. Argyle, "The Methods of the Evangelists and the Q Hypothesis," *Theology* 67 (1964) 156–57; K. H. Rengstorf, *Das Evangelium nach Lukas* (NTD 3; 9th ed.; Göttingen: Vandenhoeck & Ruprecht, 1962) 8–9; A. Schlatter, *Das Evangelium des Lukas: Aus seinen Quellen erklärt* (2d ed.; Stuttgart: Calwer, 1960) 472–561.

<sup>58</sup> W. R. Farmer treats this passage in the Synoptic Problem (pp. 255–57), using it as a prime example for the Griesbach solution. He regards the Lucan text (16:18) as "a conflation" of Matt 19:9 and 5:32 and is mainly concerned with the genuine problems of the assumed remarriage of the divorced woman and of the Roman practice that is reflected in the Marcan version. But he devotes little time to what seems to be a crucial problem: What would have led Luke to excise the exceptive phrase in Matthew? An appeal to Paul's absolute formulation of the prohibition of divorce in 1 Cor 7:10 scarcely solves the problem, because it only raises the larger one whether Luke was acquainted with Paul's letters at all. Again, to picture Mark twice confronted with the exceptive phrase in Matt 5:32 and 19:9 and twice excising it because he was more influenced by Luke's version from which it is absent is not a convincing argument. For another view of this passage, see A. Isaksson, Marriage and Ministry in the New Temple (ASNU 34; Lund: Gleerup, 1965) 96–104. See further pp. 82–99 below. <sup>59</sup> See Farmer's treatment of this passage in "The Two-Document Hypothesis as a Methodological Criterion in Synoptic Research," ATR 48 (1966) 380-96. B. C. Butler (Originality, 168) sought to defend the Matthean priority of Matthew 16:16ff. But his explanation that Peter, in "telling the Caesarea Philippi incident" and using Matthew as his aide-mémoire, tore out "the story of the high praise of himself and the promise of his peculiar status vis-à-vis the Church, while leaving the stinging rebuke," because he had learnt "the lesson of Christian humility" is too rhetorical to be convincing. I personally see no difficulty in understanding this passage as a Matthean addition, along with the stories about the coin in the mouth of the fish that Peter is to catch and his walking on the waters. They are three episodes in the First Gospel that were added to the so-called ecclesiastical section to enhance Peter's role. See further R. E. Brown et al. (eds.), Peter in the New Testament (New York: Paulist; Minneapolis, MN: Augsburg, 1973) 83-101.

<sup>60</sup> In the hypothesis of Luke's dependence on Matthew, the problem of his apparent reluctance to reproduce Matthean material can also be illustrated in the Double Tradition (e.g., the fuller form of the Beatitudes [Matt 5:3; cf. Luke 6:20; Matt 5:6; cf. Luke 6:21]; the fuller form of the Lord's Prayer [Matt 6:9–13; cf. Luke 11:2–4]). See J. Dupont, *Les béatitudes* (rev. ed.; Bruges: Saint-André, 1958), 43–128. What seems to be at issue here is a Matthean pattern of additions made to dominical sayings, a pattern that accounts readily for his differences from Mark and Luke in the Triple Tradition and from Luke in the Double Tradition.

<sup>61</sup> To paraphrase the words of A. M. Farrer, "On Dispensing with Q," 65.

<sup>62</sup> See F. G. Downing, "Toward the Rehabilitation of Q," NTS 11(1964-65) 1969-81 (arguing against Farrer); E. L. Bradby, "In Defence of Q," *ExpTim* 68 (1956-57) 315-18 (despite its title, this article really deals with this issue). *Pace* N. Turner ("The Minor Verbal Agreements of Mt. and Lk. against Mk.," *SE I*, 223-34), this evidence is not all the result of a subjective approach, or the use of the English text alone; nor does it really involve the minor agreements of Matthew and Luke against Mark. Several arguments are confused by him. Cf. J. Schmid, *Matthäus und Lukas*, 25ff.

<sup>63</sup> Perhaps one should also consider the converse phenomenon in Luke, i.e., his apparent failure to follow Matthew in omitting Marcan passages (e.g., Luke 4:34-37 = Mark 1:23-28 [Jesus in the synagogue of Capernaum]; Luke 9:49-50 = Mark 12:41-44 [the widow's mite]). Farrer has sought to offset this and other arguments which bear on Luke's omission of Matthean material by implying that they are based on an antiquated view of Luke as a collector of Jesus' sayings and a failure to realize that he is really "building an edifice" ("On Dispensing with Q," 63). In one form or another he continually comes back to this line of argumentation: Luke as using a Marcan skeleton, clothed with material cut from Matthew; or Luke as the gardener, expressing his preference for his own new arrangement over that which his predecessor has left him (p. 65). To describe Luke's edifice, Farrer indulges in ingenious typological eisegesis. The major part of his article is given over to establishing a pattern between Luke (and Matthew) and the Hexateuch. With the advance of Redaktionsgeschichte it is certainly wrong to say that Luke is regarded simply as a collector of Jesus' sayings; most of the modern commentators who espouse the Two-Source Theory would reject this and insist on the theological "edifice" in the Third Gospel as much as Farrer does. That this edifice was constructed,

however, as Farrer sketches it is another matter. In typological interpretation one must always ask the question: Who is seeing the connections and patterns, Farrer or the Evangelist? In this regard one would do well to consult the estimate of Farrer's work on Mark by no less a literary critic than Helen L. Gardner, *The Business of Criticism* (Oxford: Clarendon, 1959) 108-22.

<sup>64</sup> See W. C. Robinson, Jr., "The Theological Context for Interpreting Luke's Travel Narrative (9:51ff.)," JBL 79 (1960) 20-31; L. Vaganay, Le problème synoptique, 106-8.

<sup>65</sup> For some observations on this problem, see T. R. Rosché, "The Words of Jesus and the Future of the 'Q' Hypothesis," *JBL* 79 (1960) 210-20.

<sup>66</sup> See L. Vaganay, Le problème synoptique, 295–99; B. H. Streeter, The Four Gospels, 183.

<sup>67</sup> "On Dispensing with Q," 66.

<sup>68</sup> "Towards the Rehabilitation of Q," 180 n. 5. See also W. H. Blyth Martin, "The Indispensability of Q," *Theology* 59 (1956) 182–88, esp. p. 182.

<sup>69</sup> B. C. Butler, Originality, 170; S. Petrie, "Q' Is Only What You Make It," NovT 3 (1959) 28-33.

<sup>70</sup> For an attempt to make "Q" out to be a "written Aramaic source," see F. Bussby, "Is Q an Aramaic Document?" *ExpTim* 65 (1953-54) 272-75.

<sup>71</sup> Strictly speaking, one might object that such data argue only for the dependence of Luke on Matthew, or more generically, of one on the other. This would have to be admitted, if this argument stood alone. It must, however, be considered against the background of the general issues already discussed above, which rule out the dependence of Luke on Matthew.

<sup>12</sup> The Four Gospels, 187.

<sup>73</sup>Introduction, 65–66.

<sup>74</sup> "The Order of Q," JTS 4 (1953) 27-31; "The Original Order of Q," New Testament Essays: Studies in Memory of Thomas William Manson 1893-1958 (ed. A. J. B. Higgins; Manchester: University Press, 1959) 246-69.

<sup>75</sup> Cf. C. K. Barrett, "Q: A Re-examination," *ExpTim* 54 (1942–43) 320–23 (for an earlier denial of the validity of this argument). Taylor quotes some of the results of the investigation of P. Parker (*The Gospel before Mark*, 30) to support his contention: "Since Q has not been assimilated to Matthean types of expression" and "the style of Q does not pervade M, therefore Q and M have different origins" and "Q is really from an autonomous source" (p. 269). O. E. Evans (*ExpTim* 72 [1960–61] 298) is also favorably impressed by Taylor's argument.

<sup>76</sup> See further J. C. Hawkins, *Horae synopticae*, 99–106. Matthew has about 20 doublets (ibid., pp. 82–99). The "doublet" is not a gospel feature derived solely from Mark, since this Gospel has only one of them, or possibly two (see 9:35–37–10:43–44 and possibly 6:31–44–8:1–10). The occurrence of this feature in Mark raises a different issue, which is not related to that of the Matthean and Lucan "doublets."

<sup>77</sup> See the attempts of B. H. Streeter, *The Four Gospels*, 197ff.; A. (von) Harnack, *The Sayings of Jesus: The Second Source of St. Matthew and St. Luke* (New York: Putnam, 1908). Cf. J. Moffatt, *An Introduction to the Literature of the New Testament* (3d ed.; Edinburgh: Clark, 1918), 194-204, for a survey of 16 attempts to reconstruct "Q." Many of these attempts, however, go back to the pioneer days of the investigation; the astronomical figures sometimes found in them for "Q" verses have in large measure been reduced in recent studies. Even though one cannot cite a consensus, recent writers tend to count the common verses of the Double Tradition in the neighborhood of 230. *Redaktionsgeschichte* in the study of Matthew and Luke has affected this question too; there is the tendency to allow more for the compositional work of the evangelists in this area.

<sup>78</sup> "The Order of Q," 28.

<sup>79</sup> Ibid. Cf. his older article, "Some Outstanding New Testament Problems: I. The Elusive Q," *ExpTim* 46 (1934–35) 68–74. J. P. Brown ("The Form of 'Q' Known to Matthew," *NTS* 8 [1961–62] 27–42) has suggested a combination of "Q" and "M" sayings (without the parables) as the form of "Q" which Matthew used along with Mark, i.e., a "larger sayings-document  $Q^{mt}$ ." If Taylor's suggestion has any merit, then perhaps Brown's suggestion may prove to be an interesting refinement of it. Léon-Dufour criticizes this blending of "Q" and "M" into  $Q^{mt}$ , admitting that "all this solves the difficulty, but at the cost of raising up two logical entities that transform the Two-Source Theory into a Four-Source theory." So what? Such a transformation may be needed as a refinement of the Two-Source theory. Moreover, if "M" or "L" were regarded merely as an oral source, then the modification of "Q" by such material would allow for the influence of later oral tradition on the gospel formation, such as Léon-Dufour himself argues for.

<sup>80</sup> ExpTim 54 (1942-43) 320-23; cf. W. Bussmann, Synoptische Studien (3 vols.; Halle/S.: Buchhandlung des Waisenhauses, 1931), 2. 110-56. Also R. S. Cherry, "Agreements between Matthew and Luke," ExpTim 74 (1962-63) 63.

<sup>81</sup> See J. Jeremias, "Zur Hypothese einer schriftlichen Logienquelle Q," ZNW 29 (1930) 147-49; M. Dibelius, From Tradition to Gospel (New York: Scribner, 1935), 76.

<sup>82</sup> See H. Koester, "Gnömai diaphoroi: The Origin and Nature of Diversification in the History of Early Christianity," *HTR* 58 (1965) 279–313, esp. pp. 293– 299; reprinted in *Trajectories through Early Christianity* (ed. J. M. Robinson and H. Koester; Philadelphia: Fortress, 1971) 114–57. Cf. J. M. Robinson, "Logoi sophon: On the Gattung of Q," *Trajectories*, 71–113, esp. pp. 74–85.

<sup>83</sup> See T. E. Floyd Honey, "Did Mark Use Q?" *JBL* 62 (1943) 319-31; B. H. Throckmorton, Jr., "Did Mark Know Q?" *JBL* 67 (1948) 319-29; J. P. Brown, "Mark as Witness to an Edited Form of Q," *JBL* 80 (1961) 29-44; M. Devisch, "La relation entre l'évangile de Marc et le document Q," *L'évangile selon Marc: Tradition et rédaction* (BETL 34; Louvain: Leuven University, 1974) 59-91; W. Schenk, "Der Einfluss der Logienquelle auf das Markusevangelium," *ZNW* 70 (1979) 141-65.

<sup>84</sup> For another attempt to explain Luke, see L. Cerfaux, "Luc (Evangile selon saint)," DBS 5 (1953) 564-72; "A propos des sources du troisième Evangile, proto-Luc ou proto-Mt," ETL 12 (1935) 23-26. For still another attempt, mostly by M.-E. Boismard, see P. Benoit and M.-E. Boismard, Synopse des quatre évangiles en français avec parallèles des apocryphes et de pères (3 vols.; Paris: Cerf, 1965, 1972, 197). An assessment of this attempt has been written by F. Neirynck (with the collaboration of others), Jean et les Synoptiques: Examen critique de l'exégèse de M.-E. Boismard (BETL 49; Louvain: Leuven University, 1979). See also F. Neirynck, "Une nouvelle théorie synoptique (A propos de Mc., I, 2-6 et par): Notes critiques," ETL 44 (1968) 141-53 (= ALBO 4/41).

<sup>85</sup> See also J. W. Doeve, "Le rôle de la tradition orale dans la composition des evangiles synoptiques," *La formation des évangiles* (RechBib 2; Bruges: Desclée de Brower, 1957), 70–84.

<sup>86</sup> Le problème synoptique, 42-313.

<sup>87</sup> Reviews of Vaganay's book can be found in the following places: M.-E. Boismard, *RB* 61 (1954) 453-54; B. Botte, *BVC* 2 (1954) 116-22; *RTAM* 21 (1954) 150; L. Cerfaux, *NRT* 76 (1954) 494-505; A. Gelin, *L'ami du clergé* 64 (1954) 373-75; X. Léon-Dufour, *RSR* 42 (1954) 557-72; J. Levie, *NRT* 6 (1954) 689-715, 812-43 (to which Vaganay replies in *ETL* 31 [1955] 343-56; see Levie's rejoinder, *ETL* 31 [1955] 619-36); J. L. McKenzie, *TS* 15 (1954) 639-44; K. H. Schelkle, *TQ* 134 (1954) 479ff.; C. S. C. Williams, *Theology* 57 (1954) 430-32.

<sup>88</sup> "A notre avis Lc devait avoir pour source principale Mc., pour source secondaire Mg, pour source complémentaire Sg, sans qu'il soit possible de faire le partage entre les deux premières" (p. 313).

<sup>89</sup> "The Synoptic Problem," 276.

90 Ibid., 282.

<sup>91</sup> Ibid., 286.

<sup>92</sup> Ibid., 285.

<sup>83</sup> In thus criticizing Léon-Dufour's position, I am not espousing what he calls "la sécurité dogmatique du grand nombre de ses partisans" [i.e., of the Two-Source Theory]; nor do I agree that "les chercheurs qui le [the Two-Source Theory] contestent sont l'objet d'une pitié dédaigneuse de la part de la majorité" ("Interpretation des évangiles et problème synoptique," De Jésus aux évangiles, 5-16, esp. p. 5) Part of the difficulty which I find with his criticism of various defenders of the Two-Source Theory is his inability to allow them to modify the theory itself. Suppose one does realize that room should be made in it to allow for the influence of some oral tradition (and, in fact, allows for it in the form of "L" or "M," which may be partly oral, partly written); this does, indeed, mean a departure from the classic form of the theory. There is no reason why the proponents of the Two-Source theory today have to be tied to the rigid interrelationships of earlier investigators. Compare too his treatment of the socalled "Marcan" sections in which the arrangement of Mark is respected beyond the three usually mentioned, this scarcely undermines the argumentation of the theory. And why must all three elements (content, order, expression) concur at once?

<sup>94</sup> Synoptic Problem, 277.

<sup>95</sup> Ibid., 279.

<sup>96</sup> Ibid., 280.

<sup>97</sup> Ibid., viii.

98 Ibid., 121.

<sup>99</sup> See further "A 'Skeleton in the Closet' of Gospel Research," *BibRes* 6 (1961) 18-42; "The Two-Document Hypothesis as a Methodological Criterion in Synoptic Research," *ATR* 48 (1966) 380-96; "The Synoptic Problem and the Contemporary Theological Chaos: The New Testament Parable's Image of Jesus is More Camouflaged than Clarified by the Hypothesis that Mark was the First-Written Gospel," *ChrC* 83 (1966) 1204-6; "Some Thoughts on the Provenance of Matthew," *The Teacher's Yoke: Studies in Memory of H. Trantham* (Waco, TX: Baylor University, 1964) 109-16.

Reviews of Farmer's book, The Synoptic Problem, can be found in the following places: F. W. Beare, JBL 84 (1965) 295-97; T. A. Burkill, ChrC 81 (1964) 1430; S. Cutt, Theology 69 (1966) 225-27; F. C. Grant, Int 19 (1965) 352-53; W. E. Hull, RevExp 65 (1968) 490-92; R. Leivestad, NTT 68 (1967) 16-28; W. C.

Linss, LQ 18 (1966) 90-91; J. H. Ludlum, ChrT 9 (1964-65) 306; H. Meynell, Theology 70 (1967) 391-96; C. L. Mitton, EspTim 77 (1965-66) 1-3; M. Rese, Verkündigung und Forschung 12/2 (1967) 34-38; W. Schmithals, TLZ 92 (1967) 424-25; J. Reumann, Dialog 4 (1965) 308-11; H. Rhys, ATR 48 (1966) 92-94; H. C. Snape, Modern Churchman 10 (1966) 184-91.

<sup>100</sup> See pp. 16, 17–18 above.

<sup>102</sup> See further W. E. Hull, *ExpTim* 65 (1968) 490-92.

<sup>102</sup> Synoptic Problem, 231.

<sup>103</sup> Ibid., p. 232. Farmer completely passes over the textual problem of Mark 6:3, about which many commentators have been exercised for a long time. I would not defend as more original the reading ho tou tektonos huios which is usually relegated to the apparatus criticus. But no less a commentator on Mark than V. Taylor has done so: "It is best to conclude that Mark wrote ho tou tektonos huios and that an early scribe replaced this reading by ho tekton and added ho huios tes Marias" (Mark, 300). This is only an example of the way in which Farmer has sometimes presented the evidence. See further R. E. Brown et al., Mary in the New Testament (New York: Paulist; Philadelphia: Fortress, 1978) 59– 64; p. 49 below.

<sup>104</sup> See further B. Reicke, "Griesbach und die synoptische Frage," TZ 32 (1976) 341–59; M.-E. Boismard, "The Two-Source Theory at an Impasse," NTS 26 (1979–80) 1–17; R. H. Fuller, "Die neuere Diskussion über das synoptische Problem," TZ 34 (1978) 129–48; F. Lentzen-Deis, "Entwicklungen in der synoptischen Frage?" TP 55 (1980) 559–70.

<sup>105</sup> Jesus and Man's Hope (see p. 30 above), 1. 51-97.

<sup>106</sup>W. O. Walker, Jr. (ed.), The Relationships among the Gospels: An Interdisciplinary Dialogue (Trinity University Monograph Series in Religion, 5; San Antonio, TX: Trinity University, 1978).

<sup>107</sup> Ibid., 340–41.

<sup>108</sup> My comment, ibid., 257; see also p. 11.

<sup>109</sup>NTS 23 (1976-77) 275-95.

<sup>110</sup> Ibid., 283–93.

# Two

# THE VIRGINAL CONCEPTION OF JESUS IN THE NEW TESTAMENT\*

THE VIRGINAL CONCEPTION of Jesus by Mary has recently become the topic of discussion in American Roman Catholic circles. There have been comments in diocesan newspapers and reports of the "dismay" of the Mariological Society in the U.S.A.,<sup>1</sup> and there have been references to the discussion of this topic in many and varied Roman Catholic circles in Europe, in technical theological periodicals, and in not a few specifically devoted to mariology. The discussion ranges far at times, involving systematic theologians as well as exegetes, and in at least one instance a national conference of bishops.

The issue involves the virginal conception of Jesus, i.e., whether he was historically conceived by Mary who was and remained bodily a virgin in the process, or, in other words, whether he was conceived without the intervention of human seed. It is necessary to be precise about this, because in popular writing and sometimes in Protestant theological treatments or in Roman Catholic discussions in other modern languages the question has been referred to as the "virgin birth." This mode of reference may be defensible, for it is based on early credal formulas, such as natus ex Maria virgine. But it should be avoided in technical discussions, because it is often ambiguous. The ambiguity comes from a different notion in Catholic tradition which asserts that Mary remained a virgin even at the time of Jesus' birth (i.e., that his birth was miraculous, or caused no rupture of the hymen or other bodily lesions). The notion of the virginal parturition has no basis in Scripture and comes from post New Testament and patristic writings; it even acquired status in mariology.<sup>2</sup> Because of this development it is better to avoid the term "virgin birth" and to insist that the

topic under discussion is the virginal conception of Jesus by Mary, or what has often been called her virginitas ante partum.

To broach the question, one has to realize that it is multifaceted and has all sorts of ramifications. Since the problem in the modern discussion begins with the biblical data, though it is not restricted to that, I should like to reconsider the New Testament material that bears on the topic. Though I shall be primarily interested in the modern interpretation of that material, other aspects of the problem will have to be touched on. Consequently, I should like to do four things: (1) explain the varied background of the recent discussion among Roman Catholics; (2) survey the discussion briefly in order to highlight the problem; (3) consider the New Testament data on the virginal conception of Jesus; and (4) suggest a mode of interpretation that may prove palatable.

# I. The Varied Background of the Recent Discussion

Various factors have given rise to the discussion of this topic in recent times. First of all, there is the shift in emphasis in Roman Catholic mariology that has taken place since the Second Vatican Council. It was decided not to issue a separate schema on Mary, after one had actually been prepared by the preparatory theological commission, but rather incorporate the conciliar treatment of her into the dogmatic constitution on the church, as its last chapter—in effect, as an appendage to *Lumen gentium.*<sup>3</sup> Moreover, within the chapter the Council fathers did not hesitate to profess the "subordinate role of Mary,"<sup>4</sup> acknowledging that her maternal duty toward human beings in no way obscured or diminished the "unique mediation of Christ."<sup>5</sup> In thus setting forth the role of Mary with reference to her Son and to all Christians, the Council stressed it precisely in relation to the church.<sup>6</sup> This conciliar stance has created a shift in emphasis in Roman Catholic mariological thinking.

True, in chapter 8 of Lumen gentium Mary is referred to as the "Blessed Virgin," and one finds there the repetition of traditional titles: "in the mystery of the Church, herself rightly called mother and virgin, the Blessed Virgin stands out in eminent and singular fashion as exemplar of both virginity and motherhood."<sup>7</sup> The passing references to her as virgin are there couched in stock formulas; this is readily intelligible, because the Council was more interested in affirming her maternal role with reference to Jesus and the church.<sup>8</sup>

maternal role with reference to Jesus and the church.<sup>8</sup> Second, this shift in emphasis in mariological thinking must also be understood in terms of another affirmation of the Council. In the Decree on Ecumenism it is admitted that "in Catholic teaching there exists an order or 'hierarchy' of truths, since they vary in their relationship to the foundation of the Christian faith."<sup>9</sup> This admission, though not without some background in the theological past, constituted an official recognition of the centrality or noncentrality of certain ideas in Catholic teachings.<sup>10</sup> Though the Council fathers gave no instance in the decree itself of what truths they had in mind or of their relative position in the hierarchy, it escaped no one's attention that in rejecting the idea of a separate schema on Mary, in making their mariological affirmations in the concluding chapter on the church, and in not hesitating to "profess the subordinate role of Mary," they were supplying a concrete example of a truth that may have to be judged in terms of this hierarchy.

Against such a background since the Council, the modern Roman Catholic discussion of the virginal conception of Jesus has taken place. But there is another factor that has to be considered. Since it is usually thought that this is a matter of Catholic faith, one may wonder how there could be a discussion of it in recent times. No little reason, however, for the discussion comes precisely from the theological status of this notion within Roman Catholic teaching. Standard manuals on mariology have normally assigned a theological note of at least *de fide* to the thesis of Mary's virginity *ante partum*.<sup>11</sup> But systematic theologians have recently been stating that theological status with more precision and great caution. Michael Schmaus, who can scarcely be branded for liberal views, recently summed it up thus:

... Mary conceived Jesus of the Holy Spirit without a male principle of generation. It is the constant teaching of the Church from the beginning<sup>12</sup> that she gave birth to Jesus without violation of her integrity and that she remained ever virgin. Though there has been no formal definition on the subject, but only non-infallible declarations of the Church in the course of Christological assertions<sup>13</sup>..., the perpetual virginity of Mary is certainly part of the faith and preaching of the Church."<sup>14</sup>

Though Schmaus recognizes the virginal conception as "part of the faith and preaching of the Church," he puts his finger on the problem: there have been only non-infallible declarations of the church, and these in the course of christological assertions. We are thus confronted with a teaching that is said to be of faith because of a long-standing affirmation in the ordinary magisterium. This immediately involves it in the modern theological question about the binding character of the ordinary magisterium.<sup>15</sup> This thorny question has itself been debated ever since Humani generis in 1950, and to try to discuss its pros and cons

here would distract from the purpose of this paper. But it has to be mentioned since it too forms part of the background of the recent discussion of Mary's virginal conception.

## **II.** Recent Roman Catholic Biblical and Theological Discussions

In the Protestant traditions of Christianity the virginal conception of Jesus has not been universally affirmed. One discerns, in fact, a three-fold position: (1) an affirmative position, often expressed as the "Virgin Birth," and clung to as a historical fact as tenaciously as is the virginal conception in most Roman Catholic circles;<sup>16</sup> (2) a negative position, which questions it;<sup>17</sup> and (3) an agnostic position, which sees little relevance in it for Christian faith.<sup>18</sup> While some Roman Catholic mariological tenets have constituted genuine problems in recent ecumenical dialogues (e.g., the Immaculate Conception, the Assumption), Mary's virginal conception has normally not been such an issue. Moreoever, it is hard to say to what extent the understanding of this matter among Protestants has really been operative or influential in the recent Roman Catholic discussion of it. For this reason I shall not try to include Protestant views on the matter in this brief survey.<sup>19</sup>

Though one can trace the beginnings of the Roman Catholic discussion back to about 1970,<sup>20</sup> it gained notoriety in Holland about the time of the publication of the Dutch Catechism in 1966,<sup>21</sup> for which the bishops of the Netherlands had written a foreword, and in which it was stated that Jesus

was born wholly of grace, wholly of promise—"conceived of the Holy Spirit." He was the gift of God to mankind.

This the evangelists Matthew and Luke express when they proclaim that Jesus' birth was not due to the will of a man. They proclaim that this birth does not depend on what men can do of themselves—infinitely less so than in other human births. That is the deepest meaning of the article of faith, "born of the Virgin Mary".... Mankind has ultimately no one to thank but the Holy Spirit for the coming of this promised one. His origin is not of blood nor of the will of the flesh nor of the will of man, but from God: from the Most High.<sup>22</sup>

What is said here seems to be beyond cavil.<sup>23</sup> But what is not said caused a notable reaction, for nothing had been included about Jesus' conception by Mary who was a virgin. A clarifying statement was subsequently issued by the Dutch bishops, and a Roman commission of cardinals suggested various corrections for the Cathechism, among which was a note reaffirming the virginal conception.<sup>24</sup>

But the matter has not rested there. Roman Catholic writers in Germany and elsewhere in Europe have continued to debate the issue. In Germany, in particular, they have referred to the virginal conception of Jesus in the Matthean and Lucan infancy narratives as a theologoumenon,<sup>25</sup> i.e., a theological assertion that does not directly express a matter of faith or an official teaching of the Church, and hence is in itself not normative, but that expresses in language that may prescind from factuality a notion which supports, enhances, or is related to a matter of faith.<sup>26</sup> The German writers who have been using this term to designate the Matthean and/or Lucan affirmation of the virginal conception do not mean by it a mere mythologoumenon. It is not just a way of expressing in mythical language what transcends our limited human notions or judgments. They refer to the virginal conception as theologoumenon because they find it a convenient way of labeling an assertion in the infancy narratives, which they are convinced says nothing about the historical or biological aspects of what they affirm. The German exegetes, in particular, have made use of this term,<sup>27</sup> because they are concerned to stress what is the real christological message in the Matthean and Lucan annunciation scenes and because they are aware of the diversity of the New Testament data in this area.

Discussions of this matter, however, have not been limited to Holland and Germany. The Spanish mariological periodical Ephemerides Mariologicae has carried extended surveys of the debate and even recorded a dialogue between its editor, J. M. Alonso, and the Dutch theologian Piet Schoonenberg entitled "The Virginal Conception of Jesus: History or Legend?"28 No one misses the import of such a dialogue between a Dutchman and a Spaniard, and the entire survey reveals the problems that the topic has raised for Roman Catholic theologians today. The Spanish editor's introductory note speaks of "libertas theologica" in a context fraught with meaning. Issues involved in the problem have been discussed in France and Belgium as well.<sup>29</sup> The first noteworthy discussion of the problem in the English-speaking world was begun by R. E. Brown, in his article "The Problem of the Virginal Conception of Jesus."30 Careful never to deny it and even to admit that "for some 1600 years of Christian existence (A.D. 200-1800) the virginal conception of Jesus in a biological sense was universally believed by Christians,"<sup>31</sup> he surveyed the problem from many theological angles, both biblical and systematic. From his discussion there emerge two areas in which further study is needed: the extent to which the virginal conception has actually been taught in the Church's tradition and the nature of the New Testament affirmations themselves.

This brief survey of the issues that have been raised in the modern Roman Catholic discussion has highlighted the main problems. I should now like to turn to the biblical data, which constitute the starting point of the discussion.

### III. The Biblical Data Concerning the Virginal Conception

Mary is not mentioned in the Old Testament. The one text that may seem to bear on this question, because it is used in the Matthean annunciation scene (Matt 1:18-25), is Isa 7:14, "Therefore the Lord himself will give you a sign: Behold, a young woman (is) pregnant and bearing a son, and you/she will call his name Immanuel."32 Though Old Testament commentators debate about whose son is concerned. there is, in general, no longer any hesitation among them that the original sense of the text had nothing to do with a virginal conception. Neither in Diaspora Judaism prior to Christianity<sup>33</sup> nor in Palestinian Judaism prior to or contemporary with the rise of Christianity was this text understood either of the Messiah or of a virginal conception. 34 We find it first so used in the Matthean infancy narrative, and the evangelist's intention is clear. However, the question that has arisen so often today is which came first, a biological fact that was seen as the fulfillment of an Old Testament passage, or a reflection on an Old Testament passage that served as an explanation of the character of the special child to be born and of the gratuitous and divine origin of the messianic era now dawning.<sup>35</sup>It is thus that the modern debate about the use of Isa 7:14 in the Matthean infancy narrative takes shape.

In treating the New Testament data, one notes at the outset that only two passages bear on the topic, the two annunciation scenes in the Matthean and Lucan Gospels: the annunciation to Joseph (Matt 1:18-25) and the annunciation to Mary (Luke 1:26-38). The matter scarcely finds an echo elsewhere in the Matthean and Lucan Gospels, and it is surrounded with silence in the rest of the New Testament. When one further considers the genre of the infancy narratives in which these annunciation scenes occur, one realizes the complicated nature of the question. Moreover, what is generally admitted today as the early Christian kerygma, preserved in various New Testament passages, never includes a formulation such as we find in the latter creeds, "conceived by the Holy Spirit and born of the Virgin Mary."36 Given this general situation, one can understand how Roman Catholic theologians and exegetes have queried whether this notion was really part of the "constant teaching of the Church from the beginning."37 In treating the New Testament data that bear on the topic, one has to

consider four bodies of material: (1) Pauline passages, (2) the Marcan Gospel, (3) the Johannine data, and (4) the annunciation scenes in Matthew and Luke. I have listed the material here more or less in the accepted chronological order and shall treat it in this way.

(1) Paul. The first theologian of the Christian church never mentions Mary in any of his writings.<sup>38</sup> This is only part of the general puzzle why Paul manifested so little concern about the origins, life, and ministry of Jesus of Nazareth.<sup>39</sup> Only two texts in his letters bear directly on the topic, and two others are related to it indirectly.<sup>40</sup>

(a) In a passage that is often regarded as pre-Pauline and kerygmatic, Rom 1:3,<sup>41</sup> the Apostle refers to Jesus as "born of the seed of David according to the flesh." This assertion is part of a parallelism in which the major theological affirmation bears on Jesus as the "Son of God set up in power according to a spirit of holiness as of the resurrection." But in it Paul does assert Jesus' Davidic descent. The phrase "of the seed of David" (*ek spermatos David*) is obviously meant in the figurative sense of "descent from David"; only a fundamentalist interpretation of it would insist on *sperma* being used to suggest male seed. Actually, it means no more than what Paul means by "the seed of Abraham," used of Jesus in Gal 3:16.<sup>42</sup> At face value, it implies that Jesus had Davidic blood in his veins, and nothing suggests that this was to be taken in a fictive, putative, legal sense alone. On the other hand, it clearly says nothing about his virginal conception.<sup>43</sup>

(b) The second Pauline text that bears on the matter is the Apostle's assertion that Jesus was sent forth by God as his Son, "born of woman, born under the law" (Gal 4:4). It is part of Paul's affirmation about the fulness of time and the beginning of a new phase of salvation-history, in which the role of the unnamed woman is clearly motherhood, without the slightest hint of virginity. While it may be idle to insist that Paul did not actually say "born of a virgin," as did Ignatius of Antioch some decades later,<sup>44</sup> the issue for him was really something else: to affirm the redemption and the adoptive sonship of all Christians in v. 5. To do so, he asserts the abasement and the common humanity shared by Jesus and those redeemed, even though he was the Son sent by the Father.<sup>45</sup> Here Paul at least alludes to Jesus' divine pre-existence, as he mentions this mission. But once again there is no awareness of the virginal conception.<sup>46</sup>

(c) Indirectly related to these two texts is Phil 2:6-7, part of a pre-Pauline hymn derived from some early Christian liturgy and used by Paul to assert again Jesus' pre-existence, his kenosis and abasement, and finally his exaltation to glory.<sup>47</sup> What is important here is to note that

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Paul saw no contradiction in this affirmation of the divine pre-existence of Jesus and his descent from the line of David according to the flesh (Rom 1:3).<sup>48</sup> No reference is made to the virginal conception, and it is not seen as a necessary or indispensable prop for the incarnation. Fully human, with Davidic blood in his veins, he could still be the Son of God, the exalted Kyrios.<sup>49</sup>

(d) The last Pauline text that bears on the question indirectly has nothing to do with Jesus or Mary but contains a formula that may shed some light on our subsequent discussion. To emphasize the freedom of Christians from the law, Paul introduced into Galatians 4 an allegory of the Old Testament story of Sarah and Hagar.<sup>50</sup> Because of her barrenness, Sarah gave her Egyptian slave-girl, Hagar, to her husband, Abraham, so that he might have a son by her; but God intervened and promised Abraham a son from Sarah, his real wife (Gen 16:1-15; 21:1-14). Paul insists that Christians "like Isaac are children of promise" (Gal 4:28), born to be free, not to be slaves. He continues: "But as at that time he who was born according to the flesh [Ishmael] persecuted him [Isaac] who was born according to the Spirit, so it is now" (Gal 4:29). Here one encounters again Paul's contrast, "according to the flesh . . . according to the Spirit." He considers Ishmael born to Abraham from Hagar as "born according to the flesh," and Isaac born to him from the barren Sarah as a result of God's promise as "born according to the Spirit." This is, indeed, Paul's allegorical interpretation of the Genesis story, where there is a promise, but no mention of the Spirit. Thus, Paul invokes the influence of the Spirit in Isaac's birth to explain how Sarah's sterility was overcome; but it is not an influence of the Spirit that substitutes for human intercourse.51 Though the allegory has nothing to do with the virginal conception of Jesus, it does attest a biblical sense in which the Spirit intervened in the birth of a child without implying virginal conception. It is noteworthy, then, that Paul makes no similar affirmation about the generation of Jesus "according to the Spirit," either in Rom 1:3-452 or in Gal 4:4.

In these Pauline passages we note his silence about the virginal conception of Jesus. It raises the question whether he believed in it, cared about it, or just did not know about it. His silence obviously does not exclude it, and by itself or in isolation it would mean perhaps nothing at all. But when it is considered against a larger pattern, it makes its own significant contribution.<sup>53</sup>

(2) Mark.<sup>54</sup> In the earliest New Testament writing in which an attempt was made to record who Jesus was and what he did and said, we find the same silence about his origins.<sup>55</sup> In Mark 1:1 "the beginning

of the gospel of Jesus Christ" is related to a starting point in salvationhistory and commences with the ministry of John the Baptist. The Marcan Gospel slightly post-dates the composition of the major Pauline letters; it is known to contain all sorts of details about Jesus that later Evangelists, who worked with it as a base, tended to excise or to censor in order to bring their picture of Jesus more into harmony with the developing christology of their day.<sup>56</sup> And in this sort of comparison Mark's Gospel has again and again revealed traces of its more primitive character.

Only in Mark 6:3 do we find a phrase that might seem pertinent to the topic at hand: "Is not this the carpenter, the son of Mary and brother of James and Joses and Judas and Simon, and are not his sisters here with us?" We are not concerned with the latter part of the verse, which speaks of Jesus' "brothers" and "sisters," for that is involved in the discussion of Mary's virginity post partum. 57 What is of interest is the identification of Jesus as "the carpenter, the son of Mary." Such an identification of a Palestinian Jew by a matronymic instead of a patronymic is unusual. It might seem to suggest that Mark did have some idea of the virginal conception. But this is to read into a cryptic, and possibly innocuous, Marcan phrase a meaning that is really derived from the Matthean or Lucan infancy narratives. If we did not have the latter compositions, of definitely later vintage, would the idea of virginal conception suggest itself to the reader of Mark 6:3?58 What is significant in this regard is the way in which the Matthean Gospel changes what it borrows from Mark. Despite its infancy narrative, it rephrases the query of Jesus' townspeople thus: "Is not this the carpenter's son? Is not his mother called Mary? And are not his brothers James and Joseph and Simon and Judas?" (Matt 13:55). Though one can explain the phrase "the carpenter's son" in the putative or legal sense and thus harmonize the data in Matthew, the more significant aspect is that the assertion of the virginal conception in the Matthean annunciation scene finds no echo here in the later chapter.59

Even if one were to insist that Mark purposely used the phrase "son of Mary," one would still have the problem of specifying the purpose. Did it refer to Mary as a widow? (Joseph is never mentioned in the Marcan Gospel.) Did it echo an ancient accusation of illegitimacy? Such questions may strain the imagination; but they are answered only by speculation.

The upshot of the investigation of the earliest Gospel is that it too has no clear affirmation of a Christian belief in the virginal conception of Jesus.<sup>60</sup> In this, its data agree with those of Paul. (3) John. If I introduce the Johannine data next, it is not because the

(3) John. If I introduce the Johannine data next, it is not because the Gospel of John was composed before the Matthean or Lucan Gospels, but because the data are more easily handled next and the Gospel, despite its late final redaction, has apparently preserved material that is often as primitive as that of the Synoptics, but from a parallel Christian setting.<sup>61</sup> In this matter the Johannine tradition may well antedate the annunciations of the Matthean and Lucan infancy narratives. Unlike the Marcan tradition, the Johannine Gospel identifies Jesus as "the son of Joseph" (1:45; cf. 6:42). It makes no attempt to suggest that this should be understood in a legal, putative, or foster sense. Aside from these passing references, the only passage that has been introduced into the discussion of Mary's virginal conception is a clause in the prologue, 1:13: "But to all who received him, who believed in his name, he gave power to become children of God; who were born, not of blood nor of the will of the flesh nor of the will of man, but of God" (*RSV*; the nor of the will of the flesh nor of the will of man, but of God" (*RSV*; the crucial Greek phrase is *hoi ouk egennethesan*). The plural reading, referring to Christian believers, is used in the most recent critical editions of the Greek New Testament, that of the United Bible Societies and of Nestle-Aland (26th edition), but also in older critical editions in general.<sup>62</sup> The Jerusalem Bible, however, has preferred to read the singular in 1:13, hos ouk egennēthē, which would mean "But to all who did accept him he gave power to become children of God, to all who believe in the name of him who was born not out of human stock or urge of the flesh or will of man, but of God himelf."<sup>63</sup> This singular reading would suggest that the tradition of the Johannine Gospel was aware of the virginal conception of Jesus. However, it is really based on wishful criticism. It runs counter to "the overwhelming consensus of all Greek manuscripts"<sup>64</sup> and finds support only in patristic citations and a few isolated Syriac translations (which have a conflated text). The scholarly world has come out strongly against the singular reading, judging it to "have arisen either from a desire to make the Fourth Gospel allude explicitly to the virgin birth or from the influence of the singular number of the immediately preceding autou."<sup>65</sup> Despite the backing of the *Jerusalem Bible*, this sole support for the virginal conception in the Fourth Gospel is alleged and without foundation; it cannot be seri-ously entertained. and of Nestle-Aland (26th edition), but also in older critical editions in ously entertained.

The Johannine Gospel obviously does not deny the virginal concep-tion of Jesus, but it does not affirm it either. This is striking in view of the christological stance that it assumes, presenting Jesus as almost always speaking from glory, even in statements uttered during his earthly ministry.<sup>66</sup> The Johannine christology has pushed the titles and the understanding of Jesus back from the primitive stage al-

ready mentioned, where they referred to his future parousiac coming (see Acts 3:20), not only to the ministry itself, but to a stage of pre-existence that even surpasses that of Paul. It is, as it were, a reflexive pre-existence that makes the Jesus of the ministry sound as if he were speaking always from "the glory that I had with you before the world was made" (John 17:5). It represents but a logical development of the christological tendencies of other New Testament writings, and it prepares for the Nicene declaration about Jesus as "true God from true God" (DS 125). But even so, the Johannine Gospel can still refer to him as "the son of Joseph" and can remain silent about his virginal conception. In this the Johannine writings join the Pauline and the Marcan testimony, and witness to widespread areas in the early church that did not affirm that which is found in the annunciation scenes of Matthew and Luke. This silence from three distinct local church traditions again raises the modern question about the "constant teaching of the Church from the beginning."67

(3) The Annunciation Scenes in Matthew and Luke. In contrast to the data in Paul, Mark, and John, there are two passages in the Matthean and Lucan Gospels which deal with the virginal conception of Mary. These are the annunciation scenes: in Matt 1:18–25, in which the "angel of the Lord" announces to Joseph, in a dream, that Mary is already pregnant by the Holy Spirit; and in Luke 1:26–38, in which the "angel of the Lord" (1:11), now identified as Gabriel (1:19, 26), promises to Mary a conception through the intervention of the Holy Spirit. Since, however, these annunciation scenes occur in the infancy narratives, some preliminary comments about the nature of these gospel parts are in order for a proper understanding of them.

First of all, it is generally agreed today that the infancy narratives represent the latest part of the gospel-tradition to develop.<sup>68</sup> The earliest Gospel, Mark, has no such introductory section; the Johannine Gospel substitutes a largely hymnic prologue for its introduction.<sup>69</sup> And the tendency manifested here, in this late stage of gospel-formation, became full-fledged when infancy gospels as such emerged in their own right, such as the apocryphal *Protevangelium Iacobi* or the *Infancy Story of Thomas the Israelite Philosopher* (actually an account of the childhood of Jesus ascribed to Thomas).<sup>70</sup>

Second, it is significant that none of the so-called kerygmatic passages of the New Testament ever allude to details of the infancy of Jesus, as we have already noted in part. The most that one finds is the reference to his Davidic descent in the kerygmatic fragment of Rom 1:3-4. Even the most expanded form of such kerygmatic preaching, as claimed by some commentators to be found in Acts 10:37-43, refers only to the "word which was proclaimed throughout all Judea, beginning from Galilee after the baptism which John preached."<sup>71</sup> Whatever one wants to say about these so-called kerygmatic passages in Acts, it is noteworthy that Luke, who is said to have preserved several of them, never so formulates them as to include details from his own infancy narrative, let alone anything specifically connected with the virginal conception in them.

Third, the historicity of details in the infancy narratives has always been a problem, and it has been frankly discussed by Roman Catholic commentators in recent years. In this regard a certain consensus of opinion has arisen: (a) Matthew and Luke do not depend on each other, not only in the composition of their Gospels as a whole, but specifically in the writing of their infancy narratives.<sup>72</sup> (b) Both of them make use of prior early Christian tradition in some details at least.<sup>73</sup> (c) Despite their mutual independence, the radically different structure of their narratives, and their basically different stories about the infancy of Jesus, they have certain details in common-details which both may have inherited from the previous tradition and in which one is disposed to find a historical nucleus (Matthew would seem to be a control for Luke, and vice versa). We shall return to the common details; but for most of the scenes in the infancy narratives there simply is no control, biblical or extrabiblical, such as a historian would consider necessary for a judgment about the historical character of long-distant happenings.74 (d) There is a liberal sprinkling in these narratives of folklore, astrology, christological titles, and interpretation of the Old Testament, which makes the reader realize that he/she is confronted with a literarily embellished account. The extent to which either narrative can be regarded as "midrashic" is debated and need not detain us now.75 If the narratives could ever be accorded the label of historiography, that label would have to be qualified with some adjective like "imitative"i.e., imitative historiography.76 For both Matthew and Luke recount their infancy stories in imitation of other traditions, biblical and extrabiblical. In Matthew, the story of Jesus' infancy is modeled in part on the haggadic development of the birth of Moses in contemporary Palestinian Judaism;<sup>77</sup> in Luke, the infancy story about Jesus not only parallels that about John the Baptist (which was probably derived from an independent earlier tradition), but has unmistakable similarities with the story of the childhood of Samuel in the Old Testament (1 Samuel 1-2).78

Fourth, the christology of the Matthean and Lucan Gospels differs

from that of Mark in that, like the Pauline and Johannine presentation, it represents a form of the three-stage christology of the early church. Mark's christology was two-staged in that it reflects the retrojection of the titles and the understanding of the risen Christ back to the Jesus of Nazareth in the account of the ministry. Both Paul and John pushed the titles and the understanding back to a third stage, viz., that of preexistence (each in his own way). But Luke and Matthew, who never allude to Jesus' pre-existence, have a three-stage christology of their own, in which the understanding of Jesus as Messiah, Savior, Lord, Son of David, etc. is pushed back to the infancy period.79 It represents in reality a stage in the developing understanding of him who is the Christian Lord. These evangelists thus seek in the overtures to their Gospels to strike the chords that will orchestrate their presentation; from the beginning of their Gospels they identify this person as if all that is to be said about him were actually patent from the very beginning of his earthly existence. Their major affirmations in these Gospel introductions bear then on his christological identification: He is born of God, son of Abraham, son of David, Messiah, Savior, Lord, and Son of God. To fail to perceive this is to miss the thrust of the infancy narratives.80

Against the background of these four generic observations about the infancy narratives we may look at some specific details, and above all at the elements in them that are common to Matthew and Luke despite their great diversity. These have been noted as the following nine points: (1) the principal characters, Jesus, Mary, Joseph; (2) the dating of the stories in the days of Herod the king (Matt 2:1; Luke 1:5); (3) the engagement of Mary a virgin to Joseph (Matt 1:18; Luke 1:27; 2:5); (4) the Davidic descent of Joseph (Matt 1:16, 20; Luke 1:27; 2:4); (5) the involvement of God's Holy Spirit in the conception of Jesus (Matt 1:18, 20; Luke 1:34); (6) the birth of Jesus from Mary in Bethlehem (Matt 1:25; 2:1; Luke 2:7); (7) the heavenly imposition of the name of Jesus prior to the birth (Matt 1:21; Luke 1:31); (8) Jesus' Davidic descent (Matt 1:1; Luke 1:32); (9) the final settlement of the family in Nazareth (Matt 2:23; Luke 2:51).

Some commentators would add to this list two further elements: (a) Mary's virginal conception (appealing to Matt 1:18–20; Luke 1:34); (b) and this precisely at a time when she was still only engaged to Joseph (Matt 1:18; Luke 1:27; 2:5). These common details I have taken from a Roman Catholic commentator, Josef Schmid, who definitely included the last two elements in his list of 1960.<sup>81</sup> However, a more recent discussion by J. Riedl, who refers to Schmid's list, restricts what it calls the "historical facts" in the two narratives to the following: Mary's engagement to Joseph, the Davidic descent of Jesus via Joseph, the imposition of the name of Jesus, the birth of Jesus from Mary, the birth in Bethlehem, and the final settlement in Nazareth. Though Riedl has telescoped some of the elements that are listed separately above, he has significantly omitted from his list of "historical facts" all mention of the intervention of the Spirit and of the virginal conception.<sup>82</sup> In itself, this may seem merely like a difference of opinion; but it points up the attitude of Roman Catholic commentators today, when they are confronted with the question of the historical character of the Matthean and Lucan infancy narratives.

What lies behind the mode of interpreting the annunciation scenes of the infancy narratives in such a way? Several things are involved. First, the difference in the treatment of the conception of Jesus in the Matthean and Lucan stories. Matthew leaves no doubt that the conception has already taken place, and without the intervention of Joseph. He was on the point of repudiating his fiancée because "she was found to be with child" (Matt 1:18).83 But he is reassured: "That which is conceived in her is of the Holy Spirit" (1:20). Matthew never indicates how the conception came about; there is no hint of intercourse of any sort, and he uses no language that would suggest a hierogamy or a theogamy after the manner of Greek and Egyptian myths about the births of heroes as the result of the intercourse of a god and a human.84 Whatever Matthew inherited in this matter from prior Christian tradition he has unmistakably presented as virginal conception, even with defensive, apologetic nuances. Thus, there is no doubt about the Matthean assertion of virginal conception as something that has already taken place.

Does Luke do the same? If he does, it is less clear, and herein lies the difficulty.

The interpretation of the Lucan annunciation is complicated by several things. First of all, it is clearly a parallel to the annunciation made to Zechariah, the father of John the Baptist (1:5-23) and the husband of Elizabeth who was barren, "and both were advanced in years." By contrast, Mary is said to be a "virgin engaged to a man whose name was Joseph" (1:27). Second, she was a young Galilean girl, who was still a virgin, and who was not only contemplating marriage but was already engaged. Mary's youth and virginal status stand in contrast to the old age and the barrenness of Elizabeth. Third, the angel's greeting that startles Mary and the subsequent indication to her that she has been favored by God to become the mother of the Davidic Messiah refer to a *future* conception, but it is not immediately understood. Moreover, the question has to be asked whether it really rules out human intercourse. And there may be the further question whether, in reading it as if it did rule it out, one is not importing a Matthean nuance into the story. This may seem surprising, but listen to the Lucan text itself (in the RSV rendering):

<sup>28</sup>And he [Gabriel] came to her and said, "Hail, O favored one, the Lord is with you!" <sup>29</sup>But she was greatly troubled at the saying, and considered in her mind what sort of greeting this might be. <sup>30</sup>And the angel said to her, "Do not be afraid, Mary, for you have found favor with God. <sup>31</sup>And behold, you will conceive in your womb and bear a son, and you shall call his name Jesus. <sup>32</sup>He will be great and will be called the Son of the Most High; and the Lord God will give to him the throne of his father David, <sup>33</sup>and he will reign over the house of Jacob for ever; and of his kingdom there will be no end."<sup>34</sup> And Mary said to the angel, "How can this be, since I have no husband?"<sup>35</sup> And the angel said to her, "The Holy Spirit will come upon you, and the power of the Most High will overshadow you; therefore the child to be born will be called holy, the Son of God."

Eventually Mary says: "I am the Lord's servant; let it happen to me as you say."

When the account in these eight verses is read in and for itself without the overtones of the Matthean annunciation to Joseph—every detail in it could be understood of a child to be born of Mary in the usual human way, a child endowed with God's special favor, born at the intervention of the Spirit of God, and destined to be acknowledged as the heir to David's throne as God's Messiah and Son. Chap. 2 in the Lucan Gospel supports this understanding even further with its references to Mary and Joseph as Jesus' "parents" (2:41) or as "your father and I" (2:48). And in these references no attempt is made on the part of the evangelist to qualify Joseph's fatherhood as foster or legal.

However, four points may seem to militate against such an understanding of the annunciation scene in Luke. The first is Mary's query, which I shall translate literally from the Greek: "How will this be, since I do not know a man?" (1:34). Or, to paraphrase it with the proper Semitic connotation, "since I have no relations with a man (or with a husband)."<sup>85</sup> This query has been subjected to many explanations over the centuries. It has been said to express a vow, a resolve, or an intention not to have marital intercourse;<sup>86</sup> or a protest because she *has* not known a man;<sup>87</sup> or surprise because she is not yet married (which implies that Mary understood the angel's words to mean a conception that was already under way, as in parallel angelic communications in the Old Testament, and one which the further words of the angel clarify and refer to the future);<sup>88</sup> or even some contorted explanations.<sup>89</sup> The one thing that is clear is that there is no unanimous or "Catholic" interpretation of this question.<sup>90</sup> Of the three mentioned, the least forced explanation seems to be the third, surprise at the announcement that is understood in the Old Testament sense that conception is already under way. But the real solution to this problematic verse lies in the realization, as J. M. Creed has expressed it, that "a narrative of this kind ought not to be subjected to the strain of such questions" (i.e., whether Mary's words imply a vow or a resolve of virginity).<sup>91</sup> The purpose of Mary's question to the angel is to give the evangelist an opening for the further angelic communication about the real character of the child to be born: He will not only be the Davidic Messiah to rule over the house of Jacob, but He "will be called holy, the Son of God" (1:35).<sup>92</sup> The main affirmation in the angelic declaration to Mary is thus wholly christological.<sup>93</sup> Mary's query is merely a Lucan stageprop for the dramatization of the identification of the child; the trouble is that Luke's dramatization has made it sound like a historicization, and the conversation of Mary with the angel has borne the weight of centuries of re-presentation of the scene in Christian art, especially of the sort of Fra Angelico. Unfortunately, such re-presentation does not make history out of what may not have been intended to be such.

A second difficulty for this interpretation comes from the angelic declaration that the "Holy Spirit will come upon you, and the power of the Most High will overshadow you" (1:34). The language used by the angel is highly figurative, but neither verb, *eperchesthai* ("come upon") or *episkiazein* ("overshadow"), has in itself any connotation of conception, let alone of sexual implication. They are otherwise unattested in a context that would suggest either of these nuances.<sup>94</sup> They are, at most, figurative expressions for the mysterious intervention of God's Spirit and power which will be responsible for the divine and messianic character of this child. The figurative use of these verbs here obviously does not exclude the idea of a miraculous conception; but they do not say it either, least of all in an exclusive sense implying no human intervention. In this regard, we may recall here that the birth of Isaac "according to the Spirit" (Gal 4:29), which we discussed earlier,<sup>95</sup> did not imply a virginal conception of him. It was simply Paul's way of accounting for the child so cared for in God's providence and for his role in salvation-history. In the Lucan infancy narrative, then, the real question that has to be asked is whether the Spirit's "coming upon" Mary and its "overshadowing" of her are intended to explain the child's special relation to God (as His Son) or her bodily, virginal integrity. If we had only these eight verses of the Lucan infancy narrative, would this passage be read as it often is—in terms of the virginal conception of Jesus? It may have been so interpreted because of the harmonization of

its detail with the Matthean account. But the modern query is raised about this as a "common" element. At most, it is only a possible understanding of the Lucan annunciation scene, not one that is unquestionably such. (See the postscript.)

The third point that may seem to cause a difficulty for this interpretation of the Lucan annunciation scene is Luke 2:5, where we are told that Joseph went to Bethlehem to be enrolled in the census "with Mary. his betrothed, who was with child" (syn Mariam të emnësteumenë auto, ousë enkyo). This verse has long been a problem and it still remains one, no matter how one interprets 1:26-38, whether of Mary's virginal conception or not. Its description of Mary is dependent on 1:27, "a virgin engaged to a man named Joseph" (parthenon emnesteumenen andri ho onoma Ioseph). And the question is still, what is Mary doing in the company of Joseph on a journey if she is still only "engaged"? The participle emnesteumene would imply that she had not yet cohabited with him. Ancient versions (Vetus Itala, Sinaitic Syriac) easily solved the problem by changing the reading from "his betrothed" to "his wife." And the Koine tradition of Greek MSS (together with some Latin versions) introduced the word gynaiki (or uxori), which would mean "his engaged wife," but this is clearly a harmonizing gloss that solves nothing. Which was she? His wife or his finacée? The lectio difficilior preferenda is that with which we began;96 it might seem to be a formulation made in the light of the virginal conception, but it is not per se clear, and nothing else in chap. 2 favors it. No hint is given about the cause of Mary's pregnancy,97 and the original independence of chap. 2 from chap. I may suggest that this verse is not even to be thought of in terms of virginal conception.

The last point of difficulty for the interpretation being used here is derived from outside the infancy narrative itself, from Luke 3:23, where we read that "Jesus, when he began his ministry, was about thirty years of age, being the son (as was supposed) of Joseph, the son of Heli," etc., and the genealogy continues backward through some seventy names to "the son of Adam, the son of God." Aside from the details of ancestors in the Lucan genealogy that differ from the Matthean list, Luke significantly traces Jesus' pedigree back not only to Adam but to God himself. Some commentators see in the termination of the genealogy (in God himself) a subtle way in which Luke again affirms the divine sonship of Jesus.<sup>98</sup> Yet, as it begins, the genealogical list says "as was supposed" (*hös enomizeto*), the son of Joseph. It sounds as if the evangelist is correcting the impression suggested by the (inherited?) genealogy that Jesus was actually the son of Joseph, and correcting it in the light of the infancy narrative's annunciation scene. Leaving aside the strained interpretations of the phrase that have often been proposed in attempts to harmonize the two geneal-ogies of Jesus,<sup>99</sup> we may note that, whatever way the phrase is going to be understood, it will affect not only the paternity of Joseph (in a real sense? in a putative, legal sense?) but also the climax of the genealogy as well. If one opts for the interpretation that Luke sug-gests here Joseph's "legal" or "putative" paternity, what does that say about the divine filiation at the end? On the other hand, if one were to insist that it refers merely to the beginning of the geneal-ogy, then there is a significant corrective to it in the light of chap. 1. This would then shed some light on the infancy narrative and indi-cate that the evangelist did want 1:26–38 to be understood of vir-ginal conception. This possibility cannot be excluded. But in the ginal conception. This possibility cannot be excluded. But in the long run, the Lucan Gospel does not assert the virginal conception of Jesus as clearly as does the Matthean annunciation scene. These, then, are the problems that face one when one tries to read the Matthean and Lucan infancy narratives in terms of the virginal

conception of Jesus.

## IV. A Palatable Interpretation of the New Testament Data

Because of such problems in the Lucan annunciation scene in particular, and because of the isolated testimony to the virginal conception of Jesus in the Matthean and Lucan infancy narratives, Roman Catholic interpreters, both exegetes and theologians, have asked a further question about the virginal conception. Given the silence of the New Testament outside of the two annunciation scenes, is silence of the New Testament outside of the two annunciation scenes, is it possible that the real thrust of the infancy narratives is to affirm something other than the historical, biological virginity of Mary? Is the affirmation of these scenes to be found in something else? For instance, in the divine and gratuitous creativity of a new age of salvation-history, inaugurated by the birth of this extraordinary child who will in time be recognized as God's agent of salvation and as the fulfillment of Old Testament promises, the heir to sit on David's throne, the Christian Messiah, the Son of God, the Savior and Lord proclaimed to all men? In other words, is the virginal conception of Jesus, which is clearly asserted in the Matthean infancy narrative, and less so in the Lucan annunciation scene, anything more than a theologoumenon? One has to recognize that the New Testament data are not unambiguous; they do not necessarily support the claim that this was a matter of faith "from the beginning." When one looks at the complicated assertion in the Lucan annunciation scene, there is a real reason to raise the question whether the evangelist's assertion is anything more than a theological expression in language that may prescind from factuality about a notion which is related to a matter of faith, without being such itself. Roman Catholic exegetes and theologians who so phrase the question are concerned with three things. First, how explain the isolated assertion of the virginal conception in Matthew 1 over against the general thrust of the Matthean infancy narrative, which is more concerned to tell us who Jesus is and whence he comes, "Quis et unde?"<sup>100</sup> Similarly, the less clear Lucan assertion of it is embedded in a twofold angelic announcement, the thrust of which is more concerned with Jesus' messianic or Davidic role and his divine filiation than with Mary's virginal status.

Second, they are concerned to reckon with the "open" character of the two isolated New Testament passages which deal with the question, when they are compared with the striking silence about it in the rest of the Synoptic Gospels and in the remainder of the New Testament itself. Even if one were to say that in this matter Matthew and Luke have inherited traditional material and did not fabricate it themselves out of whole cloth, one has still to ask whether they present it as *Glaubensgut*, <sup>101</sup> as an affirmation of faith, or merely as a theologoumenon. Because this hesitation arises—and not merely because of modern hesitations about the miraculous, but rather because of the difficulties which the texts themselves raise—the assertion, such as it is in the Matthean and Lucan annunciation scenes, is "open," i.e., open to further understanding and/or development.

Third, as in the case of other matters in the New Testament, which are judged today from an exegetical point of view to be open-ended assertions—"open" in the sense that they could develop genuinely within the Christian dogmatic and theological tradition in one direction or another—the New Testament assertion has to be understood for what it really is and not interpreted anachronistically. As less controversial, I may be permitted to cite the example of Paul's assertion of the universal causality of Adam's sin in Rom 5:12–21. That this is somehow related to the dogma of original sin is a commonplace since Trent (DS 1512). What Paul asserts there about it is not exactly the same as the formulation or conception of the matter in the Councils of Orange or Trent. It could actually have developed as it did, or not. In this case the opennesss of the assertion has been removed; what Trent affirms may be regarded as the *sensus plenior* of Romans 5.<sup>102</sup> So too with the

assertions of Matthew and Luke on the matter of the virginal conception. If it eventually were to be judged that the traditional understanding of the virginal conception in a historical, biological sense is a matter of faith, then one would still have the obligation of asking whether that is the clear affirmation of the New Testament data. Here one must learn to distinguish between a New Testament assertion and the legitimate development of it within the Christian tradition. This is complicated. For what I said at the beginning of my discussion about the so-called traditional teaching among Roman Catholics in the reiterations of the ordinary magisterium for centuries obviously colors one's assessment of the normative character of such a development. Should dogmatic theologians agree on the normative character or binding force of the constant and ordinary magisterium-which does not seem to be the case at the moment-the Roman Catholic commentator could live with it. But he would still insist on taking the critical position that his discipline demands about the affirmation of the New Testament text itself. The Matthean annunciation scene asserts indeed the virginal conception of Jesus, and the Lucan may do so less clearly, but whether they make of that assertion an affirmation of faith or a theologoumenon is still a vital question.

In summary, then, the "open" character of the assertion of the virginal conception of Jesus in the New Testament is seen in (a) the isolated declaration of it in the annunciation scenes of Matthew and Luke over against the silence of the rest of the New Testament data, which raises the question whether it was really a matter of Christian faith "from the beginning"; (b) the different treatment of the matter in the Matthean and Lucan annunciation scenes, where it is clearly asserted in the former and only figuratively so in the latter; (c) the hesitation about whether it is affirmed as a historical fact or asserted as a theologoumenon to support some affirmation of faith. These, then, are the issues in the modern debate.

Finally, it must be stressed that the exegetes and theologians who have been involved in this debate have not denied the virginal conception of Jesus; in fact, in many instances, they have not even questioned it. They have indeed raised questions about it and have been honestly seeking to draw the lines between what is of the essence of Catholic faith and what has been uncritically associated with it in unquestioning assumptions. They have been concerned to ascribe critically to the biblical sources only what they affirm, and to dogmatic or systematic development what it has interpreted. Lastly, they have been seeking honestly to assess the entire matter with the sophisticated attitude of their own generation. This may make of them minimalists in the mariological debate. But who says that the maximalists have the corner on the truth?<sup>103</sup>

### Postscript (1980)

After the original form of the above article was published, R. E. Brown contributed a note to the same publication in which he took issue with my presentation of an aspect of the virginal conception of Jesus in the Lucan Gospel.<sup>104</sup> He mentioned the four points in the Lucan account in which I found a certain ambiguity,<sup>105</sup> and added a fifth argument, which clinches the matter for him and which I did not take into account. It concerns the step-parallelism between the annunciation of the conception of John the Baptist and that of Jesus.

Brown stresses that one annuciation is clearly patterned on the other and that Luke used this step-parallelism not merely to compare John and Jesus but to underline the superiority of Jesus over John. One element in this parallelism is precisely the "how" questions: "How shall I know this? For I am an old man, and my wife is advanced in years" (1:18); and "How shall this be, since I have no husband?" (1:34). An extraordinary conception is involved in both annunciations; and Mary's faith in the divine intervention in the conception of Jesus is rewarded because it involves an intervention greater than that in the conception of John—a conception which overcomes her lack of sexual relations.<sup>106</sup>

Brown's point is well taken, and I accept the correction that he offers with a slight modification. I do not agree with him that the account of the annunciation of John's conception (and birth) has been fashioned by Luke in dependence on that of Jesus. It is just the other way round. Luke had inherited a Baptist tradition, which recounted the conception (and birth) of John in imitation of Old Testament models; and he fashioned the annunciation of Jesus' conception (and birth) in imitation of it.<sup>107</sup> In any case, the step-parallelism calls for the great intervention of the divine in the conception of Jesus, even though the phrasing of individual verses in the account of Jesus' conception remains ambiguous, as I have already pointed out.

I also accept this correction for another reason. When the twelve of us who were involved in the discussion of the Marian passages of the New Testament as a preparation for *Mary in the New Testament* came to the Lucan scene of the virginal conception of Jesus, my view of the matter as set forth in the above essay surfaced and was discussed. Eventually, the other eleven members of the task force voted against my interpretation of the matter, mainly because of the step-parallelism just mentioned.<sup>108</sup> So I concluded that such a peervote in this matter should be given proper attention. Hence this postscript.<sup>109</sup>

#### NOTES

\* This paper was delivered as a lecture at the fifth annual seminar of the Catholic Bishops of the United States, "Theological Developments in Postconciliar Years," at the Catholic University of America, Washington, DC (June 9–12, 1973). Originally published in TS 34 (1973) 541–75.

<sup>1</sup> "Virgin Birth Controversy Stirs Convention: Mariological Society Dismayed," *Tablet* (Brooklyn) 65/51 (Jan. 11, 1973) 4; "Mariologists Discuss Virgin Birth Controversy," *Catholic News* (New York) 87/2 (Jan. 11, 1973) 7; "Shadow over Mary," *Catholic Review* (Baltimore) 37/44 (Jan. 12, 1973) 1; "Defend Dogma of Virgin Birth," *New World* (Chicago) (Jan. 12, 1973) 2.

<sup>2</sup> See, among others, K. Rahner, "Virginitas in partu," *Theological Investigations* 4 (Baltimore: Helicon, 1966) 134-62. Even though it is affirmed in various Church documents (see, e.g., Pius IV, *Cum quorundam* [DS 1880]: "B. V. Mariam . . . perstitisse semper in virginitatis integritate, ante partum scilicet, in partu et perpetuo post partum"), M. Schmaus can still state: "From the 3rd century on, the general doctrine of the Fathers of the Church and the theologians was that the birth took place without pangs and without bodily lesions in Mary. But this cannot be regarded as dogma" ("Mariology," *Sacramentum mundi: An Encyclopaedia of Theology* 3 [New York: Herder and Herder, 1969] 379).

\*AAS 57 (1965) 58-67. See W. M. Abbott and J. Gallagher (eds.), The Documents of Vatican II (New York: America, 1966) 85-96 (chap. 8: The Role of the Blessed Virgin Mary, Mother of God, in the Mystery of Christ and the Church). See especially the introduction and notes by A. Dulles, pp. 13, 85, 91, 94 (nn. 256, 279, 285). Cf. F. Lakner, "Hat die Mariologie nach dem Vatikanum II wesentliche Fortschritte gezeitigt? Ein Literaturbericht," ZKT 90 (1968) 462-75; R. Laurentin et al., La Vierge Marie dans la constitution sur l'église (Etudes mariales, 22; Paris: Vrin, 1965); G. Philips, "Le Saint-Esprit et Marie dans l'église: Vatican II et prospective du problème," Le Saint-Esprit et Marie (Etudes mariales, 25 [1968] 7-37); Mariología conciliar (L. G. cap. 8) (Estudios marianos, 30-31; Madrid: Coculsa, 1968); R. Laurentin, Court traité sur la Vierge Marie: Edition postconciliaire (Paris: Lethielleux, 1968) 90-100; C. W. Neumann, "The Decline of Interest in Mariology as a Theological Problem," Marian Studies 23 (1972) 12-38. For a preconciliar harbinger of this shift in emphasis, see A. Müller, "Contemporary Mariology," in Theology Today 1: Renewal in Dogma (Milwaukee: Bruce, 1965 [tr. of Fragen der Theologie heute, 1957]) 109-28.

<sup>4</sup>Lumen gentium, §64. This was explicitly stated to safeguard the unique mediation of her Son, but the implications of the statement are obvious.

<sup>5</sup> Ibid., §60.

<sup>6</sup> This notion received further stress in the address of Pope Paul VI as he closed the third session of Vatican II, declaring Mary to be the "most holy Mother of the Church" (AAS 56 [1964] 1015).

<sup>1</sup>Lumen gentium, §63.

<sup>8</sup> Aside from titles like "the Virgin Mary" (§52, 53, 65), the "most Holy Virgin" (§65), the "Blessed Virgin" (§54, 58, 60, 61, 62, 63, 66, 67), the "Virgin of Nazareth" (§56), the "Immaculate Virgin" (§59), references to the virginal conception are found in §55 (identifying Mary as "the Virgin who is to conceive and bear a son, whose name will be called Emmanuel [cf. Isa 7:14]") and §57 (referring to "Christ's virginal conception" and his not diminishing "his mother's virginal intergrity" at birth [with a note referring to the Lateran Synod of A.D. 649, can. 3]). That these references were made only in passing is readily seen from the intent of the Council Fathers: "The Synod does not . . . have it in mind to give a complete doctrine on Mary, nor does it wish to decide those questions which have not yet been fully illuminated by the work of theologians. Those opinions therefore may be lawfully retained which are freely propounded by schools of Catholic thought concerning her who occupies a place in the Church which is the highest after Christ yet very close to us" (*Lumen gentum*, §54).

<sup>9</sup>Unitatis redintegratio, §11 (AAS 57 [1965] 90-112, esp. p. 99; The Documents of Vatican II, 354).

<sup>10</sup> Note that the Council here seems to use the word in the sense of "higherarchy." For a discussion of this notion since the Council, see H. Mühlen, "Die Bedeutung der Differenz zwischen Zentraldogmen und Randdogmen für den ökumenischen Dialog: Zur Lehre des zweiten vatikanischen Konzils von der 'hierarchia veritatum,'" in Freiheit in der Begegnung: Zwischenbilanz des ökumenischen Dialogs (ed. J.-L. Leuba und H. Stirnimann; Stuttgart: Evangelisches Verlagswerk, 1969) 191-227; "Die Lehre des Vaticanum II über die 'hierarchia veritatum' und ihre Bedeutung für den ökumenischen Dialog," Theologie und Glaube 56 (1966) 303-35; L. Jaeger, A Stand on Ecumenism: The Council's Decree (New York: Kenedy, 1965) 112-18; G. Thils, Le décret sur l'oecuménisme du deuxième Concile du Vatican (Bruges: Desclée de Brouwer, 1966); J. Feiner, "Decree on Ecumenism: Commentary on the Decree," in Commentary on the Documents of Vatican II (New York: Herder and Herder, 1968), 2. 57-164, esp. pp. 118–21; U. Valeske, Hierarchia veritatum: Theologiegeschichtliche Hintergründe und mögliche Konsequenzen eines Hinweises in Ökumenismusdekret des II. Vatikanischen Konzils zum zwischenkirchlichen Gespräch (Munich: Claudius, 1968) 66; G. H. Tavard, "'Hierarchia veritatum': A Preliminary Investigation," TS 32 (1971) 278-89. Cf. "Report of the Lutheran-Roman Catholic Study Commission on 'The Gospel and the Church," Worship 46 (1972) 326-51, esp. p. 333 (§24-25).

<sup>11</sup> For example, J. M. Hervé, Manuale theologiae dogmaticae (Paris: Berche et Pagis, 1935), 2. 648; "de fide divina et catholica" (J. A. de Aldama, "Mariologia," Sacrae theologiae summa [BAC; Madrid: Editorial católica, 1953], 3. 394); "Es ist Glaubenssatz . . ." (M. Schmaus, Katholische Dogmatik 5: Mariologie [Munich: Hueber, 1955] 107); "catholicae fidei dogma" ("commissio cardinalitia de 'Novo Catechismo' ('De nieuwe Katechismus')," AAS 60 [1968] 685-91, esp. p. 687. Few, however, would agree today with P. J. Donnelly, ("The Perpetual Virginity of the Mother of God," Mariology [ed. J. Carol; Milwaukee: Bruce, 1957], 2. 228-96) that "it is a solemnly defined dogma," appealing to the Lateran Synod of A.D. 649 under Pope Martin I (p. 228); or with L. Lercher, Institutiones theologiae dogmaticae (3d ed.; Innsbruck: Rauch, 1942), 3. 288 ("de fide definita").—See K. Rahner, "Dogmatische Bemerkungen zur Jungfrauengeburt," in Zum Thema Jungfrauengeburt (ed. K. S. Frank et al.; Stuttgart: Katholisches Bibelwek, 1970) 121-58. <sup>12</sup> My italics; see further below, pp. 46, 48, 51, 59.

<sup>13</sup> Hé refers to the Lateran Synod of A.D. 649 (DS 503) and Pius IV's Constitution *Cum quorundam* (DS 1880). Appeal is likewise often made to the *Symbolum apostolicum* in its different forms (DS 11, 30); *Tomus Damasi* (DS 158); First Council of Toledo (DS 189); *Tomus Leonis* (DS 294); Second Council of Constantinople, can. 2 (DC 422); Eleventh Council of Toledo (DS 533); Third Council of Constantinople (DS 555); Fourth Lateran Council (DS 801); Second Council of Lyons, Profession of Faith of Michael Palaeologus (DS 852); Council of Florence (DS 1337). But it has long since been recognized that in most of these texts the major affirmation is christological, not mariological, and that the passing affirmations about Mary bear on the *birth* of Jesus from her as "ever virgin," a stock phrase (*semper virgo, aeiparthenos*).

<sup>14</sup> "Mariology," Sacramentum mundi, 3. 379.

<sup>15</sup> See Pius XII, Humani generis (AAS 42 [1950] 568; DS 3885). What was said there produced considerable immediate discussion; some of the more recent treatments of the topic reveal the real problems involved. See B. Schüller. "Bemerkungen zur authentischen Verkündigung des kirchlichen Lehramtes." TP 42 (1967) 534-51 (see TD 16 [1968] 328-32). G. Baum, "The Magisterium in a Changing Church," Concilium 21 (1967) 67-83; A. B. Vaughan, "The Role of the Ordinary Magisterium of the Universal Episcopate," PCTSA 22 (1967) 1-19; J. J. Heaney, "Catholic Hermeneutics, the Magisterium and Infallibility," Continuum 7 (1969-70) 106-19; P. Fransen, "The Authority of the Councils," in Problems of Authority (ed. J. M. Todd; Baltimore: Helicon, 1962) pp. 43-78, esp. pp. 61-62 ("the ordinary magisterium, which, even in a Council, remains fallible" (p. 61]). What is really at issue here is the role of dogma and of the magisterium in an era of change within the Roman Catholic Church. See A. Dulles, The Survival of Dogma (Garden City, NY: Doubleday, 1971) 108-24, 146, 158-62; P. Schoonenberg, Die Interpretation des Dogmas (Düsseldorf: Patmos, 1969; = Tijdschrift voor Theologie 8 [1968] 243-347); R. A. McCormick, "The Teaching Role of the Magisterium and of Theologians," PCTSA 24 (1970) 239-54; K. Rahner, "Theology and the Church's Teaching Authority after the Council," Theological Investigations 9 (New York: Seabury, 1972) 83-100. The question is further complicated by the recent discussions about the relationship of "dogma" to the "gospel" or the "word of God." See W. Kasper, Dogma unter dem Wort Gottes (Mainz: Matthias Grünewald, 1965); "Evangelium und Dogma," Catholica 19 (1965) 199-209. Moreover, it should be recalled that Vatican II clearly stated, in a historic "first," that "the living teaching office [magisterium] of the Church... is not above the word of God, but serves it" (Dei verbum, §10). The expression "word of God" has to be understood in the full sense in which it is used earlier in the Dogmatic Constitution, which, though it is not restricted to or identified with the written word of God, does not exclude that form of it. Hence for the first time the Council fathers admitted that the Scriptures stand over the magisterium in some sense (eidem ministrat; AAS 58 [1966] 822). Its privileged character as the inspired word of God is also something that the magisterium serves, "listening to it devoutly, guarding it scrupulously, and explaining it faithfully by divine commission and with the help of the Holy Spirit" (ibid.).

<sup>16</sup> E.g., H. A. Hanke, The Validity of the Virgin Birth: The Theological Debate and the Evidence (Grand Rapids: Zondervan, 1963); J. G. Machen, The Virgin Birth of Christ (New York: Harper & Bros., 1930; reprinted 1967); D. Edwards, The

Virgin Birth in History and Faith (London: Faber & Faber, 1943). In such Protestant circles it is often feared that the denial of the virginal conception implies the denial of the divinity of Jesus Christ; or it is stoutly asserted as the touchstone of orthodoxy against rationalist criticism. J. Ratzinger (Introduction to Christianity [New York: Herder and Herder, 1970] 208) notes apropos of such a position that "according to the faith of the Church the Sonship of Jesus does not rest on the fact that Jesus had no human father; the doctrine of Jesus' divinity would not be affected if Jesus had been the product of a normal human marriage. For the Sonship of which faith speaks is not a biological but an ontological fact, an event not in time but in God's eternity; the conception of Jesus does not mean that a new God-the-Son comes into being, but that God as Son in the man Jesus draws the creature man to himself, so that he himself 'is' man."

<sup>17</sup> With varying nuances, T. Boslooper, *The Virgin Birth* (London: SCM, 1962); R. Bultmann, *The History of the Synoptic Tradition* (Oxford: Blackwell, 1968) 295–96; W. Marxsen, "Jungfrauengeburt (exegetisch)," *RGG*, 3. 1068–69.

<sup>18</sup> See F. V. Filson, A New Testament History (Philadelphia: Westminster, 1965) 86.

<sup>19</sup> A. Vögtle ("Offene Fragen zur lukanischen Geburts- und Kindheitsgeschichte," in *Das Evangelium und die Evangelien: Beiträge zur Evangelienforschung* [Düsseldorf: Patmos, 1971] 43–56, esp. p. 43) does make some reference to this aspect of the problem. See further J. M. Alonso, "Cuestiones actuales: La concepción virginal de Jesús: 1. En autores protestantes," *EMar* 21 (1971) 63– 109.

<sup>20</sup> See F. J. Schierse, "Weihnachtliche Christusverkündigung: Zum Verständnis der Kindheitsgeschichten," *BibLeb* 1 (1960) 217–22.

<sup>21</sup> A New Catechism: Catholic Faith for Adults (New York: Herder and Herder, 1967) 74–75. This is a translation of *De Nieuwe Katechismus: Geloofsverhondiging voor volwassenen* (Hilversum: Brand, 1966), published with the imprimatur of Card. B. Alfrink. The 1970 edition of *A New Catechism* contains "the Supplement to a New Catechism," written by E. Dhanis and J. Visser on behalf of the Commission of Cardinals appointed to examine the Dutch Catechism (pp. 511–74; see especially pp. 538–40).

<sup>22</sup> The Dutch bishops subsequently made it clear that they intended no ambiguity on Mary's bodily virginity; see *De Tijd* (Amsterdam), Aug. 19, 1966; *De nieuwe Gids*, Aug. 20-21, 1966. Cf. "The Dutch Catechism Controversy," *Herder Correspondence* 4 (1967) 156-59; J. M. Alonso, "El catecismo holandés: El tema mariano," *EMar* 19 (1969) 119-43, 457-66. See further W. Bless, *Witboek over de Nieuwe Katechismus* (Utrecht: Ambo, 1969).

<sup>23</sup> It is worth noting that the usual criticism of the Dutch Catechism in this matter passes facilely over a position that it assumed; it blithely ascribes to "the evangelists Matthew and Luke" phrases that sound biblical but were never penned by either of them: "Jesus' birth was not due to the will of a man," or "His origin is not of blood nor of the will of the flesh nor of the will of man, but from God." Such phrases, biblical indeed, are derived from the Johannine prologue (John 1:13), from a passage that has its own problems (see further below, p. 50).

<sup>24</sup> "Commissio cardinalitia de 'Novo Catechismo' ('De nieuwe Katechismus')," AAS 60 (1968) 685-91: "3. De profitenda Iesu conceptione ex Maria Virgine. Petitum est a Commissione Cardinalium ut Catechismus aperte profiteretur Beatam Verbi Incarnati Matrem virginali semper floruisse honore et ut clare doceret factum ipsum virginalis conceptionis Iesu, quod cum mysterio Incarnationis maxime congruit; proindeque ut nullam ansam deinceps daret ad hoc factum—contra Ecclesiae Traditionem in Sacris Litteris fundatam—derelinquendum, servata tantum aliqua eius significatione symbolica, verbi gratia de summa gratuitate doni quod Deus nobis in Filio suo largitus est" (p. 688).

<sup>25</sup> See R. Pesch, "Der Gottessohn im matthäischen Evangelienprolog (Mt 1-2): Beobachtungen zu den Zitationsformeln der Reflexionszitate," Bib 48 (1967) 395-420, esp. p. 410; J. Michl, "Die Jungfrauengeburt im Neuen Testament," Mariologische Studien 4 (Essen, 1969) 145-84, esp. p. 183 ("The question raised was: Is the conception of Jesus by a virgin to be considered a historical fact or a theologoumenon? A critical investigation can bring forth reasons that suggest the position of a historical fact; but it must also grant that there are circumstantial details that favor the opposite thesis of a mere theologoumenon. The limitations of historico-critical exegesis are manifest here, which stand in the way of a decisive view"); O. Knoch, "Die Botschaft des Matthäusevangeliums über Empfängnis und Geburt Jesu vor dem Hintergrund der Christusverkündigung des Neuen Testaments," Zum Thema Jungfrauengeburt, 37-59, esp. pp. 57-58 ("The reticence of the Fourth Gospel [in this matter] suggests the conclusion that the tradition about the virginal conception and birth of Jesus was either not generally known and admitted in the church of the first century or was not regarded as decisive for belief in Christ and for a Christian profession of faith . . . . If this observation is correct, then it lends support to what is today generally admitted in Catholic teaching, that belief in Jesus Christ as true man and true God does not necessarily entail the virginal conception and birth of Jesus"). Cf. A. Vögtle, "Offene Fragen," 43; also his "Die matthäische Kindheitsgeschichte," L'Evangile selon Matthieu: Rédaction et théologie (BETL 29; ed. M. Didier; Gembloux: Duculot, 1972) 153-83.

<sup>26</sup> The term "theologoumenon" is not alway used in the same sense; what is given here as the sense is a slightly modified form of that given by K. Rahner ("Theologoumenon," LTK 10 [2d ed.; Freiburg: Herder, 1965] 80-82): "A statement which makes a theological assertion that cannot be immediately considered as an official teaching of the Church, or as a dogmatic proposition that is binding in faith, but rather that is first of all the result and expression of a striving for an understanding of faith through the establishment of connections between binding faith-statements and the confronting of (them with) the dogmatic thinking of a person (or a given period)" (col. 80). Further on, Rahner continues: "Revelation that takes place in human awareness must necessarily make use (at least 'between the lines') of theologoumena. But these are not the process of understanding that is affirmed along with the statement itself, in which what is meant is correctly understood but with perspective" (col. 81, with a cross reference to his article on "Perspektivismus").

<sup>27</sup> See n. 25 above; cf. R. E. Brown, TS 33 (1972) 5 n. 8; M. Dibelius, Jungfrauensohn und Krippenkind: Untersuchungen zur Geburtsgeschichte Jesu im Lukas-Evangelium (Sitzungsberichte der Heidelberger Akademie der Wissenschaften, Phil.-hist. Kl., Abh. 4, 1932; reprinted in Botschaft und Geschichte: Gesammelte Aufsätze 1 [Tübingen: Mohr (Siebeck), 1953] 1-78, esp. p. 35 n. 46.

<sup>28</sup> "La concepción virginal de Jesús: ¿Historia o leyenda? Un dialogo teológico," EMar 21 (1971) 161-206; P. Schoonenberg, "Eine Antwort," ibid., 207-

16. See further P. Schoonenberg, "God concurreert niet," Theologie en Zielzorg 61 (1965) 1-10; "Gods oorzakelijkheid en Christus' voortkomst," Theologie en Pastoraat 63 (1967) 35-45, esp. p. 42.

29 See L. Evely, L'Evangile sans mythes (Paris: Editions universitaires, 1970); The Gospels without Myth (Garden City, NY: Doubleday, 1971) 80-82. From a different standpoint, P. Grelot, "La naissance d'Isaac et celle de Jésus," NRT 94 (1972) 462-87, 561-85.

<sup>30</sup>TS 33 (1972) 3-34. This article surveys some of the same material from a different viewpoint. It has now appeared in an expanded form in his book The Virginal Conception and Bodily Resurrection of Jesus (New York: Paulist, 1973) 21-68. I am indebted to him for a number of leads that he has given to me beyond his original article and for certain sources that he kindly put at my disposal. For other discussions of this matter in the English-speaking world, see Brown's n. 7. For light-weight reactions to Brown's article, see J. S. Brusher, "Waffling Theologians: A Problem for the People of God," Homiletic and Pastoral Review 73 (1972) 22-28; T. C. Lawner, "Some Observations on the Brown Article on the Virginal Conception of Jesus," ibid., pp. 61-66. In the same category of reactions belong the remarks of J. B. Carol, Marian Studies 24 (1973) 9 and 96.

<sup>31</sup>TS 33 (1972) 11.

<sup>32</sup> This is my literal translation of the Hebrew text, laken yitten 'adonay hu' läkem 'ôt hinneh ha'almah harah weyoledet ben wegara't semô 'immanú-'El. It preserves the participial/adjectival form of harah and yoledet; 1QIsaa 6:29 reads wor', "and he will call." For recent Roman Catholic discussions of this text in the context of the virginal conception, see R. Kilian, "Die Geburt des Immanuel aus der Jungfrau, Jes 7, 14," Zum Thema Jungfrauengeburt, 9-35; H. Haag, "Is 7, 14 als alttestamentliche Grundstelle der Lehre von der Virginitas Mariae," Jungfrauengeburt gestern und heute (ed. H. J. Brosch and J. Hasenfuss; Mariologische Studien, 4; Essen, 1969) 137-44; M. Rehm, "Das Wort 'almah in Is 7, 14," BZ ns 8 (1964) 89-101.-JB translates: "The maiden is with child"; RSV: "A young woman shall conceive and bear a son"; NEB: "A young woman is with child and she will bear a son." The NAB, however, has: "The Virgin shall be with child, and bear a son." But cf. the note on this verse in the NAB. Similarly, the NIV: "The virgin will be with child and will give birth to a son." Cf. A. M. Dubarle, "La conception virginale et la citation d'Is., VII, 14 dans l'évangile de Matthieu," RB 85 (1978) 362-80.

<sup>33</sup> It is, of course, well known that the so-called Septuagint rendered the Hebrew hā-'almāh, "a young (marriageable) girl," by hē parthenos, which is usually taken to mean "a virgin" or "the virgin." In this form Matt 1:23 quoted Isaiah in Greek. Part of the problem here is that the existing MSS of the LXX date from Christian times, and no one is sure that the parthenos of Isa 7:14 actually belonged to the pre-Christian Greek translation of Isaiah or whether the reading has been influenced by Matthew's text. One LXX Ms does use neanis instead of parthenos; this would mean "young girl" and would be the exact equivalent of Hebrew 'almah. Moreover, neanis is used in other Greek translations of the Old Testament (Aquila, Symmachus, Theodotion), as well as in one or other patristic citation of Isa 7:14. See J. Ziegler, Isaias (Septuaginta Vetus Testamentum graecum, 14; Göttingen: Vandenhoeck & Ruprecht, 1939) 147. Another aspect of the problem is the meaning of parthenos in the LXX. In 45 out of 52 instances it translates betulah, the proper Hebrew word for "virgin," and in these instances

its own natural Greek meaning covers precisely the nuance of the Hebrew. But Greek parthenos was apparently not as precise as the Hebrew betulah, for sometimes in the LXX it renders 'almah (Gen 24:43) or na'arah, "young girl" (Gen 24:14; 34: 3). See further P. Benoit, "La Septante est-elle inspirée?" in Vom Wort des Lebens: Festschrift für Max Meinertz (NTAbh 1, Ergänzungsband; Münster: Aschendorff, 1961) 45. Even granting that the Septuagintal reading of parthenos does genuinely mean "virgin" and does really go back to Diaspora Jewish circles, it still does not affirm "virginal conception" in the sense in which this is usually understood of Jesus (i.e., excluding a male, human progenitor). One has to reckon with the possibility that the Greek text of Isaiah is not loaded with all the connotations that it has in Matthew. For an attempt to explain the shift from 'almah to parthenos as the result of influence from Egyptian myths about the god Amon and a virgin, see E. Brunner-Traut, "Die Geburtsgeschichte der Evangelien im Lichte ägyptologischer Forschung," ZRGG 12 (1960) 97-111. This has been too facilely adopted as plausible by Kilian, "Die Geburt des Immanuel," 32-34. The Egyptian myth does not refer to parthenogenesis, but rather to a hieros gamos, involving intercourse of the god with the woman who was a virgin. See further T. Boslooper, "Jesus' Virgin Birth and Non-Christian 'Parallels," Religion in Life 26 (1956-57) 87-97; J. Hasenfuss, "Die Jungfrauengeburt in der Religionsgeschichte,' in Jungfrauengeburt gestern und heute, 11-23.

<sup>34</sup> To date at least there is no indication in Palestinian Jewish literature of Isa 7:14 being so understood. See StrB, 1. 75. The later Targum of Jonathan on the Prophets does not introduce a messianic nuance here. A Davidic (and hence indirectly messianic) sense of the passage is admitted by some commentators, who relate chap. 7 to Isa 9:1–6 and 11:1–9; but to admit this is still a far cry from the "virginal conception" of the Messiah.

<sup>35</sup> See Vögtle, "Offene Fragen," 46-47.

<sup>36</sup> Not even the maximal approach to the early Christian kerygma that is taken by C. H. Dodd (*The Apostolic Preaching and Its Developments* [London: Hodder & Stoughton, 1950] 7-35) would include this. See further M. Dibelius, From Tradition to Gospel (New York: Scribner, n.d.) 17; R. Bultmann, Theology of the New Testament (London: SCM, 1956), 1. 33-52; B. van Iersel, "Saint Paul et la prédication de l'église primitive," Studiorum paulinorum congressus internationalis catholicus, 1961 (AnBib 17-18; Rome: Biblical Institute, 1963) 433-41; C. F. Evans, "The Kerygma," JTS 7 (1956) 25-41; W. Baird, "What Is the Kerygma? A Study of I Cor 15:3-8 and Gal 1:11-17," JBL 76 (1957) 181-91.

<sup>37</sup> Schmaus, quoted above, p. 43.

<sup>38</sup> Not even in Rom 16:6. For a fuller discussion of the Pauline passages, see now MNT, 33-39.

<sup>39</sup> As is well known, his concern was with the interpretation of the Christevent, the explanation of the significance for mankind in the complex of the final events of Jesus' existence: His passion, death, burial, resurrection, exaltation to glory, and heavenly intercession. See further my *Pauline Theology: A Brief Sketch* (Englewood Cliffs, NJ: Prentice-Hall, 1967) 12-14. Even Paul's rare references to "words" or "commands" (1 Thess 4:15; 1 Cor 7:10; 11:23; 14:37) are ascribed not to the historical Jesus but to the risen "Lord," thus indicating his concern with the present influence of the risen Christ rather than with the historical Jesus. Cf. D. L. Dungan, *The Sayings of Jesus in the Churches of Paul: The*  Use of the Synoptic Tradition in the Regulation of Early Church Life (Philadelphia: Fortress, 1971) xvii-xxix.

<sup>40</sup> Paul's reference to James as "the brother of the Lord" (Gal 1:19) raises another problem, but we cannot treat it here. See J. Blinzler, *Die Brüder und Schwestern Jesu* (SBS 21; Stuttgart: Katholisches Bibelwek, 1967) 17, 23, 92, 96, 107, 119, 121, 132-33, 137-38; *MNT*, 65-67.

<sup>41</sup>On Rom 1:3-4 as "kerygmatic," see my commentary in *JBC*, art. 53, §15-16. Cf. O. Michel, *Der Brief an die Römer* (MeyerK 4; 13th ed.; Göttingen: Vandenhoeck & Ruprecht, 1966) 38-39; O. Kuss, *Der Römerbrief übersetzt und erklärt: Erste Lieferung (Röm 1, 1, bis 6, 11)* (2d ed.; Regensburg: Pustet, 1963) 4-9, 12-15; M.-E. Boismard, "Constitué fils de Dieu (Rom., I, 4)," RB 60 (1953) 5-17; H. Schlier, "Zu Röm 1, 3f.," in *Neues Testament und Geschichte* (Festschrift O. Cullmann; Tübingen: Mohr [Siebeck], 1972) 207-18.

<sup>42</sup> The phrase is, of course, derived from the Old Testament in this sense; see Ps 89:3-4; cf. 2 Sam 7:12; John 7:42; 2 Tim 2:8.

<sup>43</sup> Even such a commentator as H. Schürmann, who traces the virginal conception back to a "historisches Faktum," has to admit that "Paul would have formulated things differently here, had he known of the Virgin Birth" (*Das Lukasevangelium: Erster Teil* [HTKNT 3; Freiburg im B: Herder, 1969] 61). The further question is sometimes raised whether Paul may have derived the parallel kerygmatic affirmation from early Christian tradition which already knew of the genealogies of Matt 1:1-16 and Luke 3:23-38. However, the real question is whether the genealogies were part of the early tradition or not. The more frequent understanding of this matter is to regard them as attempts to spell out the Davidic (and divine) relationships attested in the earlier Pauline passages, and not the other way round. See Vögtle, "Offene Fragen," 49.

<sup>44</sup> Ad Smyrn. 1, 1 (alëthös onta ek genous Dauid kata sarka, huion theou kata thelēma kai dynamin theou, gegennēmenon alēthôs ek parthenou). The dependence of Ignatius' wording here on Rom 1:3 is unmistakable; his addition of "truly born of a virgin" is significant, but it still has not clearly enunciated virginal conception. Did Paul actually write Gal 4:4–5? J. C. O'Neill (*The Recovery of Paul's Letter to the Galatians* [London: SPCK, 1972] 58) regards these verses as "not originally written by Paul," but introduced later as a gloss from "Jewish Christian liturgy." If they were introduced later, they would almost surely have been formulated otherwise.

<sup>45</sup> Years ago J. B. Lightfoot (*The Epistle of St. Paul to the Galations* [reprinted, Grand Rapids, MI: Zondervan, 1967] 168) perceived the force of these verses expressed in Paul's chiasmus: "The Son of God was born a man, that in Him all men might become sons of God; He was born subject to law, that those subject to law might be rescued from bondage." The attempts of T. Zahn (*Der Brief des Paulus an die Galater* [2d ed.; Kommentar zum Neuen Testament, 9; Leipzig: Deichert, 1923] 201-2) to interpret this text as evidence for the virginal conception, because no father is named in it, has convinced no one. More recently, W. C. Robinson ("A Re-Study of the Virgin Birth of Christ: God's Son Was Born of a Woman: Mary's Son Prayed 'Abba Father," EvQ 37 [1965] 1-15) has tried to draw an argument from Paul's use of genomenon for Jesus, "born" of a woman in contrast to Ishmael or Isaac, who were "begotten" (gegennētai) according to the flesh or the Spirit. The trouble with his view is that genesthai can mean either to "be born" or "be begotten" (see BAG, 157) and gennan can mean

either to "beget," i.e., become the father of, or to "bear," i.e., become the mother of (ibid., 154). So the distinction proposed by Robinson breaks down.

<sup>46</sup> M. Dibelius (*Jungfrauensohn*, 29 n. 47) remarks appositely: "If the text read genomenon ek parthenou [born of a virgin], the words would be stripped of their meaning" in the Pauline context.

<sup>47</sup> The literature on this Pauline passage is vast; for a recent treatment of it, see J. T. Sanders, *The New Testament Christological Hymns: Their Historical Religious Background* (SNTSMS 15; Cambridge: University Press, 1971) 58–74; R. P. Martin, *Carmen Christi: Philippians ii.5–11 in Recent Interpretation and in the Setting of Early Christian Worship* (SNTSMS 4; Cambridge: University Press, 1967).

<sup>48</sup> Some authors have even asked whether, if one were to take Paul at his word about kenosis and humiliation, the idea of virginal conception would not introduce a Docetic notion and thus really weaken his argument. See H. Räisänen, *Die Mutter Jesu im Neuen Testament* (Suomalaisen Tiedeakatemian Toimituksia, Annales academiae scientiarum fennicae, ser. B, nide-Tom 158; Helsinki: Academy of Sciences, 1969) 24; Vögtle, "Offene Fragen," 49. Whether the query is all that important, the more significant thing is that Paul inherits here an early Christian (Hellenistic? Jewish?) hymn that affirms Jesus' pre-existence (and incarnation) and feels no concern to correct it in terms of virginal conception.

<sup>49</sup>See further Rom 8:32. The ideas of pre-existence (or incarnation) that are implied in these texts are notions that Paul seems to have derived from the early Christian community which he joined as a convert. Yet these notions scarcely reflect the earliest levels of that community's christological beliefs. Remnants of still earlier christologies, in which notions and titles were applied to Jesus in terms of his parousiac coming, are found in the New Testament. These were then first retrojected back to his earthly ministry; in Paul's writings we see some of them being pushed back to the stage of pre-existence. See further R. E. Brown, TS 33 (1972) 17–19.

<sup>50</sup> See my commentary on Galatians in JBC, art. 49, §28.

<sup>51</sup> Cf. Dibelius, Jungfrauensohn, 42–52. See E. Schweizer, "Pneuma," TDNT, 6. 429.

<sup>52</sup> In Rom 1:3-4 Paul does use the phrase "according to the spirit of holiness," but though this may be equated with the "Holy Spirit" in the Old Testament sense, it is strikingly related by Paul to Jesus' resurrection, not his birth. See further MNT, 38-39.

<sup>53</sup> Commenting on the argumentum ex silentio, H. von Campenhausen (The Virgin Birth in the Theology of the Ancient Church [Naperville, IL: Allenson, 1964] 17–18) admits that it "must not be pressed in relation to an isolated text or document; it may be that in one case or another the silence is a matter of pure chance. But as regards Paul such qualifications are not relevant; his legacy is too big for that, and too rich in Christological assertions and formulae. . . . In any case, a generation 'according to the Spirit' is not thought of in his writings, even remotely, as a physiological miracle. In this he was certainly no exception. There is nothing to indicate that, for example, the letters composed later under his name, or the other writings of the New Testament, knew and put forward anything more than he did in this matter." Von Campenhausen does not include the infancy narratives in these "other writings of the New Testament"; see the context of his discussion.

<sup>54</sup> Mary appears in the Marcan Gospel only in 6:3. It is highly unlikely that the "Mary the mother of James the younger and of Joses" (15:40; cf. 15:47; 16:1) refers to her. It is inconceivable that the evangelist would have used such a circumlocution to indicate the presence of Jesus' own mother near the cross. See further MNT, 68–72, also 65–67.

<sup>55</sup> If one were to prefer the postulated "Q" source as earlier than Mark, the situation would still be the same; nothing in it about the virginal conception. For a fuller discussion of the Marcan material, see now MNT, 51–72.

<sup>56</sup> See, among other discussions, R. E. Brown, Jesus God and Man (Milwaukee: Bruce, 1967) 45–46; A. Robert and A. Feuillet, Introduction to the New Testament (New York: Desclée, 1965) 179, 212–13.

<sup>57</sup> On this issue see J. Blinzler, *Die Brüder und Schwestern Jesu* (n. 40 above); J. J. Collins, "The Brethren of the Lord and Two Recently Published Papyri," *TS* 5 (1944) 484–94. It should be noted, however, that a Roman Catholic interpreter of Mark 6:3 has maintained that the four "brothers" and the "sisters" of Jesus mentioned there are actually blood brothers and sisters. See R. Pesch, *Das Markusevangelium, I. Teil* (HTKNT II/1; Freiburg im B.: Herder, 1976) 322–24.

<sup>58</sup> The text of Mark, as we have given it above, is found in all the chief Greek Mss; see B. M. Metzger, A Textual Commentary on the Greek New Testament (New York: United Bible Societies, 1971) 88–89. Some inferior Mss, however, identified Jesus rather as "the carpenter's son," which seems to be a harmonization of the Marcan text with Matt 13:55. Yet such an astute commentator on the Marcan Gospel as Vincent Taylor preferred this reading as the original (Mark, 300). But see J. Blinzler, Die Brüder und Schwestern Jesu, 28–30; H. J. Vogels, "Die 'Eltern' Jesu," BZ 11 (1913) 33–43; E. Stauffer, "Jeschu ben Mirjam: Kontroversgeschichtliche Anmerkungen zu Mk 6:3," in Neotestamentica et semitica: Studies in Honour of Matthew Black (ed. E. E. Ellis and M. Wilcox; Edinburgh: Clark, 1969) 119–28; Jesus and His Story (New York: Knopf, 1960) 23–25, 165– 66; and more recently H. K. McArthur, "Son of Mary," NovT 15 (1973) 38– 58 ("the phrase had no special connotation," 58).

<sup>59</sup> A similar situation is found in the Lucan Gospel; see "Joseph's son" (4:22); cf. 2:41, 48; and see further pp. 54–58 above. Luke completely omitted the Marcan episode (6:1–6a).

<sup>60</sup> It should not be overlooked that this Marcan passage and the phrase "son of Mary" have been taken by W. R. Farmer as a "classic example" of an inconclusive theological or christological argument for the primitive character of the Marcan Gospel. See pp. 27–28 above. Cf. MNT, 62–63.

<sup>61</sup> See C. H. Dodd, Historical Tradition in the Fourth Gospel (Cambridge: University Press, 1963) 1-18, 423-32; R. E. Brown, The Gospel according to John (i-xii) (AB 29; Garden City, NY: Doubleday, 1966) xli-li; A. J. B. Higgins, The Historicity of the Fourth Gospel (London: Lutterworth, 1960) 63-82.

<sup>62</sup> It is the reading adopted in *The Greek New Testament* (ed. K. Aland et al.; 3d ed; New York: United Bible Societies, 1975) 321; Nestle-Aland, Novum *Testamentum graece* (26th ed.; Stuttgart: Deutsche Bibelstiftung, 1979) 247; E. Nestle, Novum Testamentum graece (24th ed.; Stuttgart: Württembergische Bibelanstalt, 1960) 230; A. Merk, Novum Testamentum graece et latine (9th ed.; Rome: Biblical Institute, 1964) 306; [G. D. Kilpatrick], Hē kainē Diathēkē (2d ed.; London: British and Foreign Bible Society, 1958) 276; R. V. G. Tasker, The Greek New Testament (London: Oxford University, 1960) 140 [the Greek text presupposed in the NEB New Testament]; H. J. Vogels, Novum Testamentum graece et

latine 1 (3d ed.; Freiburg: Herder, 1949) 287; J. M. Bover, Novi Testamenti biblia graeca et latina (3d ed.; Madrid: Consejo superior de investigaciones científicas, 1953) 271; B. F. Westcott and F. J. A. Hort, The New Testament in the Original Greek 1 (London: Macmillan, 1890) 187; C. Tischendorf, Novum Testamentum graece 1 (8th ed.; Leipzig: Giesecke & Devrient, 1869) 743-44; H. von Soden, Griechisches Neues Testament: Text mit kurzem Apparat (Göttingen: Vandenhoeck & Ruprecht, 1913) 182. I have not been able to find a critical edition of the Greek New Testament that has preferred the singular reading to the plural.

<sup>63</sup> The Jerusalem Bible 2 (Garden City, NY: Doubleday, 1966) 146. For the worth of this English translation one should not fail to consult the pointed review of W. J. Harrington, an Irish confrère of the French Dominicans who produced the remarkable French original. His review is published in French, to spare the sensibilities of English-speaking readers enamored of this English version (*RB* 75 [1968] 450–52). In this case, however, the fault lies not with the English version, for it reflects the French original of John 1:13: "lui qui ni sang, ni vouloir de chair, ni vouloir d'homme, mais Dieu a engendré" (La sainte Bible [de Jérusalem]: L'évangile . . . de saint Jean [2d ed.; Paris: Cerf, 1960] 69). The note reads: "Allusion à la génération éternelle du Verbe, mais sans doute aussi, vu l'insistance sur l'exclusion du sang et de la chair, à la naissance virginale de Jésus. . . ." It seems rather obvious that the Dutch Catechism derived its questionable formulation of what "the evangelists Matthew and Luke" said from this reading of the Johannine Gospel (see n. 23 above). A good instance of how a well-meaning, popular version of the Bible can lead the untutored astray!

64 Metzger, A Textual Commentary, p. 197. The patristic evidence stems mainly from Latin Fathers or versions (e.g. Vetus Itala<sup>b</sup>, Irenaeus [Latin], Tertullian, Origen [Latin], Ambrose, Augustine, Pseudo-Athanasius). Metzger lists the following modern scholars who have argued for the originality of the singular: T. Zahn, A. Resch, F. Blass, A. Loisy, R. Seeburg, C. F. Burney, F. Büchsel, M.-E. Boismard, J. Dupont, F.-M. Braun. He could also have listed D. Mollat, the translator of John in La sainte Bible [de Jérusalem], and J. Galot (Etre né de Dieu: Jean 1:13 [AnBib 37; Rome: Biblical Institute, 1969]). But see J. Schmid, "Joh 1, 13," BZ ns 1 (1957) 118-25; A. Hossiau, "Le milieu théologique de la leçon egennēthē (Jo. I. 13)," in Sacra pagina 2 (ed. J. Coppens et al.; BETL 12-13; Gembloux: Duculot, 1959) 170-88; G. D. Fee, "The Text of John in the Jerusalem Bible: A Critique of the Use of Patristic Citations in New Testament Textual Criticism," JBL 90 (1971) 163-73, esp. pp. 166-67: "It is quite another [thing] to reconstruct this primitive reading on a purely eclectic basis, so that by a process of picking and choosing one 'creates' an original reading that is supported in toto by no single piece of evidence. Yet this is precisely the nature of Boismard's resultant text for such passages as John 1:12-13" (Fee refers to Boismard's article, "Critique textuelle et citations patristiques," RB 57 [1950] 388-408, esp. pp. 401-8, an article that greatly influenced D. Mollat in his translation of John for La sainte Bible [de Jérusalem]).

<sup>66</sup> See R. E. Brown, Jesus God and Man, 92.

<sup>67</sup> Schmaus, quoted above, p. 43.

<sup>68</sup> See, e.g., V. Taylor, *The Formation of the Gospel Tradition* (London: Macmillan, 1959) 168–89; Bultmann, *The History of the Synoptic Tradition*, 354; O. Cullmann, "Infancy Gospels," in Hennecke-Schneemelcher, *NTA*, 1. 363–69; J. Riedl, *Die Vorgeschichte Jesu* (Stuttgart: Katholisches Bibelwerk, 1968) 11–13. The reader

<sup>65</sup> Metzger, A Textual Commentary, 197.

will find a full exposition of all the problems in the interpretation of the infancy narratives in the excellent work of R. E. Brown, The Birth of the Messiah: A Commentary on the Infancy Narratives in Matthew and Luke (Garden City, NY: Doubleday, 1977). See also MNT, 74-97, 107-62.

<sup>69</sup> See, e.g., Brown, John (i-xü), 18-36; R. Schnackenburg, The Gospel according to St. John (New York: Herder and Herder, 1968) 221-81.

<sup>70</sup> See Hennecke-Schneemelcher, NTA, 1. 370-417.

<sup>71</sup>See above, p. 47. Cf. G. Friedrich, "*Kēryssö*," *TDNT*, 3. 710–12. Even if Acts 10:37–43 is basically kerygmatic and pre-Lucan, it also betrays Lucan reworking (e.g., in the formulation of the "beginning" from Galilee, *arxamenos*, 10:37; cf. Luke 3:23; Acts 1:22). Cf. Luke 23:5.

<sup>72</sup> See J. Schmid, Matthäus und Lukas: Eine Untersuchung des Verhältnisses ihrer Evangelien (Freiburg: Herder, 1930); W. G. Kümmel, Introduction to the New Testament (Nashville: Abingdon, 1966) 64. With reference to the infancy narratives specifically, see J. Riedl, Die Vorgeschichte Jesu, 11–13. See also pp. 17– 18 above.

<sup>73</sup> R. Pesch, "Eine alttestamentliche Ausführungsformel im Matthäus-Evangelium," *BZ* 10 (1966) 220-45; 11 (1967) 79-95, esp. 88-89. Also A. Vögtle, "Offene Fragen," 44; C. T. Davis, "Tradition and Redaction in Matthew 1:18-2:23," *JBL* 90 (1971) 404-21; A. Paul, *L'Evangile de l'enfance selon saint Matthieu* (Paris: Cerf, 1968) 45-94. There is not time to discuss here the amount which the Matthean or Lucan accounts owe to tradition and to redaction, though this is an important aspect of one's judgment. See C. Burger, *Jesus als Davidssohn: Eine traditionsgeschichtliche Untersuchung* (Göttingen: Vandenhoeck & Ruprecht, 1970) 91-106, 127-37.

<sup>74</sup> It might be good to interject here a consideration from a dogmatic theological point of view, to forestall an obvious difficulty. The events in the infancy narratives are recounted in the past tense and, like the rest of the gospel stories, are inspired. From this one might be tempted to conclude to the guaranteed, inerrant character of the narratives and perhaps even to a guarantee of their historical character. But this is to ride roughshod over the literary forms or the types of accounts that one has to deal with in these narratives. To offset such a misunderstanding, one should recall what Pius XII had to say about literary forms in his encyclical Divino afflante Spiritu (§314-16 [DS 3829-30]) and the precisions added by Vatican II in Dei verbum (chap. 3, §11-12 [The Documents of Vatican II, 118-20]). Moreover, neither official ecclesiastical documents treating of biblical inspiration and inerrancy nor the discussions of theologians have ever maintained that the necessary formal effect of inspiration was historicity. Inspiration does not make a historical statement out of what was not intended to be such. It would, however, obviously guarantee the historical character of an intended historical statement, just as it would guarantee the poetic truth of a poem, the rhetorical truth of a sermon or oration, the gospel truth of a Gospel. "Biblical inspiration" is thus an analogous notion; see P. Benoit, "The Analogies of Inspiration," in Aspects of Biblical Inspiration (Chicago: Priory, 1965) 13-35; B. Vawter, Biblical Inspiration (Philadelphia, 1972) 119–31; Vögtle, "Offene Fragen," 44–45.

<sup>75</sup> See J. Riedl, *Die Vorgeschichte Jesu*, 8–10; Å. G. Wright, "The Literary Genre Midrash," *CBQ* 28 (1966) 105–38, 417–57, esp. pp. 454–56.

<sup>76</sup> This term has been used, in a slightly different way, by E. Burrows, The Gospel of the Infancy and Other Biblical Essays (London: Burns Oates, 1940) 1-58.

As I am using it, the "imitation" involves the assimilation of details to other literary accounts.

<sup>77</sup> See M. Enslin, "The Christian Stories of the Nativity," JBL 59 (1940) 317-38; P. Winter, "Jewish Folklore in the Matthaean Birth Story," HibJ 53 (1954) 34-42; H. W. Obbink, "On the Legends of Moses in the Haggadah," Studia biblica et semitica T. C. Vriezen . . . dedicata (Wageningen: Veenman & Zonen, 1966) 252-64; P. J. Thompson, "The Infancy Gospels of St. Matthew and St. Luke Compared," SE I, 217-22; M. M. Bourke, "The Literary Genus of Matthew 1-2," CBQ 22 (1960) 160-75; S. Muñoz Iglesias, "El género literario del evangelio de la infancia en San Mateo," EstBib 17 (1958) 243-73 (see TD 9 [1961] 15-20). But cf. C. H. Cave, "St Matthew's Infancy Narrative," NTS 9 (1962-63) 382-90.

<sup>78</sup> See, e.g., E. Burrows, *The Gospel of the Infancy*, 1–58; S. Muñoz Iglesias, "El evangelio de la infancia en San Lucas y las infancias de los héroes bíblicos," *EstBib* 16 (1957) 329–82; R. McL. Wilson, "Some Recent Studies in the Lucan Infancy Narratives," *SE I*, 235–53. This aspect of the Lucan infancy narrative is strangely neglected by R. Laurentin, *Structure et théologie de Luc I-II* (Paris: Gabalda, 1957).

Cf. M. Miguens, "The Infancy Narratives and Critical Biblical Method," *Communio* 7 (1980) 24–54 [one does not know whether to laugh or to weep over this article].

<sup>79</sup> See, e.g., R. H. Fuller, *The Foundations of New Testament Christology* (New York: Scribner, 1965) 195–97; R. E. Brown, *TS* 33 (1972) 24.

<sup>80</sup> See, e.g., H. H. Oliver, "The Lucan Birth Stories and the Purpose of Luke-Acts," NTS 10 (1963-64) 202-26; P. S. Minear, "Luke's Use of the Birth Stories," in Studies in Luke-Acts: Essays Presented in Honor of Paul Schubert (Nashville: Abingdon, 1966) 111-30; A. Vögtle, Messias und Gottessohn: Herkunft und Sinn der matthäischen Geburts- und Kindheitsgeschichte (Düsseldorf: Patmos, 1971); "Die Genealogie Mt 1, 2-16 und die matthäische Kindheitsgeschichte (I. Teil)," BZ 8 (1964) 45-58; "(II. Teil)," ibid., 239-62; "(Schlussteil)," ibid. 9 (1965) 31-49; "Das Schicksal des Messiaskindes: Zur Auslegung und Theologie von Mt 2," BibLeb 6 (1965) 246-79.

<sup>81</sup>Das Evangelium nach Lukas (4th ed.; RNT 3; Regensburg: Pustet, 1960) 90. See further X. Léon-Dufour, Les évangiles et l'histoire de Jésus (Paris: Editions du Seuil, 1963) 90; A. Vögtle, "Offene Fragen," 44.

<sup>82</sup> Die Vorgeschichte Jesu, 12-13.

<sup>83</sup> In other words, the conception had already taken place when the angelic announcement was made. What should not be missed here is the loaded form of the statement of the Evangelist (1:18): "She was found to be with child of the holy Spirit," and this is given as the basis of Joseph's consideration of divorce (see Deut 22:21 for the Old Testament background to his doubting). See A. Isaksson, Marriage and Ministry in the New Temple (Lund: Gleerup, 1965) 135–42. No explanation is given why Joseph, a "just man," wanted to divorce someone who had been found to be with child of the holy Spirit. The evangelist's intention is clear, but his mode of formulation raises questions precisely about the thrust of the narrative and its redaction—issues that cannot be pursued here. See C. T. Davis, JBL 90 (1971) 413. Contrast the treatment of this episode in J. Daniélou, The Infancy Narratives (New York: Herder and Herder, 1968) 40: "The announcement made to Joseph was not intended to inform him that Mary had conceived virginally—that he already knew. . . ." But this goes against the plain sense and basic thrust of the story, which states that Joseph was about to repudiate Mary and had to be informed by the angel to persuade him to the contrary. However, Daniélou is on the right track when he states that "the object of this account" is not "to defend the virgin birth"; it is rather "to establish how Jesus can be a descendant of David and the Davidic Messiah *despite* the virgin birth which seems so fundamental an objection to his being so" (p. 41). In effect, this is to affirm the virginal conception as a theologoumenon (see below).

<sup>84</sup> For a history-of-religions approach to this question, see W. Marxsen, "Jungfrauengeburt," 1068; G. Gutknecht, *Das Motiv der Jungfrauengeburt in religionsgeschichtlicher Beleuchtung* (Greifswald: Universitätsverlag, 1952). But attempts to find extrabiblical parallels for the virginal conception in Greek and Egyptian literature have not really succeeded, since in almost every instance that is cited the parallels imply at least sexual intercourse. See R. E. Brown, TS 33 (1972) 30-32 (and the literature that he cites); A. Vögtle, "Offene Fragen," 45-47; E. Schweizer, "Pneuma," TDNT, 6. 397.

<sup>85</sup> Contrast the tendentious translation of this verse in the *Jerusalem Bible*, New Testament, 91: "since I am a virgin." This is not found in the French original, "puisque je ne connais point d'homme."

<sup>86</sup> This understanding of the verse has been traced back to Ambrose (*Expositio* evang. Lucae 2, 14–15 [CSEL, 32. 49–50]) and Augustine (De sacra virginitate 4, 4 [CSEL, 41. 237–38]). In one form or another it still has its defenders: R. Laurentin, Structure et théologie du Luc I-II, 176–88; G. Grayston, Virgin of All Virgins: The Interpretation of Luke 1:34 (Rome: No publisher, 1968). Cf. J. F. Craghan, Mary: The Virginal Wife and the Married Virgin: The Problematic of Mary's Vow of Virginity (Rome: Gregorian University, 1967) 42–48.

<sup>87</sup> This understanding is found in many ancient versions which rendered the verb  $gin\bar{o}sk\bar{o}$  in the past tense and implied that Mary understood the angel to mean that she was already pregnant. See H. Quecke, "Lk 1, 34 in den alten Übersetzungen und im Protevangelium des Jakobus," *Bib* 44 (1962) 499–520; "Lk 1, 34 im Diatessaron," *Bib* 45 (1964) 85–88; "Zur Auslegungsgeschichte von Lk 1, 34," *Bib* 47 (1966) 113–14.

<sup>88</sup> See Gen 16:11; Judg 13:3. This interpretation is widely used today; see, e.g., A. Plummer, *A Critical and Exegetical Commentary on the Gospel according to S. Luke* (5th ed.; Edinburgh: Clark, 1964) 24 ("The words are the avowal of a maiden conscious of her own purity; and they have been drawn from her by the strange declaration that she is to have a son before she is married"). For ou in the sense of oupo that this interpretation involves, see Mark 8:17-18.

<sup>89</sup> E.g., that of J.-P. Audet, "L'Annonce à Marie," RB 63 (1956) 364-74. This interpretation has "not received great support" (J. F. Craghan, "The Gospel Witness to Mary's 'Ante Partum' Virginity," Marian Studies 21 [1970] 28-68, esp. p. 56). It is vitiated by an idea that is often repeated, that Luke's annunciation scene is influenced by Isa 7:14. Aside from superficial parallels in the Greek wording of Luke 1:26-38 and the LXX of Isa 7:10-17, there is little evidence that Luke has fashioned his annunciation in dependence on Isaiah. It is necessary to insist on this, because otherwise critical commentators tend at times to gloss over it (see A. Vögtle, "Offene Fragen," 46; H. Schürmann, Das Lukasevangelium, 62-63; G. Voss, Die Christologie der lukanischen Schriften in Grundzügen [Bruges: Desclée de Brouwer, 1965] 65-81). The possible parallel phrases are seven: oikou Dauid (Luke 1:27)—oikos Dauid (Isa 7:12); ho kyrios (Luke 1:28)—kyrios (Isa 7:10); parthenon (Luke 1:27)—hē parthenos (Isa 7:14); syllempse en gastri (Luke 1:31)—en gastri hexei (Isa 7:14 [cf. apparatus criticus]); texe huion (Luke 1:31)—texetai huion (Isa 7:14); kai kaleseis to onoma autou (Luke 1:31)—kai kaleseis to onoma autou (Isa 7:14); epi ton oikon (Luke 1:33)—epi ton oikon (Isa 7:17). But in those Lucan phrases that seem to be similar to Isa 7:14 in this list one should not miss the parallels that are found elsewhere in the Old Testament (e.g., Gen 16:11). The difficulty here is once again the harmonization of the Lucan and Matthean narratives. It is noteworthy that R. Laurentin, for all his discussion of the Old Testament background of Luke 1-2 (Structure et théologie de Luc I-II), does not treat Isa 7:14 as part of it.

<sup>90</sup> J. M. Creed (*The Gospel according to St. Luke: The Greek Text with Introduction, Notes, and Indices* [London: Macmillan, 1953] 19) thinks that Mary's "vow" is the "usual interpretation of Roman Catholic exegetes."

<sup>91</sup> Ibid. This is also acknowledged by H. Schürmann, *Das Lukasevangelium*, 49; he traces the idea back to H. J. Holtzmann and others (n. 68). J. Gewiess ("Die Marienfrage, Lk 1,34," *BZ* 5 [1961] 221–54, esp. pp. 242–43) calls attention to the literary device of the question that Luke often uses (Luke 13:23; 16:5, 7; 17:37; Acts 8:30–31; 10:14; 16:30).

<sup>92</sup> Or possibly "the holy one to be born will be called the Son of God." This verse (1:35) and v. 32 have recently been found to echo Aramaic phrases that have come to light in pseudo-Danielic apocalyptic fragments from Qumran Cave 4, which J. T. Milik is to publish. "He will be said to be the son of God, and they will call him the son of the Most High" (see WA, 90–94). The text is apocalyptic and has nothing to do with an infancy narrative; unfortunately, it is fragmentary and no hint is given about the person who is the subject of the titles used.

<sup>93</sup> See G. Voss, *Die Christologie*, 75–76: "The Virgin Birth is regarded in the Lucan presentation not under its biological point of view, but as a theological statement." Also K. H. Rengstorf, "Die Weihnachtserzählung des Evangelisten Lukas," in *Stat crux dum volvitur orbis: Eine Festschrift für Landesbischof D. Hanns Lilje* (ed. G. Hoffmann and K. H. Rengstorf; Berlin: Lutherisches Verlagshaus, 1959) 15–30.

<sup>94</sup> The verb eperchesthai is used in Luke 11:22; 21:26; Acts 1:8; 8:24; 13:40; 14:19; Eph 2:7; Jas 5:1. Only in the programmatic verse of Acts 1:8 is it again used of the Spirit, as the risen Jesus promises the apostles "power" for the ministry of witnessing to him. Luke's use of the verb in 1:35 is often thought to be influenced by the LXX of Isa 32:15, heos an epelthe eph' hymas pneuma aph' hypselou, "until the Spirit comes upon you from on high." Here it is used to explain the fertility of the land (in the LXX: of Carmel), but it does not transcend the figurative sense. For other combinations of the verb with *pneuma*, see Num 5:14; Job 1:19; 4:15 (but one must be careful of the sense of pneuma). The verb episkiazein has a literal sense in Acts 5:15; the use of it in the transfiguration scene (Mark 9:7; Matt 17:5; Luke 9:34) may be literal, but a symbolic connotation cannot be completely ruled out. In the Lucan infancy narrative the use of the verb is wholly figurative, symbolical of God's presence (and power) to Mary and the child to be born of her. It may well reflect the symbolism of Exod 40:35 or Ps 91:4, although this is sometimes contested (see G. Voss, Die Christologie, 73-76).

<sup>95</sup> See p. 48 above.

<sup>96</sup> In the recently published critical edition of *The Greek New Testament* (UBS, 206) these ancient tamperings with the text are not even noted; and in his

commentary on the text Metzger (A Textual Commentary, 132) passes over them in silence. See now Nestle-Aland, 26th ed., 156.

97 Not only here, but also in connection with the earlier passages discussed above, a distinction has often been proposed between the fact of the virginal conception and its possible literary embellishment in a presentation stemming from a later period of gospel-formation-as if the latter could be admitted to have been freely introduced, whereas the former is really the firm datum. At the end of an excursus, "Jungfrauengeburt-ein Theologoumenon?" E. Nellessen (Das Kind und seine Mutter [SBS 39; Stuttgart: Katholisches Bibelwerk, 1969] 109) sought to explain why the data about the conception arose only in the later period of the gospel-tradition: "It should be recalled, however, that an explicit investigation into the peculiar circumstances of the conception and birth of Jesus would only then have recommended itself when the beginnings of Jesus' human life would have become the object of a narrative presentation. Outside of the Matthean and Lucan Gospels that is scarcely the case, and certainly not in Paul, who speaks of the beginnings of Jesus' life only in short confessional formulas (Rom 1:3; Gal 4:4)." To which A. Vögtle ("Offene Fragen," 48) appositely remarked: "But that is to put the cart before the horse! A claim is made for a probative argument out of something that cries out for an explanation. The problem is why the idea of a virginal conception appears only in narrative presentations which make use of Old Testament annunciation forms and in declarations that prepared for these (Matt 1:16) or reflect on them (Luke 3:23), but have no reference to the incarnation of Jesus such as the Pauline passages suggest." The real problem is expressed by Vögtle (ibid., 47): "Without a basic declaration of the original witness, in this case above all of Mary herself, an authentic tradition could not have been established," and it strains the imagination to try to explain it, all pious suggestions about intimate family traditions etc. notwithstanding. See further his "Offene Fragen," 50: A. Weiser, "Überblick über den Verlauf der Diskussion [der Beuroner Tagung]," in Jungfrauengeburt gestern und heute (Mariologische Studien, 4) 205-14, esp. pp. 211-12.

<sup>98</sup> See, e.g., H. Schürmann, Das Lukasevangelium, 188; E. E. Ellis, The Gospel of Luke (London: Oliphants, 1966) 93.

99 See H. Schürmann, Das Lukasevangelium, 198-200.

<sup>100</sup> This is the title of a perceptive article on the Matthean infancy narrative by K. Stendahl, "Quis et Unde? An Analysis of Mt 1-2," in *Judentum—Urchristentum—Kirche: Festschrift für Joachim Jeremias* (BZNW 26; Berlin: Töpelmann, 1960) 94-105. That the Matthean emphasis is on Jesus rather than on Mary is seen in the way the evangelist refers several times over to "the child with Mary his mother" (2:11) or "the child and his mother" (2:13, 14, 20, 21).

<sup>101</sup> This is the term used for what Schürmann calls "das historische Faktum der jungfräulichen Empfängnis," which he traces to an "intimate family tradition" (*Das Lukasevangelium*, 61) and which he claims would have taken time to be transmitted to great church-centers. In using this terminology, *Glaubensgut* and theologoumenon, one should recall the distinction made by K. Rahner, quoted above (n. 26). Protestant writers sometimes use similar terminology with different nuances. Thus, R. H. Fuller (*Foundations*, 202) writes: "For those who are concerned about the historicity of the 'Virgin birth' ('virginal conception' is a more accurate term), let it be stated that to believe in the Virgin birth is not to accept the historicity of a biological parthenogenesis but to adhere to the Christological intention of the narratives, which is to express the transcendental origin of Jesus' history. See the present writer's essay, The Virgin Birth, Historical Fact or Kerygmatic Truth? BR 1 (1956), pp. 1–8. In a letter to me, J. Jeremias proposes to substitute 'Glaubensaussage' ('affirmation of faith') for 'kerygmatic truth', on the ground that the Virgin birth was never actually part of the kerygma as such. Accepting the correction, we may say that to believe in the Virgin birth is to adhere to the faith which the story expresses." As proposed above, Glaubensgut would not be the same as the Glaubensaussage in this comment of Fuller. See further A. Weiser, "Mythos im Neuen Testament unter Berücksichtigung der Mariologie," in Mythos und Glaube (ed. H. J. Brosch and H. J. Köster; Mariologische Studien 5; Essen, 1972) 67–88, esp. pp. 80–84.

<sup>102</sup> See further my commentary on Romans in JBC, art. 53, §52-60.

<sup>103</sup> See further E. Carroll, "A Survey of Recent Mariology," *Marian Studies* 25 (1974) 104–42, esp. pp. 125–26; J. A. Saliba, "The Virgin-Birth Debate in Anthropological Literature: A Critical Assessment," TS 36 (1975) 428–54.

<sup>104</sup> See "Luke's Description of the Virginal Conception," *TS* 35 (1974) 360–62. <sup>105</sup> See pp. 55–58 above.

<sup>106</sup> See further Brown's The Birth of the Messiah, 299-301.

<sup>107</sup> See further my commentary, *The Gospel according to Luke* (AB 28; New York: Doubleday, 1981).

<sup>108</sup> See *MNT*, 120–21.

<sup>109</sup> See further S. Muñoz Iglesias, "La concepción virginal de Cristo en los evangelios de la infancia," *EstBib* 37 (1978) 5–28, 213–41; A. Vicent Cernuda, "La génesis humana de Jesucristo según San Pablo," *EstBib* 37 (1978) 57–77, 267–89.

Three

# THE MATTHEAN DIVORCE TEXTS AND SOME NEW PALESTINIAN EVIDENCE\*

THE RECENT publication of a passage from one of the Qumran scrolls that may shed some light on the Matthean divorce texts is the occasion for a fresh consideration of those controverted verses.<sup>1</sup> The Matthean passages are but two among several in the New Testament which record sayings attributed to Jesus about the prohibition of divorce. Four writers, in fact, have recorded the prohibition that is traced to him. The earliest form of it is found in 1 Cor 7:10–11, but each of the Synoptic evangelists has also preserved some form of the prohibition: Mark 10:2–12; Luke 16:18; Matt 5:31–32; 19:3–9. In fact, there are, in all, five passages with seven sayings about the dissolution of marriage.

Despite the tone of a controversy-setting that surrounds the pronouncement preserved in Mark 10 and Matthew 19, which is sometimes thought to reflect more a later church-synagogue debate<sup>2</sup> than a discussion of the historical Jesus with the Pharisees, two-features have often been invoked in favor of the authenticity of the prohibition: the independent attribution of the saying to Jesus in First Corinthians and in the Synoptics, and the radical opposition of the prohibition to the well-known Jewish permission of divorce, usually associated with the Mosaic legislation reflected in Deut 24:1-4.<sup>3</sup>

Likewise introduced at times into the discussion of New Testament teaching on divorce are texts that do not deal with it explicitly, but that are instructions sometimes interpreted as implying the prohibition. These are the regulations set down in the Deutero-Pauline letters that Christian *episkopoi*, *presbyteroi*, and *diakonoi* are to be *mias gynaikos andres*, "husbands of one wife" (1 Tim 3:2, 12; Tit 1:6), and that the widow who was to be enrolled should have been *henos andros gynē*, "the wife of one husband" (1 Tim 5:9).<sup>4</sup> The latter Deutero-Pauline instruction about the widow seems to be merely an extension of what Paul himself writes in 1 Cor 7:39–40, when, insisting that he has "God's Spirit," he recommends, "In my opinion she is happier if she remains as she is" (1 Cor 7:40). To this recommendation some also relate the illustration that Paul uses in Rom 7:2–3, "A married woman is bound by law to her husband as long as he lives; but if her husband dies, she is discharged from the law concerning the husband."<sup>5</sup> However, none of these texts bears directly on the question of divorce; and if they do have any pertinence, it is only indirect. Though they contribute to the complexity of the New Testament data that bear on the question of divorce, they are not of concern to us now.

The problems connected with the prohibition of divorce in the first set of texts mentioned, however, are multiple and notorious. Some of these problems arise from the Synoptic relationships of Matthew, Mark, and Luke; some from form-critical and redaction-critical considerations. Consequently, before discussing the Qumran material that bears on the exceptive phrases in the Matthean passages, I shall have to state briefly how I view these various New Testament texts that treat of divorce. Once the Qumran material has been presented, I shall draw from it the consequences for the Synoptic passages and discuss further theological implications in all of them. My discussion, then, will fall into four parts: (1) preliminary remarks about certain aspects of the New Testament divorce passages; (2) the Qumran material that bears on the Matthean exceptive phrases; (3) consequences to be drawn for the Marcan and Matthean passages; and (4) theological implications of all this for the current debate about divorce.

## I. Preliminary Remarks

The preliminary remarks about certain aspects of the New Testament divorce-passages are intended to set forth my understanding of the relation between the five main texts and some of the details in them as a background for the Palestinian evidence to be considered in part II. The remarks will be seven in number.

(1) 1 Cor 7:10-11. The earliest attestation of an attitude of Jesus toward divorce is preserved in the Pauline corpus, in the First Letter to the Corinthians (written ca. A.D. 57), where the prohibition is attributed by Paul to "the Lord."<sup>6</sup> What he has almost certainly derived from prior

Christian tradition, he invests with the authority of the risen Kyrios, clearly stating that it does not originate with him (in contrast to 7:12, 25):

<sup>10a</sup> Tois de gegamēkosin parangellō, <sup>10b</sup>ouk egō alla ho Kyrios, <sup>10c</sup>gynaika apo andros mē chōristhēnai—<sup>11a</sup>ean de kai chōristhē, <sup>11b</sup>menetō agamos ē tō andri katallagētō—<sup>11c</sup>kai andra gynaika mē aphienai.

<sup>10a</sup>To the married I give charge, <sup>10b</sup>not I but the Lord, <sup>10c</sup>that the wife should not separate from her husband <sup>11a</sup>(but if she does, <sup>11b</sup>let her remain single or else be reconciled to her husband)—<sup>11c</sup>and that the husband should not divorce his wife (RSV).

Here in indirect discourse Paul formulates the prohibition (which may reflect the pronouncement preserved in Mark 10:4 and Matt 19:7).

The RSV has translated the charge gynaika apo andros mē choristhēnai (with an aorist passive) by the intransitive verb "separate": "that the wife should not separate from her husband." It thus takes the passive of chorizein and treats it as a middle or intransitive, thus making it an equivalent of aphienai in v. 11b.7 It casts the prohibition of divorce, which is attributed to "the Lord," into a form suited more to a Hellenistic Christian setting than to a Palestinian Christian setting. It would, moreover, mean that the earliest attested New Testament prohibition of divorce was already set in an elaborated form reflecting the Hellenistic ambiance of Paul's missionary activity. Furthermore, v. 13c would seem to support this interpretation: "she should not divorce him" (me aphieto ton andra). Here it is clear that Paul is envisaging the Hellenistic world, in which it was otherwise possible for the woman to divorce her husband. However, it should be noted that vv. 10c and 11a could just as easily be translated thus: "that the wife should not be separated (or divorced) from her husband-but if she is separated (or divorced). . . ." With such an understanding of the verses, the charge would reflect the Palestinian Jewish situation in which only the husband was normally permitted to institute divorce.

The RSV has also set vv. 11a-b between dashes. This probably reflects the opinion of some commentators, such as H. Baltensweiler,<sup>8</sup> who regard these clauses as a Pauline insert into the charge of the Lord, which is thus only to be identified with vv. 10c and 11c. Paul's insert would be an explication of the charge about divorce itself in terms of subsequent marriage with another person. Since, as we shall see below, other forms of the prohibition do refer to subsequent marriage as well as to divorce, I prefer to regard v. 11a-b as a reflection of what was in the original saying, although the present formulation may indeed be Pauline.

As H. Conzelmann has put it, "the regulation is absolute."9 Neither husband nor wife is to be divorced from the other; if the woman should be divorced, she should remain agamos, "unmarried," or be reconciled. As D. L. Dungan has stated, "It is clear that one of the things this word of the Lord means to Paul is that it forbids additional marriages after divorce."10 But he also interprets Paul's words in the passage to mean that Paul "permits the divorce if it has taken place."11 He finds that "Paul's application is in flat contradiction to the command of the Lord, which is a strict prohibition of divorce."12 One wonders, however, whether this is really a Pauline "permission" or a mere concession to a factual situation. perhaps reported to him from the Corinthian community. In any case, Paul's attitude in v. 10 is unqualified and envisages no further marriage for the woman after the divorce. It stands in contrast to what he sets forth-he himself, not the Lord<sup>13</sup>-in vv. 12-15 about the believing woman who is "not bound" (ou dedoulotai) if an unbelieving husband separates from her.

(2) Luke 16:18. An equally absolute prohibition of divorce is found in an isolated dominical saying of Jesus in Luke 16:18. A slightly modified form of it stands the best chance of being regarded as the most primitive form of the sayings about divorce in the New Testament. In its present form it runs as follows:

<sup>18a</sup>Pas ho apolyon ten gynaika autou <sup>18b</sup>kai gamon heteran moicheuei, <sup>18c</sup>kai ho apolelymenen apo andros gamon moicheuei.

<sup>18a</sup>Everyone who divorces his wife <sup>18b</sup>and marries another commits adultery, <sup>18c</sup>and he who marries a woman divorced from her husband commits adultery (*RSV*).

This form of the dominical saying is a declaratory legal statement which is reminiscent of Old Testament casuistic law.<sup>14</sup> It is related to the saying preserved in Matt 5:32 (minus the exceptive phrase) and is derived from the common source "Q."<sup>15</sup> In its present Lucan form the saying is not only a prohibition of divorce but a judgment about a husband's marriage after the divorce relating both to adultery, proscribed by Old Testament legislation (in the Decalogue, Exod 20:14; Deut 5:18; and elsewhere, Lev 20:10; Deut 22:22; cf. Luke 18:20 and John 7:53–8:11 [the latter implies that Deut 22:22 was still regarded as in force]). The Lucan form of the saying differs from the Pauline in that the subsequent marriage mentioned is that of the man, whereas in 1 Corinthians 7 it is the woman's subsequent marriage.

The phrase in Luke 16:18b, kai gamon heteran, "and marrying

another," has been regarded as an addition made by Luke to what is otherwise the original form of the saying.<sup>16</sup> Since, however, that phrase is present in other forms of the prohibition, whether it be the Pauline form or the Synoptic forms (Mark 10:11; Matt 19:9), it is almost certainly part of the original prohibition.<sup>17</sup>

The phrase in Luke 16:18c, *apo andros*, is missing in Codex Bezae; this variant is in itself insignificant, but its omission may represent the more original form of the saying.<sup>18</sup>

Indeed, the whole third part of the saying (18c) may be only an extension of the first part (18a-b). It was probably found in the "Q" source, since it is also present in Matt 5:32b.<sup>19</sup> But whether it actually formed part of the original prohibition may be debated, since it is not hinted at in Paul or Mark.

When all is said and done, the chances are that the most primitive form of the logion is preserved here in Luke 16:18a-b, possibly with 18c (but without *apo andros*): "Everyone who divorces his wife and marries another commits adultery (and he who marries a divorced woman commits adultery)."

What should be noted here is that the prohibition is cast completely from the Old Testament or Jewish point of view, commenting on the action of the husband who would divorce his wife and marry again (or who would marry a divorced woman). Underlying it are the notions of the wife as the chattel of the husband, implied in such passages as Exod 20:17; 21:3, 22; Jer 6:12; Num 30:10–14; Esth 1:20–22; and above all in Sir 23:22–27; and of the Old Testament allowance of divorce to the husband (Deut 24:1–4). What is new is the branding of the man's action as adulterous. Though Paul's form of the prohibition is the earliest preserved, it represents a certain development beyond what seems to be the more primitive form of the prohibition preserved here in Luke.

(3) Matt 5:31-32. The isolated dominical saying about divorce in "Q" has become part of the Sermon on the Mount in the Matthean Gospel, functioning as one of the six antitheses in 5:21-48, where Jesus is depicted reacting to the righteousness of the scribes.<sup>20</sup> Though some commentators have at times tried to relate Matt 5:31-32 to Mark  $10:11,^{21}$  it is almost certainly a separate tradition that is reflected here and in Luke 16:18. Both Matt 5:32 and Luke 16:18 have the pas ho apolyon form, whereas Mark 10:11 has hos an apolyse (as does Matt 19:9). The text of Matt 5:31-32 reads:

<sup>31a</sup>Errethë de <sup>31b</sup>hos an apolysë tën gynaika autou, dotë autë apostasion. <sup>32a</sup>egë de legë hymin hoti <sup>32b</sup>pas ho apolyën tën gynaika autou parektos logeu porneias poiei autën moicheuthënai, <sup>32c</sup>kai hos ean apolelymenën gamësë, moichatai. <sup>31a</sup>It was also said, <sup>31b</sup>"Whoever divorces his wife, let him give her a certificate of divorce." <sup>32a</sup>But I say to you that <sup>32b</sup>every one who divorces his wife, except on the ground of unchastity, makes her an adulteress; <sup>32e</sup>and whoever marries a divorced woman commits adultery (*RSV*).

Whereas v. 31a forms Matthew's stereotyped introduction to the saying, marked with his characteristic *errethē* de, a shortened form of similar earlier formulas (vv. 21a, 27a) or of those that follow (vv. 33a, 38a, 43a), v. 31b (hos an apolyse ten gynaika autou, doto aute apostasion, "whoever divorces his wife, let him give her a certificate of divorce") purports to quote Deut 24:1 in part, but it is not a verbatim quota-tion of the so-called LXX.<sup>22</sup> The sense of the quotation, however, is clear and provides the basis of the antithesis. The Matthean form of the prohibition of divorce recorded here differs from Luke 16:18, not only because of the added exceptive phrase *parektos logou por-neias*, but in two other ways: (a) it lacks the second phrase, Luke 16:18b, *kai gamon heteran*; and (b) it relates divorce itself, and not divorce and subsequent marriage, to adultery. Whereas the Lucan form of the saying also expresses a judgment about the husband's subsequent marriage, the Matthean form regards divorce itself as the cause of adultery (*poiei autēn moicheuthēnai*, lit., "makes her to be adultered"). This is, I suspect, a Matthean reformulation of the original "Q" saying, which is found in a more primitive form in Luke 16:18a-b. One reason for regarding the Matthean form as a reformulation is the immediate context in the Sermon on the Mount, where in v. 27 Jesus' antithesis equates even the lustful look of a man at a woman with adultery, an antithesis that lacks a parallel in either Mark or Luke. Hence it is most likely Matthew who relates divorce itself to adultery.23 Once again, the prohibition is stated from the viewpoint of the man, as in the Lucan form of the saying.

(4) Mark 10:2-12. This passage dealing with divorce is composite. The first part (vv. 2-9) is a pronouncement-story or Streitgespräch, which, having quoted Gen 1:27 and 2:24, ends with the apophthegm "What therefore God has joined together, let not man put asunder." It is addressed to Pharisees who have asked him whether "it is lawful for man to divorce his wife" (v. 2). But joined to this pronouncement-story is a dominical saying, addressed to disciples later on in a house (vv. 10-12), a saying that echoes the judgmental form of "Q." This brings it about that there are here in Mark 10 two sayings of Jesus about divorce. They run as follows: •ho oun ho Theos synezeuxen, anthröpos mē chorizeto.

"What therefore God has joined together, let not man put asunder.

<sup>11a</sup>kai legei autois. <sup>11b</sup>hos an apolysē tēn gynaika autou <sup>11c</sup>kai gamēsē allēn <sup>11d</sup>moichatai ep' autēn. <sup>12a</sup>kai ean autē apolysasa ton andra autēs allon, <sup>12b</sup>moichatai.

<sup>11a</sup>And he said to them, <sup>11b</sup>"Whoever divorces his wife <sup>11c</sup>and marries another, <sup>11d</sup>commits adultery against her; <sup>12a</sup>and if she divorces her husband and marries another, <sup>12b</sup>she commits adultery."

In the pronouncement recorded in v. 9 the third person negative imperative is used and it formulates absolutely Jesus' prohibition of divorce itself. It involves God himself in the matter and has sometimes been said to echo a view of marriage that is otherwise found in Tob 6:18 (LXX<sup>BA</sup>:"she was destined for you from eternity"). It is a pronouncement that is not based on Deut 24:1, about which the Pharisees had inquired, but rather on Gen 1:27 and 2:24.<sup>24</sup>

The dominical saying of vv. 11-12 is again a declaratory legal statement similar to and related to the "Q" saying of Luke 16:18 and Matt 5:32. As in the "Q" statement, it expresses a judgment about divorce and subsequent marriage, which are viewed from the man's standpoint and regarded as adulterous.

Three things, however, are to be noted about the saying. (a) The reading of v. 12a given above is that of Sinaiticus and Vaticanus (preferred by Nestle-Aland, 26th ed.). But there are two other forms of the verse that are attested.<sup>25</sup> (b) V. 11d as given above includes the words ep' auten; it thus specifies that the divorce and subsequent marriage are an act of adultery "against her." This would seem extraordinary from the Jewish point of view. Indeed, this is probably the reason why it is omitted in some MSS.26 The phrase ep' auten is almost certainly a Marcan addition made in the light of what is to be said in v. 12. It is an explicative addition, which makes Jesus' words express the fact that adultery against a woman is something now to be considered.<sup>27</sup> (c) V. 12 is a further Marcan extension of the first logion, introduced to suit the contingencies of Gentile Christian communities in areas where Roman and Greek law prevailed and where a woman was permitted to divorce her husband.28 The evangelist Mark has thus extended the logion to a new situation, whereas it was originally formulated in terms of the usual Old Testament understanding of the marriage bond, in which only the man-as ba'al-was able to divorce his wife, although we know that divorce was envisaged as a possibility at

least for Jewish women living in the military colony at Elephantine in Egypt in the fifth century B.C. A number of Aramaic marriage contracts from that place mention it explicitly.<sup>29</sup> But the evidence for such a practice in Palestine itself is meager indeed, almost nonexistent.<sup>30</sup>

Hence the composite Marcan form of the divorce pericope contains two forms of the prohibition of divorce attributed to Jesus, both of them unqualified. There is one aspect of the pericope—the intelligibility of the Pharisees' question—which will be discussed below.

(5) Matt 19:3-9. Closely related to Mark 10:2-12 is the similar pericope of Matt 19:3-9.<sup>31</sup> In fact, Matthew has derived it from his "Marcan" source, but he has modified it to make it better suit his Jewish-Christian concerns. First of all, he has cast the controversy (*Streitgespräch*) in terms of the Hillel-Shammai dispute, by making the Pharisees ask whether it is lawful to divorce one's wife "for any cause" (*kata pasan aitian*). Second, he has built the dominical saying (of Mark 10:11-12, without the phrase *ep' auten*, which was unsuited to his concerns) into the controversy itself (19:9), introducing it by the vv. 7-8. Like Mark, he too has thus preserved for us two forms of the saying about divorce:

6ho oun ho Theos synezeuxen, anthrôpos mē chôrizeto.

<sup>6</sup>What therefore God has joined together, let not man put asunder.

<sup>9a</sup>legõ de hymin hoti <sup>9b</sup>hos an apolysē tēn gynaika autou mē epi porneia <sup>9c</sup>kai gamēsē allēn, <sup>9d</sup>moichatai.

<sup>90</sup>And I say to you: <sup>9b</sup>Whoever divorces his wife except for unchastity, <sup>9c</sup>and marries another, <sup>9d</sup>commits adultery (RSV).

Aside from the exceptive phrase, to which I shall return, the first saying (v. 6) repeats the absolute prohibition of divorce that is found in Mark, and the second takes over only that which would suit Matthew's Jewish-Christian concerns.

The real problem with this interpretation of Matt 19:3–9 is that it presupposes the Two-Source Theory of Synoptic relationships, at least a modified form of it.<sup>32</sup> Some commentators, who admit such a solution to the Synoptic Problem in general, think at times that the episode preserved here is more primitive than its counterpart in Mark 10 and that the evangelist was here dependent on a tradition independent of Mark and actually more primitive than the Marcan source (e.g., "M").<sup>31</sup> Still others point to this passage in particular as one of the best reasons for abandoning the Two-Source Theory entirely.<sup>34</sup> Part of the reason

for such views is the composite character of Mark 10:2-9 and 10:11-12, already mentioned, which is regarded as secondary. Part of it is the double audience or double setting in the Marcan form (an answer to the Pharisees, v. 2, followed by an answer to the disciples, v. 10). Moreover, the question posed in Matthew 19, "Is it lawful to divorce one's wife for any reason?" is regarded as more primitive, because it seems to reflect a dispute between the schools of Hillel and Shammai and would thus have a more plausible matrix in a well-known Palestinian Jewish setting.<sup>35</sup> But the question as posed in Mark 10:2 is said to be incomprehensible in such a setting, because divorce was in fact permitted in Palestinian Judaism. The new material that I should like to consider in part II bears directly on this problem; my further comments on the problem will be presented in part III. At the moment I only wish to say that this form of the Matthean prohibition of divorce (minus the exceptive phrase) has to be regarded as derived from Mark 10 and adapted by Matthew for the sake of Christians living in the mixed community for which he was principally writing.<sup>36</sup>

(6) Matthean Exceptive Phrases. The major problem in the Gospel divorce texts is the Matthean exceptive phrases. On the one hand, the judgmental saying in Matt 5:32 relates divorce itself to adultery (and not simply divorce with remarriage, as in Mark 10:11, Matt 19:9, Luke 16:18) and levels its accusation against the man.<sup>37</sup> On the other hand, the prohibition of divorce is accompanied by an exceptive phrase in both Matthean passages: parektos logou porneias, "except in the matter of porneia" (5:32), and mē epi porneiā, "except for porneia" (19:9).<sup>38</sup> Though the phrases differ in their formulation, they both have to be understood as expressing an exception.<sup>39</sup>

Three aspects of the problem which these exceptive phrases create have to be distinguished. (a) Are they possibly part of the authentic logion? Attempts have been made to maintain that the Matthean exceptive phrases go back to Jesus himself, or at least that they are part of the primitive form of the prohibition.<sup>40</sup> However, few critical commentators would go along with such a solution today. There are two main reasons for the reluctance: (i) the greater difficulty in explaining how the more absolute forms of the prohibition in Paul, Mark, and Luke would then have arisen (especially difficult in 1 Cor 7:10: to think that Paul would so record the absolute, unqualified form of the prohibition as a saying of the Kyrios in a context in which he himself makes an exception);<sup>41</sup> (ii) the tendency otherwise attested in Matthew of adding things to the sayings of Jesus (e.g., two extra petitions in the Our Father [6:10b, 13b; cf. Luke 11:2–4]; additions to the Beatitudes [5:3a, 6a; cf. Luke 6:20b-21]; Peter's secondary confession [16:16b-19; cf. Mark 8:29]; Matt 13:12b [cf. Mark 4:25, Luke 8:18]; Matt 25:29 [cf. Luke 14:26]).<sup>42</sup> These two considerations make it almost certain that the exceptive phrases stem from the pen of the evangelist, faced with a problem to resolve in the community for which he was writing.<sup>43</sup>

(b) What is meant by porneia? Elsewhere in Matthew the word occurs only in 15:19, where it is listed among other evil machinations of the human mind, "murder, adultery, fornication" (RSV), lined up side-byside with moicheia, "adultery," and obviously distinct from it. Etymologically, it means "prostitution, harlotry, whoredom," being an abstract noun related to porne, "harlot," and to the verb porneuein, "to act as a harlot." Generally speaking, it means "fornication," but, as Bauer-Arndt-Gingrich note, it is actually used "of every kind of unlawful sexual intercourse."44 Though it is differentiated from moicheia in Matt 15:19; Mark 7:21-22; 1 Cor 6:9; Heb 13:4, it is used of a variety of sexual activity: 1 Cor 5:1 (incest), 6:13 (prostitution), 2 Cor 12:21 (parallel to akatharsia and aselgeia); see further Col 3:5 and Eph 5:3.45 In Acts 15:20, 29 (cf. 21:25) porneia is used, however, in a specific sense, since it is lined up with several dietary tabus,46 which early Gentile Christians, living in close contact with Jewish Christians (i.e., in predominantly Jewish-Christian communities), were being asked to avoid: "what has been sacrificed to idols, blood, and what is strangled." The letter of James to the local churches of Antioch, Syria, and Cilicia forbids, in fact, four of the things proscribed by the Holiness Code of Leviticus 17-18, not only for "any man of the house of Israel" but also for "the strangers that sojourn among them" (ûmin hagger 'aser yagûr bětôkām, 17:8). These were the meat offered to idols (Lev 17:8-9), the eating of blood (Lev 17:10-12), the eating of strangled, i.e., not properly butchered, animals (Lev 17:15; cf. Exod 22:31), and intercourse with close kin (Lev 18:6-18).47

Now which of these various meanings of *porneia* can be intended in the Matthean exceptive phrases? For many commentators, *porneia* is simply understood as "adultery."<sup>48</sup> This interpretation is open to the obvious objection that if Matthew had meant that, he would have written *moicheia*, a word that he otherwise knows and uses. It has also been pointed out on several occasions that Matthew keeps *moicheia* and *porneia* distinct (15:19).<sup>49</sup> There is the further difficulty that Matthew is speaking about something that he in effect equates with adultery; so he seems to mean something different from adultery.<sup>50</sup> By another group of commentators the word is understood in the generic sense of prostitution or harlotry, as it seems to be used in most of the Pauline passages quoted above. This meaning, while not impossible, would be imposing on the word a predominantly Pauline and Hellenistic meaning in a passage which may have more Palestinian and Jewish concerns.<sup>51</sup> A third group of interpreters prefers to use the specific meaning of *porneia* that is used in Acts 15:20, 29,<sup>52</sup> understanding it to mean illicit marital unions within the degrees of kinship proscribed by Lev 18:6–18. This is preferred because of the Jewish-Christian problem envisaged in Acts 15 and the concerns of the Matthean Gospel itself. Of these three main positions<sup>53</sup> I think that the last-mentioned is the one to be preferred, since there is now further evidence from Qumran literature to support it. This will be seen in Part II.

(c) Why would Matthew add the exceptive phrases? We have already implied the answer to this third aspect of the problem: because he was seeking to resolve a casuistic problem in early Jewish-Christian communities. The *destinataires* of the Matthean Gospel were a mixed community, predominantly Jewish-Christian, and one of its purposes was precisely to explain to them the sense of the Christian message and why it was that the Gentile Christians were taking over the kingdom preached in it.<sup>54</sup> But another aspect of the exceptive phrases was undoubtedly to handle the situation of Gentiles who were coming into it and already found themselves in the marital condition proscribed for Jews by Lev 18:6–18. Just as the letter of James enjoined certain matters on the Gentile Christians of the local churches of Antioch, Syria, and Cilicia, so Matthew's exceptive phrases solve a problem for Gentile Christians living in the same community with Jewish Christians, who were still observing Mosaic regulations.

(7) Greek Words for Divorce in the New Testament. The last preliminary remark has to do with the Greek words for "divorce" which are used in the various New Testament passages dealing with it. The diversity of vocabulary for it is surprising, and attempts to solve some of the foregoing problems have often involved strained explanations of the vocabulary itself. Hence a need to clarify certain matters.

Paul uses the verb chōrizein (1 Cor 7:10) of the woman. It is often used of divorce in the strict sense in Greek writers of the classical and Hellenistic periods (e.g., Isaeus 8.36; Euripides, Frg. 1063.13; Polybius, Hist. 31.26,6), as well as in Greek marriage contracts.<sup>55</sup> But it is unattested in the Greek of the so-called LXX. Yet it does turn up precisely in the apophthegm (or pronouncement) of Mark 10:9 and Matt 19:6: "let not man put asunder" (mē chōrizetō). It is true that in the middle-passive chōrizein does occasionally mean "depart," but this can hardly be taken as the basis of translating mē chōristhēnai as "let her not

desert."<sup>56</sup> I have already discussed the problem of the aorist passive infinitive above, but what is stressed here is that the verb should properly be translated "be divorced." Of the man, Paul uses the expression gynaika mē aphienai (7:11), "should not divorce his wife" (RSV). Again, this verb aphienai is used for "divorce" in Greek writers of the classical and Hellenistic periods (e.g., Herodotus, Hist. 5.39; Euripides, Andromache 973; Plutarch, Pomp. 44), but it apparently has not turned up in the papyri and is unattested in the LXV 57 the LXX.57

but it apparently has not turned up in the papyri and is unattested in the LXX.<sup>57</sup> In the dominical saying preserved in the Synoptics the verb is always *apolyein* (Mark 10:11–12; Luke 16:18; Matt 5:32; 19:9). It is, moreover, the same verb that Matthew uses in the infancy narrative to express Joseph's first decision about Mary (1:19, "to divorce her" because of suspected unchastity during the engagement—cf. Deut 22:20–21). With the meaning of "divorce," *apolyein* is found in Hellenistic writers such as Dionysius of Halicarnassus (*Rom. Ant.* 2:25,7) and Diodorus Siculus (*Libr. hist.* 12:18,1–2). Bauer-Arndt-Gingrich say of it: "This [use] is in accord not w. Jewish . . . , but w. Greco-Roman custom,"<sup>69</sup> even though they cite an instance of the sense used by Josephus (*Ant.* 15.7,10 §259).<sup>59</sup> Indeed, an attempt has been made to interpret the first part of the Lucan form of the dominical saying as if *apolyein* idd not really mean "divorce" at all, because it lacks the pronouncement-story details of Mark and Matthew. In this view, it would mean rather "leave" and be understood in the light of Jesus' other sayings about discipleship which entail the "hating" of wife and children (14:26) or the "leaving" of house or wife (18:29). Thus Luke 16:18 would mean nothing more than "He who would [for the sake of being Jesus' disciple] leave his wife [without divorcing her] and marries another commits adultery." It is then maintained that this sense of the logion was lost in time and that it was subsequently interpreted as a saying against divorce itself.<sup>60</sup> Aside from the far-fetched nature of this explanation of Luke 16:18a, the word *apolyein* has now turned up in the clear sense of "divorce" in a Greek document of remarriage from Palestine. It occurs in a text from Murabba'at Cave 2 from the Bar Cocheba period and should put to rest any hesitation about whether the Greek verb *apolyein* could have meant "divorce" in the Greek of Palestine in the period in question. The document attests the remarriage of the same

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apolyein, are probably an attempt to render into Greek the two Aramaic verbs customarily used in Jewish writs of divorce; these are attested in another Murabba'at document (Mur 19:2-4, dated A.D. 111): šābeq wamětārek min rě'ūtī yômā' děnāh 'ǎnāh Yěhôsep bar Naqsan . . . lěkī 'intî Miryam běrat Yěhônātān, "I, Joseph son of Naqsan, repudiate and divorce you, my wife, Miriam, daughter of Jonathan."<sup>62</sup> The significance of this use of apolyein, then, should not be missed, since Moulton and Milligan were unable to give any instances of its use in the sense of "divorce" in the Greek papyri on which they based their famous study of New Testament Greek vocabulary.<sup>63</sup> Finally, it should be noted that whereas Mark 10:4, Matt 5:32, and Matt 19:7 quote Deut 24:1, as if the Greek translation of the latter had the verb apolyein, it is not found in our present-day Greek texts of Deuteronomy, which rather have exapostelei, "he shall send (her) away," translating exactly the Hebrew wěšillěhāh.<sup>64</sup>

Now, against the background of these preliminary remarks, we may turn to the material from the Qumran scrolls and related texts which shed some first-century Palestinian light on the New Testament divorce texts and on those of Matthew in particular.

### II. The Qumran Material

The usual impression that one gets from commentaries and discussions of the New Testament divorce texts is that Jesus was making a radical break with the Palestinian tradition before him, and this is used in a variety of ways to bear on various details mentioned in the preliminary remarks. I shall cite only one modern author who has formulated such an impression:

... Jesus' absolute prohibition of divorce is something quite new in relation to the view of marriage which prevailed in contemporary Judaism. Neither in the O.T., the rabbinic literature nor the Qumran documents do we find any condemnation of divorce as such. Thus Jesus was not influenced in his view of divorce by any Jewish group.<sup>65</sup>

So writes a modern author. His impression may seem to be confirmed by an ancient writer too; for in presenting a summary of Mosaic legislation, Josephus interprets Deut 24:1-4 (Ant. 4.8,23 §253) and openly acknowledges that a man "who desires to be divorced (diazeuchthēnai) from the wife who is living with him for whatsoever cause (kath' hasdēpotoun aitias)—and with mortals many such may arise—must certify in writing that he will have no further intercourse with her." Again, in telling the story of the divorce initiated by Salome, the sister of Herod the Great, in separating from Costobarus, whom Herod had appointed governor of Idumea, he stressed that she sent him a writ dissolving their marriage (*apolyomenē ton gamon*), "which was not in accordance with Jewish law (*ou kata tous Ioudaion nomous*), for it is (only) the man who is permitted by us to do this" (*Ant.* 15.7,10 §259).<sup>66</sup> Here Josephus clearly admits the possibility of divorce in accordance "with the laws of the Jews," although his main concern was the question of a Jewish woman's right to divorce her husband.<sup>67</sup>

Over against this rather widespread impression one has to consider two Qumran texts which bear on the topic. One was only recently made known, and the interpretation of it is not difficult; the other has been known for a long time and is difficult to interpret, but the light that is now shed on it by the more recently published text tips the scales toward one particular interpretation often proposed in the past.

The first text is found in the Temple Scroll from Qumran Cave 11, a lengthy Hebrew document-longer than the scroll of the complete Book of Isaiah from Qumran Cave 1 (1QIsaa)-which was discovered by Ta'amireh Bedouin in 1956. It is believed to have been in the possession of Kando, the quondam Syrian cobbler of Bethlehem, who had been the go-between for the sale of the original seven scrolls of Qumran Cave 1, from 1956 until the time of the Six-Day War (1967), when Israel occupied the west bank of the Jordan and gained control of the Old City of Jerusalem. In some mysterious, as yet not wholly revealed, way the Temple Scroll came into the possession of the Department of Antiquities in Israel and was entrusted to Y. Yadin for publication. The full text of the scroll, dating to the end of the second century B.C., has now been published; but Yadin had released a preliminary report on it68 and had published a few lines of it which bear on texts in the Qumran corpus that are well known and controverted.<sup>69</sup> He had also revealed that the Temple Scroll deals in general with four topics: (1) halakic regulations about ritual cleanness, derived from the Pentateuch, but presented with many additions, deletions, and variations; (2) a list of sacrifices and offerings to be made according to different feasts; (3) details for the building of the Jerusalem temple-the longest part, occupying more than half of the 28-foot scroll, from which the name of it has accordingly been derived; and (4) statutes for the king and the army.70 God is depicted in the scroll speaking in the first person singular and issuing decrees, and Yadin concluded that the author of the text wanted his readers to consider it virtually as Torah. The fourth section of the scroll, setting forth the statutes, begins with a direct quotation of Deut 17:14-17, the passage which instructs Israel to set up as king over it one "whom the Lord your God will choose, one from among your brethren" and which ends with the prohibition, "He shall not multiply wives for himself lest his heart turn away; nor shall he greatly multiply for himself silver and gold" (17:17, RSV).

Now among the statutes for the king is the prohibition both of polygamy and of divorce. The text (11QTemple 57:17-19) reads as follows:

<sup>17</sup>wlw<sup>2</sup> yqh <sup>1</sup>yh <sup>2</sup>sh <sup>2</sup>hrt ky <sup>18</sup>hy<sup>2</sup>h lbdh thyh <sup>4</sup>mw kwl ymy hyyh w<sup>3</sup>m mth wns<sup>3</sup> <sup>19</sup>lw <sup>2</sup>hrt mbyt <sup>3</sup>byhw mmšphtw.

And he shall not take in addition to her another wife, for she alone shall be with him all the days of her life; and if she dies, he shall take for himself another (wife) from his father's house, from his clan.<sup>71</sup>

The first regulation clearly precludes polygamy (probably echoing Deut 17:17), but the reason that is further added makes it clear that the king is not to divorce his wife, "for she alone (lebaddah) shall be with him all the days of her life." Thus the Temple Scroll goes beyond Deut 17:17, which forbids polygamy, and proscribes divorce as well. It may be objected that this is a regulation for the "king" (melek of Deut 17:14) and that it does not envisage the commoner. But the principle behind such legislation is-to paraphrase an ancient dictum-quod non licet Iovi, non licet bovi; and it has been invoked apropos of other texts by other writers.<sup>72</sup> Moreover, as we shall see below, what was legislated for the king in Deut 17:17 is explicitly applied by extension to a non-regal authority-figure in the Qumran community. Again, if Yadin's opinion cited above about the intention of the author of the Temple Scroll, that he wanted it to be regarded virtually as Torah, is valid, then the regulations in it were undoubtedly to be normative for all for whom it was a virtual Torah.

Here, then, we find a clear prohibition of divorce in a first-century Palestinian Jewish text. True, it may reflect the ideas of the sectarian Jews who formed the Qumran community, normally regarded as Essenes.<sup>73</sup> It may also be a view that was in open opposition to what is usually regarded as the Pharisaic understanding of the matter. To this I shall return later.

Another text which bears on the same topic is the much-debated passage in the *Damascus Document* (CD 4:12b-5:14a). It has been known for a long time, having first come to light among the fragments which S. Schechter recovered from the Genizah of the Ezra Synagogue of Old Cairo in 1896 and which he published in 1910.<sup>74</sup> It has at times

been used in the discussion of the NT divorce texts<sup>75</sup> and has been considered of little help. But now, because of the above-cited passage of the Temple Scroll, it needs to be discussed anew.

Fragments of the Damascus Document, as it is commonly called today because of the regulations that it contains for community camps in "Damascus," have been found in various Qumran caves; some of these have been published, but the vast majority of them (from Qumran Cave 4) still await publication. Some of these fragments make it clear that earlier forms of the Damascus Document existed and that it has a considerable literary and compilatory history. The form to which we are accustomed, in MSS of the tenth and twelfth centuries A.D., is obviously a composite document. Fragments of cols. 4 and 5 are preserved in the Qumran Cave 4 material, but unfortunately none of them contains the lines in which the controverted text from the Cairo Genizah is found. This is merely the result of the poor state of preservation of the Cave 4 fragments, and there is no reason to think that cols. 4 and 5 read any differently in the Qumran texts than they do in the copy from the Cairo Genizah.<sup>76</sup>

The text of the Damascus Document in which we are interested forms part of a section (CD 2:14-6:1) that has been labeled by J. Murphy-O'Connor as an Essene missionary document.<sup>77</sup> This section seems to have existed independently at one time, before it became part of the conflated text that we know today. It is an admonition or exhortation addressed to Palestinian Jews who were not members of the Essene community.78 It seeks to explain God's attitude toward mankind as revealed in history, to extol the role of the privileged remnant to which the writer belonged (the community of the New Covenant [cf. Jer 31:31; CD 6:19]), and to hold out both a promise and a threat to Jews to consider joining the community. The warning is part of the immediately preceding context of the passage in which we are interested. In this passage the author looks at the current orthodoxy in Palestinian Judaism and levels against it a harsh indictment. It is ensnared in various traps of Belial. The part of the "missionary document" in which we are interested (CD 4:12b-5:14a) runs as follows:

And in all those years <sup>13</sup>Belial will be unleashed against Israel; as God said through the prophet Isaiah, son of <sup>14</sup>Amoz, "Terror and pit and snare are upon you, O inhabitant of the Land." The interpretation of it: (These are) <sup>15</sup>the three nets of Belial about which Levi, son of Jacob, spoke, <sup>18</sup>in which he (Belial) has ensnared Israel. He set them (be)fore them as three kinds of <sup>17</sup>"righteousness": the first is

Isa 24:17

unchastity; the second, wealth; the third, <sup>18</sup>defilement of the sanctuary. Whoever rises out of one gets caught in another; whoever is delivered from one gets caught <sup>19</sup>in another.

The builders of the wall, who have gone after Vanity—(now) "Vanity" is a preacher, <sup>20</sup>of whom He said, "They only preach" have been caught in unchastity in two ways: by taking <sup>21</sup>two wives in their lifetime, whereas the principle of creation (is) "Male and female he created them; <sup>5:1</sup>and those who entered (Noah's) ark, "two (by) two went into the ark." And concerning the prince (it is) written: <sup>26</sup>He shall not multiply wives for himself."

Now David did not read the sealed book of the Law, which was <sup>3</sup>in the ark (of the covenant); for it was not opened in Israel since the day when Eleazar, <sup>4</sup>Yehoshua<sup>4</sup>, Joshua, and the elders died, when they (i.e., the Israelites) began to serve Ashtoreth. It remained hidden (and) was (not) <sup>5</sup>revealed, until Zadok arose. And the deeds of David mounted up (like a holocaust to God), with the exception of the blood of Uriah; <sup>6</sup>and God left them to him (for merit).

Moreover, they defile the sanctuary, since they do not keep <sup>7</sup>separate according to the Law, but lie with her who sees the blood of her flux.

And they take (as wives), <sup>8</sup>each one (of them), the daughter of his brother and the daughter of his sister, whereas Moses said, "You shall not <sup>9</sup>approach (sexually) your mother's sister; she is your mother's kin." The regulation for incest <sup>10</sup>is written for males, but it applies equally to women; so if a brother's daughter uncovers the nakedness of <sup>11</sup>her father's brother, whereas she is his kin. . . .<sup>79</sup>

Of the three nets of Belial in which Israel is said to be ensnared, only two are explained: "unchastity" (hazzěnût) and "defilement of the sanctuary" (tamme<sup>3</sup> hammiqdāš); the net of "wealth" (hahôn), is completely passed over, although it seems to be an allusion to Deut 17:17b. Moreover, two instances of zěnût are given: (a) "by taking two wives in their lifetime" (4:20-21—the controverted clause, to which I shall return); and (b) "and they take (as wives), each one (of them), the daughter of his brother, and the daughter of his sister" (5:7-8). These two instances explain the word bštym, "in two ways," of 4:20. C. Rabin was apparently the first commentator to notice the relevance of this word and the relation that it has to the rest of the text.<sup>80</sup> In more recent times he has been followed by others in what is almost certainly the correct understanding of the text.<sup>81</sup>

The explanations of the two nets are accompanied by Old Testament passages which cite the prohibitions of the conduct characteristic of the current orthodoxy in Israel which has disregarded them. The "defilement of the sanctuary" is explained by the failure to avoid

Ezek 13:10; Hos 5:11; Mich 2:6

Gen 1:27 Gen 7:9 Deut 17:17

> Lev 15:19 Lev 18:15

intercourse with the woman considered unclean in Lev 15:19. The two forms of "unchastity" are likewise illustrated by Old Testament passages: (a) "the taking of two wives in their lifetime" is seen to be contravening Gen 1:27, 7:9, and Deut 17:17—but note that this is now extended from the "king" of Deut 17:14 to the "prince" ( $n\bar{a}\dot{s}i$ , i.e.,  $n\check{e}\dot{s}i$  kol  $h\bar{a}$ ' $ed\bar{a}h$ , "the prince of the whole congregation" [CD 7:20]<sup>82</sup>); (b) the taking as wives "the daughter of his brother, and the daughter of his sister" is seen to be a contravention of Lev 18:13, which prohibits marriage within certain degrees of kinship.

Now two things above all are to be noted in this text. First, the controverted meaning of the first form of zenût: "taking two wives in their lifetime" (lagahat šětê našim běhayyêhem). The text is controverted because the pronominal suffix -hem on the word for "lifetime" is masculine, and ever since Schechter first published the text of the Damascus Document the meaning of the clause has been debated. Three main interpretations of it have been proposed:<sup>83</sup> (a) It proscribes both polygamy and marriage after divorce. (b) It proscribes polygamy alone. (c) It proscribes any second marriage. The first is the majority opinion;84 the second has been ably argued by G. Vermes in a recent article;85 and the third has been defended by J. Murphy-O'Connor.86 It was to offset the third interpretation that Yadin published in preliminary form the few lines of the Temple Scroll that I have cited above. The last line of it makes it perfectly clear that "if she dies, he shall take for himself another (wife)." Consequently, a second marriage after the death of the first wife was not forbidden; hence a prohibition of this should not be read into CD 4:21.87 But the writers who defend the second interpretation usually point out that the suffix on "lifetime" should be feminine if divorce were being proscribed (i.e., "in their [feminine] lifetime"); the same argument, however, has been used against the interpretation that it refers merely to polygamy. But now that 11QTemple 57:17-19 speaks out not only against polygamy but also against divorce, the most natural interpretation of CD 4:20-21 is that the masculine pronominal suffix is used to refer to both the man and the woman who are joined in marriage. This is the normal way that one would express such a reference in Hebrew to the two sexes.88 Hence the first form of zěnút should be understood here as an ensnarement in either polygamy or divorce-"by taking two wives in their lifetime," i.e., while both the man and the women are alive, or by simultaneous or successive polygamy. The text from the Temple Scroll is thus seen to support the first (or majority) interpretation of CD 4:19-21.

Second, the controversy that has surrounded the interpretation of

the first form of z en ut has normally obscured the recognition that in this text we have a clear reference to marriage within degrees of kinship proscribed by Lev 18:13, labeled indeed precisely as z en ut. In the Old Testament z en ut is used both of harlotry (e.g., Jer 3:2, 9; Ezek 23:27) and of idolatrous infidelity (Num 14:33). In the LXX it is translated by *porneia* (e.g., Jer 3:2, 9). Whatever one might want to say about the nuances of the word z en ut in the Old Testament, it is clear that among the Jews who produced the *Damascus Document* the word had taken on further specific nuances, so that polygamy, divorce, and marriage within forbidden degrees of kinship could be referred to as forms of zen ut. Thus, in CD 4:20 and 5:8-11 we have "missing-link" evidence for a specific understanding of zen ut as a term for marriage within forbidden degrees of kinship or for incestuous marriage; this is a specific understanding that is found among Palestinian Jews of the first century B.C. and A.D.

## **III.** Consequences for the Marcan and Matthean Passages

Now if the interpretation of these two Qumran passages just discussed is correct, two further important conclusions may be drawn from them.

First, there is clear first-century Palestinian support for an interpretation of *porneia* in Matt 5:32 and 19:9 in the specific sense of zenut as an illicit marital union between persons of close kinship. Matthew, therefore, would be making an exception for such marital situations for Gentile Christians who were living in a mixed community with Jewish Christians still observing Mosaic regulations. As we have already noted, this interpretation of *porneia* is not new, but the evidence that was often used in the past to support it came from rabbinic literature of a considerably later period.<sup>89</sup> The fact that such a meaning of zenut is also found in that literature merely strengthens the data presented here, because it would show that the understanding was not confined to the Essene type of Judaism.

Second, the prohibition of divorce by the Qumran community would show that there were at least some Jews in first-century Palestine who did proscribe it. Several writers have pointed out that at least some Qaraites of later centuries prohibited divorce; and the relation of the medieval Qaraites to the Essenes of Qumran is a matter of no little interest and research.<sup>90</sup> Though we do not know how such an attitude toward divorce would fit in with what Josephus has called "the laws of Jews" (Ant. 15.7,10 §259), which permitted it, it at least seems to give the lie to what one reads in Strack-Billerbeck's Kommentar: "dass es in der mischnischen Periode keine Ehe im jüdischen Volk gegeben hat, die nicht kurzerhand vom Manne in völlig legaler Weise durch Aushändigung eines Scheidesbriefes hätte gelöst werden können."<sup>91</sup>

But if some Palestinian Jews did prohibit divorce, then the whole question of the Sitz im Leben for the debate of Jesus with the Pharisees must be reconsidered; for the Qumran legislation furnishes precisely the Palestinian background needed to explain how the question attributed to the Pharisees in Mark 10:2 is comprehensible. B. Vawter has said that "neither the story as Mark tells it (a question over the licitness of divorce in principle) nor the *logion* as he has formulated it [i.e., Mark 10:11-12] (envisaging the possibility of a woman's divorcing her husband) fits into the Palestinian scene presupposed in the life of Jesus and the conflict-stories of the Gospels."<sup>92</sup> Similarly, D. L. Dungan has stated:

In view of the overwhelming evidence that nothing whatever in the Law suggests that divorce is illegal [his italics], any commentator who proposes to defend the primitive historical character of Mark's version of the Pharisees' question, that it is more original than Matthew's, has no alternative, it seems to me, but to search for ulterior and sinister motives on the part of the Pharisees for putting such an obviously phony question to Jesus. . . . The fact is, Mark's version of the question is inconceivable in a Palestinian Pharisaic milieu. This is, of course, simply another way of saying that this is not where it arose. On the other hand, if we simply transpose the whole story in Mark into the setting of the early Hellenistic Church, everything immediately fits perfectly.<sup>93</sup>

Now, in the light of the statute for the king in the Temple Scroll, which directly forbids polygamy (as does Deut 17:17) and goes beyond that to give a reason which at least implies the prohibition of divorce, the question put by some Pharisees to Jesus in Mark 10:2, "Is it lawful for a man to divorce his wife?" is not as "inconceivable" in a Palestinian milieu as might be supposed. Knowing about the Essene prohibition of divorce, a Pharisee could easily have posed the question to see where Jesus stood in the matter: Do you side with the Essenes or with the Pharisees? The Qumran evidence supplies at least an intelligible matrix for the question as posed in Mark, and the priority of the Marcan passage over the Matthean is not an impossible position. The form of the question as it is found in Matt 19:3 ("Is it lawful to divorce one's wife for any cause?") represents merely that evangelist's reformulation of the question in terms of an inner-Pharisaic dispute, between the schools of Hillel and Shammai, perhaps even reflecting a church-synagogue controversy otherwise manifest in the First Gospel.

Now if there is any validity to the interpretation of these divorce texts in the light of the Qumran material, we see that it does not support the position that the pronouncement-story and the dominical saying, as they are found in Matthew 19, represent a more primitive form than that in Mark 10. In my opinion, it merely serves to accord to the Two-Source Theory its merited place as the most plausible solution to the Synoptic Problem.<sup>94</sup>

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### IV. Theological Implications

There are further implications in all of this--implications for the present-day debate about divorce; for the process of gospel-composition, as we are aware of it today, reveals that the prohibition of divorce which is recorded in the New Testament writings has gone through various stages of development. On the basis of form criticism and redaction criticism it is possible to isolate two sayings about divorce that may plausibly be regarded as traceable to Jesus himself: "What therefore God has joined together, let not man put asunder" (the pronouncement, Mark 10:9, Matt 19:6) and "Everyone who divorces his wife and marries another commits adultery, and he who marries a divorced woman commits adultery" (the dominical saying, best preserved in Luke 16:18a-b). The Marcan additional material (10:12a-b), the Matthean exceptive phrases (5:32b, 19:9b), and even the Pauline formulation of the prohibition from the standpoint of the woman (1 Cor 7:10c---if choristhenai really = intransitive "separate" [see above]) are seen to be developments best explained in terms of the contexts in which the prohibition was repeated.

The Matthean exceptive phrases are particularly of interest. Though they scarcely make adultery a basis for divorce between Christians, as we have argued above, the exception for an illicit union (or for a marital situation that should not have been entered into to begin with) may be said not to render the prohibition of divorce less absolute.

What is striking in the modern study of the Gospels and of the divorce passages in particular is the number of commentators who trace back to Jesus in some form or other a prohibition of divorce, and usually in an absolute form. If the sort of analysis in which I have engaged above has any validity, it leads one to the conclusion of the absolute prohibition of divorce as coming from Jesus himself. When one hears today of commentators analyzing gospel texts with the principles of form criticism or redaction criticism, one more or less expects to learn from them some more radical or even "liberating" interpretation. But in this case it has not worked that way. Judged form-critically, the New Testament divorce texts yield as the most primitive form of the prohibition one that is absolute or unqualified.

For modern Christians who are inclined to identify as normative for Christian life and faith only that which Jesus said or did, this logion on divorce would have to be understood absolutely. But a form of fundamentalism would thus be associated with it-not the usual fundamentalism of the biblical text, but an even more naive sort which surrounds what he might be imagined to have said or done. And that raises the further problem about "which Jesus" stands behind that norm. But in reality the norm for Christian life and conduct cannot be other than the historical Jesus in tandem with the diverse pictures of him in the New Testament writings.95 Yet that diversity has to be respected with all its complexity, and the New Testament tradition about the prohibition of divorce is a good example of the complexity, since we have not only the attestation of an absolute prohibition (e.g., in Paul, Luke, Mark) but also the exceptive phrases in Matthew, the Marcan modification of the prohibition with respect to the woman, and the further exception that is introduced by Paul in 1 Cor 7:15, permitting the Christian "brother or sister" to marry after being divorced by an "unbelieving partner." Even though these exceptions do not stem from Jesus of Nazareth himself-and Paul stresses that explicitly in 7:12-they do stand in the inspired writings of the New Testament, in the inspired portraits of Jesus enshrined there. They may not have the authority of ipsissima verba Iesu, but they do have the authority of Scripture.

Now these exceptions and modifications, being found in such an inspired record of early Christianity's reaction to Jesus, raise the crucial question: If Matthew under inspiration could have been moved to add an exceptive phrase to the saying of Jesus about divorce that he found in an absolute form in either his Marcan source or in "Q," or if Paul likewise under inspiration could introduce into his writing an exception on his own authority, then why cannot the Spirit-guided institutional church of a later generation make a similar exception in view of problems confronting Christian married life of its day or so-called broken marriages (not really envisaged in the New Testament)—as it has done in some situations.<sup>96</sup> The question here is whether one looks solely at the absolute prohibition, traceable to Jesus, or at "the process of understanding and adaptation" which is in the New Testament itself and "with which the modern Church can identify only by entering into the process and furthering it.<sup>997</sup>

Because one of the Matthean divorce texts (5:31-32) is found in the Sermon on the Mount, that saying has often been subjected to an interpretation to which the Sermon as a whole has also been submitted. Thus, we are told that the prohibition of divorce in the New Testament is proposed as an ideal toward which Christians are asked to strive, when in reality it is realized that it is not always achieved. "Jesus established a moral *ideal*, a counsel, without constituting it a legal norm."98 This, of course, is an ingenious solution. But it is substantiated only by means of a certain exposition of the Sermon on the Mount as a whole that once had some vogue. The history of the exegesis of that Sermon has run through an entire gamut of interpretations, and one of them is the Theory of the Impossible Ideal-a blueprint for utopia.99 And the question has always been whether that theory measures up to the radical program of Christian morality proposed by the Matthean Jesus. Alas, it appears to be as ephemeral as many of the others. This means that distinctions of this sort between "ideal" and "legal norm," born of considerations extrinsic to the texts themselves, stand little chance of carrying conviction. The Matthean Jesus' words appeal beyond Mosaic legislation and any ideal to the divine institution of marriage itself.

A still further theological question may be asked, about why Jesus himself might have assumed such an attitude toward divorce as seems to be enshrined in his prohibition. Here I find myself attracted by a solution proposed by A. Isaksson, whose interpretation about the primitivity of the Matthean pericope I otherwise cannot accept. His explanation of Jesus' attitude is by no means certain, but it is nevertheless plausible and intriguing. He presents Jesus' view of marriage as indissoluble as an extension of an Old Testament attitude towards members of the priestly families who were to serve in the Jerusalem temple. "They shall not marry a harlot or a woman who has been defiled; neither shall they marry a woman divorced from her husband (gerüsäh mē'šāh, lit., "driven out from her husband"), for the priest is holy to his God" (Lev 21:7; cf. Ezek 44:22). Isaksson sees this as the motivation for the prohibition of divorce: "Jesus taught his disciples they were chosen for and consecrated to the service of God."<sup>100</sup> His suggestion fits in with other considerations of the Christian community as the temple in a new sense (2 Cor 6:14-7:1; 1 Cor 3:16-17; Eph 2:18-22)—a theme not unknown either to the Qumran community or to the early church.<sup>101</sup> And one might want to add the further implication of the general priestly character of Christian disciples (Rev 1:6).<sup>102</sup> On the other hand, there may be a still further nuance. If it is true that what is legislated for the king is legislated for the commoner, the prohibition of divorce for the king in 11QTemple 57:17–19 and for the "prince" of the community in CD 4:20–21 may suggest a kingly reason for the prohibition as well. Here 1 Pet 2:5, 9 comes to mind: "Like living stones be yourselves built into a spiritual house, to be a holy priesthood. . . . You are a chosen race, a royal priesthood, a holy nation, God's own people. . . .<sup>"103</sup> Such ideas may have been in the minds of the early Christians, ideas derived from their Old Testament background, but also influenced by such Palestinian Jewish thinking as we have cited in this paper. Whether we can attribute all of it to the thinking of Jesus of Nazareth will forever remain a problem.<sup>104</sup>

### NOTES

\* Originally published in TS 37 (1976) 197-226.

<sup>1</sup> A good bibliography on the divorce texts can be found in A. Myre, "Dix ans d'exégèse sur le divorce dans le Nouveau Testament," Le divorce: L'Eglise catholique ne devrait-elle pas modifier son attitude séculaire à l'égard de l'indissolubilité du mariage? (Montreal: Fides, 1973) 139-63, esp. pp. 156-63.

<sup>2</sup> See R. Bultmann, The History of the Synoptic Tradition, 27.

<sup>3</sup> To be noted, however, are the two prohibitions of divorce in Deut 22:13-19, 28-29.

<sup>4</sup>See A. Oepke, "Gyne," TDNT 1 (1964) 776-89, esp. p. 788. Cf. H. Baltensweiler, Die Ehe im Neuen Testament: Exegetische Untersuchungen über Ehe, Ehelosigkeit und Ehescheidung (Zürich: Zwingli, 1967) 239-41; R. L. Saucy, "The Husband of One Wife," BSac 131 (1974) 229-40.

<sup>5</sup> Ibid., 233-34. Cf. J. Murray, "Divorce," WTJ 11 (1948-49) 105-22; M.-J. Lagrange, Saint Paul: Epitre aux Romains (Paris: Gabalda, 1931) 161; K. Haacker, "Ehescheidung und Wiederverheiratung im Neuen Testament," TQ 151 (1971) 28-38, esp. p. 28.

<sup>6</sup> For the significance of this formulation, see my discussion in *Pauline Theology: A Brief Sketch* (Englewood Cliffs, NJ: Prentice-Hall, 1967) 13. Cf. D. M. Stanley, "Pauline Allusions to the Sayings of Jesus," *CBQ* 23 (1961) 26–39; D. L. Dungan, *The Sayings of Jesus in the Churches of Paul: The Use of the Synoptic Tradition in the Regulation of Early Church Life* (Philadelphia: Fortress, 1971) xxx-xxxi; H. Baltensweiler, *Die Ehe* (n. 4 above), 189.

<sup>7</sup> H. Lietzmann (An die Korinther I-II [HNT 9; 4th ed.; Tübingen: Mohr (Siebeck), 1949] 31) says this precisely: "Das chöristhenai muss parallel dem aphienai v. 11 die aktive Handlung der Scheidung bedeuten." Similarly, J. Dupont (Mariage et divorce dans l'Evangile: Matthieu 19,3-12 et parallèles [Bruges: Desclée de Brouwer, 1959] 59) translates chöristhenai as a middle "se séparer," but he does not justify this interpretation of an aorist passive as middle. In some Mss (A, D, G, etc.) the present infinitive chörizesthai is found, which could be taken as middle. But the better reading is the aorist passive. See further K. Aland, Studien zur Überlieferung des Neuen Testaments und seines Textes (ANTF 2; Berlin: de Gruyter, 1967) 153 (on the reading of 1 Cor 7:10-11 in P<sup>11</sup>).

\*Die Ehe (n. 4 above), 187-91.

<sup>9</sup>A Commentary on the First Epistle to the Corinthians (Philadelphia: Fortress, 1975) 120. Cf. E.-B. Allo, Saint Paul: Première épître aux Corinthiens (2d ed.; Paris: Gabalda, 1956) 165.

<sup>10</sup> The Sayings of Jesus (n. 6 above), 91. The italics are his in this and the following quotations.

<sup>11</sup> Ibid., 92.

<sup>12</sup> Ibid., 93.

<sup>13</sup> The different terms used by Paul in his counsels in 1 Corinthians 7 are important and should be noted: "I wish" (7:7, 32); "I say" (7:8, 35); "my opinion" (7:25, 40); "I order" (7:17); the Lord "charges" (7:10, 25). On these terms, see H. Baltensweiler, *Die Ehe* (n. 4 above), 188; cf. W. Schrage, *Die konkreten Einzelgebote in der paulinischen Paränese* (Gütersloh: Mohn, 1961) 241–49.

<sup>14</sup> See B. Schaller, "Die Sprüche über Ehescheidung und Wiederheirat in der synoptischen Überlieferung," Der Ruf Jesu und die Antwort der Gemeinde: Exegetische Untersuchungen Joachim Jeremias zum 70. Geburtstag gewidmet von seinen Schülern (ed. E. Lohse et al.; Göttingen: Vandenhoeck & Ruprecht, 1970) 245. Schaller notes that the penalty threatened in the usual casuistic form is missing here. See further K. Haacker, "Ehescheidung" (n. 5 above), 30.

<sup>15</sup> For my understanding of the "Q" source, see pp. 16–23 above.

<sup>16</sup> H. Baltensweiler, Die Ehe (n. 4 above), 60-64.

<sup>17</sup> See also F. Neirynck, "De Jezuswoorden over echtscheiding," *Mislukt* huwelijk en echtscheiding: Een multidisciplinaire benadering (ed. V. Heylen; Louvain: Leuven University, 1972) 127-41, esp. p. 133. The principle of multiple attestation is being used here.

<sup>18</sup> Ibid.

<sup>19</sup> Ibid., 132.

<sup>20</sup> For the relation of Matt 5:32 to Luke 16:18, see J. Dupont, Les Béatitudes: Le problème littéraire—Les deux versions du Sermon sur la Montagne et des Béatitudes (new ed.; Louvain: Nauwelaerts, 1958) 117–18.

<sup>21</sup> E.g., G. Delling, "Das Logion Mark. X 11 [und seine Abwandlungen] im Neuen Testament," NovT 1 (1956-57) 263-74, esp. pp. 265-67.

<sup>22</sup> In the LXX Deut 24:1 runs thus: Ean de tis labe gynaika kai synoikese aute, kai estai ean me heure charin enantion autou, hoti heuren en aute aschemon pragma, kai grapsei aute biblion apostasiou kai dosei eis tas cheiras autes kai exapostelei auten ek tes oikias autou . . . , "If someone takes a wife and lives with her, and it happens that (lit., if) she does not find favor before him, because he (has) found in her (some) disgraceful deed (or thing), and he writes her a writ of divorce and puts it into her hands and sends her out of his house . . ." (A. E. Brooke and N. McLean, The Old Testament in Greek (Cambridge: University Press) I/iii (1911) 630; A. Rahlfs, Septuaginta [8th ed.; Stuttgart: Württembergische Bibelanstalt 1965], 1. 329). The newly discovered Greek version of Deuteronomy, dating from pre-Christian times (Papyrus Fuad 226, frg. 36) has unfortunately only a few words of Deut 24:1 and they are identical with the reading in Christian copies of the LXX. See F. Dunand, Papyrus grecs bibliques (Papyrus F. Inv. 266): Volumina de la Genèse et du Deutéronome (Cairo: Imprimerie de l'institut français d'archéologie orientale, 1966), textes et planches, 105. <sup>23</sup> H. Greeven ("Ehe nach dem Neuen Testament," NTS 15 [1968 39] 365-88, esp. pp. 382-85) argues for Matt 5:32 as the more primitive form of the sayings than Luke's, but his arguments seem forced and are not convincing.

<sup>24</sup> Compare the similar use of two passages of Genesis (1:27 and 7:9) in the Damascus Document, to be treated below; cf. NTS 7 (1960-61) 319-20; ESBNT, 36-38.

<sup>25</sup> This is not the place to engage in a lengthy discussion of these variants; see H. Baltensweiler, *Die Ehe* (n. 4 above), 66–67. It may be noted, however, that the UBSGNT lists no variants for this verse, nor does B. M. Metzger (A Textual Commentary on the Greek New Testament) discuss it.

<sup>26</sup> Mss  $\tilde{\Theta}$ , W, some minuscles of the Lake family, and the Syriac versions. This evidence, however, is not very significant. See further V. Taylor, *Mark* (p. 33 above) 420–21.

<sup>27</sup> Cf. G. Delling, "Das Logion Mark. X 11" (n. 21 above), 270.

<sup>28</sup> See W. Kunkel, "Matrimonium," PW 14/2 (1930) 2259-86, esp. cols. 2275-81; T. Thalheim, "Ehescheidung," PW 5/2 (1905) 2011-13; F. Raber, "Divortium," Der kleine Pauly: Lexikon der Antike (ed. K. Ziegler and W. Sontheimer; Stuttgart: Druckenmüller) 2 (1957) 109-10; J. Dauvillier, "L'Indissolubilité du mariage dans la nouvelle Loi," Orient-Syrien 9 (1964) 265-89.

<sup>29</sup> AP 15:22-23 reads: "Should Miptahiah rise up in an assembly tomorrow [or] some other [da]y and say, 'I divorce (lit., I hate) my husband Eshor,' the divorce fee is on her head. . . ." See my commentary on this text, "A Re-Study of an Elephantine Aramaic Marriage Contract (AP 15)," Near Eastern Studies in Honor of William Foxwell Albright (ed. H. Goedicke; Baltimore: Johns Hopkins University, 1971) 137-68; reprinted, WA, 243-71. See further BMAP 2:9; 7:25; cf. AP 9:8.

<sup>30</sup> An attempt has been made by E. Bammel ("Markus 10:11f. und das jüdische Eherecht," ZNW 61 [1970] 95-101) to gather evidence that a Jewish woman had a right to divorce her husband. There is a text in Josephus (Ant. 15.7,10 §259) which mentions a case of it, and we shall return to it below. The restoration of Mur frg. 20:6 [DJD 2, 110-13] proposed by J. T. Milik is highly questionable, as even Bammel recognizes; it cannot really be used for evidence.

<sup>31</sup> The twofold occurrence of the prohibition of divorce in the Matthean Gospel is a good example of a "doublet" in the Synoptic tradition (see pp. 20–21 above); Matt 5:32 is derived from "Q," and Matt 19:3–9 from the Marcan source. See E. von Dobschütz, "Matthäus als Rabbi und Katechet," ZNW 27 (1928) 338–48, esp. p. 340.

<sup>32</sup> The modification consists mainly in the admission of private sources which both Matthew and Luke had, usually designated "M" and "L", either oral or written (see pp. 28, 39 above).

<sup>33</sup> E.g., R. H. Charles, The Teaching of the New Testament on Divorce (London: Williams and Norgate, 1921) 19–31; B. H. Streeter, The Four Gospels (p. 30 above), 259; J. Jeremias, Jesus als Weltvollender (Gütersloh: Bertelsmann, 1930) 65; M. R. Lehmann, "Gen 2:24 as the Basis for Divorce in Halakhah and New Testament," ZAW 72 (1960) 263–67; A. Isaksson, Marriage and Ministry in the New Temple: A Study with Special Reference to Mt 19,13–12 [sic] and I. Cor. 11,3–16 (Lund: Gleerup, 1965) 70–74; B. Vawter, "The Biblical Theology of Divorce," PCTSA 22 (1967) 223–43, esp. pp. 233–34.

<sup>34</sup> E.g., D. L. Dungan, *The Sayings of Jesus* (n. 6 above), 103-31. Cf. his article, "Mark—The Abridgement of Matthew and Luke," *Jesus and Man's Hope* (p. 30 above), 1. 51-97.

<sup>35</sup> See J. Dupont, Mariage et divorce (n. 7 above), 28. For parallels to "for any reason," see the Greek formulas in Josephus, Ant. 4.8,23 §253 (kath' hasdepotoun aitias), and Philo, De spec. leg. 3.5 §30 (kath' hen an tyche prophasin).

<sup>36</sup> See further F. Neirynck, "De Jezuswoorden" (n. 17 above), 136.

<sup>37</sup> G. Delling, "Das Logion" (n. 21 above), 270.

<sup>38</sup> Some MSS (B, D, the Freer and Lake families of minuscules) read parehtos logou porneias in 19:9, but that is obviously the result of harmonization with 5:32. For an attempt to defend a different form of the Matthean text, see J. MacRory, "The Teaching of the New Testament on Divorce: A Critical Examination of Matt. xix. 9," *ITQ* 6 (1911) 74–91; "Christian Writers of the First Three Centuries and St. Matt. xix. 9," ibid., 172–85. Cf. H. Crouzel, *L'Eglise primitive* face au divorce (Théologie historique, 13; Paris: Beauchesne, 1971) 29–34; J. P. Arendzen, "Ante-Nicene Interpretations of the Sayings on Divorce," *JTS* 20 (1919) 230–41.

<sup>39</sup> Tortuous attempts to read these phrases as other than "exceptive" have to be recognized for what they really are, subterfuges to avoid the obvious. B. Vawter ("The Divorce Clauses in Mt 5,32 and 19,9," *CBQ* 16 [1954] 155-67, esp. 160-62, 163-64) has supplied a list of such attempts and discussed the problems inherent in them. Cf. G. Delling, "Das Logion" (n. 21 above), 268-69; J. Dupont, *Mariage et divorce* (n. 7 above), 96-106; H. Baltensweiler, *Die Ehe* (n. 4 above), 89-91.

<sup>40</sup> E.g., A. Schlatter, Der Evangelist Matthäus: Seine Sprache, sein Ziel, seine Selbstständigkeit (Stuttgart: Calwer Verlag, 1929) 568; H. G. Coiner, "Those 'Divorce and Remarriage' Passages (Matt. 5:32; 19:9; 1 Cor 7:10-16)," CTM 39 (1968) 367-84; A. Isaksson, Marriage and Ministry (n. 33 above) 75-152; J. Schniewind, Das Evangelium nach Matthäus übersetzt und erklärt (NTD 2; Göttingen: Vandenhoeck & Ruprecht; 1962) 64.

<sup>41</sup> See J. Dupont, Mariage et divorce, 88 n. 2.

<sup>42</sup> See E. von Dobschütz, "Matthäus als Rabbi" (n. 31 above), 339–40, 344; R. Bultmann, *History of the Synoptic Tradition*, 148; J. Dupont, *Mariage et divorce*, 89.

<sup>43</sup> This is the conclusion of many New Testament interpreters today—in fact, of so many that it is useless to try to document it; see G. Delling, "Das Logion" (n. 21 above), 274; H. Greeven, "Zu den Aussagen des Neuen Testaments über die Ehe," Zeitschrift für evangelische Ethik 1 (1957) 109–25.

<sup>44</sup> BAG, 699.—This is not the place to deal with the question raised by B. Malina, "Does *Porneia* Mean Fornication?" *NovT* 14 (1972) 10-17, whose answer has oversimplified the matter. For criticisms of Malina's views, see J. J. O'Rourke, "Does the New Testament Condemn Sexual Intercourse outside Marriage? TS 37 (1976) 478-79; J. Jensen, "Does *Porneia* Mean Fornication? A Critique of Bruce Malina," *NovT* 20 (1978) 161-84; cf. M. Zalba, "Declaratio de quibusdam quaestionibus ad sexualem ethicam spectantibus (AAS 68 [1976] 77-96)," *Periodica* 66 (1977) 72-115, esp. pp. 96-97. Nor am I happy with Malina's approval of K.-G. Kuhn's interpretation of *zěnût* in CD 4:19ff. (see "The Epistle to the Ephesians in the Light of the Qumran Texts," *Paul and Qumran* [ed. J. Murphy-O'Connor; Chicago: Priory, 1968] 115-31, esp. p. 121), as will be made clear in part II of this essay.

<sup>45</sup> Rom 1:29 and Gal 5:19 might also be involved, but there are text-critical problems involved in these passages.

<sup>46</sup> For the variants on these passages in different MSS, see H. Baltensweiler, Die Ehe (n. 4 above), 92; B. M. Metzger, A Textual Commentary on the Greek New Testament, 429-35. <sup>47</sup> See E. Haenchen, *The Acts of the Apostles: A Commentary* (Philadelphia: Westminster, 1971) 449; Str-B, 2. 729–39; F. Hauck and S. Schulz, "Porne, etc.," *TDNT* 6 (1968) 593; H. Richards, "Christ on Divorce," *Scripture* 11 (1959) 22–32; H. Baltensweiler, *Die Ehe*, 92–103.

<sup>48</sup> So, e.g., F. Hauck and S. Schulz, "Pornē, etc.," TDNT 6 (1968) 592; E. Klostermann, Das Matthäusevangelium (4th ed.; Tübingen: Mohr [Siebeck], 1971) 46 (quoting B. Weiss); M. Dibelius, From Tradition to Gospel (New York: Scribner, n.d.) 249; M.-J. Lagrange, Evangile selon saint Matthieu (4th ed.; Paris: Gabalda, 1927) 105 ("Le sens est donc: 'mis à part le cas d'adultère'"); M.-E. Boismard, Synopse des quatre évangiles en français 2: Commentaire (Paris: Cerf, 1972) 308 ("l'adultère de la femme").

<sup>49</sup> See K. Bornhäuser, *Die Bergpredigt* (Gütersloh: Bertelsmann, 1923) 82; A. Fridrichsen, "Excepta fornicationis causa," *SEA* 9 (1944) 54–58, esp. p. 55 n. 2. T. L. Thompson ("A Catholic View on Divorce," *JES* 6 [1969] 53–67, esp. p. 58 n. 22) calls the distinction between *porneia* and *moicheia* "groundless and the result of a very mechanical, almost mathematical idea of language." But that is a sciolist approach to the whole problem.

<sup>50</sup> See J. L. McKenzie, "The Gospel according to Matthew," JBC, art. 43, §38.

<sup>51</sup> What it comes to in the long run is whether one is going to use the Pauline meaning of *porneia* in Matthew or the Lucan meaning from Acts 15. Given the nature of the community that Matthew is addressing, the latter seems more appropriate.

<sup>52</sup> E.g., W. K. L. Clarke, "The Excepting Clause in St. Matthew," Theology 15 (1927) 161-62; F. Gavin, "A Further Note on Porneia," Theology 16 (1928) 102-5; F. W. Green, The Gospel according to Saint Matthew (2d ed.; Oxford: Clarendon, 1945) 220; H. Baltensweiler, Die Ehe (n. 4 above), 87-102; "Die Ehebruchsklausel bei Matthäus: Zu Matth. 5, 32; 19, 9," TZ 15 (1959) 340-56; M. Thurian, Marriage and Celibacy (London: SCM, 1959) 28.-In Roman Catholic circles this interpretation has been mainly associated with the name of J. Bonsirven, Le divorce dans le Nouveau Testament (Paris: Desclée, 1948); "'Nisi ob fornicationem': Exégèse primitive," Mélanges offerts au R. P. Ferdinand Cavallera (Toulouse: Bibliothèque de l'Institut Catholique, 1948) 47-63; "'Nisi fornicationis causa': Comment résoudre cette 'crux interpretum'?" RSR 35 (1948) 442-64. It had, of course, been proposed by several others before him, but he popularized the interpretation. A lengthy list of those who use it can be found in J. Dupont, Mariage et divorce, 106-7 nn. 2-3. Some who have adopted it more recently are: J. Schmid, Das Evangelium nach Matthäus (RNT 1; 5th ed.; Regensburg, Pustet, 1965) 104; R. Pesch, "Die neutestamentliche Weisung für die Ehe, "BibLeb 9 (1968) 208–21, esp. p. 211; R. Schnackenburg, "Die Ehe nach dem Neuen Testament," Theologie der Ehe (ed. G. Krems and R. Munn; Regensburg: Pustet, 1969) 9-36, esp. pp. 17-18.

<sup>53</sup> I am passing over other meanings that have been proposed at times, e.g., the interpretation of *porneia* as intercourse on the part of an engaged girl (see Deut 22:20-21), proposed by A. Isaksson, *Marriage and Ministry* (n. 33 above), 135-42; or the figurative interpretation of *porneia* as pagan unbelief, or "something unseemly [in the eyes of God]," as proposed by A. Mahoney, "A New Look at the Divorce Clauses in Mt 5,32 and 19,9," *CBQ* 30 (1968) 29-38, esp. pp. 32-35; or the interpretation that it refers to "all offences short of adultery," because the dissolubility of marriage for adultery permitted in the Old Testament was implicitly admitted by Jesus," proposed by R. H. Charles, *The Teaching* (n. 33 above), 21-22.

<sup>54</sup> For further discussion of the destination of the Matthean Gospel to a mixed but predominantly Jewish-Christian community, see my note, "Anti-semitism and the Cry of 'All the People' (Mt 27:25)," TS (1965) 667–71, esp. pp. 670–71.

<sup>55</sup> See MM, 696. Also other papyrus texts such as *PSI* §166.11-12; *P. Rylands*, 2. 154:25 (A.D. 66; LCL, *Select Papyri*, 1. 15; *BGU* §1101:5; §1102:8; §1103:6 (13 B.C.; LCL, 1. 22-23).

<sup>56</sup> See the tortuous attempts of R. H. Charles to translate the verb in this way (*The Teaching* [n. 33 above], 43–61).

<sup>57</sup> Possibly it occurs in Josephus, Ant. 15.7,10 §259, but the reading is not textually certain.

<sup>58</sup> BAG, 96. Cf. D. Daube, "The New Testament Terms for Divorce," *Theology* 47 (1944) 66.

<sup>59</sup> Cf. also Esdras A (LXX) 9:36.

<sup>60</sup> So B. K. Diderichsen, Den markianske skilsmisseperikope: Dens genesis og historiske placering (Copenhagen: Gyldendal, 1962) 20-47, 347. See A. Isaksson, Marriage and Ministry (n. 33 above), 94-96; H. Baltensweiler, Die Ehe (n. 4 above), 64 n. 63; F. Neirynck, "De Jezuswoorden" (n. 17 above), 130.

<sup>61</sup> See P. Benoit et al., Les grottes de Murabba'at (DJD 2), 248. A. Isaksson (Marriage and Ministry, 95) wrongly refers to this document as a "divorce certificate found at Qumran." It has nothing to do with Qumran. See further E. Lövestamm, "Apolyein en gammalpalestinensisk skilsmässoterm," SEA 27 (1962) 132-35.

<sup>62</sup> See P. Benoit et al., Les grottes de Murabba<sup>c</sup>at (DJD 2), 105. This document is technically known as a Doppelurkunde, "double document," because the same text of the contract was written twice, and the upper form of it (scriptura interior) was folded over and officially sealed, whereas the lower form (scriptura exterior) was left visible for ready consultation. In case of a dispute over the wording, the seals of the upper part could be broken and the texts compared to make sure that the scriptura exterior had not been tampered with. In this instance the scriptura interior contains the identical formula (lines 13–15).

<sup>63</sup> MM, 66–67. The word turns up in this sense in later Greek literature. <sup>64</sup> See n. 22 above.

<sup>65</sup> A. Isaksson, *Marriage and Ministry* (n. 33 above), 145. See further B. Vawter, "Biblical Theology" (n. 33 above), 232; A. Finkel, *The Pharisees and the Teacher of Nazareth* (Leiden: Brill, 1964) 164–65. Not even the strictures against divorce in Mal 2:14–16 were interpreted in the sense of prohibition.

<sup>66</sup> The text continues, ". . . and not even a divorced woman may marry again on her own initiative unless her former husband consents." See further R. Marcus, "Notes on Torrey's Translation of the Gospels," *HTR* 27 (1934) 220– 21.

<sup>67</sup> It is, of course, quite unclear what precedent this divorce of Salome constitutes in Palestinian Judaism of the time; Josephus regards it as an illegal exception. Part of the problem is that Idumeans are involved, people who were often regarded as "half-Jews."

<sup>68</sup> "The Temple Scroll," *BA* 30 (1967) 135-39; reprinted, *New Directions in Biblical Archaeology* (ed. D. N. Freedman and J. C. Greenfield; Garden City, NY: Doubleday, 1969) 139-48, esp. p. 141. Cf. "Un nouveau manuscrit de la Mer Morte: Le rouleau du Temple," *CRAIBL* 1968, 607-16.

Yadin has now published the *editio princeps* in a modern Hebrew publication, Mgylt-hmqdš [The Temple Scroll] (3 vols.; Jerusalem: Israel Exploration Society, Archaeological Institute of the Hebrew University, Shrine of the Book, 1977). Cf. J. Maier, Die Tempelrolle vom Toten Meer übersetzt und erläutert (Munich/Basel: E. Reinhardt, 1978); A. Caquot, "Le rouleau du temple de Qoumrân," ETR 53 (1978) 443-500; Y. Yadin, "Temple Scroll," Encyclopedia Judaica (Jerusalem: Keter; New York: Macmillan, 1971), 15. 996-98.

<sup>69</sup> The main article in which we are interested is "L'Attitude essénienne envers la polygamie et le divorce," *RB* 79 (1972) 98–99. Two other short articles also supply texts that bear on other matters in the Temple Scroll: "Pesher Nahum (4QpNahum) Reconsidered," *IEJ* 21 (1971) 1–12 (treating of 11QTemple 64:6– 13 [see pp. 132–33 below]); "The Gate of the Essenes and the Temple Scroll," *Qadmoniot* 5 (1972) 129–30 [in Hebrew]; *Jerusalem Revealed: Archaelogy in the Holy City* 1968–1974 (Jerusalem: Israel Exploration Society, 1975) 90–91.

<sup>70</sup> "The Temple Scroll," New Directions (n. 68 above), 142.

<sup>71</sup> A fuller, detailed discussion of the Hebrew text of these lines and of the passage to be cited below from the *Damascus Document* has been published by me in an article, "Divorce among First-Century Palestinian Jews," *H. L. Ginsberg Volume* (Eretz-Israel, 14; Jerusalem: Israel Exploration Society, 1978) 103\*-10\*.

<sup>72</sup> See, e.g., G. Vermes, *The Dead Sea Scrolls in English* (Baltimore: Penguin, 1970) 37. See further, D. Daube, *The New Testament and Rabbinic Judaism* (London: University of London, 1956) 86. Daube calls attention to the fact that CD 7:16–17 quotes Amos 5:26 and interprets the "king" of the Amos passage as "the congregation" (*qhl*).

<sup>73</sup> Josephus makes no mention of this tenet of the Essenes.

<sup>74</sup>Documents of Jewish Sectaries (2 vols.; Cambridge: University Press, 1910; reprinted in the Library of Biblical Studies with a prolegomenon by me, New York: Ktav, 1970), 1. xxxv-xxxvii, 21, (67)-(69), (114)-(115). Schechter's text has to be used with caution. The best edition of the Damascus Document today is that of C. Rabin, The Zadokite Documents 1: The Admonition; 2. The Laws (Oxford: Clarendon, 1954) 16-19. Cf. S. Zeitlin, The Zadokite Fragments: Facsimile of the Manuscripts in the Cairo Genizah Collection in the Possession of the University Library, Cambridge, England (Philadelphia: Dropsie College, 1952) pls. iv-v.

<sup>75</sup> Most of the older discussions have been surveyed and commented on by H. Braun, *Qumran und das Neue Testament* (2 vols.; Tübingen: Mohr [Siebeck], 1966), 1: 40-42; 2: 103-4.

<sup>76</sup> From Qumran Cave 4 have come seven, possibly eight, fragmentary copies of the text. Further fragments were found in Caves 5 and 6; the latter have been published: 5QD (or 5Q12), corresponding to CD 9:7-10; 6QD (or 6Q15), corresponding to CD 4:19-21; 5:13-14; 5:18-6:2; 6:20-7:1. In the Cave 6 fragments one finds a bit that corresponds to the text of CD 4:19-21, in which we are interested here; but what is there is identical with the text of the medieval copy. See M. Baillet et al., *Les 'Petites Grottes' de Qumrán* (DJD 3; Oxford: Clarendon, 1962) 181 and 128-31. Cf. *RB* 63 (1956) 513-23.

<sup>77</sup> "An Essene Missionary Document? CD II, 14--VI,1," RB 77 (1970) 201-29.

<sup>78</sup> See further J. Murphy-O'Connor, "The Essenes and Their History," *RB* 81 (1974) 215–44.

<sup>79</sup> The translation which I give here differs slightly from that used in an earlier article in which this passage was quoted in part, "The Use of Explicit Old Testament Quotations in Qumran Literature and in the New Testament," *ESBNT*, 37. I now take *bznwt* more closely with the three preceding words; for further discussion of this matter, see the article mentioned in n. 71 above.

<sup>80</sup> The Zadokite Documents, 17 n. 2 (on line 20).

<sup>81</sup> E.g., E. Cothenet, "Le Document de Damas," Les textes de Qumran traduits et annotés (2 vols.; Paris: Letouzey et Ané, 1961), 2. 162; L. Moraldi, I manoscritti di Qumran (Turin: Unione tipografica, 1971) 236; J. Murphy-O'Connor, "An Essene Missionary Document?" (n. 77 above), 220.

<sup>62</sup> This identification of the "prince" is taken from C. Rabin, Zadokite Documents (n. 74 above), 18 n. 3 (on line 1).

<sup>83</sup>G. Vermes ("Sectarian Matrimonial Halakhah in the Damascus Rule," JJS 25 [1974] 197–202; reprinted, *Post-Biblical Jewish Studies* [SJLA 8; Leiden: Brill, 1975] 50–56) says that there have been four, but he wrongly ascribes to R. H. Charles an interpretation that the latter did not hold.

<sup>84</sup> Besides Schechter, it has been so interpreted by, among many others, D. Daube, P. Winter (for a survey of opinions, see his article "Sadoqite Fragments IV 20, 21 and the Exegesis of Genesis 1:27 in Late Judaism," ZAW 68 [1956] 71–84), A. Dupont-Sommer, E. Cothenet, L. Moraldi, G. Vermes (in "The Qumran Interpretation of Scripture in Its Historical Setting," ALUOS 6 [1969] 85–97, esp. p. 94), J. Dupont (?).

<sup>85</sup> "Sectarian Matrimonial Halakhah" (n. 83 above), 197–202. Others who so interpret the text are H. Braun, J. Carmignac, C. Rabin, F. Neirynck.

<sup>86</sup> "An Essene Missionary Document?" (n. 77 above), 220. Before him it was so interpreted by J. Hempel, ZAW 68 (1956) 84; and possibly by M. Burrows, More Light on the Dead Sea Scrolls (New York: Viking, 1958) 98–99. Murphy-O'Connor remains skeptical about Yadin's interpretation of CD 4:20–21 in the light of the clear evidence from 11QTemple 57:17–19; see his 'Remarques sur l'exposé du Professeur Y. Yadin," RB 79 (1972) 99–100. But his remarks are unconvincing and represent a reluctance to give up a position taken before the new evidence came along.

<sup>87</sup> However, it might be permitted to relate this passage from 11QTemple to Rom 7:4, where Paul speaks about the married woman who is free to marry again after the death of her husband.

<sup>88</sup>G. Vermes ("Sectarian Matrimonial Halakhah" [n. 83 above], 202) has also recognized this interpretation of the suffix.

<sup>89</sup> The most extensive treatment of this material is given by J. Bonsirven, Le divorce (n. 52 above), but his treatment is scarcely a model of clarity; see J. Dupont, Mariage et divorce (n. 7 above), 108 n. 1.

<sup>90</sup> This matter is not entirely clear, but it is not beyond the realm of possibility that the Qaraite Jews who differed strongly with the rabbinic interpretation of the Torah were influenced by Essene views. It has even been suggested that they might have discovered some of the scrolls themselves and used them as the basis for their own interpretations. The prohibition of divorce is ascribed to them by H. Cazelles, "Marriage," *DBS* 5 (1957) 905–35, esp. col. 927; M.-J. Lagrange, "La secte juive de la nouvelle alliance au pays de Damas," *RB* 9 (1912) 213–40, esp. pp. 332–35. Cf. L. Nemoy, *Karaite Anthology: Excerpts from the Early Literature* (New Haven: Yale University, 1952) 334; A. Büchler, "Schechter's 'Jewish Sectaries," *JQR* 3 (1912–13) 429–85, esp. pp. 433–34; N. Wieder, *The Judean Scrolls and Karaism* (London: East and West Library, 1962) 131–135.

<sup>91</sup> Kommentar zum Neuen Testament, 1. 319–20: ". . . that in the Mishnaic period there was no marriage among the Jewish people which could not be dissolved abruptly by the husband in a fully legal way by the delivery of a writ of divorce."

<sup>92</sup> "The Biblical Theology of Divorce" (n. 33 above), 233.

<sup>93</sup> The Sayings of Jesus (n. 6 above), 233. See further R. H. Charles, The Teaching (n. 33 above), 29 ("an unhistorical question").

<sup>94</sup> See further H. Baltensweiler, Die Ehe (n. 4 above), 83-84.

<sup>95</sup> And in the Roman Catholic view of things, coupled with genuine dogmatic tradition. For further discussion of "the historical Jesus in tandem with the diverse pictures of him in the New Testament," see my article, "Belief in Jesus Today," *Commonweal* 101 (1974) 137-42.

<sup>96</sup> E.g., in the so-called Petrine privilege. See J. McGrath, "Marriage, Canon Law of: 13. Favor of Faith Cases," *New Catholic Encyclopedia* (New York: McGraw-Hill, 1967), 9. 289–90.

<sup>97</sup>G. W. MacRae, S.J., "New Testament Perspective on Marriage and Divorce," Divorce and Remarriage in the Catholic Church (ed. L. G. Wrenn; New York: Newman, 1973) 1–15, esp. p. 3. See further G. Schneider, "Jesu Wort über die Ehescheidung in der Überlieferung des Neuen Testaments," *TTZ* 80 (1971) 65–87, esp. p. 87; B. Byron, "1 Cor 7:10–15: A Basis for Future Catholic Discipline on Marriage and Divorce?" *TS* 34 (1973) 429–45.

<sup>98</sup> V. J. Pospishil, Divorce and Remarriage: Towards A New Catholic Teaching (New York: Herder and Herder, 1967) 37. Whatever else is to be said about the merits or demerits of this book, the treatment of the biblical passages in it is unspeakably bad. That a book on such a touchy issue could appear as late as 1967, treating the biblical passages dealing with it, and basing that treatment solely on such writers as W. R. O'Connor, F. E. Gigot, F. Prat, J. MacRory, and R. Yaron, is indicative of the quality of the proposal being made.

Others who propose the prohibition of divorce merely as an ideal: W. J. O'Shea, "Marriage and Divorce: The Biblical Evidence," Australasian Catholic Record 47 (1970) 89-109, esp. pp. 106-8; J. A. Grispino, The Bible Now (Notre Dame: Fides, 1971) 95-107, esp. p. 106; D. Crossan, "Divorce and Remarriage in the New Testament," The Bond of Marriage: An Ecumenical and Interdisciplinary Study (ed. W. W. Bassett; Notre Dame, IN: University of Notre Dame, 1968) 1-40.

<sup>99</sup> See A. M. Hunter, "The Meaning of the Sermon on the Mount," *ExpTim* 63 (1952) 176-79; J. Jeremias, *The Sermon on the Mount* (Philadelphia: Fortress, 1963) 1-12. Cf. A. M. Ambrozic, "Indissolubility of Marriage in the New Testament: Law or Ideal?" *Studia canonica* 6 (1972) 269-88.

<sup>100</sup> Marriage and Ministry (n. 33 above), 147.

<sup>101</sup> See B. Gärtner, The Temple and the Community in Qumran and the New Testament: A Comparative Study in the Temple Symbolism of the Qumran Texts and the New Testament (SNTSMS 1; Cambridge: University Press, 1963).

<sup>102</sup> See E. S. Fiorenza, Priester für Golt: Studien zum Herrschafts- und Priestermotiv in der Apokalypse (NTAbh ns 7; Münster: Aschendorff, [1972]).

<sup>103</sup> See J. H. Elliott, The Elect and the Holy: An Exegetical Examination of I Peter 2:4-10 and the Phrase basileion hierateuma (NovTSup 12; Leiden: Brill, 1966).

<sup>104</sup> See the comments of B. Vawter on the original form of this article, "Divorce and the New Testament," CBQ (1977) 528-42, esp. pp. 529-34. Now that the Temple Scroll has been fully published (see n. 68 above), one can verify Yadin's reading of 11QTemple 57:17-19 easily enough. His preliminary publication of the lines did not lead us astray; hence my dependence on him has not turned out to be as "precarious" as Vawter would lead his readers to understand. Moreover, I stick to my guns in the interpretation of the passage in

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CD in terms of polygamy and divorce. Vawter tries to fault my interpretation of CD 4:20-21 by referring to another passage in that document (13:17-19) and saying that I failed to mention its stipulation "that the 'Essenes' should seek the permission of their *měbaqqër* (bishop) before divorcing their wives" (p. 534 n. 9). True, I said nothing of that passage in CD in the original form of this article, because I had treated it *in extenso* in the article mentioned in n. 71 above, which was not yet published when Vawter wrote, but which had been submitted for publication long before. As a matter of fact, that passage in CD has nothing to do with divorce, save in Rabin's questionable translation of it, which Vawter has uncritically followed. For further details, see "Divorce among First-Century Palestinian Jews," H. L. Ginsberg Volume, 109\*-10\*.

See further R. Trevijano Etcheverría, "Matrimonio y divorcio en Mc 10, 2-12 y par.," Burgense 18 (1977) 113-51; A. Stock, "Matthean Divorce Texts," BTB 8 (1978) 24-33; A.-L. Descamps, "Les textes évangéliques sur le mariage," RTL 9 (1978) 259-86; 11 (1980) 5-50; J. J. Kilgallen, "To What Are the Matthean Exception-Texts (5,32 and 19,9) an Exception?" Bib 61 (1980) 102-5; J. R. Mueller, "The Temple Scroll and the Gospel Divorce Texts," RevQ 10 (1980-81) 247-56.

## Four

## ARAMAIC KEPHA' AND PETER'S NAME IN THE NEW TESTAMENT \*

AMONG THE MANY problems surrounding the figure of Peter in the New Testament are the meaning of his name and the significance attached to the change of it.<sup>1</sup> Some of them involve the relation between Symeon and Simon as used of him; some of them the relation between Cephas and Peter. In this sort of discussion it is surprising how little attention has been paid to a striking occurrence of the Aramaic name Kephā<sup>2</sup>, and I should like to draw the attention of New Testament scholars to it.

At the outset we may be permitted to set the context for this discussion of Peter's name by recalling the various names that are given to him and the problems they raise. In this way we shall be able to see better the relation to them of the Aramaic material to be discussed.

First of all, we may recall that he is given the name Symeon or Simon.<sup>2</sup> The Semitic form of the name, Symeon or Sime on, is reflected in the Greek of Acts 15:14-at least so it is intended in the Lucan text as we have it. James refers thus to Peter, who has just spoken in 15:7-11. This is the only time that Peter is so named in Luke-Acts; elsewhere he is always referred to as Simon, a similar-sounding Greek name (Simon),<sup>3</sup> or as Peter (Petros),4 or as Simon Peter.5 The use of Symeon in 15:14 for Peter is striking and has given rise to one of the classic problems in that chapter (often used as an important piece of evidence that Luke is here depending on a source-which he may not have completely understood).6 The name Symeon is likewise attested for him in some MSS of 2 Pet 1:1, but even there it is not uniformly attested.7 In any case, the use of both Symeon and Simon reflects the well-known custom among Jews of that time of giving the name of a famous patriarch or personage of the Old Testament to a male child along with a similar-sounding Greek/ Roman name. This use of Symeon can be compared with Luke 2:25, 34; 3:30 and with the names of Joseph or Jacob. The Old Testament background for Symeon is undoubtedly to be sought in Gen 29:33. Used of this disciple of Jesus, it stands in contrast to that of other disciples like Philip or Andrew, who bear Greek names.

Second, in addition to the use of Symeon/Simon for him, the New Testament has recorded the recollection of Jesus having changed Simon's name: "Simon whom he surnamed Peter" (Mark 3:16; cf. Matt 4:18; 10:2; Luke 6:14; Acts 10:5). This change of name is preserved in an even more explicit way in the Gospels of Matthew and John. In the Matthean form of the episode at Caesarea Philippi, after Simon has stated, "You are the Christ, the Son of the living God," Jesus says to him, "Blessed are you Simon Bar-Jona! . . . I tell you, you are Peter, and on this rock I will build my church" (Matt 16:17-18, RSV): Sy ei Petros, kai epi tautē tē petra oikodomēso. . . . In the Johannine Gospel, Andrew finds his brother Simon and brings him to Jesus, who says to him, "'So you are Simon the son of John? You shall be called Cephas' (which means Peter)" (1:42, RSV): Sy ei Simon ho huios Ioannou; sy klethese Kephas ho hermeneuetai Petros. Cephas is not used again in the Fourth Gospel, where the Greek name Peter rather prevails. Aside from the translation of Cephas that is given in 1:42, which removes any hesitation about the way in which one part of the early church understood the change of the name from Simon to Cephas, little is otherwise told in the Johannine Gospel about the significance of the change. A significance of the new name, however, is found in the Matthean passage, at least if one grants that there is a wordplay involved and that the underlying Aramaic substratum involved a similar wordplay.

Reasons for the change of Simon's name have often been proposed. Today we smile at the relation seen between Greek *Kēphas* and Latin *caput* by some patristic writers, who assumed a connection between *Kēphas* and *kephalē*. Thus Optatus of Milevis once wrote (ca. A.D. 370): "... omnium apostolorum caput, Petrus, unde et Cephas est appellatus...."<sup>8</sup> How much was made of this connection and its unsophisticated medieval exploitation need not detain us here.<sup>9</sup> In a similar way we may treat the theorizing about the alleged tendency of Jews at the turn of the Christian era to avoid the use of the Hebrew name Symeon or the Greek name Simon either because it was supposedly forbidden to them by the Roman occupiers of Palestine on account of its hyperpatriotic associations with famous bygone military figures or because it was regarded as too sacred a name for normal use by nationalistic Jews.<sup>10</sup> Such speculation has had to yield to the fact that Symeon/Simon was among the most widely used names for Palestinian male children of the period.<sup>11</sup> Such an avoidance of the name is scarcely the reason for the change from Simon to Cephas/Peter.

Much more frequently the reason for the change of the name has been explained by relating it to the change of names of rather prominent persons in the Old Testament in view of roles that they were to play in the history of the people of Israel: Abram/Abraham (Gen 17:5); Jacob/Israel (Gen 32:28); etc. Against such a background the wordplay of Matt 16:18b has been understood. It is not my purpose to rehearse here all the details of the long debate over that wordplay whether "this rock" refers to the faith of Peter, to the confession of Peter, to Peter himself, or to Jesus.<sup>12</sup> There are rather some aspects of the question that have been somewhat neglected and some philological evidence that should be brought to bear on the names Cephas and Peter.

## I. The Greek Name Kephas and Its Aramaic Counterpart

The name Kēphas is found in the New Testament, outside of the Johannine passage (1:42), only in the Pauline writings (Gal 1:18; 2:9, 11, 14; 1 Cor 1:12; 3:22; 9:5; 15:5).<sup>13</sup> Paul, however, never uses of him the name Simon/Symeon, and he uses *Petros* only in Gal 2:7–8, in a context in which Kēphas otherwise predominates.

Either on the basis of the early church's interpretation of  $K\tilde{e}phas$  as *Petros* (John 1:42) or for other reasons, modern commentators usually identify the Cephas of Galatians with Peter. However, there has always been a small group of commentators who have sought to identify the Cephas of Galatians 1–2 with someone other than Simon Peter. Eusebius quotes the fifth book of the *Hypotyposes* of Clement of Alexandria to the effect that the "Cephas concerning whom Paul says 'and when Cephas came to Antioch I withstood him to the face' [Gal 2:11] was one of the seventy disciples, who had the same name as the apostle Peter."<sup>14</sup> More sophisticated reasons for hesitating about the identity of Cephas and Peter in Galatians have been found in modern times.<sup>15</sup> In antiquity it was often a question of the supposed relative positions of Peter and Paul in the church; in recent times it is the peculiar shift from Cephas to Peter. Though the majority of modern commentators agree that Cephas and Peter are the same person in Galatians 1–2, the shift has been explained by postulating that Paul is "quoting an official document"<sup>16</sup> in vv. 7–8, whereas he has elsewhere used the name Cephas which he otherwise preferred for him. Another aspect of the problem is that whereas the manuscript tradition is

constant in 1 Corinthians in reading Kephas, there is fluctuation between Kephas and Petros in the manuscripts of Galatians.<sup>17</sup> In any case, though we take note of this minority opinion about the identity of Cephas and Peter in Galatians 1-2, we cannot consider it seriously.

The translation of Kephas by Petros in John 1:42 and the wordplay in Matt 16:18 between Petros and petra have been explained from time immemorial by an appeal to the Aramaic background of the name Cephas. Kephas is regarded as a grecized form of the Aramaic word kephā', assimilating it to masculine nouns of the first declension (cf. Ioudas, -ou).<sup>18</sup> The Hebrew noun keph is found in Jer 4:29; Job 30:6; Sir 40:14. To illustrate the Aramaic use, one has often appealed to later rabbinic writings, Syriac, and Christian Palestinian Aramaic.<sup>19</sup> However, there is now some better Aramaic evidence that can be used, coming from earlier or contemporary sources.

The common noun kepha' appears twice in the targum of Job from Qumran Cave 11. A fragmentary phrase containing it is preserved in 11QtgJob 32:1: y'ly  $kp^2$ , "wild goats of the crag," translating He-brew ya'alê sela' (Job 39:1), "mountain goats" (RSV).<sup>20</sup> It also occurs in 11QtgJob 33:9:  $[b]kp^{\circ}$  yškwn wyqnn [ ],<sup>21</sup> "[On] the crag it (i.e., the black eagle<sup>22</sup>) dwells, and it nests [ ]," translating Hebrew sela' yiskon (Job 39:28), "(On) the rock he dwells" (RSV). It is further found several times in the newly published texts of Aramaic Enoch from Qumran Cave 4:  $[w^m r^s] lq lr[s k] p hd rm$ , "[and the sheep] climbed to the sum[mit of] a certain high [cr]ag" (4QEne 4 iii 19 [= 1 Enoch 89:29]);<sup>23</sup> [b]tnyn' wslq lr's kp' dn, "climbed up [again for] a second time to the summit of that crag" (4QEn<sup>c</sup> 4:3 [= 1 Enoch 89:32]);<sup>24</sup> [wlm] drk 'l 'prh w['] [ [kp] yh l' tskhwn mn [hmth], "[and] you are not able [to tr]ead upon the dirt or upon the [roc]ks on account [of the heat]" (4QEn<sup>a</sup> 1 ii 8 [= 1 Enoch 4]).<sup>25</sup> In all of these passages the word seems to have the sense of a "rock" or "crag," a part of a mountainous or hilly region. Coming from Aramaic texts that were used in Palestine in pre-Christian times, this evidence is of no little value.

But does kp' occur in pre-Christian writings as a proper name? T. Zahn, in his commentary on Matt 16:18, implied that the word was so used, but he provided no examples of it.26 O. Cullmann, who notes Zahn's lack of documentation, stated that kp' "is not, as one might suppose, attested as a proper name in Aram."27 Indeed, this lack of attestation of  $kp^2$  as a proper name has been seen as one of the major difficulties in viewing the occurrence of Petros and petra in Matt 16:18 as a reflection of an Aramaic wordplay. In answering an objection which O. Immisch<sup>28</sup> had brought against his interpretation of Matt 16:17-

19,<sup>29</sup> A. Dell argued that Jesus could not have used the wordplay, because the Greek could not have been a translation from an Aramaic Vorlage, since that would imply that  $kp^3$  was a proper name. "Nun ist aber  $kyp^3$  kein Eigenname."<sup>30</sup> And in John 1:42, argued Dell, Petros is a translation of Kēphas, not of a proper name, but of a description (Bezeichnung). The main thrust of Dell's argument, then, was that since  $kp^3$  is unknown as a proper name, there could have been no wordplay involved.

Now, aside from the fact that, as we noted above, Paul uses the grecized form of kp, properly as a name for Peter—which reflects a very early use of it as a proper name (certainly prior to the composition of Matthew)—there does exist an instance of the Aramaic name which should be introduced into the discussion.

Though the text in which it appears has been known since 1953, when it was first published, it has scarcely been noticed; as far as I know, it has not been introduced into the discussion of the  $K\bar{e}phas/Petros$  problem. However,  $kp^{\circ}$  does occur as a proper name in an Aramaic text from Elephantine (*BMAP* 8:10) dated to the eighth year of Darius the King (= Darius II, 424-402 B.C.), hence to 416 B.C.<sup>31</sup> The name is found in a list of witnesses to a document in which a certain Zakkur gives or transfers a slave, named Yedaniah, to a certain Uriah. Nine lines of the document spell out the details of the transfer, and the last three give the names of the witnesses, the first of which runs as follows:

10 shdy' bgw 'trmlky br qlqln, snksr br sbty; shd 'qb br kp'.

Witnesses hereto (are): 'Atarmalki, son of QLQLN; Sinkishir, son of Shabbetai; witness: 'Aqab, son of Kepha'.

The Uriah to whom the slave is given in the text is identified as an "Aramean of Syene" ('rmy zy swn). This is not the place to discuss in detail the meaning of 'rmy over against yhwdy as designations of Jewish individuals in Elephantine texts.<sup>32</sup> Suffice it to say that many Jews and persons with Jewish names figured in the fifth-century military colony on the island of Elephantine and in the town of Syene (= modern Assuan), on the east bank of the Nile opposite the island, and have been given these gentilic designations in the papyri discovered there. The persons mentioned in these Aramaic texts bore not only Northwest Semitic names (Hebrew, Aramaic, or Phoenician), but also Babylonian, Egyptian, and Persian names. Indeed there was a mixture of these names too, even within families, as other names in line 10 show: Sinkishir, a Babylonian name for a son of Shabbetai, an (almost certainly) Hebrew name (used of a Jew in Ezra 10:15; Neh 8:7; 11:16).

This mixture of names in the Elephantine texts raises a question about the patronymic in *BMAP* 8:10. The *br* that precedes it makes it clear the *kp*<sup>3</sup> is a proper name; so it can no longer be maintained that the name is unattested. But is it clearly an Aramaic name, one that would underlie *Kēphas* in the New Testament? When E. G. Kraeling first published this Elephantine text, he translated the name of the last witness on line 10 simply as "Akab b.  $Kp^3$ ," setting the transliterated consonants of the patronymic in italics, as he did elsewhere for names about which he was uncertain or for which he had no real explanation.<sup>33</sup> His note on 8:10 explains the son's name thus:

the perf. (or part.) of the same verb that appears in the impf. in y'qb, Jacob. In both cases we have hypocoristica—the full name must have been something like 'qbyh (on a 3d century B.C. inscription from Alexandria; see RES, 2. No. 79) or 'qbnbw, Aqab-Nebo, in AP 54:10. . . . .<sup>34</sup>

Concerning the patronymic, Kraeling wrote:

The name  $kp^3$  must also have a deity for a subject; J. A.  $kp^3$ , "overthrows." Or may one compare  $kf^3$ , Ranke, AP, 344:15?<sup>35</sup>

That the name kp' is a hypocoristicon is most probable, even though we have no clear instance of a fuller form of the name. That it has anything to do with Aramaic kp', "overthrow," is quite problematic, in my opinion, since that root more properly means "to bend, curve."

The name kp' resembles other proper names which end in aleph in Aramaic documents from Egypt, such as Bs' (BMAP 11:2), Sh' (AP 18:4), Pms' (AP 73:13), Ky' (AP 2:19), Tb' (RES 1794:18), etc. The problem is to suggest real Egyptian equivalents for such short names in these Aramaic texts. In a name like Hrtb? (CIS 138B:3) an Egyptian equivalent has been suggested,  $Hr(n)i-t_{i}b_{i}^{2}-t$  (= Greek Artbos), where the aleph of the Aramic form may reflect a real aleph in an Egyptian word, b3.t, "tree": "Horus of the tree." But in some of the short names there are also variants, such as Kyh (RES 1297:2), or Shh, which suggest that the final aleph of the Aramaic form is a vowel letter. In the last instance, the name \$h3, though often explained as Egyptian,36 is in reality an Aramaized form, and the Akkadian transcription of it as Siha-a argues in favor of the final aleph as a vowel letter. Compare also Pms' (AP 73:13) and Pmsy (AP 44:7). All of this may not be making out an air-tight case; yet it does at least suggest that the best explanation for kp' is that it is not an Egyptian name, but rather an Aramaic name. In that case, it represents Kepha?

It has, at any rate, been so interpreted by no less an authority in things Aramaic than W. Baumgartner. He listed it under the Hebrew word  $k\bar{e}ph$ , "Fels," in *Hebräisches und aramäisches Lexikon zum Alten Testament*, <sup>37</sup> identifying it as a masculine proper name and equating it, without further question, with "*Kephas* NT." P. Grelot similarly toyed with the equation of the *BMAP* form and the New Testament name,<sup>38</sup> but he was obviously hesitant about it, since he mentions two other explanations: a hypocoristicon derived from kpp, "bend, bow down" (yet he gives no plausible fuller form of the name with which it could be compared); or the Egyptian kf (an explanation which he simply borrows from Kraeling). But, as Baumgartner has rightly seen, the only plausible explanation of the *BMAP* is that it is an Aramaic name, related to Hebrew  $k\bar{e}ph$  and the Aramaic common noun kepha?

plausible explanation of the *BMAP* is that it is an Aramaic name, related to Hebrew  $k\bar{e}ph$  and the Aramaic common noun  $keph\bar{a}^2$ . If one had to justify the existence of such an Aramaic name in the fifth century B.C., the best explanation of it would be that it is a hypocoristicon which has lost some theophoric element. In itself, it would be no more enigmatic as a name than the Hebrew  $\hat{y}\hat{u}r$ , "Rock," borne by one of the sons of Jeiel and Maacah of Gibeon (1 Chr 8:30; 9:36) and by one of the kings or leaders of the Midianites (Num 25:15; 31:8; Josh 13:21).<sup>39</sup> This name is rendered in the *RSV* as Zur, but it is a hypocoristicon of such names as  $\hat{y}\hat{u}r\hat{i}^2el$  (Num 3:35) or  $\hat{y}\hat{u}r\hat{s}adday$  (Num 1:6: 2:12) 1:6; 2:12).

The least one can say is that  $kp^3$  is not unknown as a proper name and that Peter is not the first person to have borne it. That it was otherwise in use among Jews of Palestine remains, of course, to be shown. The existence of it as a proper name at least makes more plausible the suggestion that a wordplay in Aramaic was involved. On the other hand, it may take away some of the uniqueness of the name which was often seen in the conferral of it on a disciple by Jesus. The Aramaic substratum of Matt 16:17–18 (at least for those phrases mentioned at the beginning of this essay) might have been something like the following:<sup>40</sup>

like the following:40

<sup>°</sup>antāh hù<sup>°</sup> mēsîḥā<sup>°</sup>, bērēh dî <sup>°</sup>ēlāhā<sup>°</sup> . . . țūbayk, Šim<sup>°</sup>ôn bar Yônāh . . . <sup>°</sup>antāh hû<sup>°</sup> Kephā<sup>°</sup> wē<sup>°</sup>al kephā<sup>°</sup> dēnāh <sup>°</sup>ebnêh . . . .

The wordplay that emerges from such an Aramaic substratum of the Matthean verse could be the key to the role that Simon is to play: He or some aspect of him is to be a crag/rock in the building of the *ekklēsia*. The further connotations of this image can be explored by others. One further aspect of the philological consideration of the Matthean verse needs to be explored, viz., the relation of Aramaic kp° to Greek *Petros*. This brings us to the second part of this essay.

## **II.** The Greek Name Petros

The problem that confronts one is to explain why there is in the Matthean passage a translation of the Aramaic substratum, which is claimed to have the same word  $kp^3$  twice, by two Greek words, *Petros* and *petra*. In John 1:42, *Petros* is given as the equivalent of Aramaic *Kephā*<sup>3</sup> (grecized as *Kēphas*); this is quite understandable. But if the underlying Aramaic of Matt 16:18 had *kephā*<sup>3</sup> twice, then we should expect sy ei Petros kai epi toutō tō petrō oikodomēsō. . . . Because of this problem, two different conclusions have been drawn: (1) A. Dell has concluded that v. 18 cannot be a translation of an underlying Aramaic saying of Jesus ("kein Jesuswort"), but must rather be the creation of Greek-speaking Christians.<sup>41</sup> (2) P. E. Hughes, in studying the pair *Petros/petra*, "for which a suitable Semitic equivalent is not available," infers rather that "Jesus actually spoke in Greek on this occasion."<sup>42</sup> It is hard to imagine two more radically opposed conclusions!

Part of the problem comes from the nature of the languages involved. Both *petros* and *petra* are at home in the Greek language from its earliest periods; and though the words were at times used with slightly different nuances, it is clear that "they are often used interchangeably."<sup>43</sup> On the other hand, G. Gander has shown how Hebrew *'eben, sela'*, and sûr are all rendered by *kephā'* in Syriac (i.e., Aramaic of a later period).<sup>44</sup> So perhaps we are dealing with an Aramaic term which was used with different nuances. When translated into Greek, the masculine form *petros* would lend itself as a more likely designation of a person (Simon), and a literary variant, the feminine *petra*, for an aspect of him that was to be played upon.

Another aspect of the problem is that *Petros* has not yet turned up in Greek as a proper name prior to its occurrence for Simon in the New Testament. The impression has been given that it does indeed occur. The first two occurrences of *Petros* in D. Foraboschi's *Onomasticon alterum papyrologicum*,<sup>45</sup> suggest that there is a contemporary extrabiblical occurrence or a nearly contemporary one: in SB 6191,<sup>46</sup> which Foraboschi dates to the first century;<sup>47</sup> and in *P.Oxy.* 2235, which Foraboschi dates to the second century.<sup>48</sup>

Neither of these references is accurate. The text in SB 6191 is most likely late Roman or Byzantine. It is listed under "christliche Grabsteine" and comes from Antinoe.<sup>49</sup> So Foraboschi's date for it in the first century A.D. is erroneous. Similarly, his second-century date for *P.Oxy.* 2235 is not correct; the editor of the text says of it, "Circa A.D. 346."<sup>50</sup> The list of occurrences of the name *Petros* in F. Preisigke's Namenbuch<sup>51</sup> contains no names that are clearly pre-Christian. In Christian usage

after the New Testament the name *Petros* is, of course, found. It is even found as the name of a pagan in Damascius, *Vita Isidori* 170 (fifth-sixth centuries A.D.), and as the name of the *praeses Arabiae* (A.D. 278–79), Petrus Aurelius.<sup>52</sup>

These, then, are the philological considerations that I have thought worth proposing in the matter of the names, Cephas/Peter. Even if what is presented here stands up under further scrutiny, we should still have to admit that "not all the problems connected w. the conferring of the name Cephas-Peter upon Simon . . . have yet been solved."<sup>53</sup>

#### NOTES

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<sup>1</sup> Modestly tucked away in an otherwise informative article on *Petros* in BAG, 660 is the admission: "Not all the problems connected w. the conferring of the name Cephas-Peter upon Simon . . . have yet been solved."

<sup>2</sup> Ibid., 758, 785.

<sup>3</sup> Luke 4:38; 5:3, 4, 5, 8, 10; 22:31; 24:34.

<sup>4</sup> Luke 8:45, 51; 9:20, 28, 32, 33; 12:41; 18:28; 22:8, 34, 54, 55, 58, 60, 61; Acts 1:13, 15; 2:14, 37, 38; 3:1, 3, 4, 6, 11, 12; 4:8, 13, 19; 5:3, 8, 9, 15, 29; 8:14, 20; 9:32, 34, 38, 39, 40; 10:5, 9, 13, 14, 17, 19, 21, 25, 26, 34, 44, 45, 46; 11:2, 4, 7; 12:3, 5, 6, 7, 11, 14, 16, 18; 15:7.

<sup>5</sup> Luke 5:8; cf. in addition to Acts 10:5 cited in n. 4 above, 10:18, 32; 11:13. <sup>6</sup> To some commentators it has seemed that the Jewish-Christian James would naturally use the Semitic form "Symeon" in speaking of Peter; so O. Cullmann, *Peter: Disciple, Apostle, Martyr: A Historical and Theological Study* (2d ed.; Philadelphia: Westminster, 1962) 19 n. 3. Others, aware of the compilatory nature of Acts 15, raise the question whether vv. 13–29 may not have been derived from a source different from that from which Luke derived the information in vv. 4– 12. In this hypothesis, the name Symeon may have referred originally to another person (e.g., Symeon Niger of Acts 13:1; see S. Giet, "L'Assemblée apostolique et le décret de Jérusalem: Qui était Siméon?" *RSR* 39 [1951] 203– 20; cf. *JBC*, art. 46, §32–34; art. 45, §72–77). In any case, as the text of Acts stands today, "Symeon" is to be understood as referring to Peter (for Luke has undoubtedly "telescoped" accounts of two originally separate and distinct Jerusalem decisions).

<sup>7</sup> See B. M. Metzger, A Textual Commentary on the Greek New Testament, 699: "The weight of external support for the two readings is almost equally divided." "The Committee was agreed that transcriptionally it is more likely that Simon is a correction of Symeon than vice versa...."

<sup>8</sup> CSEL, 26. 36 (ed. C. Ziwsa, 1893). Similarly, Isidore of Seville, Etym. 7.9,3 (PL, 82. 287); cf. W. M. Lindsay, Isidori hispalensis episcopi etymologiarum sive originum libri xx (2 vols.; Oxford: Clarendon, 1957).

<sup>9</sup> See Y. M.-J. Congar, "Cephas-Céphalè-Caput," Revue du moyen âge latin 8

(1952) 5-42; cf. J. A. Burgess, History of the Exegesis of Matthew 16:17-19 from 1781 to 1965 (Ann Arbor, MI: Edwards, 1976) 58-59, 89.

<sup>10</sup> See C. Roth, "Simon-Peter," HTR 54 (1961) 91-97.

<sup>11</sup> See my reply to C. Roth, "The Name Simon," *HTR* 56 (1963) 1–5, with further discussion in *HTR* 57 (1964) 60–61. It has all been reprinted in *ESBNT*, 104–12.

<sup>12</sup> For a recent survey of these opinions, see J. A. Burgess, A History of the Exegesis (n. 9 above), passim.

<sup>13</sup> The name Cephas further appears in 1 Clem. 47.3. The antiquity of the name is established by the Pauline use of it. One can only speculate about his seeming preference for it.

<sup>14</sup>*Hist. eccl.* 1.12,2 (GCS, 2/1, 82).

<sup>15</sup> See K. Lake, "Simon, Cephas, Peter," *HTR* 14 (1921) 95–97; A. M. Völlmecke, *Jahrbuch des Missionshauses St Gabriel* 2 (1925) 69–104; 3 (1926) 31–75; D. W. Riddle, "The Cephas-Peter Problem, and a Possible Solution," *JBL* 59 (1940) 169–80; N. Huffman, "Emmaus among the Resurrection Narratives," *JBL* 64 (1945) 205–26, esp. pp. 205–6 n. 1; C. M. Henze, "Cephas seu Kephas non est Simon Petrus!" *Divus Thomas* 35 (1958) 63–67; J. Herrera, "Cephas seu Kephas est Simon Petrus," ibid., 481–84.

<sup>16</sup> O. Cullmann, "Petros," TDNT 6 (1968) 100 n. 6; Peter (n. 6 above), 20. Cf. G. Klein, "Galater 2, 6-9 und die Geschichte der Jerusalemer Urgemeinde," ZTK 57 (1960) 275-95, esp. p. 283; reprinted, Rekonstruktion und Interpretation: Gesammelte Aufsätze zum Neuen Testament (Munich: Kaiser, 1969) 99-128 (mit einem Nachtrag), esp. pp. 106-7.

<sup>17</sup> UBSGNT<sup>3</sup>, 650-51, makes no mention of this fluctuation, probably considering it not serious enough to note. According to E. Nestle's apparatus criticus, Petron is read in 1:18 by D, G, the Koine text-tradition, pl, latt, sy<sup>h</sup>; in 2:9 P<sup>46</sup> reads Iakōbos kai Petros. But MSS D, G, it, Marcion, Origen, and Ambrosiaster invert the order of these names; in 2:11 Petros is read by the Koine texttradition, D, G, pm, s<sup>h</sup>, Marcion; in 2:14 Petrō is read by the same MSS as in 1:18.—Cf. J. T. Clemons, "Some Questions on the Syriac Support for Variant Greek Readings," NovT 10 (1968) 26-30.

<sup>18</sup> See BDF §53(1).

<sup>19</sup> See, e.g., A. Dell, "Matthäus 16, 17–19," ZNW 15 (1914) 1–49, esp. pp. 14– 17. For an interesting comparison of the nuances of *kephā*' in Syriac as a translation of Greek *petros, petra, lühos* or of Hebrew 'eben, sela', and sûr, see G. Gander, "Le sens des mots: *Petros-petra/Kiphâ-kiphâ/Kyp*'-kyp' dans Matthieu xvi:18a," *RTP* 29 (1941) 5–29; but some of his reasoning is strange. Cf. A. F. J. Klijn, "Die Wörter 'Stein' und 'Felsen' in der syrischen Übersetzung," ZNW 50 (1959) 99–105.

<sup>20</sup> See J. P. M. van der Ploeg and A. S. van der Woude, Le targum de Job de la grotte xi de Qumran (Koninklijke nederlandse Akademie van Wetenschappen; Leiden: Brill, 1971) 74.

<sup>21</sup> Ibid., 76.

<sup>22</sup> On the "black eagle," see my remarks in "The Contribution of Qumran Aramaic to the Study of the New Testament," NTS 20 (1973-74) 382-407, esp. p. 396; reprinted, WA, 85-113, esp. p. 95.

<sup>23</sup> See J. T. Milik, The Books of Enoch: Aramaic Fragments of Qumran Cave 4 (with the collaboration of Matthew Black) (Oxford: Clarendon, 1976) 243-44. Note the use of the adjective rm, "high," in this passage.

<sup>24</sup> Ibid., 204–5.

<sup>25</sup> Ibid., 146–47. Here [kp]yh, "rocks," stands in parallelism with 'prh, "dirt." The Aramaic preposition 'I is interesting, as a background for the Matthean epi. One should also note the meaning of the verb tškhwn, "you are able"; for the problem on which it bears, see my commentary, The Genesis Apocryphon of Qumran Cave 1 (BibOr 18A; 2d ed.; Rome: Biblical Institute, 1971) 150.

<sup>26</sup> Das Evangelium des Matthäus (Kommentar zum Neuen Testament, 1; 4th ed.; Leipzig: Deichert, 1922) 540.

<sup>27</sup> "Petros, Kēphas," TDNT 6 (1968) 100 n. 6. Cf. R. E. Brown, The Gospel According to John (i-xü): Introduction, Translation, and Notes (AB 29; Garden City, NY: Doubleday, 1966) 76: "Neither Petros in Greek nor Kēphâ in Aramaic is a normal proper name; rather it is a nickname. . . ." Brown has a similar statement in his article, "Peter," IDBSup, 654. See further J. Schmid, "Petrus 'der Fels' und die Petrusgestalt der Urgemeinde," Begegnung der Christen: Studien evangelischer und katholischer Theologen (ed. M. Roesle and O. Cullmann; Stuttgart: Evangelisches Verlagswerk; Frankfurt: J. Knecht, 1959) 347-59, esp. pp. 356-57; H. Rheinfelder, "Philologische Erwägungen zu Matth 16,18," BZ 24 (1938-39) 139-63, esp. p. 153 n. 1; H. Clavier, "Petros kai petra," Neutestamentliche Studien für Rudolf Bultmann (BZNW 21; Berlin: Töpelmann, 1954) 94-109, esp. p. 106; J. Lowe, Saint Peter (New York/Oxford: Oxford University, 1956) 7.

<sup>28</sup> "Matthäus 16, 18: Laienbemerkungen zu der Untersuchung Dells, ZNW xv, 1914, 1ff," ZNW 17 (1916) 18–26 (see n. 19 above).

<sup>29</sup> "Matthäus 16, 17–18," *ZNW* 15 (1914) 1–49. On the value of Dell's interpretation, see R. Bultmann, "Die Frage nach dem messianischen Bewusstsein Jesu und das Petrus-Bekenntnis," *ZNW* 19 (1919–20) 165–74, esp. p. 170 n. 2.

<sup>30</sup> "Zur Erklärung von Matthäus 16, 17–19," ZNW 17 (1916) 27–32. See further P. Lampe, "Das Spiel mit dem Petrusnamen—Matt. xvi. 18," NTS 25 (1978–79) 227–45, esp. p. 229 ("Auch kyp/kyp" lässt sich bislang in vorchristlicher Zeit nicht als Eigenname auffinden").

<sup>31</sup> E. G. Kraeling, The Brooklyn Museum Aramaic Papyri: New Documents of the Fifth Century B.C. from the Jewish Colony at Elephantine (New Haven: Yale University, 1953; reprinted, New York: Arno, 1969) 224–31 (+ pl. VIII). The text is actually dated to the 6th of Tishri by the Babylonian calendar (= 22 October) and to the 22d of Paoni by the Egyptian calendar (= 22 September), but there seems to be an error in the text; see Kraeling's note, p. 228.

<sup>32</sup> See B. Porten, Archives from Elephantine: The Life of an Ancient Jewish Military Colony (Berkeley/Los Angeles: University of California, 1968) 3–27 (and the literature cited there); P. Grelot, Documents araméens d'Egypte: Introduction, traduction, présentation (Littératures anciennes du Proche-Orient; Paris: Cerf, 1972) 33–47.

<sup>33</sup> E. G. Kraeling, BMAP, 227.

<sup>34</sup> Ibid., 230. Note, however, the occurrence of the name 'Aqqûb in Neh 8:7, along with Shabbetai.

<sup>35</sup> Ibid.; H. Ranke (*Die ägyptischen Personennamen* [Glückstadt: Augustin, 1935], 1. 344) gives as the meaning of kß, "'der Hintere'(?)."

<sup>36</sup> See further my article, "A Re-Study of an Elephantine Aramaic Marriage Contract (AP 15)," Near Eastern Studies in Honor of William Foxwell Albright (ed. H. Goedicke; Baltimore: Johns Hopkins University, 1971) 137-68, esp. p. 147; reprinted, WA, 243-71, esp. p. 250. What is said there about  $Sh^3$  being "Egyptian" needs the more proper nuance that is now being stated here. I am indebted to Professor Thomas O. Lambdin, of Harvard University, for advice on this matter of Egyptian names appearing in Aramaic texts, especially for the treatment of *aleph* in the short names. The formulation of the matter given above, however, is my own; and I alone am responsible for any possibly unfortunate wording.

<sup>37</sup> W. Baumgartner, *Hebräisches und aramäisches Lexikon zum Alten Testament* (Leiden: Brill, 1974), 2. 468. I am indebted to J. A. Burgess for calling this reference to my attention.

<sup>38</sup> P. Grelot, Documents araméens d'Egypte (n. 32 above), 476. Strangely enough, Grelot writes the New Testament form with epsilon instead of with eta. The spelling [K]ephas (with a short e) turns up in the Coptic Acts of Peter and the Twelve Apostles 1:2 (see M. Krause and P. Labib, Gnostische und hermetische Schriften aus Codex II und Codex VI (Glückstadt: Augustin, 1971) 107.

<sup>39</sup> Note that Koehler-Baumgartner, Lexicon in Veteris Testamenti libros (Leiden: Brill, 1958) 800, even compares Hebrew Sûr, the proper name, with Aramaic kyp<sup>2</sup>.

<sup>40</sup> If I attempt to retrovert the words of Matt 16:18 here, I am implying only the pre-Matthean existence of such a tradition in Aramaic.

<sup>41</sup> A. Dell, "Zur Erklärung," 29–30.

<sup>42</sup> P. E. Hughes, "The Languages Spoken by Jesus," New Dimensions in New Testament Study (ed. R. N. Longenecker and M. C. Tenney; Grand Rapids, MI: Zondervan, 1974) 127-43, esp. p. 141. I am extremely skeptical about the preservation of any Greek sayings of Jesus; see WA, 37.

<sup>43</sup> "Petra," TDNT 6 (1968) 95. For another view of this matter, see P. Lampe, "Das Spiel" (n. 30 above), 240-45.

<sup>44</sup> G. Gander, "Le sens des mots" (n. 19 above), 15-16.

<sup>45</sup> Onomasticon alterum papyrologicum: Supplemento al Namenbuch di F. Preisigke (Testi e documenti per lo studio dell'antichità, xvi, serie papirologica, ii; Milano/ Varese: Ist. editoriale cisalpino, 1967–71), fasc. 4, p. 256. I am indebted to my colleague, F. T. Gignac, S.J., for help in checking these Greek texts, and especially for this reference to Foraboschi.

<sup>46</sup> See F. Preisigke and F. Bilabel, Sammelbuch griechischer Urkunden aus Ägypten (Berlin/Leipzig: de Gruyter, 1926) 28.

<sup>47</sup> D. Foraboschi, Onomasticon alterum, 256.

<sup>48</sup> Ibid. Cf. E. Lobel et al., *The Oxyrhynchus Papyri, Part XIX* (London: Egypt Exploration Fund, 1948) 101.

<sup>49</sup> F. Preisigke and F. Bilabel, Sammelbuch (n. 46 above) 28. Cf. G. Lefebvre, "Egypte chrétienne," ASAE 15 (1915) 113-39, esp. pp. 131-32 (§839). Lines 4-6 date the inscription to "the month of Pachon, 16th (day), beginning of the 13th indiction."

<sup>50</sup> E. Lobel et al., The Oxyrhynchus Papyri, Part XIX (n. 48 above), 101.

<sup>51</sup> F. Preisigke, Namenbuch (Heidelberg: Privately published, 1922; reprinted, Amsterdam: A. M. Hakkert, 1967) 321.

<sup>52</sup> The attempts to cite *Petron* as a reading in one MS of Josephus (Ant. 18.6,3 §156) have long been recognized as useless. The best reading there is *Proton*.

The name Petros is not found in such lists as those given in the following places: F. Bechtel, Die historischen Personennamen des Griechischen bis zur Kaiserzeit (Halle: Niemeyer, 1917; reprinted, Hildesheim: Olms, 1964) (should be on pp.

370-71); L. Robert, Noms indigènes dans l'Asie Mineure gréco-romaine: Première partie (Bibliothèque archéologique et historique de l'institut français d'archéologie d'Istanbul, 13; Paris: A. Maisonneuve, 1963) (should be on p. 563). The entry on Petros in W. Pape and G. Benseler, Wörterbuch der griechischen Eigennamen (Braunschweig: Vieweg, 1911; reprinted, Graz: Akademische Druck- und Verlagsanstalt, 1959) 1187-88, gives only Christian names, or those of pagans of the Christian period.

<sup>53</sup> See n. 1 above.—See further J. K. Elliott, "Kephas: Simon Petros: ho Petros: An Examination of New Testament Usage," NovT 14 (1972) 241-56.

# Five

## CRUCIFIXION IN ANCIENT PALESTINE, QUMRAN LITERATURE, AND THE NEW TESTAMENT\*

IN WRITING to the Corinthians, Paul summed up his Christian message thus: "Jews demand signs and Greeks seek wisdom, but we preach Christ crucified, a stumbling block to Jews and folly to Gentiles, but to those who are called, both Jews and Greeks, Christ the power of God and the wisdom of God" (1 Cor 1:22–24). This familiar Pauline statement, culminating in a rhetorical abstraction of Christ as the power and the wisdom of God, contains nevertheless the succinct Pauline formulation, "we preach Christ crucified." As E. E. Ellis once pointed out, the words "cross" and "crucify" appear in the New Testament outside of the Gospels almost exclusively in the Pauline literature,<sup>1</sup> and there they are used primarily as theological concepts. He continues,

This is not to say that the historical event of the crucifixion has become less important, much less that the theological concept has displaced it. In accordance with Paul's thought generally the theological meaning arises out of and remains united with the historical occurrence, the "salvation history," to which it refers.<sup>2</sup>

However one wants to explain the relation of the theology of the cross to the historical occurrence, the cross and the crucifixion of Jesus of Nazareth are at the heart of Christian faith. Hence, the phenomenon of crucifixion in first-century Palestine will always be of interest to Christians, and new data that come to light about it will always evoke relationships previously unsuspected. Some new evidence of crucifixion in Palestine and some texts from Qumran that bear on the question have recently been published, and it seems good to review the matter to see what bearing this material has on a cardinal tenet of Christianity and the central affirmation of Paul, "we preach Christ crucified."

I propose to take up three pieces of information and shall discuss the topic under three headings: (1) Ossuary remains from Giv<sup>c</sup>at ha-Mivtar; (2) Mention of crucifixion in two Qumran texts; and (3) The bearing of this material on certain New Testament passages.

## I. Ossuary Remains from Giv'at ha-Mivtar

To the northeast of Jerusalem, in the vicinity of the Nablus Road and slightly north of Mt. Scopus, three tombs were discovered in an area called Giv<sup>c</sup>at ha-Mivtar (or Ras el-Masaref) in June of 1968. They were excavated by V. Tzaferis, of the Israeli Department of Antiquities and Museums, and his report was published in 1970.<sup>3</sup> The tombs belonged to "a vast Jewish cemetery of the Second Temple period, extending from Mount Scopus in the east to the Sanhedriya tombs in the northwest."<sup>4</sup> They were rock-cut family tombs with burial chambers and loculi reached by a forecourt. Two ossuaries found in Tomb I are of particular interest to us. Whereas, in general, the pottery found in these tombs can be dated chronologically to the Late Hellenistic period (end of the second century B.C.) and the destruction of the Second Temple in A.D. 70, Tzaferis limits that of Tomb I to the first century A.D.

The five inscribed ossuaries from Giv<sup>c</sup>at ha-Mivtar were published by Joseph Naveh.<sup>6</sup> Ossuary 1 was inscribed with *Smwn bnh hklh* (on the broad side) and with *Smwn/bn<sup>2</sup> hklh* (on the narrow side): "Simon, builder of the Temple."<sup>7</sup> Of this ossuary Tzaferis says:

The Temple mentioned here is certainly the Temple built by Herod and his successors, and it is clear that this Simon died sometime after the building of the Temple had commenced, i.e., after 20 B.C. The building of the Temple was not finished until a short time before its destruction in A.D. 70, and it is within this period that the death of Simon must be dated.<sup>8</sup>

Ossuary 4 of Tomb I was inscribed on its broad side with two inscriptions:

(a)	Yhwhnn	"Yehoḥanan"
(b)	Yhwhnn bn hgqwl	"Yehohanan son of HGQWL."

Naveh found no satisfactory explanation of hgqwl.

i. 1

Ossuary 4 of Tomb I, however, is precisely the one that is intriguing, since in it were found the bones of an adult male and of a child. The lowest parts of the adult leg bones (*tibiae* and *fibulae*) had been broken, and the heel bones (*calcanei*) had been pierced by an iron nail. Tzaferis comments:

This is undoubtedly a case of crucifixion. . . . Mass crucifixions in Judea are mentioned under Alexander Janneus, during the revolt against the census of A.D. 7 and again during the Jewish revolt which brought about the final destruction of the Second Temple in A.D. 70. Individuals were also crucified occasionally by the Roman procurators.

Since the pottery and ossuaries found in Tomb I exclude the period of Alexander Janneus for this crucifixion, and since the general situation during the revolt of A.D. 70 excludes the possibility of burial in Tomb I, it would seem that the present instance was either of a rebel put to death at the time of the census revolt in A.D. 7 or the victim of some occasional crucifixion. It is possible, therefore, to place this crucifixion between the start of the first century A.D. and somewhere just before the outbreak of the first Jewish revolt.<sup>10</sup>

The skeletal remains of the Giv<sup>c</sup>at ha-Mivtar ossuaries were further examined by Dr. N. Haas, of the department of anatomy of the Hebrew University and Hadassah Medical School,<sup>11</sup> on whose report the comments of Tzaferis depend. Haas reported: "Both the heel bones were found transfixed by a large iron nail. The shins were found intentionally broken. Death caused by crucifixion."<sup>12</sup> At the end of Haas's article a more extended treatment of the bones is given, along with drawings, photos, and attempts to reconstruct or depict the mode of crucifixion.<sup>13</sup>

The bones were those of an adult male, aged 24–28, about 5'5" tall. The marks of violence found on the bones were limited to the nailed *calcanei*, to both bones of the left calf (*tibia* and *fibula*), which had been broken in a simple, oblique line, and to the right *tibia*, which had suffered a comminuted (or splintering) fracture. According to Haas, the *calcanei* had been fixed to the upright of the cross by an iron nail that had first been driven through a small plaque of acacia or pistacia wood, then through the heel-bones, and through the upright of olive wood; the point of the nail was finally bent over, apparently behind the upright. Haas described the position of the crucified thus:

The feet were joined almost parallel, both transfixed by the same nail at the heels, with the legs adjacent; the knees were doubled, the right one overlapping the left; the trunk was contorted; the upper limbs were

stretched out, each stabbed by a nail in the forearm. A study of the nail itself, and of the situation of the calcanean bones between the head and the top of this nail, shows that the feet had not been securely fastened to the cross. This assumption requires the addition of the traditional "sedecula" . . . intended to provide a secure seating for the buttocks of the victim, to prevent collapse and to prolong agony.<sup>14</sup>

Haas also concluded that the leg bones were broken by a *coup de grâce*. The fracture of the right tibial bone was produced by a single, strong blow and had repercussions on the left ankle-bones. "The percussion, passing the already crushed right calf bones, was a harsh and severing blow for the left ones, attached as they were to the sharp-edged wooden cross."<sup>15</sup> The situation was such that when the body was removed from the cross, it was impossible to withdraw the nail and there was a post mortem amputation of the feet—the cut being made only after several abortive attempts had been made to extract the nail. Such are the gruesome details recovered from the evidence of the crucifixion of a Palestinian Jew of the first century A.D., named Yehohanan.

The enigmatic inscription on the ossuary was further studied by Y. Yadin, who suggested a connection between the meaning of it and the way in which the adult had died.<sup>16</sup> The puzzling word in the second inscription is the father's name, which Naveh gave as hgqwl. Yadin recalled a story told in the Talmud<sup>17</sup> about a hāsîd who dreamt about a deceased tax-collector who was tormented in the after-life by being hanged upside down over a river without being able to reach its water with his tongue. In the Baraitha de-Masseket Niddah, however, the tax-collector 'gwl by his legs, and his tongue barely touching the water."<sup>18</sup> Now one of the priestly blemishes mentioned in the Mishnah is 'yql ('iqqēl), i.e., "bow-legged," "one whose soles come together and whose knees do not touch."<sup>19</sup> Hence, the son of Theodorus was seen hanging upside down with his legs positioned like the 'iqqēl, "soles together, knees apart." In view of this, Yadin suggested that one should rather read line b of the ossuary inscription as Yhwhnn bn h'qwl, in which the he is really the definite article: "Yehohanan, son of the inscription would refer to the crucified man himself, Yehohanan, and line b to his son, Yehohanan, the son of the 'qwl, explaining the child's bones buried in the same ossuary.

Yadin also called in question the mode of crucifixion. He contests Haas's interpretation that the heels and the acacia-wood plaque, pierced by the nail, were affixed to the upright of olive wood, the upright of the cross. Rather, according to him, the heels were pierced and fixed together to be attached to two plaques of wood, acacia near the end of the nail, and olive near its point, and the nail was then bent backwards to secure the attachment. The man then was fixed to the cross by being hung by his parted legs over the top of the cross—the legs with knees apart but with heels securely fastened together to form a loop over the top to prevent the body from sliding down.

Yadin's interpretation is thus quite different from that originally proposed by either Haas (for the crucifixion) or Naveh (for the inscription). Though Yadin's interpretation of the skeletal remains may possibly be better than Haas's, the defense that he attempts to offer for the reading of *hgqwl*, with the *ghimel* as a badly written 'ayin, is far from convincing, and, frankly, even calls in question the proposed mode of crucifixion. The mode seems to be proposed to explain the questionable philological explanation of the inscription.

In any case, the evidence brought to light by this Israeli excavation is precious, indeed; and coming from Christian and non-Christian teamwork, it cannot be thought to be conditioned or prejudiced.

It might also be well to recall here the words of Josephus who described the crucifixion of Jews at the time of the Fall of Jerusalem (A.D. 70):

The soldiers out of rage and hatred amused themselves by nailing their prisoners in different postures; and so great was their number that space could not be found for the crosses nor crosses for the bodies.<sup>21</sup>

Though this evidence of crucifixion from ossuary 4 of Tomb I of Giv<sup>c</sup>at ha-Mivtar is unrelated to the crucifixion of Jesus of Nazareth, it is nevertheless of value in giving us evidence of such a first-century Palestinian execution. In other words, the evidence for the practice is no longer solely literary in extrabiblical writings, but now archaeological as well.<sup>22</sup>

## II. Mention of Crucifixion in Two Qumran Texts

One of the Qumran texts in which mention is made of crucifixion has been known for some time, the pesher on Nahum of Qumran Cave 4,<sup>23</sup> but it has been the subject of renewed discussion because of a passage in the Temple Scroll of Qumran Cave 11, which was published not long ago. The pesher on Nahum (4QpNah) has been fully published by J. M. Allegro as 4Q169.<sup>24</sup> The relevant passage in it is found on frgs. 3–4, col. i, lines 1–11, esp. lines 7–8. It forms part of a commentary on Nah 2:12–14, in which the prophet describes in poetic fashion the plundering of the treasures of Nineveh and the terror caused for its Assyrian inhabitants as a result of the opposition that the Lord of Hosts assumed toward that rapacious city. It fell in 612 B.C. The author of the Qumran commentary applies the sense of Nahum's words rather to events in the history of Judea to present its own interpretation of what God has done to certain elements in that people. The text of the part of the pesher that interests us reads as follows:

מדור לרשעי גוים אשר הלך ארי לבוא שם גור ארי]	1
ואין מחריד פשרו על דמי]טרוׂס מלך יון אשר בקש לבוא ירושלים בעצת. דורשי החלקות	2
ולא השלים אל אותה 🛛 ביד מלכי יון מאנתיכוס עד עמוד מושלי כתיים]	3
ואחר תרמס	
ארי טורף בדי גוריו מחנק ללביותיו טרף]	4
פשרו] על כפיר החרון אשר יכה בגדוליו ואנשי עצתו	5
וימלא טרף] חירה ומעונתו טרפה פשרו על כפיר התרון [	6
[אשר מצא חטא משפט] מות בדורשי החלקות אשר יתלה אנשים חיים	7
על העץ כי כן נעשה) בישראל מלפנים כי לתלוי חי על העץ [יק]רא הנני]	8
אלי[כה]	
נא[ם יהוה צבאות והבערתי בעשן רובכ]ה וכפיריכה תאכל חרב והכר[תי מארץ	· 9
ט]רפה	
ולא י[שמע עוד קול מלאככה פש]רו רובכה הם גדודי חילו א[שר	10
בירושלי]ם וכפיריו הם	
גדוליו[ ] וטרפו הוא ההון אשר קב[צו כוה]ני ירושלים אשר	11
[י]תנוהו ע[ ]	12

<sup>1</sup>[ Jerusalem shall become] a dwelling-place for the wicked among the Gentiles. Where the lion went to enter (and where) the lion's cubs (were) <sup>2</sup>[with none to disturb (them). The interpretation of it concerns Deme]trius, the king of Greece, who sought to enter Jerusalem at the advice of the Seekers-after-Smooth-Things, <sup>3</sup>[but God did not deliver it] into the hand of the kings of Greece from Antiochus (IV Epiphanes) until the appearance of the rulers of the Kittim. Later on she will be trodden down <sup>4</sup>[ ]. The lion tears enough for its cubs (and) strangles prey for its lionesses.<sup>5</sup>[

The interpretation of it] concerns the Lion of Wrath, who strikes by means of his nobles and his counsellors <sup>6</sup>[ and he fills with prey] his cave and his dens with torn flesh. The interpretation of it concerns the Lion of Wrath <sup>7</sup>[who has found a crime punishable by] death in the Seekers-after-Smooth-Things, whom he hangs as live men <sup>8</sup>[on the tree, as it was thus done] in Israel from of old, for of one hanged alive on the tree (Scripture) re[ads]. Behold, I am against [you], <sup>9</sup>say[s Yahweh of Hosts, and I will burn in smoke your abundance]; and the sword shall devour your young lions. And [I] will cut off [from the land] its [p]rey, <sup>10</sup>and no [longer] sh[all the voice of your messengers be heard. The inter]pretation of it: "Your abundance" means his warrior-bands wh[o are in ]erusal]em; and "his young lions" are <sup>11</sup>his nobles

; ....

[ ] and "his prey" is the wealth which [the prie]sts of Jerusalem have amas[sed], which <sup>12</sup>they will give  $t[0 ]^{25}$ 

It is not possible to discuss this text here in great detail.<sup>26</sup> But the following three points in it should be noted.

(1) Surprising, indeed, is the virtually unanimous agreement among commentators on this text that it contains an allusion to the Seleucid ruler, Demetrius III Eucerus (95-78 B.C.).27 Enemies of the bellicose Sadducee high priest, Alexander Janneus (in office 103-76 B.C.), had invited Demetrius to come to their assistance in Jerusalem.28 In this text the enemies are called the "Seekers-after-Smooth-Things" and are generally identified as Pharisees.29 Demetrius III Eucerus did come, indeed, to the aid of Alexander Janneus' enemies. He encamped at Shechem in 88 B.C., where a fierce battle between his troops and the forces of Alexander Janneus eventually took place. Even though he was the victor in the battle, he had to leave the country in a short time, having lost the support of most of the Jews who had invited him in the first place. As a result he never succeeded in entering Jerusalem-a fact to which 4QpNah 3-4 i 2-3 alludes quite clearly. But Alexander Janneus eventually managed to regain Jerusalem and brought back to it many of the enemy Jews who had caused him so much trouble. Josephus tells us how he then "did a thing that was as cruel as could be: While he feasted with his concubines in a conspicuous place, he ordered some eight hundred of the Jews to be crucified, and slaughtered their children and wives before the eyes of the still living wretches."30 This is the fact to which 4QpNah 3-4 i 7-8 refers, even though the exact interpretation of these lines is not perfectly clear.

(2) Ever since the first publication of this column by Allegro in 1956, it has been recognized that *kpyr hhrwn*, "the Lion of Wrath" (lines 5, 6), refers to Alexander Janneus.<sup>31</sup> The reason for this designation is not clear, but it fits the pattern of the cryptic names that are otherwise used in this (and other) Qumran text(s), such as the "Seekers-after-Smooth-Things," the "Kittim," "Ephraim," etc.

(3) The crucial passage in this column, which has received varied interpretations, is found in lines 7–8. After the lacuna in line 7 Allegro originally understood the first word *mwt* as "death,"<sup>32</sup> an interpretation that he subsequently abandoned in the *editio princeps*, where he regarded the three consonants rather as the end of the word [nq]mwt, "vengeance" (neqamot).<sup>33</sup> Y. Yadin has, however, more plausibly suggested that one should read *mspt mwt*, an allusion to Deut 21:22,<sup>34</sup> which in this context would mean "a verdict of death." Yadin made no effort to restore the rest of the lacuna, but I should propose, following up his

suggested allusion to Deut 21:22, that one read ['sr ms' ht' mspt] mwt bdwršy hhlqwt, "[who has found a crime punishable by] death in the Seekers-after-Smooth-Things," which is closely dependent on the wording of that verse of Deuteronomy.

At the beginning of line 8 the lacuna has been restored in various ways, as Yadin has already pointed out.<sup>35</sup> But in these various restorations one idea has been common: the horror that the sect was expressing at such crucifixion (resembling that of Josephus himself quoted above). The various proposed ways of restoration are the following:

- (a) 'sr l' y'sh, "[which was never done] before in Israel";36
- (b) 'sr lw' 'sh 'ys, "[which no man did] before in Israel";37
- (c) 'sr lw' hyh, "[which never took place] before in Israel."38

More recently Yadin has proposed a different interpretation of the passage and one that is almost surely correct.<sup>39</sup> According to him, the sect did not condemn Alexander Janneus for his actions; although it did not approve of them, it nevertheless recognized in them an expression of God's wrath against the Seekers-after-Smooth-Things, the Pharisees. Consequently, Yadin restores the beginning of line 8 thus: Either [ ${}^{f}h$ 's ky z't hturh] byśr'l mlpnym, "[on the tree, as this is the law] in Israel as of old" or [ ${}^{f}h$ 's ky kn hmšpt] byśr'l mlpnym (same translation).<sup>40</sup> Yadin explains the "law in Israel" by referring to Josh 8:23–29, the execution of the king of Ai, and to Deut 21:22, as used in the Temple Scroll. Yadin's restoration is certainly acceptable, but the one that I have proposed above expresses the same basic idea, without saying whether it was "law" or not, [ ${}^{g}h$ 's ky kn n 5h] byśr'l mlpnym.<sup>41</sup>

No little part of the reason for so interpreting 4QpNah comes from a passage in the Temple Scroll, to which we now turn. This text was acquired at the time of the Six-Day War in 1967 by Y. Yadin for the Shrine of the Book (through support from the Wolfson Foundation).<sup>42</sup> The passage, which is related to 4QpNah, is found in col. 64, lines 6–13 and reads as follows:

כי

יהיה איש רכיל בעמו ומשלים את עמו לגוי נכר ועושה רעה בעמו

- ותליתמה אותו על העץ וימת על פי שנים עדים ועל פי שלושה עדים 8
- יומת והמה יתלו אותו <על> העץ כי יהיה באיש חטא משפט מות ויברח אל 9
  - 10 תוך הגואים ויקלל את עמו ואת בני ישראל ותליתמה גם אותו על העץ
  - 11 וימות ולוא תלין נבלתמה על העץ כי קבור תקוברמ{ה} ביום ההוא כי
- 12 מקוללי אלוהים ואנשים תלוי על העץ ולוא תטמא את הארמה אשר אנוכי
  - 13 נותן לכה נחלה

6

7

<sup>6</sup>... If <sup>7</sup>a man has informed against his people and has delivered his people up to a foreign nation and has done evil to his people, <sup>8</sup>you shall hang him on the tree and he shall die. On the evidence of two witnesses and on the evidence of three witnesses, <sup>9</sup>he shall be put to death, and they shall hang him (on) the tree. If a man has committed a crime punishable by death and has fled to <sup>10</sup>the midst of the Gentiles and has cursed his people and the children of Israel, you shall hang him too on the tree <sup>11</sup>and he shall die. Their bodies shall not pass the night on the tree, but you shall indeed bury them that very day, for what is <sup>12</sup>hanged upon the tree is accursed by God and men; and you shall not defile the land which I am <sup>13</sup>giving to you for an inheritance. . . .

Once again, we cannot discuss this text here in great detail, but the following three points should be noted.<sup>43</sup>

(1) There is no doubt that the text is providing a halakic interpretation of Deut 21:22-23. Since this is a pre-Christian Jewish interpretation—even though it may stem from a particular type of Judaism—it is important to see what was being made of the text of Deuteronomy itself at this period. Two crimes are specified as being covered by Deut 21:22-23: (a) treason, i.e., the passing on of information to an enemy, the delivering of one's people to a foreign nation, and the doing of evil to one's people;<sup>44</sup> (b) evading the due process of law in a case of capital punishment, i.e., by fleeing to a foreign country, and cursing one's people and the children of Israel.<sup>45</sup> These are clearly developments of the Deuteronomic text itself, specifying the crimes for execution.

Yadin is of the opinion that the specific crimes mentioned here, to which Deut 21:22 is being applied, allude to the historic incident of Demetrius III Eucerus and Alexander Janneus.<sup>46</sup> I think that he is right, but there is scarcely any way of really proving it, and he admits this himself.

(2) The text is not clear about what the punishment for the crimes is to be. In the case of treason it says, first, "you shall hang him on the tree and he shall die," but then it adds, on the testimony of witnesses, "he shall be put to death, and they shall hang him  $\langle on \rangle$  the tree" (lines 8–9). The first sounds like crucifixion; Yadin, however, understands the punishment that "such a man should be hanged alive, dying as a result."<sup>47</sup> But the second statement could mean that such a man should be put to death by some other means and then should be hung on a tree. It is possible, of course, to understand the second statement in the light of the first. In any case, the punishment in the crime of evasion of due process is clearly stated as a hanging of the criminal on the tree alive so that he will die (lines 10–11). This could be understood as crucifixion.

This understanding of the verb *tlh* in the text has been questioned by J. M. Baumgarten, who maintains that the "hanging" which is men-

tioned in 11QTemple is not crucifixion, but rather one of the four classic modes of capital punishment in Jewish law (stoning, burning, decapitation, and strangulation).<sup>48</sup> "Hanging" here would be a form of *heneq*, "strangulation." Baumgarten admits that in 4QpNah ytlh 'nšym hyym refers to crucifixion because it explicitly mentions the hanging of "men alive" on the tree, whereas 11QTemple does not. Moreover, Baumgarten notes that "talmudic exegetes have recognized that it [strangulation] lacks any pentateuchal basis and rests ultimately only on the authority of tradition (b. Sanhedrin 52b-53a)."<sup>49</sup>

From the use of ytlh 'nšym hyym in 4QpNah 3-4 i 7 and of they hy I h's in line 9 with its allusion to the story in Josephus about Alexander Janneus,<sup>50</sup> who uses the Greek verb anastauroun, there can be no doubt that tlh in this text refers to crucifixion. It seems to me that 11QTemple is seeking precisely a pentateuchal basis for the "hanging" of which it speaks in the crimes mentioned. Moreover, Yadin is correct in pointing out that in two instances it reverses the order of the verbs, and that this reversal means hanging men alive, "dying as a result," even though the text does not explicitly mention their being "alive." 4QpNah with its allusion to Deut 21:22–23 and its use of *tlh* meaning "crucify" makes it plausible that 11QTemple, which also alludes to Deut 21:22–23, understands th in the same sense, even without the mention of living persons. Why should th in this scroll be interpreted by the meaning that it might possibly have in another Jewish tradition, viz., rabbinic— and of a later date? That *slybt qys*', "hanging on a tree,"<sup>51</sup> came to be substituted for hnq in the list of classic rabbinic modes of execution is beyond doubt—at least for that tradition which made use of the Tg. Ruth.<sup>52</sup> Baumgarten maintains that "the term *slybt qys*" refers to hanging, not to crucifixion."<sup>53</sup> But this, in my opinion, is far from certain, and even in his footnote he admits that *slb* "in Aramaic usage . . . was used to designate a variety of forms of execution: impalement, hanging, as well as crucifixion" (my italics).54 The upshot of this is that I think that Yadin's interpretation of 11QTemple is basically correct; but I should interpret *tlh* in it in the light of the use of that verb in 4QpNah, viz., of crucifixion. In this I remain within the literature of the Qumran community for the interpretation and see no reason to understand th in either of these texts in terms of the rabbinic tradition, as Baumgarten has done.

(3) Deut 21:22–23, which refers to the hanging up of the corpse of an executed criminal exposed as a deterrent to crime, is clearly alluded to in lines 11–13. It refers to a practice that was tolerated in Israel, but which had its limits—and this is the reason for the injunction of burial before sundown. In the MT the reason is ky *qllt 'thym tlwy* "for a hanged

person is accursed by God." This becomes in 11QTemple 64:12 ky mqwlly 'lwhym w'nšym tlwy 'l h's, "for what is hanged upon the tree is accursed by God and men."<sup>55</sup> The author has thus modified the biblical text and insured its interpretation. Whether this was a deliberate modification to offset the modes of interpretation of Deut 21:23 that became current in the later rabbinic tradition is hard to say. But the debate whether ky qllt 'lhym tlwy means "the hanged is something accursed of God" or "the cursing (= the curser) of God (is) hanged"<sup>56</sup> is clearly excluded. In the course of the rabbinic debate blasphemy and idolatry were considered as "the cursing of God."

If Yadin is right in seeing a connection between 4QpNah and 11QTemple—and I think that he is—and if *tlh* in both does refer to crucifixion, then it would seem to imply that not only Romans in Palestine had made use of this mode of execution. It would also give the lie to a comment made by G. Vermes that the "furious young lion" adopted "a form of execution unknown to the Jewish Law."<sup>57</sup> Whether it was admitted in Jewish Law or not is one thing; whether it was practiced by some Jews is another. Josephus has attributed it to the Hasmonean ruler, Alexander Janneus, and 11QTemple 64:6–13 seems to envisage it as the Essene punishment for the crimes of treason and evasion of due process, as discussed above.

Now the material from these Qumran texts has nothing per se to do with the evidence of crucifixion now available from the Palestine ossuary of the Giv<sup>c</sup>at ha-Mivtar tomb discussed in the first part of this paper. We have no idea of the crime for which Yehohanan suffered the fate that he did. Yet there are details both in the new archaeological evidence and in the Qumran texts that shed some light on certain New Testament texts, to which I should now like to turn.

## III. The Bearing of This Material on Certain New Testament Passages

In an otherwise enlightened and interesting discussion of "Quotations in St. Paul," B. Lindars discusses the use of Deut 21:23 in m. Sanh. 6:4 and in a footnote says, "The interesting reference to this passage in 1QpNahum [sic, read 4QpNahum] has no relevance to the New Testament material."<sup>58</sup> This is an astounding assertion, but it illustrates the kind of interpretation of both the Qumran literature and the New Testament that one meets from time to time. It is well, then, that we consider some of the New Testament passages to which this archaeological and literary evidence is certainly relevant.

An allusion to the nailing of Jesus to the cross is found in Col 2:14 in

the reference to the cancelling of the bond which stood out against us with its legal demands: "This he set aside, nailing it to the cross" (*RSV*, *prosēlāsas auto tā staurā*). The implication is that the bond was nailed to the cross together with Jesus himself. There is a further allusion to it in Acts 2:23b, *prospēxantes aneilate*, which the *RSV* translates simply as "(this Jesus) you crucified and killed," but which should more properly be translated as "you fastened to (the cross) and did away with." Cf. also John 20:25. The Colossians' passage has been illustrated by a reference to one in Josephus, which tells of the action of the procurator Gessius Florus (A.D. 64–66), who "ventured that day to do what none had ever done before, viz., to scourge before his tribunal and nail to the cross (*staurā prosēlāsai*) men of equestrian rank, men who, if Jews by birth, were at least invested with that Roman dignity." The heel bones pierced with an iron nail in the ossuary from Giv<sup>c</sup>at ha-Mivtar now adds concrete archaeological evidence of the practice of nailing human beings to a wooden cross as an instrument of execution such as is mentioned in these New Testament passages. The evidence for it is no longer purely literary.

The bones from that ossuary, however, illustrate yet another passage in the New Testament, viz., John 19:32: "So the soldiers came and broke the legs of the first, and of the other who had been crucified with him; but when they came to Jesus and saw that he was already dead, they did not break his legs." The Fourth Gospel sees passover-lamb typology in the act, but the fractured, splintered right *tibia* of Yehohanan, and his broken left shin bones, which N. Haas interpreted as the *coup de grâce*, give again concrete evidence of the practice to which the Fourth Gospel refers. Cf. Gos. Pet. 4:14. Of course, the main New Testament verses which speak of the

Of course, the main New Testament verses which speak of the crucifixion of Jesus (Mark 15:24, kai staurousin auton; Matt 27:35, staurosantes de auton; Luke 23:33, estaurosan auton; and John 19:18, hopou auton estaurosan) are the ones on which this archaeological evidence chiefly bears.

evidence chiefly bears. But the evidence from 4QpNah interests us still more. Several points in it should be noted in this connection. Though H. H. Rowley, in his reaction to the wild interpretations of the text given by his quondam student, J. M. Allegro, once called in question whether there was a reference to crucifixion in this column of the pesher,<sup>59</sup> there is today virtually unanimous agreement about the interpretation of that text as referring to the actions of Alexander Janneus against his Jewish enemies. The result is that this text supplies the missing-link in the pre-Christian Palestinian evidence that Jews did regard crucifixion practiced in that period as a form of the "hanging" to which Deut 21:22-23 could be referred. That crucifixion could have been regarded as a form of "hanging on a tree" was often explained by citing the way in which the crime of *perduellio* was punished in the Roman world by a statutory penalty of crucifixion, "caput obnubito, arbori infelici suspendito."<sup>60</sup> That crucifixion was practiced in Roman Palestine—by the Romans themselves and even by the Hasmonean Alexander Janneus before them—has never been doubted. But the application of Deut 21:22–23 to it has been puzzling, even though always taken for granted as customary exegesis. The pesher on Nahum now provides precisely the extrabiblical documentation for such an interpretation.

As is well known, the death of Jesus is described in various New Testament writers as a hanging on a/the tree. In Acts 5:30 Peter, summoned with John before the synedrion which had forbidden them to preach in Jesus' name, replies that he must obey God rather than men and continues, "The God of our fathers raised Jesus whom you killed by hanging on a tree" (hon hymeis diecheirisasthe kremasantes epi xylou). Or again, in Acts 10:39 Peter, speaking on the occasion of Cornelius' conversion, proclaims, "They put him to death by hanging him on a tree" (hon kai aneilan kremasantes epi xylou). Indirectly, another allusion to it is made in Acts 13:29, when Paul, preaching in the synagogue of Antioch in Pisidia, says, "When they had fulfilled all that was written of him, they took him down from the tree (kathelontes apo tou xylou) and laid him in a tomb." Similarly, the crucifixion of Jesus, associated with a tree, is spoken of in 1 Pet 2:24, "He himself bore our sins in his body on the tree (hos tas hamartias hēmon autos anenenken en to somati autou epi ton xylon), that we might die to sin and live to righteousness." In this instance, some commentators have preferred to translate the text, "he himself carried our sins up onto the tree." And there is, of course, the earliest passage of all (Gal 3:13), which alludes explicitly to Deut 21:23, to which I shall return shortly. At the moment we are noting only those New Testament writers who speak explicitly of Jesus' crucifixion as a "hanging on a/the tree."61 Paul, Acts, and 1 Peter know of this mode of expression, and commentators have generally referred in their explanations to Deut 21:22-23.

Haenchen's comment on Acts 5:30 is interesting in this regard. He says, "The Old Testament expression kremasantes epi xylou alludes to Deut 21.22f. LXX, which the Christians applied to the crucifixion of Jesus."<sup>62</sup> If by that Haenchen means that Christians were the first to apply Deut 21:22-23 to crucifixion, then the relevance of this Old Testament passage in 4QpNah and 11QTemple to the discussion of such New Testament texts, as we have just mentioned, is obvious. The pesher makes it clear that among at least some Palestinian Jews of the first century B.C. or A.D. the text of Deut 21:22-23 had already been associated with crucifixion. But the anarthrous Pauline and Lucan phrase (*epi xylou*, Gal 3:13; Acts 5:30; 10:39) may well have been influenced by the Greek Old Testament of Deut 21:22.

The New Testament passage that interests us above all in the light of the Qumran material is Gal 3:13. It is part of the first of four midrashic developments of the Abraham story in Genesis which is being used by Paul in chaps. 3-4 as part of his proof for justification by faith.63 The first midrashic development is found in Gal 3:6-15, and its startingpoint is Gen 15:6, from which Paul concludes that people of faith are the real children of Abraham, the ones who inherit the blessings of Abraham. By contrast, however, "all who rely on works of the Law are under a curse, for it is written, 'Cursed be everyone who does not abide by all the things written in the book of the law or do them.'" Paul quotes Deut 27:26 to show that the Mosaic law itself uttered a curse against those who were to live by it. He argues that this "curse of the law" has been removed by Christ Jesus, who became himself a "curse" of the law: "Christ redeemed us from the curse of the law, by becoming a curse for us—for it is written, 'Cursed be every one who hangs on a tree'" (and Deut 21:23 is quoted by him; but his quotation omits "by God" and adds "on a tree," which is not found in the MT, but in the LXX). Judged by the canons of Aristotelian logic, his argument is defective indeed. If it were put into a syllogism, it would clearly have four terms, because the "curse of the law" (referring to Deut 27:26 does not have the same sense (or "comprehension") as the "curse" which Jesus became by being hanged on the tree (Deut 21:23). In this passage Aristotelian logic has to yield to what may be called "rabbinic" logic—a type of interpretation of Old Testament texts which relies on catchword bonds or free associations. I besitate to identify it simply with the time bonds or free associations. I hesitate to identify it simply with the type of Jewish interpretation called *gezerāh šāwāh*.<sup>64</sup> In a generic sort of way it may be related to that type of interpretation, because it does interpret one word in the Old Testament by the same word in a different passage, but it does not exactly do with it what is otherwise done. In any case, Paul makes his point when he says that Christ Jesus became a "curse" (in one sense) in redeeming us from "the curse of the law" (in another sense). It is a way of describing one of the effects of the Christ-event.

The Qumran texts, however, help in the understanding of this Pauline passage in the following way. First, they reveal a pre-Christian understanding of crucifixion as a "hanging on a tree" and provide a link for Paul's argumentation. This is especially true of 4QpNah (*pace* **B**. Lindars). Second, they reveal an analogous extension of the

Deuteronomic text, of which Paul makes use. As mentioned earlier, Deut 21:22-23 really deals with the exposure or "hanging" of the corpse of an executed criminal. The Temple Scroll shows the extension of the text to two specific crimes, treason (which Yadin has related to the prohibition in Lev 19:16)65 and evasion of due process. Though flight to the Gentiles is not specifically condemned in the Old Testament, the cursing of one's people associated with it is probably an allusion to the prohibition of Exod 22:27 [Engl. 22:28].66 Paul, of course, does not allude to either of these Old Testament passages, but in an analogous way he has related Deut 27:26 to Deut 21:22-23. His vicarious, soteriological use of Deut 21:23 and its "hanging" applied to Jesus is a Christian theologoumenon, which we would not expect to find in a Qumran text. Third, commentators have often pointed out that when Paul applies Deut 21:23 to Jesus, he modifies the quotation, writing simply epikataratos pas ho kremamenos epi xylou. The Greek Old Testament reads, kekatéramenos hypo theou pas kremamenos epi xylou, "cursed by God is every one who is hanged on a tree." This reflects the Hebrew of the MT, all ? thym tlwy, although the Hebrew lacks the counterpart of epi xylou in this part of the verse. The omission of "by God" in Paul's use of Deut 21:23 is said to represent the delicacy of Paul who could not bring himself to say of Jesus that he was all "lhym, "cursed by God." The same omission is, however, found in 4QpNah 3-4 i 8, and the omission did not escape the notice of Allegro, when he first published the column, ascribing it to the author's "pietistic reasons."67 Whatever may be the reason for the failure to quote the text of Deut 21:23 in full here, this use of it is at least similar to Paul's.

Moreover, in the Temple Scroll the modified form of the curseformula is to be noted. In the MT the formula runs *qllt 'lhym tlwy*, but in 11QTemple 64:12 it is *mqwlly 'lwhym w'nšym tlwy*, "accursed by God and men." A second *nomen rectum* has been introduced into the construct chain. The addition may be midrashic, as M. Wilcox suggests,<sup>68</sup> but it clearly precludes a misunderstanding of the Hebrew *qllt 'lhym* as blasphemy or a "cursing of God." In another way it provides an interesting illustration of the derision of Jesus by passers-by, chief priests, and scribes (Mark 15:29–32a; Matt 27:39–43).

Finally, it is very questionable, indeed, whether any of the new material in these Qumran texts helps solve the age-old problems about the death of Jesus and reponsibility for it raised by the four canonical Gospels. J. M. Ford has tried to use this Qumran material to support "the historicity of the Gospels and Acts, and Paul's placing of the death of Jesus precisely within the context of the Jewish law."<sup>69</sup> But she has not

coped sufficiently with the redaction of many of the passages involved in her discussion and has not allowed for the *Tendenz* that is present in many of them.<sup>70</sup>

Similarly, I have not attempted to relate the various ways in which Deut 21:22–23 is understood in the later targums to this Qumran and New Testament material.<sup>71</sup> At times they have further testimony to the traditions discussed here, but they are later witnesses to this traditional material, perhaps confirming it, but scarcely influencing either the Qumran or the New Testament writers.<sup>72</sup>

#### NOTES

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<sup>1</sup> "Christ Crucified," Reconciliation and Hope: New Testament Essays on Atonement and Eschatology Presented to L. L. Morris on His 60th Birthday (Grand Rapids: Eerdmans, 1974) 69-75, esp. p. 69.

<sup>2</sup> Ibid.

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<sup>3</sup> "Jewish Tombs at and near Giv<sup>c</sup>at ha-Mivtar, Jerusalem," *IEJ* 20 (1970) 18-32.

<sup>4</sup> Ibid., 30.

<sup>5</sup> Ibid., 20.

<sup>6</sup> "The Ossuary Inscriptions from Giv<sup>c</sup>at ha-Mivtar," IE/ 20 (1970) 33-37.

<sup>7</sup> Ibid., 33; see pl. 11.

<sup>8</sup> "Jewish Tombs," 31. The inscription on this ossuary thus helps to date the contents of the tomb.

<sup>9</sup> "The Ossuary Inscriptions," 35; see pl. 13.

<sup>10</sup> "Jewish Tombs," 31. The mass crucifixions under Alexander Janneus are known from Josephus, Ant. 13.14,2 §380–81; J.W. 1.4,6 §97; for those during the revolt prior to A.D. 70, see Josephus, Life 75 §420; J.W. 2.14,9 §306–8; 3.7,33 §321; 5.6,5 §289; 5.11,1 §449–51. For crucifixion by Roman governors, prefects, or procurators, see Josephus. J.W. 2.5,2 §129. Crucifixion of Jews was also practiced by Antiochus IV Epiphanes; see Josephus, Ant. 12.5,4 §256.

<sup>11</sup> "Anthropological Observations on the Skeletal Remains from Giv<sup>c</sup>at ha-Mivtar," *IEJ* 20 (1970) 38–59.

<sup>12</sup> Ibid., 42. Cf. J. W. Hewitt, "The Use of Nails in the Crucifixion," HTR 25 (1932) 29-45.

<sup>13</sup>*IEJ* 20 (1970) pls. 19–24.

<sup>14</sup> Ibid., 58.

<sup>15</sup> Ibid., 57.

<sup>16</sup> "Epigraphy and Crucifixion," IEJ 23 (1973) 18-22.

<sup>17</sup> See j. Hag. 2,2 (77d); M. Schwab, Le Talmud de Jérusalem (6 vols.; Paris: G.-P. Maisonneuve, 1960), 4. 278; cf. b. Sanh. 44b; I. Epstein, The Babylonian Talmud: Seder Nezikin V: Sanhedrin I (London: Soncino, 1935) 292 and n. 6.

<sup>18</sup> See C. M. Horowitz, *Bāraytā' dē-Masseket Niddāh* (Sēper Tôsépātā' 'attîqātā': Uralte Tosefta's [Borajta's] 5; Frankfurt: Horowitz, 1890), 15, 66–68.

<sup>19</sup> m. Bek. 7:6 (see P. Blackman, Mishnayoh, Volume V: Order Kodashim (2d ed.; New York: Judaica, 1964), 5. 278. Cf. H. Danby, The Mishnah Translated from the Hebrew with Introduction and Brief Explanatory Notes (London: Oxford, 1933) 538–39.

<sup>20</sup> "Epigraphy," 19. Yadin devotes a whole paragraph here to the problem of the *ghimel* instead of an 'ayin.

<sup>21</sup> J.W. 5.11,1 §451.

<sup>22</sup> See further J. F. Strange, "Crucifixion, Method of," *IDBSup*, 199–200. Cf. H.-W. Kuhn, "Zum Gekreuzigten von Giv<sup>c</sup>at ha-Mivtar: Korrektur eines Versehens in der Erstveröffentlichung," ZNW 69 (1978) 118–22; "Der Gekreuzigte von Giv<sup>c</sup>at ha-Mivtar: Bilanz einer Entdeckung." *Theologia crucis*— Signum crucis: Festschrift für Erich Dinkler zum 70. Geburtstag (ed. C. Andresen and G. Klein; Tübingen: Mohr [Siebeck], 1979) 303–34.

<sup>23</sup> See J. M. Allegro, "Further Light on the History of the Qumran Sect," *JBL* 75 (1956) 89–95, esp. 90–93 (+pl. 1). Cf. "More Unpublished Pieces of a Qumran Commentary on Nahum (4QpNah)," *JSS* 7 (1962) 304–8. See also *Scrolls from the Wilderness of the Dead Sea* (Smithsonian Institution Exhibit Catalogue; Cambridge, MA: American Schools of Oriental Research, 1965) 17, 26–27.

<sup>24</sup> Qumran Cave 4, I (4Q158-4Q186) (DJD 5; Oxford: Clarendon, 1968) 37-42 (+pls. XII [upper right and lower frgs.], XIII, XIV [upper frgs.]. For most of the literature on the preliminary publications up to late 1968, see my article, "A Bibliographical Aid to the Study of the Qumran Cave IV Texts 158–186," CBQ 31 (1969) 59-71. For other literature, especially more recent discussions of 4QpNah, see G. Jeremias, Der Lehrer der Gerechtigkeit (SUNT 2; Göttingen: Vandenhoeck & Ruprecht, 1963) 131-35; A. Dupont-Sommer, "Observations sur le Commentaire de Nahum," RB 71 (1964) 298-99; D. Flusser, "Pharisees, Sadducees and Essenes in Pesher Nahum," Seper zikkarón liGedalyahi Alon: In Memory of Gedaliahu Alon: Essays in Jewish History and Philology (Tel Aviv: Howbs hm'whd, 1970) 133-60; A. Dupont-Sommer, "Résumé des cours de 1969-70," L'Annuaire du College de France 70 (1970-71) 399-414; Y. Yadin, "Pesher Nahum (4QpNahum) Reconsidered," IEJ 21 (1971) 1-12 (+pl. 1); A. Dupont-Sommer, "Observations nouvelles sur l'expression 'suspendu vivant sur le bois' dans le Commentaire de Nahum (4QpNah ii 8) à la lumière du Rouleau du Temple (11QTempel [sic] Scroll lxiv 6-13)," CRAIBL 1972, pp. 709–20; J. M. Ford, "'Crucify Him, Crucify Him' and the Temple Scroll," ExpTim 87 (1975-76) 275-78; L. Diez Merino, "La crucifixión en la antigua literatura judía (periodo intertestamental)," EstEcl 51 (1976) 5-27; "El suplicio de la cruz en la literatura judía intertestamental," SBFLA 26 (1976) 31-120; M. Wilcox, "'Upon the Tree'-Deut 21:22-23 in the New Testament," JBL 96 (1977) 85-99; M. P. Horgan, Pesharim: Qumran Interpretations of Biblical Books (CBQMS 8; Washington: Catholic Biblical Association of America, 1979) 158–90.

<sup>25</sup> Since no attempt has been made here to mark doubtful or probable readings, one should consult the *editio princeps*. See n. 24 above.

<sup>26</sup> Note, however, the following problems: (a) The meaning of the last two words of line 3 is obscure, because of the following lacuna at the beginning of line 4. (b) The crucial phrase "on the tree" is admittedly restored at the beginning of line 8, but it seems to be demanded not only by the foregoing context, but also by the phrase later on in line 8. (c) The word  $[yq]r^2$  is a possible restoration. Yadin notes that it could also be restored as  $[qw]r^2$ . As he does, I understand it to refer to Scripture (preferably niph. impf.); it could also be translated, "(Scripture) is read." This is to be referred to the allusion to Deut 21:22-23 in the preceding material. It does not introduce the quotation Nah 2:13 in lines 8-10.

<sup>27</sup> H. H. Rowley ("4QpNahum and the Teacher of Righteousness," *JBL* 75 [1956] 188–93) opposed this view; however, that was not only at a period when Qumran research was still primitive, but Rowley was reacting against some of the exaggerated claims that Allegro was basing on lacunae in the text (see *Time* Magazine, 2 April 1956, p. 71). Though he does not say so explicitly, M. Wilcox ("'Upon the Tree,'" 88) seems to query it.

<sup>28</sup> Josephus, Ant. 13.14,2 §380; cf. J.W. 1.4,5-6 §93-98.

<sup>29</sup>See J. T. Milik, Ten Years of Discovery in the Wilderness of Judaea (SBT 26; Naperville: Allenson, 1959) 73. Cf. J. Amusin [Amoussine], "Éphraïm et Manassé dans le Péshèr de Nahum (4 Q p Nahum)," RevQ 4 (1963-64) 389-96; D. Flusser, "Kt mdbr yhwdh whprwsym (The Judean Desert Sect and the Pharisees)," Molad 19 (1962) 456-58.

<sup>30</sup>Ant. 13.14,2 §380; cf. J.W. 1.4,5–6 §93–98. Cf. P.-E. Guillet, "Les 800 'Crucifiés' d'Alexandre Jannée," Cahiers du Cercle Ernest Renan 100 (1977) 11– 16.

<sup>31</sup> "Further Light," 92.

<sup>32</sup> Ibid., 91.

<sup>33</sup> 4Q169 (DJD 5, 38), but he gives no justification for it.

<sup>34</sup> "Pesher Nahum," 11.

<sup>35</sup> Ibid., 4.

<sup>36</sup> So J. M. Allegro, "Further Light," 91; similarly, G. Vermes, *The Dead Sea Scrolls in English* (Pelican Books; Baltimore: Penguin, 1970) 232 ("a thing never done"); T. H. Gaster, *The Dead Sea Scriptures in English Translation* (2d ed.; Garden City: Doubleday, 1964) 240; J. Carmignac, "Interprétation de Nahum," Les textes de Qumran traduits et annotés (ed. J. Carmignac and P. Guilbert; 2 vols.; Paris: Letouzey et Ané, 1961, 1963), 2. 86.

<sup>37</sup> So A. Dupont-Sommer, "Le commentaire de Nahum découvert près de la Mer Morte (4QpNah): Traduction et notes," Sem 13 (1963) 55-88, esp. pp. 57-58.

<sup>38</sup> So A. M. Habermann, Megilloth midbar Yehuda: The Scrolls from the Judaean Desert (Jerusalem: Machbaroth Lesifruth, 1959) 153.

<sup>39</sup> "Pesher Nahum," 10–12.

<sup>40</sup> Ibid.

<sup>41</sup>See also Josh 10:26.

<sup>42</sup> See further pp. 92–93 above.

<sup>43</sup> Several problems of minor character should be pointed out: (a) Yadin compares the informer of 1. 7 with Lev 19:16–18 and 1QS 7:15–16. (b) On "a foreign nation," see CD 14:15. (c) The prep. "on" is my editorial addition, 1. 9. (d) In lines 12–13 God speaks in the first person; contrast the MT of Deut 21:23.

<sup>44</sup> It should be noted that the conjunction w- used in lines 7–8 (and also perhaps the first one in line 10) could be translated as "or," thus making it possible to interpret these lines as various instances of the crimes of "treason" and of "evasion."

<sup>45</sup> Yadin notes ("Pesher Nahum," 7) that the scribe wrote in secondarily the *waw* before "the children of Israel." It presents a problem; how should it be interpreted? Does it equate "the children of Israel" with "his people," or does

it mean that "his people" would refer to the Essene community of Qumran and "the children of Israel" would refer to the larger community of Israel? See L. Díez Merino, "La crucifixión," 14.

46 "Pesher Nahum," 9.

<sup>47</sup> Ibid. Yadin studiously avoids the use of the term "crucifixion" in this article. <sup>48</sup> "Does *TLH* in the Temple Scroll Refer to Crucifixion?" *JBL* 91 (1972) 472-81. Cf. m. Sanh. 7:1 (H. Danby, *The Mishnah Translated from the Hebrew with Introduction and Brief Explanatory Notes* [London: Oxford, 1933] 391; S. Krauss, *Sanhedrin (Hoher Rat)—Makköt (Prügelstrafe): Text, Übersetzung und Erklärung* [Die Mischna, IV/4-5; Giessen: Töpelmann, 1933] 207).

<sup>49</sup> "Does *TLH*," 478.

<sup>50</sup> Ant. 13.14,2 §380; J.W. 1.4,6 §97 (anastaurõsas en mesē tē polei); cf. J.W. 1.5,3 §133. One should also recall the passage in Josephus, where he reports that in the murder of Ananus and a certain Jesus by Idumeans they went so far as to cast out the corpses without burial, whereas, "the Jews are so careful about funeral rites that even malefactors who have been sentenced to crucifixion are taken down and buried before sunset" (hõste kai tous ek katadikês anestaurõmenous pro tou dyntos hēliou kathelein te kai thaptein, J.W. 4.5,2 §317). From this one can conclude that it was not just people like Alexander Janneus who practiced crucifixion in Palestine.—Note also that Josephus (Ant. 2.5,3 §73) interprets the decapitation and hanging (wētālāh) of the chief baker (Gen 40:19) in the Joseph story as crucifixion (anestaurõse). Similarly, the eunuchs of Esth 2:23 who were hanged (wayyitālů) in the MT become "crucified" in Josephus' form of the story (Ant. 11.6,4 §208). Contrast the LXX version of both incidents: kai kremasei se epi xylou (Gen 40:19); kai ekremasen autous (Esth 2:23). See further Josephus, Ant. 11.6,10–13 §246, 280, 289; 12.5,4 §256 (=1 Macc 1:44).

<sup>51</sup> This phrase is found in Tg. Ruth 1:17: 'yt ln' 'rb' mwt' lhyyby', rgymt 'bnyn wyqydt nwr' wqtylt syyp' wslybt qys', "We have four death penalties for the guilty: the throwing of a stone, burning by fire, death by the sword, and hanging on a tree" (E. Levine, The Aramaic Version of Ruth [AnBib 58; Rome: Biblical Institute, 1973] 22). In m. Sanh., the four modes of execution are: slyqh śrph hrg whnq, "stoning, burning, beheading, and strangling." In Tg. Ruth, whnq has become wslybt qys'. The problem is whether the latter means that one is to regard it as a form of "strangling" or whether it was a mode deliberately substituted for it.—Cf. A. Büchler, "Die Todesstrafen der Bibel und der jüdisch-nachbiblischen Zeit," MGWJ 50 (1906) 664-706.

<sup>52</sup> E. Levine says in his commentary on this verse (p. 60), "The targum violates the unanimous rabbinic sources, in perfect accord with sectarian tradition." While this may be true, it should be noted that a variant reading of *Tg. Ruth* 1:17 is found in the MS de Rossi 31, which has whnyqt swdr<sup>3</sup>, "choking with a scarf," instead of wslybt qys<sup>3</sup>. See S. Speier, "Wslybt qys<sup>3</sup>," trgwm Rwt<sup>3</sup>:yz," Tarbiz 40 (1970– 71) 259 (see also p. x). In my opinion, the de Rossi MS is simply making the targum conform to the classic modes of execution. Cf. E. Bammel, "Crucifixion as a Punishment in Palestine," *The Trial of Jesus* (SBT 2/13; ed. E. Bammel; London: SCM, 1970) 162–65.—See further L. Rosso, "Deuteronomio 21,22 contributo del rotolo del tempio alla valutazione di una variante medievale dei settanta," *RevQ* 34 (1977) 231–36; J. Le Moyne, Les Sadducéens (EBib; Paris: Gabalda, 1972) 241.

53 "Does TLH," 474.

<sup>54</sup> Ibid., n. 9. J. Levy (Wörterbuch über die Talmudim und Midrashchim [2d ed.;

rev. L. Goldschmidt; Berlin/Vienna: B. Harz, 1924], 4. 189) defines both Hebr. and Aram. slb "aufhängen, kreuzigen." Similarly, J. Levy, Chaldäisches Wörterbuch über die Targumim und einen grossen Theil des rabbinischen Schrifttums (Cologne: J. Melzer, 1850), 2. 325. M. Jastrow (A Dictionary of the Targumin, the Talmud Babli and Yerushalmi, and the Midrashic Literature [New York/Berlin: Choreb, 1926] 1282) gives the meaning as "to hang, impale," yet he refers to m. Yeb. 16:3, wslwb 9-hslybh, and translates it, "nailed to the stake." Cf. K. H. Rengstorf, Jebamot (Von der Schwagerehe): Text, Übersetzung und Erklärung (Die Mischna, III/1; Giessen: Töpelmann, 1929) 202-3. For other negative reactions to Baumgarten's interpretation, see L. Diez Merino, "La crucifixión," EstEct 51 (1976) 15; J. Heinemann, "Early Halakah in the Palestinian Targumim," //S 25 (1974) 114-22, esp. p. 121 n. 46; M. Hengel, "Mors turpissima crucis: Die Kreuzigung in der antiken Welt und die 'Torheit' des 'Wortes vom Kreuz,'" Rechtfertigung: Festschrift für Ernst Käsemann zum 70. Geburtstag (ed. J. Friedrich et al.; Tübingen: Mohr [Siebeck]; Göttingen: Vandenhoeck & Ruprecht, 1976) 125-84, esp. p. 177 n. 159; cf. Crucifixion (Philadelphia: Fortress, 1977) 84 n. 2.

<sup>55</sup> Though the subject (*llwy*) is singular, the predicate ptc. is plural (*mqwlly*). <sup>56</sup> See the discussion in *m. Sanh.* 6:4 (H. Danby, *The Mishnah*, 390).

<sup>57</sup> The Dead Sea Scrolls in English (Pelican Books; Baltimore; Penguin, 1962) 61; see also p. 231, "a sacrilegious novelty." Cf. P. Winter, On the Trial of Jesus (Studia Judaica, 1; rev. ed. T. A. Burkill and G. Vermes; New York/Berlin: de Gruyter, 1974) 90–96.

<sup>58</sup>New Testament Apologetic: The Doctrinal Significance of the Old Testament Quotations (London: SCM, 1961) 233 n. 2.

<sup>59</sup> "4QpNahum and the Teacher of Righteousness," *JBL* 75 (1956) 188–93, esp. pp. 190–91.

<sup>60</sup> See Cicero, Pro C. Rabirio perduellionis reo, 4.13: "Namque haec tua [verba], quae te hominem clementem popularemque delectant, 'I lictor, conliga manus,' non modo huius libertatis mansuetudinisque non sunt sed ne Romuli quidem aut Numae Pompili; Tarquini, superbissimi atque crudelissimi regis, ista sunt cruciatus carmina quae tu, homo lenis ac popularis, libentissime commemoras: 'Caput obnubito, arbori infelici suspendito,' quae verba, Quirites, iam pridem in hac re publica non solum tenebris vetustatis verum etiam luce libertatis oppressa sunt."-"Now those [words] of yours which you, a merciful man and a friend of the people, are so fond of, 'Lictor, go tie his hands,' not only do not belong to this liberty and clemency (of Romans), but not even to Romulus or Numa Pompilius. Those [words] of Tarquinius, the most haughty and cruel of tyrants, provide the chants for the torturechamber which you, a gentle soul and friend of the people, delight to recall: 'Veil his head; hang him on the tree of shame." These words, my fellow citizens, have long since been done away with in this state of ours, suppressed not only by the darkness of antiquity, but also by the light of liberty.

In this passage Cicero implies that the verdict of crucifixion derives from pre-Republican times. The same idea seems to be present in Livy (1.26,6-7; 1.26,11).

Some classical scholars understand the phrase arbori infelici suspendito to refer to crucifixion (e.g., H. C. Hodge, *Cicero: Speeches* [LCL; Cambridge: Harvard University, 1927] 448). But others think that neither crucifixion nor hanging was meant, but rather that the criminal was fastened to a tree and

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scourged to death. See W. A. Oldfather, "Livy 1, 26 and the supplicium de more maiorum," *Transactions of the American Philological Association* 39 (1908) 49-72. But how widespread is the latter interpretation? Cf. M. Hengel, "Mors turpissima crucis," 145-66; *Crucifixion*, 33-45; J. Schneider, "Stauros, etc.," *TDNT* 7 (1971) 572-84. Indeed, in the context of the speech itself, Cicero makes reference to punishment by a cross ("crucem ad civium supplicium defigi et constitui iubes," 4.11; cf. 10.28).

<sup>61</sup>What should be noted is the use of the article in the New Testament phrases about "hanging on a/the tree." The definite article is found in Acts 13:29 and 1 Pet 2:24; otherwise the phrase is anarthrous. In Deut 21:22 no article is used in the MT; in Deut 21:23, when reference is made to the corpse hanging "on the tree," the article is present. But, significantly, at the end of the verse when it tells of what is "cursed of God" it mentions only "the person hanged," without a reference to the gibbet. The LXX introduces a reference to the tree: *pas kremamenos epi xylou*, as do 4QpNah 3-4 i 8 [so restored at the beginning too] and 11QTemple 64:8, 9, 10, 11. The question arises, then, whether the mention of "the tree" might not have by this time taken on a specific connotation, "hanging on the tree" as = crucifixion.

<sup>62</sup> The Acts of the Apostles: A Commentary (Philadelphia: Westminster, 1971) 251. And n. 4 adds: "As Gal. 3.13 and Acts 10.39 also attest."

<sup>63</sup> See my commentary, "The Letter to the Galatians," *JBC*, art. 49, §21 (p. 241).

<sup>64</sup> See H. L. Strack, Introduction to the Talmud and Midrash (New York: Atheneum, 1969) 94.

<sup>65</sup> Lev 19:16 reads, *l<sup>2</sup> tlk rkyl b<sup>c</sup>myk*, "you shall not go as a slanderer (or informer) against your people." Yadin also explains the doing of evil to one's people in a "military sense" (see 2 Kgs 8:12).

<sup>66</sup> Exod 22:27 reads 'lhym l' tall wnsy' b'mk l' t'r, "you shall not revile God nor curse a ruler of your people" (RSV).

<sup>67</sup> "Further Light," 91 note o-o, where he ascribes such an interpretation to D. N. Freedman and F. M. Cross.

<sup>68</sup> "Upon the Tree," 89. Wilcox is certainly correct in his interpretation of Paul's use of *epikataratos* instead of the *kekatēramenos* of the LXX as an "assimilation" of this quotation to the words of Gal 3:10 (p. 87).

<sup>69</sup> "Crucify Him,' " 278.

<sup>70</sup> For instance, to understand the cursing of the fig tree (Mark 11:12-14, 20-25; Matt 21:18-22) as an instance of Jesus "cursing his people (Temple Scroll line 10)" (ibid., 277) and to suggest that "originally the cursing of the fig tree may have been an important contributing cause of Jesus' death" (ibid.) is just asking too much.

<sup>71</sup> This later material has been discussed in part by M. Wilcox (" 'Upon the Tree," 86–99) and even more extensively by L. Díez Merino ("La crucifixión," 16–24), who also discusses the targumic forms of Num 25:4; Lev 19:26; and Ruth 1:17. The extent to which these targumic paraphrases belong to what he calls "periodo intertestamental" (in the title of his article) is precisely the difficulty. In this connection it would be interesting to speculate a bit. Num 25:4 tells of Yahweh's instruction to Moses to put to death the chiefs of the people to expiate the corporate guilt of Israel because of its apostasy in yoking itself to the Moabite Baal of Peor: "Take all the chiefs of the people, and hang (whwq<sup>6</sup>) them in the sun before the LORD, that the

fierce anger of the LORD may turn away from Israel." The paraphrase in Tg. Neofiti reads: "Take all the chiefs of the people and set them up in a Sanhedrin before YYY and let them become judges. Everyone who is sentenced to death they shall fix to a cross (kl mn dmthyyb qtlh yslbwn ytyh 'l slybh), and bury their corpse with the setting of the sun. In this way the vehement anger of YYY will withdraw from Israel." (See A. Diez Macho, Neophyti 1, Targum palestinense: MS de la Biblioteca Vaticana, Tomo IV Números. . . . [Textos y estudios, 10; Madrid: Consejo superior de investigaciones científicas, 1974] 245). Now if this targum were representative of the intertestamental period, then we would have a very interesting recognition of the right of a Jewish Sanhedrin not only to put a criminal to death, but even to crucify him. But that is precisely a big "if"!

<sup>72</sup> This article represents a reworked form of one of the Speaker's Lectures given at Oxford University in May 1975. In a revised form it was delivered at the fortieth annual meeting of the Catholic Biblical Association, University of Detroit, MI, 17 August 1977.

# Part II PAULINE TOPICS

Six

# THE GOSPEL IN THE THEOLOGY OF PAUL\*

IT IS WIDELY admitted today that long before the four canonical Gospels took shape there existed a growing tradition in the early church about what Jesus did and said, about who and what he was. Why that came to be regarded as a "gospel" tradition is not immediately clear. Nor is it perfectly evident why the literary narrative accounts about him eventually composed came to be called "Gospels." Indeed, the word *euangelion* is neither used very often in the Gospels themselves nor in the New Testament outside of the Pauline corpus (see 1 Pet 4:17; Rev 14:6). This situation stands in contrast to the abundant Pauline use of the term. It raises, moreover, a question about the relationship of the Pauline *euangelion* not only to the use of it elsewhere in the New Testament but to the literary form that came to be known as a "gospel."

It seems rather obvious, however, that *euangelion* in the first verse of the earliest Gospel was a factor in the development of the title for the four canonical accounts: "The beginning of the good news of Jesus Christ, the Son of God" (Mark 1:1). *Euangelion* is not used there as a title of the literary form being introduced, as the noun came to be used later on; hence the translation, "good news." But within the Synoptic tradition neither Matthew nor Luke follow Mark in so introducing their accounts: Matthew uses *biblos*, "a book," and Luke, *diēgēsis*, "a narrative account." (If one were to look for a comparable designation in the Fourth Gospel, it would have to be *martyria*, "testimony," 1:19). The sense of *euangelion* in Mark 1:1, however, is found elsewhere in this early Gospel (see 1:14, 15; 8:35; 10:29; 13:10; 14:9 [also 16:15]): the message about God's new mode of salvific activity on behalf of human beings made present in Jesus Christ, his Son.

One detects at least a Matthean reluctance to use euangelion as often

as did Mark, but much more significant is the avoidance of the term by Luke in his Gospel (see, however, Acts 15:7; 20:24) and by John. The contrast is intensified when one considers the related verb *euangelizesthai*: Mark never uses it, neither does John, and Matthew has it only once (11:5). Luke, however, uses it frequently in both the Gospel (10 times) and Acts (15 times) but almost always merely in the generic sense of "preaching" (like *kēryssein* or *lalein*).<sup>1</sup>

of "preaching" (like kēryssein or lalein).<sup>1</sup> By way of contrast, both the noun and the verb appear frequently in the Pauline corpus. This is significant not only because of the abundant use of the terms in these earliest New Testament writings, but also because of their role in Pauline teaching. Are they factors in the use of euangelion in Mark or in the apparent hesitancy of the other evangelists to pick it up? If, as is usually held, the Marcan Gospel came into being only about A.D. 65,<sup>2</sup> most of the Pauline corpus was already in existence—certainly at least those uncontested Pauline writings, in which the noun occurs most frequently.<sup>3</sup> To try to show what the relation of the Pauline use of *euangelion/euangelizesthai* to the gospel tradition might have been, one has to consider various aspects of "gospel" in Pauline theology. My discussion of the Pauline notion of gospel, therefore, will fall into three parts: (1) The Pauline use of *euangelion/euangelizesthai*; (2) The main characteristics of the Pauline gospel; (3) The origin and background of the Pauline gospel.

## I. The Pauline Use of Euangelion/Euangelizesthai

Paul uses the noun *euangelion* 56 times in his letters (and it occurs four times in the Pastorals); the verb *euangelizesthai* appears 21 times (and never in the Pastorals).<sup>4</sup> In general, *euangelion* serves as a label to express in summary fashion the message that Paul, "the servant of Jesus Christ, called to be an apostle" (Rom 1:1), announced to the world of his day—and, through his letters, to human beings of all ages since then.

Paul sometimes used the noun *euangelion* to express his activity of evangelization (Gal 2:7; Phil 4:3, 15; 1 Cor 9:14b, 18b; 2 Cor 2:12; 8:18). In this sense he often used the verb *euangelizesthai* absolutely (Gal 1:8–9, 16; 4:13; 1 Cor 1:17; 9:16a, b, 18; 15:2; 2 Cor 10:16; Rom 1:15; 15:20). But in the vast majority of passages *euangelion* denotes the content of his apostolic message—what he preached, proclaimed, announced, or talked about.<sup>5</sup> That content, succinctly stated, is "the gospel of Christ" (1 Thess 3:2; Gal 1:7; Phil 1:27; 1 Cor 9:12; 2 Cor

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2:12; 9:13; 10:14; Rom 15:19), "the gospel of our Lord Jesus" (2 Thess 1:8), or "the gospel of his Son" (Rom 1:9), wherein the genitive is normally understood as objective, i.e., the good news about Christ. In some of these instances, however, one can also detect the nuance of Christ as the originator of the gospel (e.g., Rom 15:18–19). More specifically, the gospel is "the good news of the *glory* of Christ" (2 Cor 4:4), i.e., a message about the risen Christ: "It is not ourselves that we preach, but Christ Jesus as Lord" (2 Cor 4:5). Here Paul uses of Christ the title *par excellence* for his risen status, "Lord." At times, however, the content of the gospel can also be expressed as "the faith" (Gal 1:23, in a content-sense), or as "the unfathomable riches of Christ" (Eph 3:8).

Another synonym for the gospel in the Pauline letters is "the word" (1 Thess 1:6) or "the word of God" (2 Cor 2:17). Often enough, when he is discussing the gospel, he refers to it by these synonyms (see 2 Cor 4:2; Phil 1:12–14; 1 Thess 2:13). What is implied in "God's gospel" thus finds expression in a more traditional term, borrowed from the Old Testament itself (1 Chr 17:3 [Hebr.]).<sup>6</sup>

But "gospel" is par excellence Paul's personal way of summing up the significance of the Christ-event, the meaning that the person, life, ininistry, passion, death, resurrection, and lordship of Jesus of Nazareth had and still has for human history and existence. "Christ did not send me to baptize, but to preach the gospel" (1 Cor 1:17). This is why Paul speaks at times of "my gospel" (Rom 2:16; 16:25), "the gospel that I preach" (Gal 2:2; cf. 1:8, 11), or "our gospel" (1 Thess 1:5; 2 Thess 2:14; 2 Cor 4:3; cf. 1 Cor 15:1).

Though "my gospel" emphasized Paul's personal awareness about the special nature of the commission given to him by God to preach his Son among the Gentiles (Gal 1:16), he did not mean thereby that he was announcing a message wholly peculiar to himself or different from that preached by others "who were apostles before me" (Gal 1:17). For he insisted, "whether it was I or they, so we preach and so you came to belief" (1 Cor 15:11). He knew of only one gospel (Gal 1:6) and called down an anathema on anyone who would seek to proclaim a different one (Gal 1:8). Involved in this mode of speaking about the gospel was Paul's own struggle to be recognized in the early Christian church as an apostle and as an authentic preacher of "the gospel," as the first part of Galatians (1:1–2:10) and isolated passages in other of his letters (e.g., 1 Cor 9:1–2; 2 Cor 11:4–6) make clear. He was only too keenly conscious of the special grace of apostolate which had been given to him and which enabled him to announce the good news of Christ Jesus.

Paul realized, of course, that he was preaching a message which had

its origin in God himself, "God's gospel" (1 Thess 2:2, 8-9; 2 Cor 11:7; Rom 1:1; 15:16). Just as Christ in his person and ministry brought God's salvific bounty to human beings in a new way, so now, as object of the gospel that is preached, his work is carried on, and the gospel brings that salvific bounty in its way. In it God accosts human beings, soliciting from them a response of "faith working through love" (Gal 5:6). Because of its origin in God himself, it manifests its character as "gift" and "grace" (cf. 2 Cor 9:14-15). Obviously, what Paul preached about Christ was phrased by him at times in other ways. Synonyms for "the gospel" reveal some aspects of that notion. They are found in such affirmations as "we preach Christ crucified" (1 Cor 1:23; cf. 15:12; 2 Cor 1:19; Phil 1:15, 17) or in phrases like "the story of the cross" (1 Cor 1:18), "the word of faith" (Rom 10:8), or simply "Jesus" (2 Cor 11:4). Indeed, the last cited passage clearly implies an identity of "the gospel" and "Jesus." In all of these formulations, however, Paul plays on nuances of the Christ-event passage clearly implies an identity of "the gospel" and "Jesus." In all of these formulations, however, Paul plays on nuances of the Christ-event itself. That one essential in his thinking he viewed in various ways and expressed the effects thereof under various images.<sup>7</sup> In all, however, he sought to proclaim a message about "Jesus our Lord, who was handed over for our transgressions and raised for our justification" (Rom 4:25), about him who became "the source of life" for human beings, "Christ Jesus, whom God made our wisdom, our uprightness, sanctification, and redemption" (1 Cor 1:30). Paul never told his "story of the cross" in the form of stories about what Jesus did and said. Yet even before those stories took final shape he had presented his "gospel," his interpreta-tion of the Christ-event tion of the Christ-event.

# II. The Main Characteristics of the Pauline Gospel

The above survey reveals in a superficial way the various modes in which Paul spoke of the "gospel," but it is now necessary to probe a little more deeply into the characteristics or aspects of that gospel. We may single out six of them.

(1) The first characteristic that we should consider is the *revelatory* or *apocalyptic* nature of the gospel. For it is the means whereby God's salvific activity toward human beings is manifested in a new way, involving specifically the lordship of Jesus Christ. The thesis of Romans makes this immediately clear, since the aspect of God, which is at the root of that salvific activity, viz., "the righteousness of God," is revealed in the gospel (1:17). This is why it is "good news," because

it makes known the reality of the new age, the reality of the *eschaton*. (Cf. Eph 3:3-6.) Paul is also aware that his gospel can be veiled; but it is so only for the blinded minds of unbelievers, "hindered from seeing the light of the gospel of the glory of Christ who is the likeness of God" (2 Cor 4:4).

(2) A very important characteristic of the gospel for Paul is its dynamic character. Though the evangelists' stories about what Jesus did and said may be a more vivid and less abstract way of presenting the Christevent and its effects, Paul's use of abstractions, such as we have quoted above--including "the gospel"--should not obscure this very important aspect of it. In announcing the thesis of Romans, Paul begins by insisting that he is not ashamed of the gospel, because it is "the power of God (dynamis theou) for the salvation of everyone who has faith, for the Jew first and also the Greek" (1:16). In other words, he views the gospel not merely as an abstract message of salvation or as a series of propositions about Christ (e.g., "Jesus is Lord") which human beings are expected to apprehend and give assent to, but rather as a salvific force unleashed by God himself in human history through the person, ministry, passion, death, and resurrection of Jesus, bringing with it effects that human beings can appropriate by faith in him. That is why it is "God's gospel," though in the human words of Paul.<sup>8</sup> That is why Paul could maintain that he proclaims a Son whom God has raised from the dead, Jesus, who "is delivering us from the coming wrath" (1 Thess 1:10) and that his gospel came to the Thessalonians "not in words only, but with power (en dynamei) and the Holy Spirit, and with much conviction" (1 Thess 1:5). In his earliest letter Paul thus hints that the power associated with the gospel is somehow related to the Spirit of -God himself (see further Eph 1:13). That is why he can speak of "the word of God, which is at work (energeitai) among you who believe" (1 Thess 2:13).

(3) Another characteristic of the Pauline gospel is its kerygmatic relationship. This is expressed not only by the verbs associated with it, mentioned above in part I, which emphasize its proclamatory character, but also in the association of the gospel with a pre-Pauline tradition. For Paul has embedded elements of a primitive proclamation in 1 Cor 15:1-7; indeed, he makes use of language that implies dependence on a prior tradition ("the gospel, which you received . . .; I passed on to you above all what I received," 15:1-2). To be noted in this passage is his reference to the "form" or "terms" (*tini logo*) in which he "evangelized" them (15:2). This seems even to suggest that the primitive kerygma or gospel had already taken a somewhat fixed shape in the pre-written tradition. Moreover, what appears in that embedded fragment is merely another way of formulating what Paul calls his "gospel": "that Christ died for our sins in accordance with the scriptures, that he was buried, that he was raised on the third day in accordance with the scriptures, and that he appeared to Cephas, then to the twelve. Then he appeared to more than five hundred at one time. . . . Then he appeared to James, then to all the apostles. . . . Last . . . he appeared to me."<sup>9</sup> This relation of the Pauline gospel to the primitive kerygma is what enabled Paul to affirm, "Whether it was I or they, so we preach and so you came to belief" (1 Cor 15:11).

In the New Testament kerygma can denote either (a) the content of Christian preaching (Rom 16:25; 1 Cor 1:21), as in 1 Cor 15:1-7 cited above, or (b) the activity of proclaiming (1 Cor 2:4; 15:14), or (c) the role or task given to a preacher or herald (Titus 1:3). Martin Kähler, in a reaction against exaggerated efforts of the Leben-Jesu-Forschung of the last century, insisted that "the real Jesus is the preached Jesus."10 This is truly part of the kerygmatic aspect (Botschaftscharakter) of the Pauline gospel, since its purpose is to re-present Jesus to human beings of all ages, ever since he first appeared in human history, as one who confronts them with God's new mode of salvific activity to be appropriated by faith working itself out through love. This kerygmatic aspect is not independent of the gospel's dynamic character discussed above; it merely presents it in a different light. It needs to be emphasized, even though one cannot divest either the kerygma or the gospel in Pauline thinking of a content sense, as C. H. Dodd saw years ago.<sup>11</sup> For an essential part of the Pauline gospel is its backward glance-what Christ Jesus did "once and for all" (Rom 6:10) for human beings. That immediately says "content," even though the effort to re-present that "what" is equally important. Both of the aspects constitute the proclamatory or kerygmatic character of the gospel.12

Yet another aspect of the kerygmatic character of the gospel has to be considered, viz., the implication that the gospel (as content) and evangelization (as activity) are related to an emergent official process in the Christian community. As the structures of the church begin to appear in the Pauline letters, one detects an awareness of those who are official gospel-heralds (*euangelistai* [not to be confused, of course, with "evangelists" in the modern sense of Gospel-authors]). This provision in church structure is born of the corporate appreciation of Easter faith: To say "Jesus is Lord," there have to be gospel-preachers as well as gospel-hearers (Rom 10:8–17). The gifts and services listed in 1 Cor 12:8–12, 28–30 or Rom 12:6–8 eventually come to include the *euangelistai* (Eph 4:11).<sup>13</sup> But, if this implication is truly present, it must be rightly understood and in two ways: (a) Hidden in it is the logical priority of the gospel over the structured community (or "church"); it is the gospel that calls the church into being, as it were. (b) The kerygmatic character of the gospel relates the communal faith-reaction to it only because of a Spirit-guided process of tradition: No one, individual or community, can react to the proclaimed gospel and identify himself/herself/itself with other Christians in confessing that "Jesus is Lord" unless empowered by the Spirit (1 Cor 12:3). That, ultimately, is why Paul reminded the Christian community of the "form" or "terms" in which he had originally "evangelized" them. He appeared among them as *euangelistes*, a gospel-herald with a Spiritempowered challenge, accosting them from an already existent tradition and representing an emerging, structured community.

These diverse, yet related, aspects of the kerygmatic character of the gospel lead to yet another characteristic of it.

(4) A significant characteristic of the gospel in Pauline thinking is its *normative* role. For there is a sense in which the gospel stands critically over Christian conduct, church officials, ecclesiastical teaching, and even the written Scriptures themselves. This role emerges from various ways in which Paul treats of "the gospel."

In Gal 1:6–9 Paul makes it clear that the gospel that he has preached to the Galatian churches tolerates no rival. There is simply no "other gospel" (1:7). This was said in a context of the Judaizing problem in the early church in which certain Jewish practices were being imposed on Gentile Christians (circumcision, dietary regulations, and the celebration of certain feasts in a Jewish calendar). Though Paul was anxious to "share" his gospel with others (1 Thess 2:8), he never tolerated its adulteration or contamination, because he recognized its sovereignty and unmanipulability.

In preaching the gospel, Paul insisted that human beings were expected to listen to it (Eph 1:13), welcome it (2 Cor 11:4), even obey it (2 Thess 1:8; Rom 10:16). In short, they were to "believe" or "put faith" in Christ Jesus preached in it (Rom 1:5, 17; 10:16). Their hearing of it (*akoē*) was not to stop short of a personal commitment to it (*hypakoē*, Rom 10:16-17; 1:5; 16:26). Thus, the gospel is understood to exercise a certain authority over human beings, playing a normative role linked to its kerygmatic character. It accosts them, challenging them to conform to its proclamation.

With regard to Christian conduct, Paul sees the gospel as an inspiration and guide for it: "Let your manner of life be worthy of the gospel of Christ, so that whether I come and see you or am absent, I may hear of you that you stand firm in one spirit, with one mind

striving side by side for the faith of the gospel" (Phil 1:27). Here Paul sees the united testimony of Christians governed by the gospel itself

sees the united testimony of Christians governed by the gospel itself and not by any allegiance to him. Though we may look in vain in the Pauline letters for a passage in which he discusses explicitly the relationship of the church to the gospel, we can detect some of his thinking about this relationship when we recall the famous Antioch incident (Gal 2:11–14). There he re-buked Cephas, one of the "pillars" of the church (2:9), when he saw that he was not "walking straight according to the truth of the gospel" (2:14). Regardless of how one interprets the respective roles of Cephas and Paul in the early chapters of Galatians,<sup>11</sup> it is clear that Paul considered the gospel as a norm: its "truth" was the gauge of the conduct even of an important church-official. And the impli-cation is that the gospel is above him. But "norm." almost by definition, seems to imply restriction, bound-

of the conduct even of an important church-official. And the impli-cation is that the gospel is above him. But "norm," almost by definition, seems to imply restriction, bound-ary, or limit. Yet the gospel, especially as it has been historically understood ever since Marcion, who sought to separate law and gospel as two antitheses,<sup>15</sup> has seemed rather to be liberating or open. This idea seems to be founded in yet another place in Galatians itself; in 2:5 Paul speaks of "the truth of the gospel," mentioning it in a context of "the freedom which we have in Christ Jesus" (2:4), which has to be preserved in the face of the "false brothers" who were seeking to undermine it. The freedom of which Paul speaks there was being endangered in the Judaizing problem, when Christians, who should have understood the role of the liberating gospel in Christian life, were seeking to impose forms of a man-made legalism on other Christians. One may see a dialectic here in the Pauline notion of gospel, which is normative but liberating. It plays a *liberating* role vis-à-vis the restric-tions of man-made legalism, whereas it plays a *normative* role because of its God-based origin. If one wants to accept the new mode of salvation offered to humanity in Christ Jesus, one has to accept its demands. In the long run the irony exists in that the very "truth of the gospel" according to which Paul was asking Cephas to walk was itself a liberation of him from a man-made contamination of the gospel itself. The gospel can also be understood as an entity that even plays a normative role over the Scriptures themselves. All through this discus-sion of the Pauline notion of gospel, we have been regarding it as "the good news of Jesus Christ," dealing with it as the "word" (1 Thess 1:6) in a pregnant sense, as "the word of God" (2 Cor 2:17), as a reality that existed prior to the written Gospels and even prior to Paul's preaching of Christ. But the Scriptures—those of the New Testament—came into

being only several decades after the gospel or the word of God had already been dynamically and kerygmatically at work. The New Testament writings in all their diversity, record a distillation of that dynamism and kerygma—in a privileged form, to boot, that no subsequent church teaching or dogmatic formulation can rival—but they still remain a reflection, an inspired reflection, of the gospel reality. And as such, the gospel acts as a norm even for the written Scriptures.<sup>16</sup> Herein one would find at least one aspect of the relation of the gospel (in the Pauline sense) to the written Gospels.<sup>17</sup>

(5) Still another characteristic of the Pauline gospel is its promissory nature. In the very opening formula of the Letter to the Romans, Paul speaks of God's gospel, "which he promised beforehand through his prophets in the holy scriptures" (1:2). The gospel, then, is looked on as a concrete realization of God's promises of old. This is, however, the only place in Romans where Paul brings "the gospel" into close relationship to "the promise." This may seem strange in view of his explicit quotation of the prophetic words of Isa 52:7, about the beautiful feet of those who announce good news, quoted in 10:15 in the context of the need of Christian heralds so that human beings may come to faith. Though the notion of God's promise of old plays an important role in Paul's treatment of Abraham in Rom 4:13-21; 9:4-13 and in Gal 3:14-29; 4:21-31, where it is pitted against "the law," in none of these passages is the gospel explicitly introduced or brought into relationship with the promise. However, in the Epistle to the Ephesians the two ideas are closely joined (cf. 1:13; and especially 3:6).18

(6) The preceding characteristic, especially as it is presented in Eph 3:6, introduces yet another; the *universal* character of the gospel in Pauline thinking. This aspect of the gospel is proposed in the thesis of Romans, where it is described as the power of God for salvation "to every one who has faith, to the Jew first and also to the Greek" (1:16). Indeed, the word that is preached and that seeks to elicit faith in view of salvation is announced to all, "for there is no distinction between Jew and Greek; the same Lord is Lord of all" (Rom 10:12). Paul recognized that he had been entrusted with the gospel for the uncircumcised, just as Peter had been entrusted with it for the circumcised (Gal 2:7). If Paul admitted a priority in the matter to the Jews, as he did in Romans 1:16 (cf. 2:10), that is simply because of the relation of the gospel to the promise mentioned above and because of the prerogatives that he, even as a Christian apostle, always admitted about his former correligionists (see Rom 3:1-2); "to them belong . . . the promises"

(Rom 9:4). But, he insisted, "God shows no partiality" (Rom 2:11). Thus the salvific bounty made available to human beings in the Christ-event was destined for Jew and Gentile, for everyone.

# III. The Origin and Background of "Gospel"

The foregoing survey of the use of *euangelion/euangelizesthai* and of its various characteristics in Pauline theology reveal that it was a notion of no little importance to the Apostle. How did he come to express his interpretation of the Christ-event in terms of it?

The initial survey of the use of euangelion/euangelizesthai in the Gospels and Acts in the introduction to this paper revealed how rarely these terms were used by the evangelists in contrast to Paul. Though one may want to debate the question, the data in Mark and Matthew are such that one cannot conclude with certainty that Jesus himself made much use of the terms or of the Aramaic counterpart of evangelion. The Greek noun appears on his lips in Mark 1:15; 8:35; 10:29; 13:10; 14:9; [16:15].<sup>19</sup> But in the Matthean parallels to the first three of these sayings it is absent. Moreover, though the great commission of the risen Christ in the Marcan appendix (16:15) is phrased in terms of it (in keeping, as it were, with a theme in the Gospel itself), the commission in Matthew avoids all reference to it (28:18-20). Hence the question is raised whether the use of it in Mark 13:10 and 14:9 (on which Matt 24:14 and 26:13 depend) is to be attributed to Marcan formulation or not. If it were to be, then further questions arise. Willi Marxsen is of the opinion that Mark introduced the term euangelion into the material of the Synoptic tradition, and that Paul's understanding of "gospel" is the presupposition of the Marcan usage, even though one may not assume direct dependence.20 This may be an acceptable interpretation of the evidence,<sup>21</sup> but it raises the further question about how Paul came to use the term so frequently and significantly.

The noun euangelion had already been in use in Greek literature and inscriptions long before Paul. In Homer's Odyssey it denotes a "reward given to a herald of good news" (14.152, 166). In the sense of "good news" or even simply of "news" it is often found in Hellenistic writings.<sup>22</sup> A religious connotation was associated with the word when it came to designate a "sacrifice" offered to gods "for good news."<sup>23</sup> A still more significant use of the word is found on the Calendar Inscription from Priene (in Asia Minor), first published in 1899. It had been set up as part of the introduction of the use of the Julian Calendar into the Roman province of Asia, making New Year's day coincide with the emperor Augustus' birthday, 23 September: "And [the birthday] of the god (= Augustus, the *divi filius*) was for the world the beginning of the good tidings owing to him (*ērxen de to kosmo ton di' autou evangeli[on hē genethlios*] tou theou).<sup>24</sup> Here a beneficial, even sacral, connotation of the plural *euangelia* is recognized to be present.

Yet despite this considerable Greek evidence of the use of *euangelion* in the contemporary world, recent students and commentators have been reluctant to ascribe the Pauline use of *euangelion* solely to this background, because *euangelizesthai* occurs in the Greek Old Testament in a far closer religious sense (e.g., Ps 68:12; 96:2; Nah 2:1; Isa 52:7; cf. *Ps. Sol.* 11:1).<sup>25</sup> It is often the translation of the Hebrew noun *běśôrāh*, "good news" (announced by a herald). The sole dependence of the Pauline usage on that in the contemporary emperor cult is, indeed, simply not that evident. There exists a notable difference between the eschatological connotation of Pauline *euangelion* and its beneficial connotation in that cult. Moreover, the fact that Paul deliberately quotes Isa 52:7 in Rom 10:15, precisely in a context in which he is speaking of the preaching of "the gospel" (10:16), shows that his notion of *euangelion* is heavily dependent on the Old Testament idea of God's herald and his message.

It is, of course, not impossible that the Christian kerygma was already cast in terms of *euangelion* prior to Paul—1 Cor 15:1–2 may even suggest that—but we cannot be sure. Yet, in any case, it seems as though the Christian use of *euangelion* as the good news about the risen Jesus as Lord and the new mode of salvation available to human beings in him may have emerged quite independently of the so-called sacral or beneficial use of *euangelion* in the contemporary emperor cult in the eastern Mediterranean lands.

If we are right in relating the Pauline use of *euangelion* to that in the Old Testament writings of the postexilic period, then we can appreciate better the nuance of Paul's reference to the "gospel promised aforetime through his prophets" (Rom 1:2) and the promissory character of the gospel that Paul himself preached.

That Paul's use of *euangelion* is related to the New Testament Gospels is thus rather likely, even though he never uses the word in the sense of a literary composition. We have seen above that his use of the term may have been the presupposition of the Marcan introduction of the term into his account of what Jesus did and said. From there it would have spread as a Christian word to designate the other "Gospels" (canonical and apocryphal). The distinctive Christian use of the term is seen when one considers that Greek *euangelion* was not translated into Latin as *nuntius bonus* (as it might have been), but was rather simply transcribed as evangelium because of the distinctive religious content-sense that it carried. Having entered Latin as evangelium, it spread to the romance languages as évangile, vangelo, evangelio.

#### NOTES

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<sup>1</sup> An exception would have to be made for the etymological sense of the verb in Luke 4:18, where he so uses it in a quotation from Isa 61:1. Also in Luke 7:22, which alludes to these passages.

<sup>2</sup> It should be recalled, however, that some interpreters would date Mark after A.D. 70. Yet, if one were to prefer to go along with J. A. T. Robinson (*Redating the New Testament* [Philadelphia: Westminster, 1976] 352) and date all the New Testament writings before A.D. 70, one should note that even for him the *entire* Pauline corpus antedates Mark. See my review, *Int* 32 (1978) 309-13.

<sup>3</sup> Since the use of *euangelion* in 2 Thessalonians, Colossians, and Ephesians is so similar to that of the uncontested Pauline letters, I shall include data from them in this survey, considering only the Pastorals as Deutero-Pauline.

<sup>4</sup> See R. Morgenthäler, Statistik des neutestamentlichen Wortschatzes (Zürich: Gotthelf, 1958) 101; K. Aland, Vollständige Konkordanz zum griechischen Neuen Testament (Berlin: de Gruyter, 1978), 2. 118–19.

<sup>8</sup> With the noun euangelion, Paul uses various verbs: euangelizesthai (Gal 1:11; 1 Cor 15:1; 2 Cor 11:7); lalein (1 Thess 2:2); kēryssein (1 Thess 2:9; Gal 2:2); katangellein (1 Cor 9:14); gnörizein (Eph 6:19). See further E. Molland, Das paulinische Euangelion: Das Wort und die Sache (Avhandlinger utgitt av Det Norske Videnskaps-Akademie i Oslo, 11. Hist.-Filos. Klasse, 1934, No. 3; Oslo, J. Dybwad, 1934) 11-12, 41-42.

<sup>6</sup> See further Col 1:5, "The word of truth, the gospel"; Eph 1:13, "the word of truth, the gospel of your salvation." Cf. 2 Cor 6:7. See R. Bultmann, *Theology of the New Testament* (2 vols.; London, SCM, 1952), 1. 188–89.

<sup>7</sup> For further discussion of these effects of the Christ-event, see pp. 163-64 below.

<sup>8</sup> See H. Schlier, "Euangelion im Römerbrief," Wort Gottes in der Zeit: Festschrift Karl Hermann Schelkle zum 65. Geburtstag dargebracht (ed. H. Feld and J. Nolte; Düsseldorf: Patmos, 1973) 127-42.

<sup>9</sup> The last phrase in v. 7 is a Pauline addition to the kerygmatic fragment. For further discussion of this passage, see R. E. Brown et al. (eds.), *PNT*, 33-36. Paul has also embedded further fragments of the kerygma into other parts of his letters (e.g., Rom 1:3-4; 1 Thess 1:9-10).

<sup>10</sup> See Der sogenannte historische Jesus und der geschichtliche, biblische Christus (Leipzig: A. Deichert, 1892) 22 (new edition by Ernst Wolf; Munich: Kaiser, 1953, 44).

<sup>11</sup>The Apostolic Preaching and Its Developments (London: Hodder and Stoughton, 1944) 7–17; The Gospel and the Law of Christ (London: Longmans, Green, 1947) 5; History and the Gospel (New York: Scribner's Sons, 1938) 7.

<sup>12</sup> For further discussion of the kerygmatic character of the gospel, see my article, "The Kerygmatic and Normative Character of the Gospel," *Evange-*

lium — Welt — Kirche: Schlussbericht und Referate der römisch-katholisch/evangelischlutherischen Studienkommission "Das Evangelium und die Kirche", 1967–1971, Auf Veranlassung des Lutherischen Weltbundes und des Sekretariats für die Einheit der Christen (ed. H. Meyer; Frankfurt am M.: O. Lembeck; J. Knecht, 1975) 111– 28, esp. pp. 118–21.

<sup>13</sup> See also Acts 21:8; 2 Tim 4:5.

<sup>14</sup> For various possibilities of interpretation, see PNT, 27-32.

<sup>15</sup> Tertullian (*Adv. Marc.* 1.19) wrote of him: "Separatio legis et evangelii proprium et principale opus est Marcionis" (see also 1.21; 4.1 [CSEL, 47.314, 318, 423]).

<sup>18</sup> The normative role of the gospel vis-à-vis the Old Testament is seen in Paul's attitude toward it, viewing some of its essential teachings in the light of the Christ-event (see Rom 4:23-24; 15:4).

<sup>17</sup> For some comments on the gospel as a canon-critical principle (discussed by Käsemann), see the article mentioned in n. 12, p. 124.

<sup>18</sup> It may cause some surprise that it is only in the Epistle to the Ephesians that gospel and promise are really brought into explicit relationship. For those who regard Ephesians as Deutero-Pauline it may create something of a difficulty; but it should be kept in mind in view of the emphasis put on these notions over against law at the time of the Reformation.

<sup>19</sup> In Mark 1:1, 14 it occurs in a remark of the evangelist.

<sup>20</sup> Mark the Evangelist: Studies on the Redaction History of the Gospel (Nashville: Abingdon, 1969) 146.

<sup>21</sup> Marxsen seeks to explain further differences that Mark introduced beyond the Pauline conception (e.g., a certain identification of Jesus with the gospel [see 8:35; 10:29, "for my sake and that of the gospel"] with the result that in Marcan usage Jesus is both the subject and the object of the gospel; see pp. 126–50). On this explanation, see Georg Strecker, "Literarkritische Überlegungen zum euangelion-Begriff im Markusevangelium," Neues Testament und Geschichte: Historisches Geschehen und Deutung im Neuen Testament: Oscar Cullmann zum 70. Geburtstag (Zürich: Theologischer Verlag; Tübingen: Mohr [Siebeck], 1972) 91– 104.

<sup>22</sup> E.g., Plutarch, Sertor. 11.4; Appian, Bell. civ. 3.93 §384; 4.20 §78; Josephus, J.W. 2.17,4 §420; 4.10,6 §618. The profane use of euangelion/euangelia can also be found in the Greek OT: 2 Sam 4:10; 18:20, 22, 25, 27; 2 Kgs 7:9; Jer 20:15.

<sup>23</sup> E.g., Diodorus Siculus 15.74,2; Plutarch, Sertor. 26.3.

<sup>24</sup> See W. Dittenberger, Orientis graeci inscriptiones selectae (2 vols.; Leipzig, S. Hirzel, 1903-05; reprinted, Hildesheim, G. Olms, 1970) sec. 458, pp. 40-41. Cf. Hennecke-Schneemelcher, NTA, 1. 71-75.

<sup>25</sup> The background of the Pauline usage has been well worked out by P. Stuhlmacher, *Das paulinische Evangelium: I. Vorgeschichte* (FRLANT 95; Göttingen: Vandenhoeck & Ruprecht, 1968).

# Seven

# RECONCILIATION IN PAULINE THEOLOGY\*

IN CHRISTIAN THEOLOGY reconciliation has always played an important role.<sup>1</sup> The doctrine of reconciliation is rooted in biblical teaching, but it has also been developed in various ways through the course of the centuries, as theologians wrestled with the concept in their explanations of the ways of God with man. The Christian doctrine of the reconciliation of sinful man is rooted in the Old Testament as well as in the New Testament, and an adequate discussion of the biblical treatment of the topic would demand a monograph. But one of the main proponents of reconciliation in the Bible is the Apostle Paul, and since the role of reconciliation in his theology has recently been called in question,<sup>2</sup> there is reason to reconsider it. My purpose, then, is to discuss the notion of reconciliation in Pauline theology.

Before we examine the idea of reconciliation itself, however, it might be wise to situate it in a general way in Pauline theology as a whole. As the first Christian theologian, Paul left us in his letters many teachings, and among them are the various ways in which he interpreted the Christ-event. In reflecting on what Christ Jesus accomplished for mankind and what his effect was on human history, different writers of the early Christian community summed up his words, his deeds, and his personal impact in various ways. Paul showed little interest in the earthly life of Jesus or in what he actually did and said—in what is for so many Christians of today a thing of no little importance. Paul did learn indeed of some of the sayings of Jesus and of his teachings, as a number of passages in his letters reveal.<sup>3</sup> But because most of his letters were composed prior to the composition of the earliest Gospel, it is understandable that he did not echo much of what we know of today as the gospel-tradition.<sup>4</sup> Paul's dominant interest was in what Jesus

accomplished for humanity in his passion, death, burial, resurrection, exaltation, and heavenly intercession. This complex of the last phases of Christ's earthly career can be referred to as "the Christ-event," even though Paul himself never so expressed it; it is a convenient modern way of labelling that about which he preached and wrote. Some writers call it the "whole work of Christ."<sup>5</sup> It has also been termed the "objective redemption," i.e., that aspect of the redemption of human beings wrought in Christ Jesus which he accomplished "once for all" (ephapax, Rom 6:10) and which is wholly independent of our cooperation. It consequently underlines its gratuitous character. In this view, it stands in contrast to our attempt to appropriate or apprehend the effects of the Christ-event through faith (and baptism), which is often then regarded as the "subjective redemption." This terminology, actually born of a later problem, is not biblical or Pauline; but it does help at least to sharpen the aspects of the Christ-event about which we are talking.

Looked at in this way, it is not difficult to single out the various ways in which Paul objectively viewed the Christ-event, because in many instances he himself employed abstractions which enable us to grasp what he had in mind. As labels for the effects of the Christ-event, Paul used a series of abstract nouns and also some verbal forms. We can cull at least nine of them from his letters. Thus, as Paul looked back at the Christ-event, he interpreted its effects as (1) "justification" (dikaiosis, dikaiosynē, dikaioun), an acquitting of human beings, whereby they may stand before God's tribunal or judgment-seat innocent, upright, or righteous (Gal 2:16; Rom 3:26-28; 4:25; 5:18)-to this effect goes the pride of place;<sup>6</sup> (2) "salvation" (soteria, sozein), a restoration of human beings to safety, health, wholeness, or integrity from a state of danger, sickness, corruption, or sin (2 Cor 7:10; Rom 1:16; 10:10; 13:11;<sup>7</sup> (3) "expiation" (hilasterion), a wiping away of human sin<sup>8</sup> by the blood of the crucified Christ, who is now the new "mercy seat," superseding the kapporet of old (Rom 3:25);9 (4) "ransom/redemption" (apolytrosis), an emancipation or manumission of human beings bringing about their liberation through a ransom, whereby God acquires a people in a new sense (1 Cor 1:30; Rom 3:24; 8:32; cf. Eph 1:14);10 (5) "sanctification" (hagiasmos, hagiazein), a dedication of human beings to God's service, thus removing them from the profane (1 Cor 1:2, 30; 6:11);11 (6) "freedom" (eleutheria, eleutheroun), a liberation of human beings which gives them new rights (as citizens of a heavenly commonwealth) and an outlook freed of the anxiety of Self, Sin, Death, and Law (Gal 5:1, 13; Rom 8:1-2, 21; 2 Cor 3:17);12 (7) "transformation" (metamorphosis), a gradual reshaping of human beings by the glory of God reflected in the

face of Christ; it is the effect of the Creator God, who through Christ shines light anew into human life (2 Cor 3:18; Rom 12:2; cf. Eph 4:22– 24);<sup>13</sup> (8) "new creation" (*kainē ktisis*), a creating of a new life and of a new humanity, of which Christ is the head as the Adam of the *eschaton* through his life-giving Spirit (Gal 6:15; 2 Cor 5:17; Rom 6:4; 1 Cor 15:45);<sup>14</sup> and (9) "reconciliation" (*katallagē, katallassein*), a restoring of humanity (and the world [*kosmos*]) to a status of friendship with God and fellowmen (2 Cor 5:18–20; Rom 5:10–11; 11:15; cf. Col 1:20–22; Eph 2:16)—this effect is listed last only because we shall treat it more extensively in the rest of this essay.<sup>15</sup> These are, then, the main ways in which Paul characterized or described the effects of what Christ Jesus did for humanity in his proclamation of "the story of the cross."

It is important to note, however, that when Paul refers to the Christevent in these ways, he is applying to it various images or figures derived from his background, Jewish or Hellenistic. For instance, his view of the Christ-event as justification can only be explained from his Jewish or Old Testament background; or his view of it as redemption cannot be adequately accounted for without some reference to modes of emancipation in the Hellenistic world of his time. For in his interpretation of the whole work of Christ he applies to it figures which have definite connotations, and these have to be respected. In certain developments of later Christian theology these figures were eventually erected into propositions, with all sorts of baneful results. But the effort to depict Paul's understanding of any one of the figures must treat them for what they are.

Reconciliation is one of these figures, and my concern here is to comment (1) on the figure and its background or origin; (2) on Paul's use of it; (3) on problems in the modern interpretation of it; and (4) on the pertinence of it to modern life.

### I. The Figure of Reconciliation and Its Background

The basic idea that is conveyed by the figure of reconciliation is the restoration of men and women to a status of friendship and intimacy. The Greek words, *katallagē*, *apokatallassō*, *diallassō*, *katallassō*, are all compound forms of a root meaning "other" (all-) and denote a "making otherwise."<sup>17</sup> The words are abundantly used in the literature of the Greeks, both in a secular sense and a religious sense.<sup>18</sup> In the secular sense, they denote a change or alteration of relations between individual persons or groups of persons (e.g., nations); it is a change

from anger, enmity, or hostility to love, friendship, or intimacy. The words do not express primarily a change of feelings or a psychological reaction. This may be present, but the essential change is rather in the relationship or situation vis-à-vis another. It is a change of relationship in the social or political realm. This secular use of the word is even found in the Bible. In translations of Judg 19:2-3 we read of a Levite who took to himself a concubine, who eventually became angry with him and went home; the Levite went to talk to her "to reconcile her to himself,"19 i.e., to restore a relationship with her (lit., "to cause her to return," the hiphil infinitive of sub, "return"-Hebrew has no verb for "reconcile"). And in the Sermon on the Mount Jesus teaches, "If you are offering your gift at the altar, and there remember that your brother has something against you, leave your gift there before the altar and go; first be reconciled (diallagethi) to your brother, and then come and offer your gift" (Matt 5:23-24; cf. 1 Cor 7:11). These are instances of the secular use of the word. In the religious sense, the words are used in Greek literature of the reconciliation of gods and humans (e.g., Sophocles, Ajax, 744).20 This use is likewise found in the Greek Old Testament. In 2 Macc 1:5 the Jews of Jerusalem and Judea write to their brethren in Egypt and pray, "May he [God] hear your prayers and be reconciled to you" (katallageie hymin). See further 7:33; 8:29. Similarly, the Jewish historian Josephus tells of Samuel the prophet who learned that God had repented of having made Saul the king: "Samuel was quite disturbed and all night long undertook to entreat God to be reconciled (katallattesthai) to Saul and not to be angry with him" (Ant. 6.7,4 §143). What is noteworthy here in these two instances of Jewish authors who wrote in Greek is the use of the verb katallassein in the passive of God; God is expected to be reconciled with men. What should also be noted, however, is that in Greek writings the verb plays no essential role in the propitiatory rites of the Greek and Hellenistic religion, for in these rites "the relation between divinity and humanity does not have this personal nearness."21 Since Hebrew lacks a specific term for "reconcile," and it begins to appear in Hellenistic Jewish writings, such examples suggest that Paul derived this figure for the Christ-event from the Greco-Roman world,22 even though he makes his own use of it. Before we try to describe his use of the figure, there is one further remark that must be made about it, and that concerns the relation of reconciliation to atonement.

Fundamentally, reconciliation as we have described it above is the same as atonement. But the history of the use of the latter term has loaded it with connotations that are not part of the Pauline figure. "Atonement" is, in fact, a peculiarly English word, lacking any real counterpart in other modern European languages. It really means atone-ment and denotes the setting of two or more persons at one with each other, implying the restoration of them to a mutually shared relationship after a period of estrangement. The word was so used in English in a secular sense. But it also developed a theological sense, and the Oxford English Dictionary says of it: "As applied to the redemptive work of Christ, atonement is variously used by theologians in the senses of reconciliation, propitiation, expiation, according to the view taken of its nature."<sup>23</sup> Now it is precisely the confusion of reconciliation with "expiation" (the wiping away of human sins by the crucified Christ who is now the new "mercy seat," superseding the kapporet of old)<sup>24</sup> and with "propitiation. As we examine the texts in which he speaks of reconciliation. As we examine the texts in which he speaks of reconciliation, and has, practically speaking, nothing to do with propitiation. So much for the idea of reconciliation and its background.

## II. The Pauline Use of the Figure of Reconciliation

Paul describes the status of human beings without Christ as one of hostility with God. "If, while we were enemies, we were reconciled to God by the death of his Son, much more, now that we are reconciled, shall we be saved by his life. Not only so, but we also rejoice in God through our Lord Jesus Christ, through whom we have now received our reconciliation" (Rom 5:10-11). This is said by Paul in a passage in Romans in which he has just finished setting forth his thesis on the justification of man by faith in Christ Jesus and apart from what he calls "the works of the Law" (1:16-4:25). He sees the situation of human beings vis-à-vis God as having been basically changed by what Christ did; if they are now justified in the sight of God because of the Christ-event, then their relationship has been radically altered, and not merely in a legalistic, juridical sense that the figure of justification connotes, but in the fundamental way of reconciliation. Similarly, in writing to the Colossians,<sup>25</sup> to a congregation that was made up largely of Gentile Christians, Paul says of their former relation to God: "You . . . once were estranged (*apēllotriōmenous*) and hostile in mind . . . " (Col 1:21). This is, then, the situation of mankind without Christ according to Paul, a situation of hostility or estrangement. This is but another way of describing the human condition that Paul spoke about in Rom 1:18-3:20, mankind without the gospel.

Wherein lies the cause of this hostility or estrangement, as Paul sees it? In 2 Cor 5:19 he cites human "trespasses" as the root of the difficulty. In Rom 8:5-7 he probes more deeply and shows that it is human preoccupation with "flesh" (sarx): "Those who live according to the flesh set their minds on the things of the flesh. . . . To set the mind on the flesh is death, but to set the mind on the Spirit is life and peace. For the mind that is set on the flesh is hostile to God; it does not submit to God's law." One must understand what Paul means by "flesh" in such a passage, especially in its contrast to the Spirit. On the one hand, it has the Old Testament connotation of bāsār, meaning "flesh" as opposed to blood, or, in a collective sense, "man," "mankind," "humanity."<sup>26</sup> On the other hand, it often has for Paul a pejorative connotation, meaning the humdrum, non-elevating condition of human existence in its down-trodden, earthoriented propensities. It represents all in human beings that makes them close in on themselves and refuse openness to the Spirit, to God, and to one's fellowman. In this sense, Paul says, "To set the mind on flesh is death." By contrast, the spirit is that aspect of human nature that makes one open to God's Spirit. Hence, the mind that is set on flesh does not submit to the law of God and is actually hostile to God. As Paul sees it, human beings left to themselves cannot help but set their minds on flesh and cannot help but be alienated and estranged from God. This is why Paul lists "enmity" among the "works of the flesh" in Gal 5:20.

Paul also finds another cause for the hostility, when he addresses Gentile Christians and refers to their former condition as pagans as a separation from Israel; in this he finds another source of alienation from God. "You were at that time separated from Christ, alienated from the commonwealth of Israel, and strangers to the covenants of promise" (Eph 2:12). This estrangement implied the very futility of their existence: "They are darkened in their understanding, alienated from the life of God because of the ignorance that is in them, due to their hardness of heart" (Eph 4:18). Thus Paul writes, as he exhorts the Gentile Christian recipients of his letter to realize that they must "no longer live as the Gentiles do, in the futility of their minds" (4:17). These may sound like harsh words, but Paul's view of the condition of pagans in his day is otherwise well known to us (Rom 1:18–32). These, then, are the two main causes of the hostility between mankind and God, "trespasses," coming from minds set on flesh, and the estrangement of pagans.

ment of pagans. How has God remedied this situation, or brought about the reconciliation of hostile, alienated human beings? Paul never says that God is reconciled (in the passive) to them, as did the author of 2 Maccabees or Josephus.<sup>27</sup> He rather sees God actively taking the initiative and bringing about the reconciliation of mankind through his Son, Jesus of Nazareth. True, Paul invites human beings to be reconciled to God (2 Cor 5:20), but that is an invitation to appropriate or apprehend the effect of the Christ-event for themselves (the aspect of subjective redemption). What Christ Jesus did is actually the restoration of the relationship of friendship, love, and intimacy. Once human beings react to the invitation and accept it through faith in Christ Jesus, they are introduced into the realm of reconciliation; one is no longer *echthros*, "hostile," *asebēs*, "impious," *asthenēs*, "weak," or *hamartōlos*, "a sinner." These are the adjectives that Paul uses of human beings in their enmity in Rom 5:6-8. Moreover, the change of status is not just a legal fiction; it is a genuine renewal of human life, a radical altering of humanity's relation with God.

Paul attributes this reconciliation of mankind with God especially to the death of Jesus. "We were reconciled to God by the death of his Son . . . now that we are reconciled, we shall be much more saved by his life" (Rom 5:10). Here the figure of reconciliation is associated closely with the death of Christ, whereas that of salvation is associated with the risen life of Christ (i.e., with the influence of the risen Lord on Christian life and conduct). Sometimes, instead of speaking of the "death" of Christ, Paul will refer reconciliation to his "blood," i.e., the blood shed in his passion and death. Thus, "you [Gentiles] who were once far off have been brought near in the blood of Christ"; this is said in the context of reconciliation in Eph 2:13. Or again, "he has now reconciled [you] in his body of flesh by his death, in order to present you holy and blameless and irreproachable before him [God]" (Col 1:22). "For in him [Christ] all the fulness of God was pleased to dwell, and through him to reconcile to himself all things, whether on earth or in heaven, making peace by the blood of the cross" (Col 1:19–20).

There are two other aspects of Paul's reflection on the Christ-event as reconciliation which call for comments. The first is his calling Christ "our peace," ascribing to him in an abstract way the very effect of reconciliation that he has brought into human lives. Paul sees this as a breaking down of barriers, between Jew and Greek, and between man and God-or, if I might so put it, as a horizontal and a vertical reconciliation.

<sup>11</sup>Therefore remember that at one time you Gentiles in the flesh, called the uncircumcision by what is called the circumcision, which is made in the flesh by hands—<sup>12</sup>remember that you were at that time separated from Christ, alienated from the commonwealth of Israel, and strangers to the covenants of promise, having no hope and without God in the world. <sup>13</sup>But now in Christ Jesus you who once were far off have been brought near in the blood of Christ. <sup>14</sup>For he is our peace, who has made us both one, and has broken down the dividing wall of hostility, <sup>15</sup>by abolishing in his flesh the law of commandments and ordinances, that he might create in himself one new man in place of the two, so making peace, <sup>16</sup>and might reconcile us both to God in one body through the cross, thereby bringing the hostility to an end. <sup>17</sup>And he came and preached peace to you who were far off and peace to those who were near; <sup>18</sup>for through him we both have access in one Spirit to the Father (Eph 2:11–18).

Thus Paul sees the Christ-event as having achieved reconciliation, peace, at-one-ment for Jews and Greeks alike and for both with God through faith in Christ Jesus. In a similar way he writes in Rom 5:1, "We have peace with God through our Lord Jesus Christ."<sup>28</sup>

The other aspect of Paul's reflection is the cosmic dimension of Christ's reconciliation. In the earliest passage in which he discusses reconciliation (2 Cor 5:18-21) he introduces it thus: "All this is from God, who through Christ reconciled us to himself and gave us the ministry of reconciliation; that is, in Christ God was reconciling the world to himself. . . ." Now "the world" (kosmos) may seem at first to mean the world of human beings,29 but it is probably to be understood in the sense of the universe of creation, since that is what is implied in Col 1:20, where Paul speaks of God reconciling to himself through Christ "all things, whether on earth or in heaven." In this view of things, Paul sees reconciliation as having not merely an anthropological dimension, but also a cosmic dimension; it affects not only the relation of human beings to God, but also that of the created universe. It thus recasts in terms of reconciliation what Paul wrote about in Rom 8:19-23, where he saw material creation, subjected to futility because of human sinfulness, now sharing in the hope that is born of the Christevent: "Creation itself will be set free from its bondage to decay and obtain the glorious liberty of the children of God" (8:21). In Romans the figure used was freedom, in 2 Corinthians and Colossians it is rather reconciliation.

This, then, is a brief description of the main elements of Paul's use of

the figure of reconciliation to describe an effect of the Christ-event. What is striking is the absence of any allusions to expiation, propitiation, or even sacrifice in any of the passages which deal with the notion of reconciliation.<sup>30</sup> Paul clearly says that the reconciliation was effected by the death of Christ, by his blood, or the blood of the cross; yet he does it without importing these nuances. And with that we may now pass to another phase of our discussion.

### **III.** Problems in the Modern Interpretation of Reconciliation

From the foregoing survey of Pauline passages dealing with reconciliation we can see that the figure being used is derived from the sociological or political spheres of life. The notions of enmity, hostility, estrangement, and alienation, as well as their counterparts, reconciliation, atonement, friendship, and intimacy are derived from social intercourse of human persons or from the relations of ethnic and national groups, such as Jews and Greeks, Palestinians and Romans. There is nothing in the Pauline passages that suggests a cultic or liturgical background to the figure, and even less a sacrificial origin. By contrast, expiation (used by Paul only in Rom 3:25) does have a cultic or liturgical background, since it is derived from the Yôm Kippûr ceremony of Leviticus 16. In saying that Christ himself has been proposed as the *hilastērion*, "the means of expiation" or the "mercy seat," Paul sees the blood of Christ achieving what the ritual sprinkling of the mercy seat in the Holy of Holies on the feast of Yôm Kippûr was supposed to achieve. That was a yearly rite, a cultic act; and Paul's figurative use of expiation reflects that background. But it is a distinct figure, having nothing to do with reconciliation.<sup>31</sup>

A few years ago E. Käsemann, contributing an article to Bultmann's third Festschrift, Zeit und Geschichte, penned some "Erwägungen zum Stichwort 'Versöhnungslehre im Neuen Testament."<sup>32</sup> His purpose was to show that "the whole soteriology of the New Testament" could not be summed up as a doctrine of atonement, as he sees it done "in the Anglo-Saxon theological world in particular." Though he names no Anglo-Saxon authors and refers only to "a large number of theological textbooks," his critical finger is not entirely misdirected. Though he speaks of the "Versöhnungslehre im Neuen Testament," he finds that "the motif [of reconciliation] appears only in the general realm of Paulinism, though without having any significant meaning for Pauline theology as a whole."<sup>33</sup> And he concludes that "there is no such thing as a doctrine of reconciliation which is regulative for the whole New

Testament. It does not exist even in Paul, who only occasionally makes use of the motif, however important it becomes in the context of 2 Cor 5.18ff."<sup>34</sup> The bulk of Käsemann's subsequent discussion is devoted to the Pauline passages.

Though he may be right in castigating the Anglo-Saxon theological world for thinking of atonement as the summation of New Testament soteriology, I am not sure that he rightly understands the role of reconciliation in the Pauline writings or that one can write it off as having no significant meaning for Pauline theology. Part of the difficulty is Käsemann's understanding of Pauline theology. It is not until the next-to-last page of the article that one learns that "to Paul the doctrine of justification is the heart of the Christian message; it establishes the legitimacy and sets the limits of all varieties and even interpretations of NT teaching."<sup>35</sup> Let us grant for a moment—dato, non concesso—that for Paul the doctrine of justification is the heart of the Christian message, does that mean that since reconciliation is not the same as justification, it plays no significant role in Pauline theology as a whole?

Käsemann arrives at this understanding of reconciliation in Pauline writings by deciding initially that reconciliation "acquires terminological significance in Rom. 5.10f.; 11.15; and—here only with theological emphasis!—II Cor. 5.18ff.," whereas "it appears as a catchword in the hymnic fragments in Col. 1.20,22 and Eph. 2.16."<sup>36</sup> Now this is a subtle way of writing off unwanted evidence, since we are never told just what the acquiring of terminological significance in the two passages in Romans and the one in 2 Corinthians really means, or what having "theological emphasis" in 2 Corinthians implies. How can a motif acquire terminological significance or theological emphasis "without having any significant meaning for Pauline theology as a whole?" And who decides that?

Käsemann further confuses the issue by associating *katallassein* and *hilaskesthai*; he writes, "The exegete can, strictly speaking, find the New Testament speaking of 'reconciliation' only in those passages in which *katallassein* and *hilaskesthai* and their derivatives occur."<sup>37</sup> Then he asks "to what extent the translation 'reconciliation' ought to take the place of (the surely more appropriate) 'expiate'."<sup>38</sup> By this association and this query, he falls into the same trap that has bedevilled Anglo-Saxon theology for the last four hundred years. In associating *katallassein* and *hilaskesthai*, he does what Paul has never done, and this enables him to attribute to the figure of reconciliation a cultic nuance and a liturgical background which it does not have. Again, when he says that "eschato-logical reconciliation does not exist apart from the 'means of expiation'

mentioned in [Rom] 3.25, which is the dying Christ himself, or apart from his vicarious mediation,"<sup>39</sup> he implies the same confusion. For though it is true that no effect of the Christ-event (described under any of the nine figures mentioned earlier) can exist apart from the event itself, it does not mean that reconciliation is expiation or that *hilastērion* and *katallagē* are the same figure, having the same connotation or origin.

When we look at Käsemann's treatment of the Pauline passages in which reconciliation is mentioned, there are further problems in his discussion. He finds the phrase "the reconciliation of the world" in Rom 11:15 to be used without any preparation and to be obviously a formula that "can only be explained on the grounds of a fixed tradition."40 Since this is the passage in which Paul discusses the so-called rejection of Israel and finds that in God's providence the reaction of the Jews to the Christian gospel has opened it up to the Gentiles de facto, he reflects on how wonderful it will be when they too accept it: "For if their rejection means the reconciliation of the world, what will their acceptance mean but life from the dead?"41 "Reconciliation of the world" is here used as a tag, without any preparation indeed; that it is part of a "fixed tradition" is quite plausible. But does that mean that it is not Paul's own? Why could it not be echoing Rom 5:1-11 or, better still, 2 Cor 5:19, "in Christ God was reconciling the world to himself." Again, the prob-lem is how to decide that a formulaic expression is echoing something other than Pauline teaching, and not an important element in his theology.

When Käsemann turns to Rom 5:9–10, he finds that reconciliation is used "in a non-cultic sense and means bringing hostility to an end."<sup>42</sup> This is accurate enough; but when he continues that reconciliation takes place "by his blood" (Rom 5:9) or "by the death of his Son" (Rom 5:10) and "for us" (hyper hēmōn, 5:8), he immediately decides that these phrases "have a liturgical colouring."<sup>43</sup> But is this clearly so? The "cultic associations" in these phrases are not per se evident, and they could just as easily express social or political associations of interpersonal, intergroup relationships quite independently of cult.

The real question in Romans 5 is whether Paul has introduced the motif of reconciliation to heighten the concept of *justificatio impiorum*, viz., by the assertion of *justificatio inimicorum*.<sup>44</sup> There is no doubt that justification and reconciliation are related in Romans 5; but the real question is, what is the nature of that relation? Is reconciliation subordinated to justification? In Rom 5:1 Paul says, "Having been justified . . . , we have peace with God." As I read that verse, it suggests that justification takes place in view of something, viz.,

reconciliation, so that reconciliation does not "sharpen and point up the doctrine of justification" in Pauline thought. It is rather the other way round. Further involved in this issue is the subtle question of the relation of Romans chaps. 1–4 to Romans chaps. 5–8, and indeed the place of Romans 5 in the whole of chaps. 1–8.<sup>45</sup> No matter how one decides this question, it seems to me to be clear that the climax of chaps. 1–8 is not in chap. 4, for as Paul begins chap. 5 he moves from justification to the manifestation of God's love in Christ and through the Spirit (chap. 8), so that the latter is the climax of it all. If so, justification is only a part of the process and a stage in the development of his thesis in Romans chaps. 1–8—and then justification finds a more adequate expression in reconciliation; indeed, "reconciliation" becomes the better way of expressing that process.

When Käsemann takes up 2 Cor 5:18–21, he finds that it is most likely a piece of tradition which was handed down to Paul and that Paul is there echoing a Jewish-Christian tradition, with vss. 19–21 being "a pre-Pauline hymnic fragment."<sup>46</sup> But if Paul has indeed "taken up and used motifs from earlier forms of the Christian proclamation," does that mean that they do not become part of Pauline theology? And the same question has to be asked about the "hymnic fragments in Col. 1.20, 22 and Eph. 2.16."<sup>47</sup> Once we ascertain that there is pre-Pauline material in Paul's writings, does that mean that it is not really part of his thinking or that it cannot be considered a part of his theology?

The extreme to which this sort of analysis of Pauline writings is carried is found in Käsemann's discussion of the relation between what he calls anthropological and cosmological reconciliation. It is the question that we mentioned earlier in terms of cosmic reconciliation over against the reconciliation of human beings to God. For Käsemann the anthropological reconciliation presupposes cosmological reconciliation; the latter is especially prominent in the "two deutero-Pauline texts" of Col 1:20 and Eph 2:16.<sup>48</sup> Käsemann argues thus:

We have already seen in Rom. 5.10f. the goal and result of the reconciling act to be peace; similarly these texts [Colossians and Ephesians] are clearly concerned with cosmic peace, the revelation of which is dreamed of as early as Vergil's Fourth Eclogue. This peace is thought of as the eschatological state of salvation, not as a psychological attitude, something in which the NT is very rarely interested. In this situation of peace what was formerly separated becomes solidly united, i.e., the heavenly is united with the earthly, just as warring earthly camps are united with one another. Even religious antipathies now become irrelevant, as may be seen in a radical way in the antithesis between Israel and the Gentile world. The world is made peaceful, as under the *pax romana*, in that it is everywhere subjected to its new Lord, Christ, as Cosmocrator.<sup>49</sup> Now in this paragraph Käsemann has caught up beautifully some of the Pauline or Deutero-Pauline nuances of reconciliation, and his paraphrase of them leaves little to be desired. And again,

Though the world may not yet know of the transformation that has taken place, the Christian community does. Its message is characterized by the open proclamation of the seizure of power by God and his appointed Savior and by the verification of that proclamation in the union of both Jews and Gentiles in the Christian church.<sup>50</sup>

The difficulty is that Käsemann sees the affirmation of cosmic reconciliation as something that precedes anthropological reconciliation and understands both Col 1:20–22 and 2 Cor 5:19–20 implying a "transition from a cosmological to an anthropological message of reconciliation."<sup>51</sup> He seems to mean that Paul or the author of the Deutero-Paulines only came to the idea of the reconciliation of mankind from the notion of the reconciliation of the world (or the All), and that this was a notion current in the Hellenistic world of the time, as is dreamed of in Vergil's Fourth Eclogue.<sup>52</sup>

There are several comments that are in order in this regard. First, one may concede that there is a vague idea of reconciliation in the Fourth Eclogue. In it Vergil sings of the *ultima aetas*, when "a new generation descends from heaven on high" and "a golden race springs up throughout the world," putting an end to "the iron brood." As it begins in Pollio's consulship, "lingering traces of our guilt shall become void and release the earth from its continual dread." And the child to be born "shall have the gift of divine life, shall see heroes mingled with gods, and shall himself be seen of them, and shall sway a world to which his father's virtues have brought peace." And the untilled earth shall pour forth its bounty of flowers and plants and vegetables, while "the herds shall not fear huge lions" (*Eclogues* 4.4–22). I regard the idea of reconciliation that may be contained in this Eclogue as vague because it is really dealing with another matter, the birth of the Golden Age, when all will be blissful and bountiful. To compare such a view of cosmic progression with the cosmic reconciliation of Pauline or Deutero-Pauline writings is somewhat farfetched. What they have in common is only a rosy, utopian view of a future age; but all the details are remarkably different.

Second, it seems to me that the prime analogate in the Pauline writings that deal with reconciliation is anthropological reconciliation, and that the transition is from mankind to the world, or to the All, not the other way round. Cf. Rom 8:21-23 for the progress of his thought (under another image, to be sure).

Third, it is difficult to understand how a notion that Käsemann generally relegates to Deutero-Pauline writings, cosmic reconciliation in Colossians and Ephesians, can be considered the source of something that is found in the authentic Pauline writings themselves, anthropological reconciliation.

These are, then, some of the difficulties that I have with the Käsemann interpretation of the role of reconciliation in Pauline theology. Despite them I have recognized that there are some excellent paragraphs in the article on the consequences of this notion for Christian life and conduct. And this brings me to the last point of my discussion.

# IV. The Pertinence of Pauline Reconciliation to Modern Life

Having reflected on the figure of reconciliation that Paul uses to describe one of the effects of the Christ-event and that emphasizes the gratuitous initiative taken by God to bring humanity closely into a sphere of friendship and intimacy with himself, we can see that this idea has to be proclaimed anew by Christians of today. Ours is a world in which we have struggled to put an end to war, not merely because we fear the consequences of a Third World War of atomic- or hydrogenbomb dimensions, but because people of varying religious backgrounds, Judeo-Christian or other, have come to a stage of cosmic or worldwide awareness that simply as human beings we can no longer act that way with one another. "Jamais plus la guerre," said Paul VI, addressing the United Nations. For Christians in particular the motivation for this is found in the Apostle's idea of reconciliation, in the breaking down of the barriers between human beings (and by implication, between nations).

On another level of dealings between groups and individuals within a given national or ethnic society there is need for further reflection on the Pauline message of reconciliation. There is a feeling abroad that our human society is sick—for all sorts of reasons. One aspect of it is precisely the alienation of men and women from those things or those persons with which they have been intimately identified in the past. To such as are estranged and alienated the Pauline message of reconciliation addresses itself ever anew: "For as many of you as were baptized into Christ have put on Christ; there is neither Jew nor Greek; there is neither slave nor free, there is neither male nor female; for you are all one in Christ Jesus." This Paul wrote in another context (Gal 3:27-28), but it supplies the background to his thinking on reconciliation or atone-ment. The Christian, regardless of his/her ethnic origin, social status, or sexual identity, is expected to meet the challenge of putting on Christ, of donning his outlook on life. If he is "our peace" and has made us both one (Eph 2:14), then in him the Christian finds the remedy to the alienation that besets him/her in the society in which he/ she lives.

In the earliest passage in which Paul deals with reconciliation, he speaks of himself as having been given "the ministry of reconciliation" (2 Cor 5:18) and of being an "ambassador for Christ" (5:20). In this, as in some other passages (cf. Col 1:24), Paul did not hesitate to depict himself as having the task of extending, in a sense, one of the effects of the Christ-event. Paul would never have substituted himself for Christ, implying that anything that he would do would replace or substitute for the Christ-event itself. But he could speak of himself as a "minister of reconciliation," proclaiming to the world the message of reconciliation, announcing the effect of the Christ-event, and striving to get more and more of mankind to appropriate to itself the benefits thereof. So, as ambassador for Christ, he extends the ministry of reconciliation.

Paul's teaching about reconciliation obviously has something of the idyllic about it. We look at other Christians who surround us, and we see all the forms of estrangement and alienation among them as among many others who are not Christian. We wonder why it is that such an effect of the Christ-event has not taken root and manifested itself in the lives of such persons, if faith in Christ Jesus and baptism into his life really mean all that they are supposed to mean. This is a real problem, and it has often been called the problem of the integration of Christian life. How does a Christian become aware that his outlook and life are to be dominated by the person of Christ and all that he stood for and taught? Paul was not unaware of this himself. Writing in a context that did not deal with reconciliation as such, he said, "I have been crucified with Christ; it is no longer I who live, but Christ who lives in me; and the life I now live in the flesh (kata sarka) I live by faith in the Son of God" (Gal 2:20). The ontological reality of Christ-in-me has somehow or other to be brought to the level of psychological awareness. This is the problem of the integration of Christian life: "Be what you are." You are in Christ; you have entered a state of reconciliation with God and with your fellowmen through your faith and baptism into Christ Jesus. Let them influence your existence, life, and conduct. The challenge is thus given to men and women of all ages and generations to be what they are.

By extension of the last two points that have been made, it would not be false to say that the Christian of today shares in a sense in that "ministry of reconciliation" of which Paul spoke. The role of the Christian in the twentieth century would be to manifest that reconciliation to others, to other Christians, to his/her Jewish brother or sister, to other members of the human race who are not part of the Judeo-Christian heritage.

There is obviously a greater problem here today than that envisaged by Paul. His horizons were limited to the Christian message that he was explaining to the Christians to whom he wrote, whether they came from a Jewish or a Gentile background. He found the reconciliation of them in Christ Jesus, who is "our peace." Today the role of the Christian is to be faithful to his/her own Christian heritage, yet so manifest his/her love and friendship as to include even those who are not of his/her own immediate Christian circle. If the Roman poet Terentius could write, "Homo sum; humani nihil a me alienum puto" (*Heaut.* 1.1,25: "I am a human being; I consider nothing human to be foreign from me"), the Christian could also boast, "Christianus sum; christiani nihil a me alienum puto." That Christian challenge would be at once a loyalty to one's own heritage and an openness to and love of what is not of it.

In Paul's ken the reconciliation of Jew and Greek of which he spoke was envisaged as an at-one-ment brought about between them through faith and baptism in Christ Jesus-through the conversion of both Jews and Greeks to Christianity. This is Paul's sole perspective. Today we have all witnessed in one way or another the alienation of Christian and Jew. It is an age-old problem of a barrier that exists between us, born of what Paul calls "their rejection." This stern word, used of his former coreligionists, was likewise associated with his sorrow about that barrier (see Rom 9:2-3). But as we ponder the implications of his teaching about the reconciliation of all human beings in Christ, we cannot be blind to the problems that that teaching has created and can still create. For Christian theologians have never yet been able to explain satisfactorily why it is that the God that they worship has in his providence continued to favor a people which nourishes itself on a great deal of the same Scriptures that feed our Christian lives and yet have not accepted the reconciliation that is at hand in Christ Jesus. Christian theologians have no adequate theology of Israel. This is the enigma that the Pauline theology of reconciliation proposes.

If I was somewhat critical of Käsemann earlier in this paper, I should like to end by making my own some of his comments on an aspect of reconciliation. Without buying all the connections which he establishes between the New Testament hymns and "the unbridled enthusiasm . . . of the earliest Hellenistic community and the beginnings of its world mission," I can agree that the Pauline teaching on reconciliation could be viewed too enthusiastically and with too rosy a hue, so that an "individual Christian will understand the salvation he experiences as devoid of temptation and consequently cease looking toward the future and giving himself to the service of others. So it is no accident that the anthropological statements about reconciliation occur in a parenetic context, portraying existence as still hanging in the balance. The message of reconciliation is not an eschatological myth, as in Vergil's Fourth Eclogue. It is actualized between the indicative of the gift of salvation and the imperative of the duties of salvation, i.e., in the historical realm, the realm of concrete daily life and corporate community. Cosmic peace does not settle over the world, as in a fairy tale. It takes root only so far as men in the service of reconciliation confirm that they have themselves found peace with God."<sup>53</sup>

In concluding this discussion of the theological notion of reconciliation, I should like to stress that I have sought merely to situate it in Pauline theology as a whole and to restore it to its merited relation to justification. I have not tried to say that it is in Pauline theology more important than justification or that the essence of Pauline theology can be summed up by it. It expresses an aspect of the Christ-event that justification does not, and it is really impossible to say which is more important. In certain discussions of Paul (e.g., Romans 5) one may debate, as I have above, whether justification is not subordinated to reconciliation, but that still leaves the question open about the place of reconciliation in Pauline theology as a whole.<sup>54</sup>

### NOTES

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<sup>1</sup>See F. Büchsel, "Allasso, . . . ," TDNT 1 (1964) 251-59; R. Bultmann, Theology of the New Testament (London: SCM, 1956), 1. 285-87; T. R. Clark, Saved by His Life: A Study of the New Testament Doctrine of Reconciliation and Salvation (New York: Macmillan, 1959) 179-83; J. Dupont, La réconciliation dans la théologie de Saint Paul (ALBO 3/32; Bruges: Desclée de Brouwer, 1953); L. Goppelt, "Versöhnung durch Christus," Christologie und Ethik: Aufsätze zum Neuen Testament (Göttingen: Vandenhoeck & Ruprecht, 1968) 147-64; E. Käsemann, An die Römer (HNT 8a; Tübingen: Mohr, 1973) 129; "Erwägungen zum Stichwort 'Versöhnungslehre im Neuen Testament'," Zeit und Geschichte: Dankesgabe an Rudolf Bultmann zum 80. Geburtstag (ed. E.

Dinkler; Tübingen: Mohr, 1964) 47-59; "Some Thoughts on the Theme 'The Doctrine of Reconciliation in the New Testament'," The Future of Our Religious Past: Essays in Honour of Rudolf Bultmann (ed. J. M. Robinson; New York: Harper & Row, 1971) 49-64; A. F. N. Lekkerkerker, "Dialectisch spreken over de verzoening," Nederlands theologische Stemmen 1 (1946-47) 212-32; W. Michaelis, Versöhnung des Alls: Die frohe Botschaft von der Gnade Gottes (Gümligen/Bern: Siloah, 1950) 23-30, 122-51; J. Michl, "Die 'Versöhnung' (Kol 1,20)," TQ 128 (1948) 442-62; A. Nygren, Die Versöhnung als Gottestat (Studien der Luther-Akademie, 5; Gütersloh: Bertelsmann, 1932); E. Percy, Die Probleme der Kolosser- und Epheserbriefe (Acta regiae societatis humaniorum litterarum Lundensis, 39; Lund: Gleerup, 1946) 85-92, 271-73; A. Ritschl, Die christliche Lehre von der Rechtfertigung und Versöhnung (3 vols.; 3d ed.; Bonn: Adolf Marcus, 1888-89); V. Taylor, Forgiveness and Reconciliation: A Study in New Testament Theology (2d ed.; London: Macmillan, 1948) 70-108; B. N. Wambacq, "Per eum reconciliare . . . quae in caelis sunt," RB 55 (1948) 35-42; D. E. H. Whiteley, "St. Paul's Thought on the Atonement," JTS ns 8 (1957) 240-55; The Theology of St. Paul (Oxford: Blackwell, 1964) 130-54. G. Wiencke, Paulus über Jesu Tod: Die Deutung des Todes Jesu bei Paulus und ihre Herkunft (Beiträge zur Förderung christlicher Theologie, 2/42; Gütersloh: Bertelsmann, 1939) 69-78; G. W. H. Lampe, Reconciliation in Christ (Maurice Lectures 1955; London: Longmans, Green, 1956); J. Denney, The Christian Doctrine of Reconciliation (London: J. Clarke, 1959).

<sup>2</sup> By E. Käsemann, "Some Thoughts."

<sup>3</sup> E.g., 1 Thes 4:2, 15; 1 Cor 7:10 [cf. 7:25]; 9:14; 13:2; Rom 12:14; 13:9; 16:19. See further W. D. Davies, Paul and Rabbinic Judaism: Some Rabbinic Elements in Pauline Theology (London: S.P.C.K., 1948) 136-41; B. Gerhardsson, Memory and Manuscript: Oral Tradition and Written Transmission in Rabbinic Judaism and Early Christianity (ASNU 22; Lund: Gleerup, 1961) 302-06; D. M. Stanley, "Pauline Allusions to the Sayings of Jesus," CBQ 23 (1961) 26-39; D. L. Dungan, The Sayings of Jesus in the Churches of Paul: The Use of the Synoptic Tradition in the Regulation of Early Church Life (Philadelphia: Fortress, 1971); F. F. Bruce, "Paul and the Historical Jesus," BJRL 56 (1974) 317-35.

I do not mean that Paul did not know the words or the teaching of Jesus or that his interpretation of the Christ-event was wholly derived from his conversion-experience or something similar. His mention of his visit to Jerusalem (historēsai Kēphan, Gal 1:18) was almost certainly intended to suggest that he had obtained some information about Jesus from Cephas. See further R. E. Brown et al., PNT, 23-24. One can also debate the meaning of 2 Cor 5:16, his knowing Christ according to the flesh. But whatever one wants to say about his knowledge of the earthly Jesus, the fact still remains that he is much more interested in the interpretation of the Christ-event for Christians who had never witnessed the earthly ministry of Jesus of Nazareth.

<sup>4</sup> Most of the letters of the Pauline corpus were composed prior to the composition of the earliest Gospel (Mark, ca. A.D. 65).

<sup>5</sup> E.g., D. E. H. Whiteley, The Theology of St. Paul, 130.

<sup>6</sup> See E. Käsemann, "'The Righteousness of God' in Paul," New Testament Questions of Today (Philadelphia: Fortress, 1969) 168-82; "Justification and Salvation History in the Epistle to the Romans," Perspectives on Paul (Philadelphia: Fortress, 1971) 60-78; P. Stuhlmacher, Gerechtigkeit Gottes bei Paulus (FRLANT 87; Göttingen: Vandenhoeck & Ruprecht, 1965); K. Kertelge, "Rechtfertigung" bei Paulus: Studien zur Struktur und zum Bedeutungsgehalt des paulinischen Rechtfertigungsbegriffs (NTAbh ns 3; Münster in W.: Aschendorff, 1967); J. A. Fitzmyer, "Pauline Theology," JBC, art. 79, §94–97; J. A. Ziesler, The Meaning of Righteousness in Paul: A Linguistic and Theological Enquiry (SNTSMS 20; Cambridge: University Press, 1972); D. Lührmann, "Rechtfertigung und Versöhnung: Zur Geschichte der paulinischen Tradition," ZTK 67 (1970) 436–52.

<sup>7</sup> See F. Amiot, The Key Concepts of St. Paul (New York: Herder and Herder, 1962); S. Lyonnet, "The Terminology of 'Salvation,'" Sin, Redemption, and Sacrifice: A Biblical and Patristic Study (ed. S. Lyonnet and L. Sabourin; AnBib 48; Rome: Biblical Institute, 1970) 63-78; W. Foerster and G. Fohrer, "Sozo, sotéria, ...," TDNT 7 (1971) 964-1024.

<sup>8</sup> In this instance one can ask whether Paul is using the word *hilastērion* as an adjective or as a noun; in the interpretation which I prefer it would be a concrete noun, best translated as "a means of explation," with an allusion to the *kappõret* of Exod 25:17-20, which is translated in the LXX at first as *hilastērion* epithema, but consistently thereafter as simply *hilastērion*. See further my article, "The Targum of Leviticus from Qumran Cave 4," Maarav 1 (1978-79) 5-23, esp. pp. 15-17.

<sup>9</sup> See my "Pauline Theology," §83-89; S. Lyonnet, "The Terminology of 'Expiation' in the Old Testament," "The Terminology of 'Expiation' in the New Testament," Sin, Redemption, and Sacrifice, 120-66; D. E. H. Whiteley, The Theology of St. Paul, 145-47. Contrast L. Morris, "The Biblical Use of the Term 'Blood,' "JTS ns 3 (1952) 216-27. See further L. Moraldi, Espiazione sacrificale e riti espiatori nell'ambiente biblico e nell'Antico Testamento (AnBib 5; Rome: Biblical Institute, 1956).

<sup>10</sup> See my "Pauline Theology," §90–93 (Redemptive Liberation); S. Lyonnet, "The Terminology of 'Liberation,'" Sin, Redemption, and Sacrifice, 79–119; D. E. H. Whiteley, The Theology of St. Paul, 137–45. I prefer today to separate eleutheria from apolytrösis; the former is certainly derived from Paul's Hellenistic background, whereas the latter may be influenced by both the Hellenistic and Jewish (OT) backgrounds.

<sup>11</sup> See O. Procksch and K. G. Kuhn, "Hagios, hagiazõ, . . . ," TDNT 1 (1964) 88–115; L. Cerfaux, Christ in the Theology of St. Paul (New York: Herder and Herder, 1959) 296–315.

<sup>12</sup> See H. Schlier, "Eleutheros, eleutheros, . . .," TDNT 2 (1964) 487-502; H. D. Betz, "Spirit, Freedom, and Law: Paul's Message to the Galatian Churches," SEA 39 (1974) 145-60; D. Nestle, Eleutheria: Studien zum Wesen der Freiheit bei den Griechen und im Neuen Testament (Hermeneutische Untersuchungen zur Theologie, 16; Tübingen: Mohr [Siebeck], 1967); R. Schnackenburg, "Freedom in the Thought of the Apostle Paul," Present and Future: Modern Aspects of New Testament Theology (Notre Dame: University of Notre Dame, 1966) 64-80.

<sup>13</sup> In this instance the abstract noun is not found in Paul's writings, but he does use the verb *metamorphoun*. See J. Dupont, "Le chrétien, miroir de la gloire divine, d'après II Cor. 3,18," RB 56 (1949) 392-411; W. C. van Unnik, "With Unveiled Face,' an Exegesis of 2 Corinthians iii 12-18," NovT 6 (1963) 153-69; reprinted in Sparsa collecta: The Collected Essays of W. C. van Unnik (NovTSup 29; Leiden: Brill, 1973) 194-210; I. E. Friesen, The Glory of the Ministry of Jesus Christ: Illustrated by a Study of 2 Cor. 2:14-3:18 (Theologische Dissertationen, 7; Basel: F. Reinhardt, 1971); D. M. Stanley, Christ's Resurrection in Pauline Soteriology (AnBib 13; Rome: Biblical Institute, 1961) 131-34; S. Schulz, "Die Decke des Moses," ZNW 49 (1958) 1-30.

<sup>14</sup> See W. Foerster, "*Khzö, ktisis*, ...," *TDNT* 3 (1965) 1000–35, esp. pp. 1033–35. Cf. E. Sjöberg, "Neuschöpfung in den Toten-Meer-Rollen," *ST* 9 (1955) 131–36.

<sup>15</sup> On this figure, see the literature cited in n. 1 above.

<sup>16</sup> One further figure might be added, viz., "forgiveness" (*aphesis*), a remitting of the debt implied in the sins of mankind. However, since this figure is found only in the Captivity Letters of the Pauline corpus (Col 1:14; Eph 1:7; cf., however, Rom 4:7 [quoting Ps 32:1]), some might prefer to consider it a Deutero-Pauline figure, which is closer to the Lucan way of viewing the Christ-event. In any case, one would have to discuss here the very problematic word *paresis* in Rom 3:25, which may be nothing more than a synonym for *aphesis*.

<sup>17</sup> See F. Büchsel, "Allasso, . . . ," 251.

<sup>18</sup> There is no need to rehearse this evidence here, since it has been adequately presented by J. Dupont, La réconciliation, 7-15. An interesting additional example of the secular use of the Greek expression comes from a papyrus contract of remarriage found in one of the Murabba'at caves in Palestine. It is dated to the year A.D. 124 (the seventh year of Hadrian, in the consulate of Manius Acilius Glabrio and Bellicius Torquatus) and bears witness to the remarriage of Eleaios, son of Simon, of the village of Galoda, to Salome, daughter of John Galgoula. The crucial part of the text reads: "Since it happened earlier to the same Eleaios (son of) Simon to become estranged and divorce (appallagenai kai apolyein) Salome (daughter) of John Galgoula [...] for the sake of living together (?), now the same Eleaios agrees anew (ex ananeoseos) to reconcile and to take to himself (katallaxai k[ai] proslabesthai) the same Salome (daughter of) John Galgoula as wedded wilfe] with dowry of 200 denarii, which make 50 Tyrian (shekels)" (Mur 115:3-5). Here one finds not only the use of apolyein in the sense of "divorce" (see pp. 90-91 above), but also katallassein in a secular sense. What is interesting is the active use of the verb with an object (to reconcile Salome). P. Benoit, who published the Greek text, translates it, however, differently: "est d'accord pour se réconcilier à nouveau et reprendre la même Salomé." See Les grottes de Murabba<sup>c</sup>at (DJD 2; Oxford: Clarendon, 1961) 250.

<sup>19</sup> So at least reads the LXX MS A (the purpose infinitive diallaxai in MS A has as its counterpart in MS B the infinitive epistrepsai); the MT has an infinitive, *lhsybw*, which is vocalized as *lahāsîbāw*. Since the latter makes no sense in the context, emendations have been suggested. As far as our discussion is concerned, it seems rather obvious that the exact nuance in diallassein/katallassein is not rooted in the Hebrew scriptural tradition and that Greek translators have introduced the idea of "reconciliation" which is otherwise well attested in Hellenistic writers. I am aware that compounds of allassein are used in the LXX to translate various Hebrew words; but the question is whether the Hebrew words so translated actually denoted what the Greek words did or whether the Greek words have introduced a further Hellenistic (if not Hellenic) nuance.

<sup>20</sup> It should be noted, however, in this instance that we are not told how Ajax was reconciling himself with the gods. J. Dupont (*La réconciliation*, 13) speaks of his doing this "au moyen de purifications rituelles." This may be involved, but Sophocles does not even hint at them; and it seems more likely in view of the fundamental thrust of the play that what is meant is that Ajax has gone to reconcile himself to the gods by his own death. The extent to which anyone can read a cultic or ritual sense into Sophocles' expression in Ajax 744 is questionable. See further pp. 171–73 above.

<sup>21</sup> Büchsel, "Allasso, . . . ," 254.

<sup>22</sup> This is also the conclusion of Dupont, *La réconciliation*, 28. He rightly admits that Paul invests the figure with certain nuances derived from his Jewish background. But I am not sure that the figure of reconciliation has "le caractère essentiellement juridique" which he associates with it.

<sup>23</sup> See The Compact Edition of the Oxford English Dictionary (New York: Oxford University, 1971), 1. 135.—The first instance of the verb "atone" is cited from Shakespeare (*Richard the Second*, 1.i, 202). One will find its use there is in the secular sense. The OED continues: "Atone was not admitted into the Bible in 1611, though atonement had been in since Tindale."

<sup>24</sup> See nos. 7-8 above.

<sup>25</sup> In this discussion of Pauline passages dealing with reconciliation, I am treating ten letters of the corpus as authentic (1-2 Thes, Gal, Phil, 1-2 Cor, Rom, Phlm, Col, Eph). If one prefers to regard 2 Thes, Col, and Eph as Deutero-Pauline, even though not in the same sense as the Pastorals, it would require but a slight adjustment to speak of the author of these letters rather than of Paul. In any case, they belong to a Pauline circle and reflect a view of the Christ-event that it represented in the early Christian church. The interpretation of the Christ-event as reconciliation that one encounters in Colossians and Ephesians is so similar to that in 2 Corinthians and Romans that it is almost impossible to distinguish a Pauline and a Deutero-Pauline view of this matter. See further my remarks on Käsemann's treatment of the Pauline material above, pp. 173-75, who does not radically separate them from the authentic Pauline corpus.

<sup>26</sup> See further R. Bultmann, *Theology of the New Testament*, 1.232–38; J. A. Fitzmyer, "Pauline Theology," §119.

<sup>27</sup> See p. 165 above; cf. J. Dupont, La réconciliation, 10-18.

<sup>28</sup> Here one could add further Pauline passages that deal with the peace of Christ. He is "the peace of God" that surpasses all understanding and that will keep your hearts and your minds in Christ Jesus" (Phil 4:7). Or "let the peace of Christ rule in your hearts, to which indeed you were called in one body" (Col 3:15). Cf. 2 Thes 3:16. Moreover, "peace" is not to be understood in this connection merely as the absence of war or enmity, for it carries with it the Old Testament nuances of *šalôm*, the wholeness or perfection of bounty that can come only from God himself.

<sup>29</sup> As in Rom 3:6; 5:12. But Paul also used *kosmos* in the sense of the created universe; see Rom 1:20; 1 Cor 3:22; cf. Eph 1:4. See R. Bultmann, *Theology of the New Testament*, 1. 254-59.

<sup>30</sup> J. Dupont (*La réconciliation*, 39-42) associates reconciliation with both sacrifice and propitiation. In this I have to disagree. It is not that Paul did not consider the death of Christ a sacrifice (cf. Eph 5:2), but rather whether in the passages in which he deals with reconciliation he uses the expressions, "his death," "the blood of the cross," or "the blood of Christ" with the sacrificial connotation. No one will deny that the saying of Lev 17:11 ("for the life of the flesh is in the blood; and I have given it for you upon the altar to make expiation

for yourselves; for it is the blood that makes expiation") underlies Paul's use of "blood" in Rom 3:25, where it is closely associated with *hilasterion*. That meaning of blood is thus clearly related to expiation. But in Romans 3 Paul does not introduce the figure of reconciliation.

I cannot help but think that D. E. H. Whiteley ("St. Paul's Thought on the Atonement," 240-55, esp. pp. 247-49) comes closer to Paul's sense when he relates the mention of blood in the reconciliation passages to "covenant blood" (cf. Exod 24:3-8): "The Apostle means that through his death Christ constituted a relationship with all things analogous to that established in the Old Testament by means of the blood of the covenant" (249). Cf. his *Theology of St. Paul*, 140. Lührmann ("Rechtfertigung und Versöhnung," 438-40) speaks of the use of blood in Rom 3:24-26 as also related to "Bundestheologie." In a footnote he recognizes the connection with Leviticus 16 and the similar use of material in Qumran literature. To my way of thinking, the primary reference in Romans 3 is to Leviticus 16—and only thereafter possibly a reference to "Bundestheologie." The reason is that only in Romans 3 are "blood" and *hilasterion* associated, whereas elsewhere the "blood" or "death" of Christ (e.g., when related to reconciliation) could have the covenant reference more directly.

<sup>31</sup> What is curious is to recall that English-speaking Jews translate Yôm hak-Kippûrîm as the "Day of Atonement." See The Torah: The Five Books of Moses (Philadelphia: Jewish Publication Society, 1962) 226 (Lev 23:27). This name is undoubtedly influenced by the translation of Christian English Bibles. In French the Hebrew expression is more accurately translated as "Jour de l'Expiation." The JPS Torah uses, however, the noun "expiation" in Lev 16:6, 10, 11, 17; 17:11 (where the RSV has "atonement"; but cf. Lev 16:34 in the JPS Torah). Apparently, English-speaking Jews have never used any other translation for the Hebrew name of this feast-day; at least so I have been informed by Prof. Harry M. Orlinsky and Dr. Philip Goodman, the compiler of The Yom Kippur Anthology (Philadelphia: Jewish Publication Society of America, 1971). To both of these gentlemen I owe my thanks.

<sup>32</sup> See n. 1 above for details. The article was translated under the title "Some Thoughts on the Theme 'The Doctrine of Reconciliation in the New Testament,'" but in view of the article's starting-point it would have been better to render "Versöhnungslehre" as "the doctrine of Atonement," for this is the term more properly used in the Anglo-Saxon theological world, which Käsemann criticizes.

<sup>33</sup> "Some Thoughts," 51. Käsemann considers Colossians and Ephesians to be Deutero-Pauline; but in reality this distinction means little in his discussion. Hence my position; see n. 25 above.

<sup>34</sup> Käsemann continues: "In the deutero-Paulines [presumably Colossians and Ephesians] it also characterizes only very limited contexts, specifically the liturgical tradition contained in two passages" [presumably Col 1:20, 22; Eph 2:16]. But the fact that the image is used in a text of "liturgical tradition" does not mean that the image itself is of a liturgical background; liturgy does use figures and language drawn from other contexts and relationships.

<sup>35</sup> "Some Thoughts," 63. I can understand how one might say that the doctrine of justification establishes the legitimacy and sets the limits of *Pauline* teaching, but "of [presumably, all] NT teaching"? How does Paul become a norm for John, or Pauline theology a criterion of, say, Lucan theology?

D. Lührmann ("Rechtfertigung und Versöhnung," 446) likewise asserts that reconciliation has "keine eigenständige Bedeutung" in Paul's theology, but is subordinated to the "Hauptthema seiner Theologie, das in der Antithese von Glaube und Gesetz zu beschrieben ist." Though Lührmann tries to refine Käsemann's position somewhat, he is still operating with the basic presupposition of the latter. He breaks with Käsemann, when the latter describes "Versöhnung" as only one soteriological variant among others that were taken up in early Christianity, especially in those circles in which Christ was hailed as the cosmic victor. But he still derives the whole idea of anthropological reconciliation from cosmic reconciliation, as does Käsemann.

<sup>36</sup> "Some Thoughts," 50.

<sup>37</sup> Ibid.

<sup>38</sup> Ibid. This is not merely a problem of the English translation of Käsemann's article, to which I referred above in n. 32, but it is even true of the German original: "Blickt man von da aus auf die um *hilaskesthai* kreisende Wortgruppe, muss sofort gefragt werden, ob und wie weit die Übersetzung 'versöhnen' überhaupt an die Stelle des sicher angemesseneren 'sühnen' treten darf" ("Erwägungen," 48). The problem is by what right one can say that the *katallassein*-words belong to the orbit of *hilaskesthai* in Pauline thinking. Sühnen/expiate has cultic, liturgical, and even sacrificial overtones; but does versöhnen/reconcile imply any of that? Moreover, Paul never uses the two together in the same passage. That atonement, reconciliation, expiation, and even propitiation, came to imply all that is part of the history of the doctrine of the atonement. But is it so in Pauline theology?

<sup>39</sup> "Some Thoughts," 52. To show that *hilaskesthai* and words related to it designate "an event in the cultic realm," Käsemann cites Rom 3:25; Heb 2:17; 8:12; 9:5; 1 John 2:2; 4:10. Then he adds, confusing the issue still more, "to which may be added the ransom-sayings in Mark 10.45 and 1 Tim 2.6" (p. 50). But the image in lytron (or antilytron) is distinct. The mere fact that Paul links both apolytrosis and hilasterion in Rom 3:24-25 does not necessarily mean that they have the same background or, for that matter, share a cultic background, let alone the same cultic background. That hilasterion has a Palestinian Jewish cultic background can be readily admitted; but if one were to insist rather on its Hellenistic background (e.g., on its derivation from propitiatory rites in the Greco-Roman world), it could also be of cultic origin. But it is not at all clear that the figure involved in *apolytrosis* is necessarily cultic. Here one would have to discuss the extent to which the (fictive) emancipation of a slave or a prisoner at the shrine of a god in the ancient eastern Mediterranean world was really considered to be an act of worship or cult. And if it were, would that imply the same cultic background that the Hellenistic origin of hilaskesthai might?

<sup>40</sup> "Some Thoughts," 51.

<sup>41</sup> The phrase, "life from the dead," is very difficult to interpret and has been understood in many ways; for my preferred understanding of it, see the commentary on Romans in *JBC*, art. 53, §112.

<sup>42</sup> "Some Thoughts," 51.

43 Ibid., 52.

<sup>44</sup> Ibid. Käsemann's starting-point was a criticism of Anglo-Saxon theology and its use of the doctrine of atonement as a summation of New Testament soteriology. Given all the overloading of the term "reconciliation" or "atonement" with the nuances of expiation, propitiation, satisfaction, penal substitution, etc., that ensued in that "theological world," Käsemann was rightly critical. But when he employs the tag justificatio impiorum in the context of a discussion of Pauline theology and fashions another in imitation of it, justificatio inimicorum (thereby subordinating reconciliation to justification), he runs the risk of importing into Pauline theology nuances born of a later problematic. For that Latin abstract phrase, though based on Rom 4:5 (dikaiounta ton asebē), is not found precisely in Paul's writings—and I do not mean simply that Paul did not write in Latin. The abstraction with the genitive plural is not his way of putting it; in using it, Käsemann betrays a later theological stance.

<sup>45</sup> For a brief summary of the discussion about the relation of chap. 5 to the whole of Romans, see my commentary in *JBC*, art. 53, §49 and the literature cited there. Cf. U. Luz, "Zum Aufbau von Röm. 1–8," *TZ* 25 (1969) 161–81. As for the relation of reconciliation and justification, it might be well to recall the treatment of J. Weiss, *The History of Primitive Christianity* (ed. F. C. Grant; New York: Wilson-Erickson, 1937), 2. 496–504, esp. p. 497: "The most common and comprehensive expression for the event which Paul had experienced, and which all Christians must experience, is undoubtedly 'reconciliation'..., "

<sup>46</sup> "Some Thoughts," 53.

<sup>47</sup> Ibid., 50. Obviously, it would not be part of it if one insists on the Deutero-Pauline character of these hymnic fragments in Colossians and Ephesians.

<sup>48</sup> Actually cosmic reconciliation is not found in Eph 2:11-22. Since it is found in Col 1:20-22, it may be called Deutero-Pauline. But it should be remembered that it is explicitly mentioned in 2 Cor 5:18-19 and is echoed in Rom 11:15. Hence it cannot be simply written off as a Deutero-Pauline motif, as Käsemann implies in his discussion of the idea in An die Römer (HNT 8a; Tübingen: Mohr, 1973) 129.

<sup>49</sup>"Some Thoughts," 54.

<sup>50</sup> Ibid., 55.

<sup>\$1</sup> Ibid.

52 Ibid.

53 Ibid., 55-56.

<sup>54</sup> See P. T. O'Brien, "Colossians 1,20 and the Reconciliation of All Things," *Reformed Theological Review* 33 (1974) 45-53; J. I. Vicentini, "'Déjense reconciliar con Dios': Lectura de 2 Corintios 5, 14-21," *RevistB* 36 (1974) 97-104; A. Stöger, "Die paulinische Versöhnungstheologie," *TPQ* 122 (1974) 118-31; V. P. Furnish, "The Ministry of Reconciliation," *CurTM* 4 (1977) 204-18; E. Lohse, "'Das Amt, das die Versöhnung predigt," *Rechtfertigung: Festschrift für Ernst* Käsemann zum 70. Geburtstag (ed. J. Friedrich et al.; Tübingen: Mohr [Siebeck]; Göttingen: Vandenhoeck & Ruprecht, 1976) 339-49; J. Milgrom, "Atonement in the OT," *IDBSup*, 78-82; P. Garnet, Salvation and Atonement in the Qumran Scrolls (WUNT 2/3; Tübingen: Mohr [Siebeck], 1977).

Eight

# PAUL AND THE LAW\*

As I BEGIN this discussion of Paul and the Law with members of the Canon Law Society, I cannot help but recall the famous words of the Apostle, "I am speaking to those who know the law" (Rom 7:1). Such a realization did not deter him, however, from devoting no little space to the subject in his writings, and in this I take courage.

Paul's treatment of law is found for the most part in two letters: in Gal 2:16-6:13 and in Rom 2:12-8:7. Though there are scattered remarks about it elsewhere (e.g., in 1 Cor 9:20; 15:56; 2 Cor 3:17-18; Rom 9:31; 10:4-5; 13:8-10; Eph 2:15 [cf. 1 Tim 1:8-9]), it is well to recall at the outset that his main discussion is found in polemical contexts. The Judaizing problem in the early Church called forth his remarks on the subject; this was a threat to his fundamental understanding of the Christ-event, and he reacted vigorously against it.<sup>1</sup> But it would be a mistake to think that Paul's teaching about law occupies the center of his theology. To regard it in this way would be to commit the same error which has plagued much of Christian thinking since the Reformation which identified the essence of his theology solely with justification.<sup>2</sup> We have finally come to recognize that the Pauline view of Christ lies as much in the "new creation" brought about in Christ and through the Spirit, as God initiated a new phase of salvation-history. Similarly we have learned that Paul viewed this Christian condition in terms of justification mainly because of the context of the Judaizing problem. Even though his teaching about law is, therefore, somewhat time-conditioned and polemical, nevertheless it has in all parts of it aspects which are relevant and pertinent to our situation today.

Likewise at the outset it is necessary to mention one further minor problem. It concerns the literal and figurative sense of *nomos* used by Paul as well as his use of the noun with and without the article. In a number of instances Paul will make statements such as these: the

Gentiles "are a law to themselves" (Rom 2:14); or "in my members another law at war with the law of my mind" (Rom 7:23); or, as he makes use of oxymoron, "the law of the Spirit of life" (Rom 8:2), "the law of Christ" (Gal 6:2), or "the law of faith" (Rom 3:27). In all such instances the use of nomos is figurative, and its prime analogate is the Mosaic law. These figurative expressions attempt to describe pagan or Christian counterparts of the Mosaic law in a term that is frankly borrowed from it. But aside from such clearly figurative expressions Paul otherwise speaks only of the Mosaic law, "the religious system under which the Jews had lived since the time of Moses."3 He speaks only of it, and makes no distinction between its cultic, ritual, or ethical demands.<sup>4</sup> It may be that he sometimes extends it, designating by nomos the whole of the Old Testament and not just the Torah or Pentateuch (cf. Rom 3:19 and the quotations cited in the preceding context). But it is useless to try to distinguish his statements according to the use of the article or the lack of it.<sup>5</sup> If we emphasize this at the outset, it is only to avoid a misunderstanding; for Paul does not really talk about "law as such." Not even the verse quoted at the beginning of this paper (Rom 7:1) refers to anything but the Mosaic law, as the verses in the immediately following context show.6 However, it is true that some statements are couched in terms which are generic and lend themselves by extension to other legal systems than that of Moses; for this reason it is not difficult to apply them to other types of law, Christian or otherwise, and find that they are still relevant.

With such preliminary remarks we may turn to the discussion itself, which will have three parts: (1) Paul's view of the law and the anomaly which it presents in human life; (2) his explanation of the anomaly; and (3) his solution of the anomaly.

# I. Paul's View of the Law and Its Anomaly

We can best describe Paul's view of the law by making five observations about it.

(1) Paul personifies nomos, just as he does hamartia ("sin") and thanatos ("death").<sup>7</sup> This is especially true in the letter to the Romans. Like *Thanatos* and *Hamartia*, Nomos is depicted as an actor playing a role on the stage of human history (see Rom 5:20).

To understand its role, we must recall Paul's view of salvation-history. His conception of it is based on the unilinear view of world history which he inherited from the Pharisaic tradition. Early rabbis maintained that the duration of the world would be 6000 years, divided into three phases: (a) the period of  $T \delta h \hat{u} \cdot w \bar{a} \cdot b \delta h \hat{u}$  ("Chaos," see Gen 1:2), lasting from Adam to Moses when there was no law; (b) the period of  $T \delta r \bar{a} h$  ("Law"), lasting from Moses to the Messiah when the law ruled human existence; (c) the period of the Messiah, when either the law would cease (according to some rabbis), or the Messiah would perfect it by giving it a new interpretation (according to others).<sup>8</sup> Paul employs a similar threefold division of history: (a) From Adam to Moses the period was law-less; human beings did evil or sinned, but there was no imputation of transgressions (Rom 5:13).<sup>9</sup> "For the law brings wrath; but where there is no law, there is no transgression" (Rom 4:15). (b) From Moses to Christ the law reigned and sins were imputed as transgressions of it; "the law brings wrath" (Rom 4:15). (c) The Messianic Age began with Christ Jesus, who is "the end of the law" (Rom 10:4).

Paul apparently followed that view which regarded the law as coming to its end in the period of the Messiah.<sup>10</sup> For him Jesus himself is "the end of the law" (*telos nomou*), not only in the sense that it was aimed at him as its consummation, its goal, or its *finis* (Gal 3:24), but also in the sense that, as the *Christos* (or "Messiah"), he put an end to it. For he "abolished in his flesh the law with its commandments and ordinances" (Eph 2:15). Through him "we are discharged from the law" (Rom 7:6).<sup>11</sup> Upon us "the ends of the ages have met" (1 Cor 10:11 [my translation]), i.e., the last end of the age of the Torah and the first end of the age of the Messiah. In the latter there reigns instead *ho nomos tou Christou*, "the law of the Messiah" (Gal 6:2).

Thus all of human history has become a stage; and the actors who come upon it to influence this condition are Death, Sin, and the Law.

(2) When Paul describes the actor Nomos for us, we learn that he is good: "The law is holy, and the commandment is holy and righteous and good" (Rom 7:12; see also 7:16). Indeed, it is even said to be "spiritual" (pneumatikos, Rom 7:14), i.e., belonging to the sphere of God and not of earthbound humanity. For it is "the law of God" (Rom 7:22, 25; 8:7; cf. 1 Cor 7:19), since it ultimately came from God and was destined to lead human beings to "life," i.e., to communion with God. It was "the very commandment whose purpose was life" ( $h\bar{e}$  entole  $h\bar{e}$  eis zöen, Rom 7:10). In a broad sense it could even be said to be "the oracles of God" (Rom 3:2), for it manifested to human beings God's word and his will. In Gal 3:12 Paul quotes Lev 18:5 and is constrained to admit that "he who does them [i.e., the prescriptions of the law] shall live by them," i.e., shall find life through them. Even though the law was

secondary and inferior when compared to the promises made to Abraham by God (Gal 3:21), it was certainly not a contradiction of them. It enjoyed, therefore, a fundamental goodness by which the saints of the Old Dispensation were to achieve their destiny, a life of uprightness in the sight of God.

(3) This character Nomos constituted one of the privileges of Israel. Paul frankly lists it among the prerogatives enjoyed by his kinsmen by race: "They are Israelites, and to them belong the sonship, the glory, the covenants, the giving of the law . . ." (Rom 9:4). They were privileged in that they possessed a God-given means of seeking their justification. And everything that the law says is addressed to those who are under its authority and who acknowledge it (Rom 3:19).

But Paul turns the coin, precisely in this regard. For it does little good for a Jew to boast of his possession of the law and of hearing it read every Sabbath in the synagogue, if he does not obey it (Rom 2:17-24). As a prerogative of Israel, the law set Paul's kinsmen by race apart from those who were *a-nomoi* and *hamartõloi*, "law-less" and "sinners" (seeing that they were without the law). But Paul emphasizes the obligation which lay on Israel to observe that law, and to observe it in its entirety (Gal 5:3), if it is recognized as a norm for life.

(4) In spite of all this, Nomos is depicted as incapable of producing the uprightness which it was destined to achieve. Though it was "holy and righteous and good," came from God, and was Israel's prerogative, yet it did not bring "life" to men. Paul is severe in his judgment, as he makes a daring addition to Ps 143:2, "No human being will be justified in the sight of God—by observing the law" (lit., "from the deeds of the law" [my translation]). The last phrase, boldly added by Paul to the Psalm in Rom 3:20, amounts to a devastating accusation which formulates the anomaly which the character Nomos brings into human existence. Nomos was supposed to bring life, as Lev 18:5 had promised; but in reality it brought just the opposite. Thus Paul describes the negative role of the law: its inability to give life, because it is nothing more than an external norm. It tells people what they must do without giving them the dynamis ("the force") to do it. And so, the law was not a dynamic force for life (unlike the gospel [see p. 153 above]).

To prove his point, Paul appeals to the *de facto* situation of the Jews who are just as much subject to God's wrath, even though they possess the law, as the heathen who do not obey it because they do not know it.<sup>12</sup> Indeed, his accusation implies that the Jews *cannot* really obey it. As proof he cites the Old Testament itself in the words of Hab 2:4, "the upright person shall live by faith" (see Rom 1:17; Gal 3:12); but faith has nothing to do with the law. This, then, is the negative role of *Nomos:* it fails to give human beings the ability to fulfill the obligations which it imposes on them.

(5) But Nomos also plays a positive role by multiplying or enhancing sin and by levelling a curse on human beings. And herein we find the real anomaly which Paul sees in the law. Good though it was, the law really multiplied sin. Paul teaches this explicitly: "It was added [to the promises made to Abraham] for the sake of transgressions" (Gal 3:19); "the law came in to increase the transgression" (Rom 5:20 [my translation]).

These Pauline statements must be understood in terms of the periods of salvation-history mentioned above. Arriving on the stage of human history in the second period, *Nomos* became the tool and the instrument of *Hamartia*. In fact, it became the very "dynamis of Sin" itself (1 Cor 15:56). While supplying to human beings no dynamis of its own whereby they might find "life," it ironically enough became the henchman of *Hamartia*; and thus it unleashed on humanity God's wrath: "for the law brings wrath" (Rom 4:15). Though it was not sin itself, it contributed to sin: "What then shall we say? That the law is sin? By no means! Yet, if it had not been for the law, I would not have known sin" (Rom 7:7). And the reason is that in the absence of law "sin was dead" (Rom 7:8).

This positive role of Nomos is played in three ways: (a) The law acts as an occasion (aphormē) for sin. It instructs human beings in the material possibility of sinning, either by forbidding what is indifferent (e.g., the eating of unclean animals, Lev 11:2-47; Deut 14:4-21), or by exciting desires in annoying the conscience by the imposition of an external, positive regulation against "forbidden fruit." This aspect of law, however, as an occasion of sin, is for Paul only secondary; he alludes to it briefly in Rom 7:5, 8, 11, but otherwise makes very little of it.<sup>13</sup>

(b) Much more important is the role which Nomos plays as a moral informer. For Nomos gives human beings "a real and profound knowledge of sin" (epignosis [not just gnosis] hamartias, Rom 3:20). This deep awareness of the true character of moral disorder shows sin up to be a rebellion, a transgression, an act against a personal God, and an infidelity to the covenant relation and stipulations formulated in the Decalogue. This is why Paul could say, "Sin indeed was in the world before the law was given; but sin is not counted (ouk ellogeitai) where there is no law" (Rom 5:13). Paul would not deny that human beings were evil during the period from Adam to Moses (during the "law-less" period of Chaos). But he insists that their sinfulness did not have the

character of open rebellion and transgression because the Mosaic law had not yet been given. Men sinned, but it was not "like the transgression of Adam" (Rom 5:14), who violated a command of God (Gen 2:17; 3:6, 11). Again, "where there is no law there is no transgression" (Rom 4:15), or "apart from the law sin lies dead; I was once alive apart from the law, but when the commandment came, sin revived and I died" (Rom 7:8b-9a).

(c) In addition to being an occasion for sin and a moral informer about the real nature of sin, Nomos also played its positive role by laying a curse on human beings. This stern view of the law, which modern Christians may be inclined to tone down, is derived by Paul from Deut 27:26, which he quotes in Gal 3:10, "Cursed be every one who does not abide by all things written in the book of the law and do them." This shows, as Paul argues, that the law itself cursed the very human beings on whom it imposed its obligations. It brought them under "condemnation" (Rom 8:1), and thus it became a "ministry of condemnation" (2 Cor 3:9) and a "dispensation of death" (2 Cor 3:7). And this is the height of the anomaly of human existence in the period of Torah. Understanding it all in this way, Paul can only exclaim, "Did that which is good, then, bring death to me?" (Rom 7:13). Did the God-given Nomos in the service of Hamartia bring humanity into the clutches of Thanatos? His answer is "yes," and it happened that the true colors of Hamartia might be shown up: "that sin might be shown to be sin" (Rom 7:13). But could this be? How could such a thing happen? To answer this brings us to our second point, Paul's explanation of the anomaly.

### **II.** Paul's Explanation of the Anomaly

Paul not only recognized and described the anomaly that Nomos brought into human life, but he also tried to explain how it could have come about. His explanation is twofold, differing according to his letters. In his earlier letter to the Galatians Paul gives an extrinsic explanation, setting forth the temporary role of the law: "Now before faith came, we were confined under the law, kept under restraint until faith should be revealed. So that the law was our custodian (*paidagõgos*) until Christ came, that we might be justified by faith" (Gal 3:23-24). Here in Galatians Nomos is depicted as a slave who in the Hellenistic world accompanied the school-age boy to and from classes, kept him in tow, and supervised his studies. Thus the law schooled and disciplined humanity in preparation for Christ, "the end of the law."<sup>14</sup> But this was only a temporary disposition of God, permitted until mankind reached the maturity in which it could do without the *paidagogos* and respond to Christ, who came in the fulness of time, with an adult and personal commitment which is faith. Thus the law played a temporary role in salvation-history, disciplining God's people that it might gradually come of age to learn of Christ.

Paul stresses its temporary, provisional character by pointing out that it was added to Israel's promised heritage four hundred and thirty years after the original promises made to Abraham. Paul's chronology may be off by several centuries, but in any case the law came in later. This shows that it was in reality inferior to the promises and could in no way annul them. Its inferiority was also manifest in that it was promulgated by angels and through the mediation of Moses (see Gal 3:19-20). Whatever Paul may have thought about the angels, he certainly relegated them to the same category as the Mosaic law as far as Christians were concerned.<sup>15</sup> He chides the fickle Galatians, warning them that to adopt any of the Judaizers' practices would be a return to the worship of "the elements of the world" (Gal 4:3, 9). As heathens, they were once enslaved to such elements or primitive rudiments; but to adopt any of the material observances of the Judaizers would be tantamount to a return to such slavery. Such is the pejorative view of the law and its worth that Paul finally developed. Now that Christ's rule has replaced that of spirits and angels, their role in human history is over; and thus their identification with the law reveals its inferior and temporary status as well.

This explanation of the anomaly of the law was apparently not very satisfactory even for Paul, being in effect quite extrinsic. For it did not really come to grips with the problem of human incapacity to obey the God-given law. So when Paul composed Rom 7:13–24, he abandoned that explanation and sought a more intrinsic, philosophical explanation. Paul finally realized that the difficulty was not with the Mosaic law as such, but rather with human beings in their earthbound condition of *sarx*, "flesh," alienated from God and dominated by *Hamartia*. In Romans 7 Paul explains the anomaly of the law from the fact that human beings are *sarkinoi*, "made of flesh," i.e., composed of a principle which ties their whole personal existence, outlook, and mentality to earth and to a material mode of existence which distracts them from any consideration of God. Here we must let Paul speak for himself:

Did that which was good, then, bring death to me? By no means! It was sin, working death in me through what is good, in order that sin might be shown to be sin, and through the commandment might become sinful beyond measure. We know that the law is spiritual; but I am carnal (*sarkinos*), sold under sin. I do not understand my own actions. For I do not

do what I want, but I do the very thing I hate. Now if I do what I do not want, I agree that the law is good. So then it is no longer I that do it, but sin which dwells in me. For I know that nothing good dwells in me, that is, in my flesh; I can will what is right, but I cannot do it, for I do not do the good I want, but the evil I do not want is what I do. For if I do what I do not want, it is no longer I that do it, but sin which dwells in me.

So I find it to be a law that when I want to do right, evil lies close at hand. For I delight in the law of God, in my inmost self, but I see in my members another law at war with the law of my mind and making me captive to the law of sin which dwells in my members. Wretched man that I am! Who will deliver me from this body of death? (Rom 7:13-24)

It is the evil force introduced into the world by Adam's transgression, Sin (with a capital S), which keeps human beings in bondage and slavery. Even if they want to obey God's law, they cannot do so because the earthbound self (*sarx*) is dominated by *Hamartia*. Paul even goes so far as to call, figuratively indeed, this indwelling sin a "law"; it is "the law of sin" (Rom 7:25), an appositional genitive.

At the end of chap. 7 in Romans Paul can only exclaim, "Wretched man that I am! Who will deliver me from this body of death?" And his answer to his own question yields the solution to the anomaly of the law. It also provides us with our third point.

### III. Paul's Solution of the Anomaly

Paul's solution is, "Thank God! It is done through Jesus Christ our Lord" (Rom 7:25 [my translation]), an answer that is as remarkable as it is simple.<sup>16</sup> He continues, "There is therefore now no condemnation for those who are in union with Christ Jesus. For the law of the Spirit of life in Christ Jesus has freed me from the law of sin and death" (Rom 8:1– 2). It has often been pointed out how in that short answer Paul introduces his great insight into the meaning of the Christ-event for humanity (viz., freedom from the law, from sin, and from death) and succinctly summarizes the entire second part of the doctrinal section of Romans. For Rom 8:2 is a brief résumé of chaps 5, 6, and 7: "The law of the Spirit of life in Christ Jesus had freed me from the law of sin and death." The three key-words, law, sin, and death, are significantly juxtaposed.

With a slightly different nuance the same message is the burden of the letter to the Galatians, which is Paul's "Charter of Christian Liberty." In it he almost had to thrust his ideas of liberty on reluctant Gentile-Christian neophytes, who seemed to prefer bondage and restraint in Judaizing practices. To those who did not want to be free of the law he could only exclaim: "For freedom Christ has set us free" (Gal 5:1). And these words sum up his whole message of Christian liberty.<sup>17</sup> In the same context he brands the law of Moses as a "yoke of slavery." "I testify again to every man who receives circumcision that he is bound to keep the whole law" (5:3).

We must specify further the sense in which Paul can say that Christ has freed human beings from the law. For it is also obvious that the freedom he preached did not mean a throwing off of all restraint, an invitation to license. Even Paul insisted, "For you were called to freedom, brethren; only do not use your freedom as an opportunity for the flesh" (Gal 5:13). Even in the letter, which is his "Charter of Christian Liberty," Paul inserts the catalogues of vices and virtues which he inherited from the catechesis of the primitive church. Here as in other letters they serve as norms of Christian conduct. For instance, in Gal 5:19-21 he lists "the works of the flesh" as "fornication, impurity, licentiousness, idolatry, sorcery, enmity, strife, jealousy, anger, etc." and ends with the warning, "those who do such things shall not inherit the kingdom of God." To put it more bluntly, Paul for all his talk about Christ's abolition of the law still seems to have in the hortatory sections of his letters elaborate lists of do's and don't's. Moreover, he seems to regard them as fundamental to Christian community life. It might seem, then, that Paul has simply done away with the Mosaic law with its Pharisaic interpretation and casuistry only to set up his own code.

To understand his attitude, we must try to see what he meant by saying that Christ "abolished in his flesh the law of commandments and ordinances" (Eph 2:15), or that Christians "have died to the law through the body of Christ" (Rom 7:4). For it is noteworthy that Paul in his letters ascribes this freedom from the law or death to the law precisely to the crucifixion and death of Christ himself. The explanation of this facet of Pauline theology is found in one of the most difficult verses of the Pauline corpus: "Through the law I died to the law, that I might live for God; I have been crucified with Christ" (Gal 2:19-20).18 In these words Paul means that the Christian identified with Christ through baptism shares in his death by crucifixion. As Christ by his death put an end to the law, so the Christian has died to the law; it no longer has any claim on him. But how did this death (of Christ and the Christian) take place "through the law"? Paul almost certainly means "through the pernicious effects of the law," or, as we might say today, "through legalism." For Paul implies that it is the attitude of mind fostered by the Mosaic law itself in those who crucified Jesus (cf.1 Thess 2:14-15). He was undoubtedly thinking of the formalism and legalism of the traditions that he knew as a Pharisee which made it impossible for his "kinsmen by race" (Rom 9:3) to accept Jesus of Nazareth as Messiah. So it was "through the law" that the Christian has died to the law (by his con-crucifixion with Christ, synestauromai) that he/she might live for God.

This liberty from the law brought about by the death of Christ is still further explained in Galatians 3. In that and the following chapter Paul develops an elaborate midrash on the Abraham story of Genesis; he shows how God, foreseeing the justification of the Gentiles by faith, announced in effect the gospel aforetime to Abraham in blessing all nations in him. But by contrast, Paul argues, the law, which came in after these promises made to Abraham, levels a curse on all who would live by it: "Cursed be every one who does not abide by all the things written in the book of the law, and do them" (Deut 27:26). But Christ by his death has removed this curse from humanity.

To show how this was done Paul indulges in a little "rabbinic" logic.<sup>19</sup> His argument is not marked by Aristotelian logic, and any attempt to reduce it to a syllogism fails, for there are actually four terms in the argument. Christ has removed the curse of Deut 27:26 from humanity because he became the "curse of the law" in the sense of Deut 21:23, and by dying he blotted it out. When he died as "the curse of the law," in one sense, the curse of the law in another sense died with him: "Christ redeemed us from the curse of the law, having become a curse for us-for it is written, 'Cursed be everyone who hangs upon a tree'" (Gal 3:13). Here Paul cites the curse of Deut 21:23, levelled against the exposed dead body of an executed criminal. It was customary to hang it up as a deterrent to crime, but it was not allowed to remain beyond sundown, for it would defile the land; in this sense it was accursed. In Roman times, when punishment by crucifixion became frequent in Palestine, the verse was applied to this form of capital punishment. Paul, knowing that Jesus died by this manner of death, realizes that the curse of the law materially applied to him. So by a free association he maintains that Jesus, the "curse of the Law" (in the sense of Deut 21:23) blotted out by his death the curse levelled against humanity (by Deut 27:26). Thus Christ "abolished the law" (Eph 2:15). Thus he "cancelled the bond that stood against us with its legal demands; this he set aside, nailing it to the cross" (Col 2:14). Thus he became "the end of the Law" (Rom 10:4).

Instead there now reigns the "law of the Spirit of life" (Rom 8:2), which is in reality no "law" at all,<sup>20</sup> but is given that appellation by Paul through oxymoron. The Christian who has been baptized into Christ lives a new life, a symbiosis of himself with Christ. Having grown together with Christ, the Christian can now only think as Christ thinks and conduct his life only for God. "I live, now not I, but Christ lives in me" (Gal 2:20). For the Christian is now motivated, energized, and

vitalized by the Spirit of the Risen Jesus; it frees him from his condition as sarx; it is what later theology calls "grace."21 "For God has done what the law, weakened by the flesh, could not do: sending his own Son in the likeness of sinful flesh and for sin, he condemned sin in the flesh, in order that the just requirement of the law might be fulfilled in us, who walk not according to the flesh but according to the Spirit" (Rom 8:3-4). The principle of Christian activity is no longer merely an external list of do's and don't's, but rather the internal whispering of the dynamic Spirit which enables the Christian to cry, "Abba, Father," and which testifies to him that he/she is a child of God (Gal 4:6; Rom 8:15). For the Christian is "led by the Spirit" (Rom 8:14); it has become for him/her a nomos, principle, a figurative "law." He/she is no longer earthbound sarx when so activated, but is now pneumatikos, "spiritual." Living thus for God, and being so captivated with Christ that he/she is even his "slave" (doulos, 1 Cor 7:22),<sup>22</sup> the Christian has nothing to do with sin, evil, disorder, or transgression. For Paul it is inconceivable that a human being identified with the death, burial, and resurrection of Christ in baptism could ever again think of sin and evil. "How can we who died to sin still live in it?" (Rom 6:2); just "as Christ was raised from the dead by the glory of the Father, so we too must walk in the newness of life" (Rom 6:4). In other words, for the Christian there is no need of a legal system such as was the Mosaic law, especially as understood in the Pharisaic tradition with its 613 commands and prohibitions.

How explain, then, Paul's insistence on the catalogues of vices and virtues mentioned earlier? True, Paul does not hesitate to exhort his Christian communities to the practice of virtue. But his norms for individual conduct are now subsumed all under one notion: under love, under concern for others, under the dynamic demand of Christian communal living. In Rom 13:8–10 he makes it explicit:

Owe no one anything, except to love one another; for he who loves his neighbor has fulfilled the law. The commandments, "You shall not commit adultery, You shall not kill, You shall not steal, You shall not covet," and any other commandment, are summed up in this sentence, "You shall love your neighbor as yourself." Love does no wrong to a neighbor; therefore love is the fulfilling of the law. (See also Gal 5:14.)

Love is the fulfilment of the law, not because it replaces the Mosaic law with another external norm of conduct, but because it is itself a dynamic force impelling human beings to seek the good of others, energizing their faith in Christ Jesus (Gal 5:6: *pistis di' agapēs energoumenē*, "faith working itself out through love"). For Paul what does not express love does not lead to life.<sup>23</sup> It is in this sense that Paul speaks of "the law of Christ." For this Pauline expression is obviously a "take-off" on the expression, the law of Moses. When, however, we look at the context in which the expression is used in Gal 6:2, it is obviously that of brotherly love, and specifically of fraternal correction. "Brethren, if a person is overtaken in any violation, you who are spiritual should restore him in a spirit of gentleness; but look to yourself, lest you too be tempted. Bear one another's burdens, and so fulfill the law of Christ."<sup>24</sup> The example which Paul uses here should obviously be understood as precisely that, an example; for if the "law of Christ" is to be understood in terms of love, as the passage suggests, it is not to be restricted to that form of love which would manifest itself only in fraternal correction.

When one sees how Paul does away with the Mosaic law and its legalism and substitutes for it the "law of the Spirit of life" and the principle of love, one cannot help but ask how Paul, the former Pharisee, could ever have come to such a view of the Old Testament. But, to my way of thinking, it is precisely his background which has brought him to this reaction.<sup>25</sup> We must remember that Paul's attitude toward the Old Testament is at least double. For if he is very severe in speaking of the Old Law, nevertheless he frequently quotes the Old Testament, appeals to it as the source of the promises made to Abraham (Rom 4:13), as "the oracles of God" (Rom 3:2), and sees in it "the book written for our instruction" (1 Cor 10:11; cf. Rom 4:23-24; 15:4). But his negative attitude toward the Old Testament is undoubtedly due to the "traditions of the Fathers" (Gal 1:14) which surrounded and encrusted it and in which he had been schooled. How often he looked on it as "law," and how infrequently he thinks of it as "covenant"! This notion, which looms so large in modern interpretation of the Old Testament and in a sense sums it up, is somewhat slighted in Paul's letters.<sup>26</sup> This may well be owing to his dependence on the Old Testament in the Greek translation of the LXX, where the Hebrew word berît, "covenant," was rendered by diatheke, a word which in Hellenistic Greek often bore the connotation of "last will, testament" (see Gal 3:15). This Greek translation colored the Old Testament covenant with the connotation that it was an expression of God's will; and this aided the tendency to exploit it legalistically and casuistically. It obscured the covenant as "pact," which might have been more appropriately translated as syntheke. The result was a preoccupation with the Old Testament as an expression of God's will that had to be carried out by Israel and as a legal system which had to be interpreted to the extreme of casuistry.

Finally, we conclude our remarks on the subject by referring to one

verse which we have not considered so far. It is found in the Pastoral Epistles, but since Paul did not write these letters himself, we have been reluctant to introduce it into the main discussion. Whether it is authentically Pauline or not, it forms a fitting conclusion to it. For it sums up succinctly what has been said—in a way, however, not said above: "Now we know that the law is good, if one uses it as law should be used, understanding this, that the law is not laid down for the just but for the lawless and disobedient, for the ungodly and sinners . . ." (1 Tim 1:8–9 [my translation]). This statement fits in perfectly with what Paul wrote about the law, about its fulfillment in love, about the Spirit as the principle of the "new creation" (Gal 6:15), and about the complete incompatibility of the Christian with what is evil and sinful.

In summary, then, Paul's teaching is a reaction to the Mosaic law, on the one hand, abolished by Christ Jesus who has now enabled man through his own Spirit to transcend the earthbound condition of sarx, and, on the other, summed up and fulfilled in the dynamic principle of love. The grace and favor of Christ enables human beings to be truly Christian. The norm, however, for the Christian's conduct is no longer an external list of do's and don't's; such a thing exists for "the lawless and the disobedient." Instead, Paul's specific exhortations and recommendations express not so much a code or a norm to be exploited and interpreted casuistically as examples of the Christian principle of love reacting to communal situations. If my presentation of Paul's reaction to law has stressed the Mosaic over against any generic consideration, it is because this is in fact the perspective from which he viewed and treated it. But it is well to repeat here one phrase that he did write. "The commandments, 'You shall not commit adultery, You shall not kill, You shall not steal, You shall not covet,' and any other commandment, are summed up in this sentence, 'You shall love your neighbor as yourself'" (Rom 13:9).27

#### NOTES

\* Originally published under the title, "Saint Paul and the Law," in The Jurist 27 (1967) 18-36.

<sup>1</sup> So strong was his reaction to this problem that it did not take long for a doctrine of "separatio legis et evangelii" to emerge in the early church; see pp. 156, 161 above.

<sup>2</sup>See, e.g., E. Käsemann's remarks quoted above, p. 171; also his articles cited in n. 6, p. 179. Cf. K. Stendahl, "The Apostle Paul and the Introspective

Conscience of the West," HTR 56 (1963) 199-215; reprinted, Paul among the Jews and Gentiles and Other Essays (Philadelphia: Fortress, 1976) 78-96. See also Stendahl's reaction to Käsemann's criticism of the foregoing article, pp. 129-32.

<sup>3</sup> L. Cerfaux, Christ in the Theology of St. Paul (New York: Herder and Herder, 1959) 147. Cf. H.-H. Esser, "Law, Custom, Elements," The New International Dictionary of New Testament Theology (3 vols.; ed. C. Brown; Grand Rapids, MI: Zondervan, 1975, 1976, 1978), 2. 436-51, esp. pp. 444-45.

<sup>4</sup> See R. Bultmann, Theology of the New Testament (2 vols.; London: SCM, 1956), 1. 259-60; cf. C. Haufe, "Die Stellung Paulus zum Gesetz," TLZ 91 (1966) 171-78.

<sup>5</sup> See the extensive treatment of the question in P. Bläser, Das Gesetz bei Paulus (NTAbh 19/1-2; Münster in W.: Aschendorff, 1941) 1-30, esp. p. 24. Cf. W. Gutbrod, "Nomos," TDNT 4 (1967) 1022-91, esp. pp. 1069-71; G. B. Winer, Grammatik des neutestamentlichen Sprachidioms (8th ed.; Göttingen: Vandenhoeck & Ruprecht, 1894) §19, 13h.

<sup>6</sup> At first sight it may seem that Paul is referring to the famous Roman law in making this statement, which actually lacks the definite article in the Greek text, "for I am speaking to those who know what law is" (ginoshousin gar nomon lalo). A number of commentators have so understood it (e.g., B. Weiss, A. Jülicher). Some others think that Paul understands nomon here as law in general (e.g., M.-J. Lagrange, S. Lyonnet, Sanday-Headlam, E. Käsemann). But the majority of commentators argue from the immediately following context to the Mosaic law (so H. Lietzmann, J. Huby, P. Althaus, H. W. Schmidt, P. Bläser et al). See the remarks of F. Leenhardt, *The Epistle to the Romans: A Commentary* (London: Lutterworth, 1961) 177-78; O. Kuss, Der Römerbrief (Regensburg: Pustet, 1963), 2. 435; C. E. B. Cranfield, A Critical and Exegetical Commentary on the Epistle to the Romans (2 vols.; ICC; Edinburgh: Clark, 1975, 1979), 1. 332-33.

<sup>7</sup> See P. Benoit, "La loi et la croix d'après Saint Paul (Rom. VII, 7-VIII,4)," *RB* 47 (1938) 481-509, esp. pp. 484-85; reprinted, *Exégèse et théologie* (3 vols. Paris: Cerf, 1961, 1961, 1968), 2. 9-40, esp. pp. 12-13. Cf. L. Cerfaux, *Christ*, 227. As is evident, I am heavily indebted to P. Benoit in this essay.

<sup>8</sup> See b. Sanh. 97b; b. Abod. Zar. 9b; Ep. Barn. 15:4. The Torah was expected to cease in the messianic age according to b. Šabb. 151b or b. Nid. 61b; but in Tg. Isa 12:3 and Midr. Qoh 2:1; 12:1 it is expected that the Messiah will promulgate a new Torah. See W. D. Davies, Torah in the Messianic Age and/or the Age to Come (SBLMS 7; Philadelphia: Society of Biblical Literature, 1952) 50-94; Paul and Rabbinic Judaism: Some Rabbinic Elements in Pauline Theology (London: SPCK, 1948) 72-73. This difference of rabbinic opinion about the relation of the Messiah to the law may be reflected in the different treatment of the Mosaic law in Paul, for whom it is abolished, and in Matthew, for whom it is to be perfected (5:17). See, however, A. Díez Macho, "¿Cesará la 'Torá' en la edad mesiánica?" EstBib 12 (1953) 115-58; 13 (1954) 1-51.

<sup>9</sup> In viewing history in this way, Paul prescinds completely from the biblical account of the Flood and the generation of classic sinners associated with it. To introduce them into the discussion of Rom 5:13 is a distraction, pace S. Lyonnet in J. Huby, Saint Paul: Epure aux Romains (rev. ed.; VS 10; Paris: Beauchesne, 1957) 554 n. 6.

<sup>10</sup> Differently from Matt 5:17 (see n. 8 above); cf. W. D. Davies, *The Setting of the Sermon on the Mount* (Cambridge: University Press, 1964) 334-36.

<sup>11</sup> In both passages the verb *katargein* is used, which suggests that what Christ Jesus did rendered the law "ineffective" for human beings henceforth. C. E. B.

Cranfield, however, attempts to show that for Paul "the law is not abolished by Christ" ("St. Paul and the Law," SJT 17 [1964] 42-68, esp. pp. 54-65). He analyses a number of Pauline passages (Rom 3:21; 6:14b; 7:4, 8:2; 2 Cor 3:7-17; Gal 3:15-25; Col 2:14; Eph 2:15) in this sense; but his discussion is scarcely convincing. In effect, he eliminates the anomaly which most readers of Paul's letters have always sensed in his treatment of the law. Cf. O. Kuss, "Nomos bei Paulus," MTZ 17 (1966) 173-227.

<sup>12</sup> Care must be had in the interpretation of Rom 2:14. This verse certainly does not imply that "Gentiles who do not possess the [Mosaic] law" carry out by nature or instinct (*physei*) all that "the law requires." The phrase *ta tou nomou* must mean something like "some of the things of the law." Nor can it be used without further ado to support a thesis about the "natural law." See further C. H. Dodd, "Natural Law in the New Testament," New Testament Studies (Manchester: University Press, 1953) 129–42; J. L. McKenzie, "Natural Law in the New Testament," BR 9 (1964) 1–13; O. Kuss, Der Römerbrief, 1. 72–75; F. Flückiger, "Die Werke des Gesetzes bei den Heiden (nach Röm. 2, 14ff.)," TZ 8 (1951) 17–42. —The teaching about the natural law in the Christian tradition must be considered as the sensus plenior of Paul's statement in Rom 2:14, not the primary sense of his words.

<sup>13</sup> See P. Benoit, "La loi" (n. 7 above), 485-86.

<sup>14</sup> See G. Salet, "La loi dans nos coeurs," NRT 79 (1957) 449-62, 561-78, esp. pp. 577-78; L. Cerfaux, The Christ, 148.

<sup>15</sup> See B. Reicke, "The Law and This World according to Paul," *JBL* 70 (1951) 259–76.

<sup>16</sup> The interpretation of this verse is not without its difficulties. The RSV takes the whole of the first member of the verse as a doxology, as do a number of commentators (e.g., M.-J. Lagrange, P. Althaus, C. K. Barrett, C. E. B. Cranfield, E. Käsemann). But there is another possibility, which separates *dia lēsou Christou*, "through Jesus Christ," from the thanks expressed to God and understands it as an abridged answer to the question of v. 24b. See my commentary in *JBC*, art. 53 §78; 1 am now more inclined to accept this understanding of the phrase, which I once called "awkward." Even if this interpretation were to be inadequate, Paul's answer to the question in 7:24 is given in 8:1-4.

<sup>17</sup> See J. Cambier, "La liberté chrétienne selon saint Paul," SE II, 315–53; in a shorter form in Lumière et vie 61 (1953) 5–40.

<sup>18</sup> See especially P. Bonnard, L'épître de Saint Paul aux Galates (CNT 9; Neuchâtel: Delachaux et Niestlé, 1953) 55-57; H. Schlier, Der Brief an die Galater (MeyerK 7; 12th ed.; Göttingen: Vandenhoeck & Ruprecht, 1962) 98-103. Cf. H. D. Betz, Galatians: A Commentary on Paul's Letter to the Churches in Galatia (Hermeneia; Philadelphia: Fortress, 1979) 122; J. B. Lightfoot, The Epistle of St. Paul to the Galatians (Grand Rapids, MI: Zondervan, 1957) 118. No little part of the problem in this verse is caused by the lack of a definite article before the word for law (dia nomou nomō apethanon).

<sup>19</sup> On this whole passage, see my comments on pp. 138–39 above.

<sup>20</sup> See F. Prat, *The Theology of Saint Paul* (Westminster, MD: Newman, 1956), 2. 318–21. It is this aspect of Paul's teaching which guarantees it against any "breakdown of moral responsibility." On this point, see S. Lyonnet, "St. Paul: Liberty and Law," *The Bridge* 4 (1962) 229–51, esp. pp. 241–43.

<sup>21</sup> Later theology, of course, was careful to distinguish the created gift

("grace") from the uncreated gift ("the Spirit"). What is meant here is that if one looks in Pauline writings for the basis of "sanctifying grace," one has to look to his teaching on the Spirit, and not simply on *charis*, by which Paul expresses much more the "favor" of God himself in granting the gift.

<sup>22</sup> The word "slave" is applied analogously to the Christian in such a situation, just as "law" is applied to the Spirit and to Christ.

<sup>23</sup> See A. Descamps, "La charité, résumé de la Loi," *Revue diocésaine de Tournai* 8 (1953) 123–29; A. Viard, "La charité accomplit la Loi," *VSpir* 74 (1946) 27– 34; A. Feuillet, "Loi ancienne et morale chrétienne d'après l'épître aux Romains," *NRT* 42 (1970) 785–805; A. van Dülmen, *Die Theologie des Gesetzes bei Paulus* (SBM 5; Stuttgart: Katholisches Bibelwerk, 1968) 173.

It should be noted in passing that not even Paul, for all his insistence on the summation of the law and its commandments in love could dispense entirely with regulations of a positive nature within the Christian community. Though the vast majority of his exhortations concern matters of basic morality, there is the notorious example of his positive prescription in an indifferent matter, viz., the regulations for hairdo of men and women in liturgical assemblies (1 Cor 11:2–16; see now J. Murphy-O'Connor, "Sex and Logic in 1 Corinthians 11:2–16," CBQ 42 [1980] 482–500), possibly for the silence of women in similar assemblies (if the passage is not a gloss). His teaching in such matters is obviously time-conditioned; we would love to know what his reaction would have been if someone confronted him with his own principle of "love" in this very matter.

<sup>24</sup> The phrase ho nomos tou Christou occurs only in Gal 6:2; in 1 Cor 9:20 there is a kindred adjectival phrase, ennomos Christou, demanded by the context in which Paul contrasts it with anomos: people who are "free" and "enslaved," "lawless" and "under the law (of Christ)." Even in the latter passage the expression has the connotation of brotherly love: "To those outside the law I became as one outside the law—not being without law toward God but under the law of Christ (ennomos Christou)—that I might win those outside the law." —For a different interpretation of the "law of Christ" in Gal 6:2, see J. G. Strelan, "Burdenbearing and the Law of Christ: A Re-examination of Galatians 6:2," JBL 94 (1975) 266-76. Cf. H. D. Betz, Galatians, 300.

<sup>25</sup> Here one should have to cope with the thesis of E. P. Sanders, *Paul and Palestinian Judaism: A Comparison of Patterns of Religion* (Philadelphia: Fortress, 1977). But that is a task too vast to undertake here.

<sup>26</sup> Passing allusion is made to "covenant" in Rom 9:4 (actually in the plural!); 2 Cor 3:14; Gal 3:17 (the covenant of promise made with Abraham, not that of Sinai); 4:24; Eph 2:12. In this matter one may consult H. J. Schoeps, *Paul: The Theology of the Apostle in the Light of Jewish Religious History* (London: Lutterworth, 1959), chap. 5 (to be used with caution).

<sup>27</sup> See further W. Grundmann, "Gesetz, Rechtfertigung und Mystik bei Paulus: Zum Problem der Einheitlichkeit der paulinischen Verkündigung," ZNW 32 (1933) 52-65; S. Lyonnet, "Liberté chrétienne et loi de l'Esprit selon Saint Paul," Christus 4 (1954) 6-27; R. Bring, "Die Erfüllung des Gesetzes durch Christus: Eine Studie zur Theologie des Apostels Paulus," KD 5 (1959) 1-22; G. E. Ladd, "Paul and the Law," Soli Deo gloria: New Testament Studies in Honor of William Childs Robinson (ed. J. M. Richards; Richmond, VA: John Knox, 1968) 50-67.

# Nine

## "TO KNOW HIM AND THE POWER OF HIS RESURRECTION" (Phil 3:10)\*

IN THE COURSE of his letter to the Christians of the young church of Philippi the Apostle Paul composed one of his more significant statements on the meaning of Christ's resurrection for the life and destiny of every Christian. It is a statement that is fully appreciated only when understood in the light of Paul's complex and total view of the resurrection of Jesus. It also reflects an understanding the early church had of the mystery and an aspect of it that must vitalize the modern appreciation of Christian life.

Many writers have stressed the importance of Christ's resurrection in . Pauline soteriology.<sup>1</sup> My purpose is not to repeat what they have presented so well, much less to gainsay it, but rather to bring into clearer focus a perspective of Pauline theology of the resurrection that might be overlooked. Though my remarks are centered about Phil 3:10, which gives formal expression to the perspective in which I am interested, the discussion will go farther afield. For it is concerned with the phrase, "the power of his resurrection," and its implications in Pauline theology.

### I. The Philippian Context

The verse on which our attention immediately focuses is found in the third chapter of Paul's letter to the Philippians. As a whole, it is the most charming of his letters, one written to a cherished and fervent community, and filled with counsels of love and joy. As a whole, it reads like a letter of gratitude. Paul thanks his Philippian converts for the material aid sent to him on several occasions: while he was laboring in Macedonia, and now that he is imprisoned (see Phil 4:16; 1 Thess 2:9; cf. Acts 17:1-9). Modern interpreters of Philippians have pointed out the abrupt joining of paragraphs and the lack of a real transition in certain parts of the letter (2:19; 3:2; 4:4, 10). For a variety of reasons Philippians seems to be a composite letter, made up of several notes that Paul once wrote to the church of Philippi. Composed on different occasions, they were joined together subsequently, perhaps when the Pauline corpus was being fashioned toward the end of the first century.<sup>2</sup> This plausible analysis of the canonical letter to the Philippians allows us to reckon with the following division of it:

Letter A:

1:1-2; 4:10-20 (Paul's note of thanks for the aid sent by the Philippians)

Letter B:

1:3-3:1; 4:4-9, 21-23 (Personal news and a report about Epaphroditus and Timothy)

Letter C:

3:2-4:3 (A warning to the Philippians).

The verse that interests us, Phil 3:10, is part, then, of the warning that Paul sends to the Philippian Christians, cautioning them against certain enticements of "Judaizers." These Christians were apparently advocating the adoption of circumcision, and he seems to have learned about their presence in Philippi. Writing from an imprisonment--possibly in Ephesus, ca. A.D. 56—he admonishes his favorite community against the deceptions latent in these enticements. This he does with an unwonted vehemence, and in a surprising tone (when it is compared with the rest of Philippians): "Look out for the dogs, look out for those evil workers, and look out for those who mutilate the flesh" (3:2). The boast implicit in the attitude of those opponents, that Paul found so incomprehensible, causes him to counterpoise the real foundation of his Christian confidence. Rather than set his hope on a mark in the flesh, Paul bases his hope on knowing Christ Jesus. This foundation is greater than all else: "Whatever gain I had, I counted as loss for the sake of Christ" (3:7). "Indeed", he exclaims, "I count everything as loss because of the surpassing worth of knowing Christ Jesus my Lord" (3:8). In this affirmation Paul acknowledges his faith in the Kyrios, his risen Lord, giving him the title that denoted par excellence the primitive church's belief in Christ as the instrument of the Father's plan of salvation. To know Jesus as Kyrios-the summation of Christian faith-is the basis of his hope and his "boast."

Consequently, Paul continues:

<sup>8</sup>For his sake I have suffered the loss of all things and count them as refuse, in order that I may gain Christ <sup>9</sup>and be found in him, not having a righteousness of my own, based on law, but that which is through faith in Christ, a righteousness from God that depends on faith; <sup>10</sup>that I may know him and the power of his resurrection, and may share his sufferings, becoming like him in his death, that if possible I may attain the resurrection from the dead (3:8–10).

Having come to "know" Christ Jesus as Kyrios through faith, Paul's hope is to "gain Christ," i.e., to be associated with him in the future, or "to attain the resurrection from the dead." The implication is that somehow through faith in Christ Jesus the power of the risen Kyrios brings it about that Paul—and every Christian—will be "found in him," to share a glory that is his. This immediately raises the question about the nature of that "power of his resurrection."

#### **II.** The Power of His Resurrection

A facile way of explaining the "power of the resurrection" in Phil 3:10 would be to invoke the divinity of Christ. Since Jesus is the Son of God and himself rose from the dead as "the firstborn from among the dead" (Rev 1:5), he will bring about the resurrection of the righteous by his own divine power. Such an explanation, while true when formulated in terms of the later Chalcedonian definition of christology, goes beyond the Pauline conception and fails to reckon with either its primitive formulation or the complex notion involved in Paul's theology of the resurrection.

To understand the phrase, "the power of his resurrection," in Pauline theology, one should recall a few basic tenets of the Apostle's teaching about Christ's resurrection. First of all, Paul almost always ascribes it to the Father. To cast it in terms of Aristotelian causality, God (or the Father) would be the efficient cause of Jesus' resurrection. In 1 Thess 4:14 Paul speaks of the resurrection intransitively: "Since we believe that Jesus died and rose again (*anestē*), even so, through Jesus, God will bring with him those who have fallen asleep." He is referring here to the parousia of Jesus and to the resurrection of Christian dead that is to take place at that event. But this is the only place in his writings where he uses the intransitive *anestē* of Jesus' resurrection. It stands in significant contrast to 1 Thess 1:10, where Paul also refers to the parousia of the "Son," "whom he [i.e., God] raised (*ēgeiren*) from the dead, Jesus who delivers us from the wrath to come." On occasion, Paul uses the passive form of the verb egeirein to express Jesus' resurrection (e.g., Rom 4:25; 6:4,9; 7:4; 8:34; 2 Cor 5:15). It would seem to mean that Jesus "was raised." But grammarians of Hellenistic and New Testament Greek have often pointed out that the aorist passive form, unlike its use in the classical period, was being used more often in a deponent sense—though passive in form, it was becoming active in meaning.<sup>3</sup> Consequently, it is sometimes argued that when Paul or other New Testament writers<sup>4</sup> use the aorist passive of egeirein,<sup>5</sup> it may not mean "was raised" but simply "rose," and be a synonym of anestē. Indeed, to support this argument, appeal is often made within the New Testament itself to such passages as Mark 2:12 ("and he rose") or Luke 7:14 ("arise," to be compared with the active in 8:54).<sup>6</sup> In such passages the only suitable meaning is admittedly the intransitive "rose."

Yet aside from the fact that most of the passages to which appeal is thus made have nothing to do with resurrection from the dead in the same sense as the resurrection of Jesus, there are many places in Paul's letters where he uses the active, transitive form of the verb egeirein with ho theos, "God," as the subject and Jesus or Christ as the object. Thus at the beginning of his earliest letter, in the passage referred to above, Paul reminds the Thessalonians how they turned from idols "to serve a living and true God, and to wait for his Son from heaven, whom he raised from the dead, Jesus who delivers us from wrath to come" (hon egeiren ek ton nekron, 1 Thess 1:10). Similarly in 1 Cor 15:15 he says, "We are even found to be misrepresenting God, because we testified of God that he raised (egeiren) Christ, whom he did not raise if it is true that the dead are not raised."7 In the light of such texts that clearly attribute Jesus' resurrection to the Father (or to God) in the active sense, one should prefer the passive meaning of the aorist (and perfect) forms to the deponent usage, at least in Paul's letters, and elsewhere as well, when there is a trace of a similar primitive tradition.8 This is to respect the specifically primitive Pauline nuance in speaking of Jesus' resurrection, a nuance undoubtedly inherited from the early Christians who preceded him.

Another minor issue ought also to be mentioned in this connection, viz., that of the so-called theological passive.<sup>9</sup> To avoid the mention of God's name, Palestinian Jews out of reverence often cast a sentence in the passive voice.<sup>10</sup> This practice seems to have been adopted by early Jewish Christians too. The former Jew and Pharisee, Paul, would not be expected to differ from them in this regard. This practice explains the lack of a prepositional phrase with the passive forms that would formally express the agency (hypo tou theou or hypo tou patros), e.g., in Rom 4:25; 1 Cor 15:4.

Second, for Paul the God who raised Jesus from the dead was a God of power. This view of God reflects his inherited Jewish understanding of the might and power of Yahweh in the Old Testament.<sup>11</sup> Paul refers to this understanding in Rom 1:20, when he speaks of God's aidios dynamis, "eternal power," in parallelism with his theiotes, "divinity," that pagans should have come to recognize and reverence. It is the dynamis of Yahweh, the personal God of Israel, and not merely of some nature god such as was commonly venerated in lands surrounding Israel, much less some magic force. It represents the personal power of Yahweh, the creator, who fashioned for himself a people; it is his lifegiving power which manifested itself on various occasions in Israel's behalf, particularly at the Exodus from Egypt and the passage of the Reed Sea (see Exod 15:6; 32:11; Josh 4:23–24; Ps 77:15; Isa 40:26). It is the power of Yahweh that Israel celebrated in its prayers, "Yours, O Yahweh, is the greatness, and the power, and the glory, and the victory, and the majesty. . . . In your hand are power and might" (1 Chr 29:11–12).<sup>12</sup> That Paul thinks of such a quality of Yahweh is clear from Rom 9:17, where he cites Exod 9:16, "For the Scripture says to Pharaoh, 'I have raised you up for the very purpose of showing my power in you, so that my name may be proclaimed in all the earth.'" The life-giving quality of this power appears too in Rom 4:17-21, where Paul, commenting on the Abraham story in Genesis and the promise made to the patriarch of a numerous progeny to be born of Sarah, speaks of God as one "who gives life to the dead and calls into existence the things that do not exist" and who revitalized Abraham's body "which was as good as dead" because "God was able (*dynatos*) to do what he had promised." Abraham, consequently, became the model of the believer; and "the power of God" became the basis of Christian faith (see 1 Cor 2:5).

Third, for Paul the act of raising Jesus from the dead was not a mere restoration of him to the life that he formerly led on earth. It was not a return to the terrestrial existence Jesus had known and experienced during his ministry. Paul never speaks of Jesus' resurrection as other New Testament writers speak of the resuscitation of Lazarus, of the son New Testament writers speak of the resuscitation of Lazarus, of the son of the widow of Nain, or of the daughter of Jairus.<sup>13</sup> Lazarus appar-ently had to face death again; the risen Jesus is the victor over death. Hence, the resurrection for Paul meant the endowment of Jesus by the Father with the "power" of a new life. In 2 Cor 13:4a Paul explains, "He was crucified in weakness, but lives by the power of God (*zē ek dynameōs theou*)." The precise nuance of this Greek phrase indicates that God's life-giving power became the source of the vitality of the risen Christ.

This is, further, the meaning underlying the enigmatic phrase in Rom

1:4, where Paul speaks of "his [i.e., God's] Son, who was born of the line of David according to the flesh, but established14 as the Son of God in power according to the Spirit of holiness as of (his) resurrection from the dead." The act by which the Father raised Jesus from the dead became in Paul's view an endowment of him with power as of the resurrection. It is not sufficient to explain this verse in terms of some messianic enthronement of Jesus;<sup>15</sup> nor is it convincing to explain away the difficult phrase en dynamei by construing it as a prepositional phrase modifying the participle horisthentos. 16 While defensible grammatically in the immediate sentence-context, it does not reckon with the nuances the phrase has when considered in its relational sense (i.e., against other Pauline passages dealing with the resurrection or the background of Paul's theology of the resurrection as a whole). In this passage, then, Paul seems to be contrasting Jesus as the Son, born into messianic, Davidic lineage, with a fuller idea of him as powerful Son "appointed, established, installed, constituted" as such as of the resurrection. Once this is understood, it is easy to grasp how Paul could even come to speak of the risen Christ as "the power of God" (1 Cor 1:24). So endowed at the resurrection, he is, abstractly expressed, the very power of God.

Paul offers a further explanation (2 Cor 13:4): "He was crucified in weakness, but lives by the power of God. For we are weak in him, but in dealing with you we shall live with him by the power of God."<sup>17</sup> Here Paul sees the *dynamis* of the risen Jesus as something not given for himself alone, but for human beings. What effected the resurrection of Jesus works also for the salvation of humanity. Hence Paul can say in 1 Cor 6:14, "God raised the Lord and will also raise us up by his (i.e., Jesus') power."<sup>18</sup> The power is ultimately derived from the Father and is life-giving; it brings about the resurrection of Jesus, and of Christians in union with him. It is not something, therefore, that is related solely to Jesus himself.

In the light of this background one must interpret the phrase, "the power of his resurrection," in Phil 3:10. Frequently enough, the phrase is explained of a power that emanates from the risen Jesus and becomes the source for the vitality of Christian life. Commentators are accustomed to insist that Paul is not thinking of the physical event of the resurrection itself,<sup>19</sup> but rather of the state in which the risen Jesus exists as an influence exerted on the believer. For instance, E. Osty insists that "the power of his resurrection" is "not the power which had raised Christ, but that which emanates from Christ."<sup>20</sup> Similarly, E. Lohmeyer, "It is not a question of God activating the process, but rather

'his resurrection' possesses the formative and perfecting 'power.' "21 Again J. Huby displays a similar understanding of it, when he writes, "The resurrection, of which the Christian experiences the power, is not "The resurrection, of which the Christian experiences the power, is not the very act by which Christ came forth living from the tomb, but the term of this action, the life of the glorified Christ.<sup>22</sup> M. R. Vincent also proposes this sort of explanation: "Dynamin is not the power by which Christ was raised from the dead (Chr., Oec.), nor as Theoph. 'because to arise is great power'; nor Christ's power to raise up believers. Like the preceding expressions, it describes a subjective experience. It is the power of the risen Christ as it becomes a subject of practical knowledge and a power in Paul's inner life. . . . The resurrection is viewed, not only as something which Paul hones subject of practical knowledge and a power in Paul's inner life. . . . The resurrection is viewed, not only as something which Paul hopes to experience after death, nor as a historical experience of Christ which is a subject of grateful and inspiring remembrance, but as a present, continuously active force in his Christian development."<sup>23</sup> Or again, P. Biard writes, "The power of the resurrection, then, of which Paul speaks, is certainly not a power which is exercised on Christ in order to raise him, but the powerful and glorious state of the risen Christ to which believers are assimilated (configurés)."<sup>24</sup> Similary, D. M. Stanley, "It is this power of his [i.e., Christ's] new supernatural life which gives the Christian the capacity for sharing in Christ's sufferings (Médebielle, Dibelius) which so unites the Christian's sufferings with Christ's that they are part of his pas-Christian's sufferings with Christ's that they are part of his passion."25

On the other hand, a writer like B. M. Ahern believes the "the activity of the Holy Spirit . . . constitutes the 'power of Christ's resurrection.'<sup>26</sup> Ahern offers no explanation for this interpretation of the phrase. Perhaps he is thinking of Rom 1:4, "established as the Son of God in power according to the Spirit of holiness as of (his) resurrection from the dead." Here, however, one must be careful not to equate "the Spirit of holiness" too hastily with "the Holy Spirit," since the phrase *kata pneuma hagiõsynés*, being parallel to *kata sarka*, seems to designate something intrinsic to the risen Christ himself in that context.<sup>27</sup>

It seems to me, however, that the adequate understanding of Paul's theology of the resurrection calls for a reconsideration of the phrase, "the power of his resurrection," and of a perspective that is being overlooked in such interpretations. This "power" is not limited to the influence of the risen Jesus on the Christian, but includes a reference to the origin of that influence in the Father himself. The knowledge, then, that Paul seeks to attain, the knowledge that he regards as transforming the life of a Christian and his/her sufferings, must be understood as encompassing the full ambit of that power. It emanates from the Father, raises Jesus from the dead at his resurrection, endows him with a new vitality, and finally proceeds from him as the life-giving, vitalizing force of the "new creation" and of the new life that Christians in union with Christ experience and live. It is not something simply equated with the "physical" act of raising Jesus from the dead, or with the miraculous character of that event, or with the state of the risen Jesus. It is rather the full, comprehensive power in its various phases; and the knowledge of it, emanating from Christian faith, is the transforming force that vitalizes Christian life and molds the suffering of the Christian to the pattern which is Christ. This is the basis of Paul's hope and his boast.

This interpretation may be confirmed by the (Pauline or Deutero-Pauline?) letter to the Eph 1:19-20, where the author gives thanks and prays that the Christians of Ephesus may come to know "what is the immeasurable greatness of his [i.e., God's] power in us who believe (to hyperballon megethos tēs dynameōs autou eis hēmas tous pisteuontas), according to the working of his great might (kata tēn energeian tou kratous tēs ischyas autou), which he accomplished in Christ when he raised him from the dead and made him sit at his right hand in the heavenly places." See further Eph 2:5-7; cf. 1 Pet 1:5.

This interpretation seems to underlie the very brief explanation of the phrase, "the power of his resurrection," that has been proposed by such commentators as P. Bonnard, F. W. Beare, K. Staab, and possibly G. Friedrich.<sup>28</sup>

When this interpretation is pondered, it can be understood as a primitive attempt to formulate the relation of the risen Son to the Father and can be seen as the Pauline basis of the later Trinitarian speculation about the relations of the Divine Persons.

### **III.** A Further Specification of This Power

What precedes may seem coherent enough, but the question still has to be asked whether Paul gives any further indication of what this *dynamis* might be. My discussion began with the rejection of the idea that it can be simply explained in terms of Jesus' divinity. Is there any other way in which Paul regards it?

In Paul's theology the power of God is closely related to his glory.<sup>29</sup> That which brings about the glorification of Christ is not merely said to be the power of God, but even the glory (doxa) of the Father. The risen

Jesus is in the status of glory; he is not merely raised from the dead, but is exalted. Just as it was for Paul the God of power who raised Jesus from the dead, so it is "the Father of glory" (Eph 1:17) who has exalted him. Indeed, if it seemed that the "power" of God was the instrument whereby Jesus was raised, it is the "glory" whereby he is exalted. This indicates the close relation of God's power and glory in the resurrection.

The significance of this is seen above all in Rom 6:4, where Paul states that "we were buried with him by baptism into death, so that as Christ was raised from the dead by the glory of the Father, we too might walk in newness of life." Here Paul speaks of glory (doxa) almost in the same way he spoke of power (dynamis). Glory belongs to the Father, was used in raising Jesus from the dead, and results in a "newness of life" for the Christian.

The "glory of the Father" is related to the "power of God" in 2 Thess 1:9, where Paul explicitly joins the two, "the presence of the Lord and the glory of his might (apo tes doxes tes ischyos autou). Again, in Phil 3:21 the two ideas are closely related, as Paul teaches that our commonwealth is in heaven, from which we await a Savior, the Lord Jesus Christ, "who will change our lowly body to be like his glorious body (to somati tes doxes autou), by the power (kata ten energeian) which enables him to subject all things to himself." This too is the background of the prayer that Paul utters in Col 1:11, "May you be strengthened with all power, according to his glorious might ..." (en pase dyamei dynamoumenoi kata to kratos tes doxes autou). Again, "when Christ who is our life appears, then you also will appear with him in glory" (Col 3:4). This is "our hope of sharing the glory of God" (Rom 5:2).

Just as Paul was able to refer to Jesus as "the power of God" (1 Cor 2:5), so too he called him "the Lord of glory" (1 Cor 2:8). In the latter passage the quality of the state is linked explicitly to the title of the risen Jesus, who is depicted by the Apostle as sharing the Old Testament attributes of Yahweh himself. In an analogous way the gospel that Paul preached was related by him both to the power and the glory of God and Christ; his "gospel" is the "power of God" for the salvation of everyone who believes (Rom 1:16), but it is also "the gospel of the glory of Christ, who is the likeness of God" (2 Cor 4:4).<sup>30</sup> (Cf. the Deutero-Pauline way of putting it in 1 Tim 1:11, "the gospel of glory of the Blessed God with which I have been entrusted.")

The richness of the Pauline concept of *doxa* as the source of the new life that the Christian enjoys can be further seen in the conclusion of Paul's midrash on an Exodus passage developed in 2 Cor 3:7-4:6. Paul

alludes to and cites from Exod 34:29-35, which tells of the descent of Moses from Mt. Sinai. The "glory"  $(k\bar{a}b\hat{o}d)$  of Yahweh had shone on the face of Moses as he conversed with him; when he came down from the mountain, Moses had to veil his face because Yahweh's glory reflected there frightened the Israelites. "Whenever Moses went in before the LORD to speak with him, he took the veil off, until he came out . . . ; and the people of Israel saw the face of Moses, that the skin of Moses' face shone; and Moses would put the veil upon his face again, until he went in to speak with him" (34:34-35).

In 2 Corinthians 3-4 Paul contrasts the new covenant with that of Sinai; if the old covenant were ushered in with such glory, how much more attends the new. To make his point, Paul shifts the sense of the veil. He sees it as hiding from the Israelites not that which frightened them, but the fading of the glory on Moses' face. This detail is not in the Exodus story itself; it represents a Pauline view of the passing of the covenant of old. For him it has "faded" (2 Cor 3:7, 10-11). But this is not all, for he introduces still another free association: a veil hanging before someone's face not only conceals from others what is there (and frightens) or what is not there (because it is fading away and impermanent), but it also hinders the sight of the one before whose eyes it hangs. Once again, this detail is not in the Exodus story. Paul introduces it into his midrash as he transfers the veil from Moses to his Jewish contemporaries: "Yes, to this day whenever Moses is read a veil lies over their minds" (2 Cor 3:15). With this unflattering condition Paul contrasts the lot of the Christian who has turned to the Lord-an allusion to Moses' turning to the Lord when he went in to speak with him. "When a person turns to the Lord the veil is removed. Now the Lord is the Spirit, and where the Spirit of the Lord is, there is freedom. And we all, with unveiled face, beholding the glory of the Lord, are being changed into his likeness from one degree of glory to another; for this comes from the Lord who is the Spirit" (2 Cor 3:16-18).31 Underlying Paul's conception here is the belief that as of the resurrection Jesus became "the Lord" and a "vivifying Spirit" (1 Cor 15:45; cf. Rom 1:4). This he became as the "last Adam," i.e., the Adam of the eschaton, the head of a new humanity which began with the dawning of the messianic age. To this "new creation" (Gal 6:15; 2 Cor 5:17) Paul alludes at the end of the midrashic development in 2 Cor 4:4-6. He insists that he preaches the "gospel of the glory of Christ, who is the likeness of God (eikon tou theou)," and explains it all by referring to the Creator, Yahweh himself. "For it is the God who said, 'Let light shine out of darkness,' who has shone in our hearts to give the light of the knowledge of the glory of God in the face of Christ" (2 Cor 4:6). Thus the source of the new

Christian life is traced back to the Creator himself. Yahweh caused his glory to shine on the face of Jesus, endowing him with a glory greater than that of Moses; this glorification and exaltation of "the Lord" made him the "image" or mirror of the Creator. He reflects the glory by degrees to the Christian who turns to him. This reflection brings about what the Greek Fathers often called the "progressive divinization of the Christian" through the influence of the risen Jesus.

The involved midrash on Exodus 34 thus spells out in its own way the relation of the glory of Yahweh to the resurrection of Jesus and to the new life that the Christian lives who is "in Christ Jesus." The Father's glory is again seen to be the origin of the life-giving power that vitalizes Christian experience. It is true that there is no reference to "the power" of God in this passage.<sup>32</sup> But that is because of its starting-point, the glory on the face of Moses. And yet, the role of glory depicted here fills out the Pauline picture of its relation to "power," used elsewhere in his letters in a similar context.

What underlies the Pauline equation of dynamis, energeia, or ischys with doxa with reference to the resurrection of Jesus and the new life of the Christian is the Old Testament association of these as attributes of God. We have already cited above 1 Chr 29:11-12, where these attributes of Yahweh are mentioned in prayer. Similarly one could refer to Dan 2:37 in the LXX version; also Ps 135:2; Wis 7:25; Isa 2:10, 19, 21. Perhaps more significant is Isa 40:26: "Lift up your eyes on high and see: who created these? He who brings out their host by number, calling them all by name; by the greatness of his might and because he is strong in power . . . ." Here the LXX translates the Hebrew of the last clause mērob 'ônîm wē'ammis koah by apo pollēs doxēs kai en kratei ischyos, i.e., rendering 'ônîm ("strength") by doxa ("glory"). G. Kittel has noted "how strongly the LXX came to sense the thought of God's power in the term doxa." He compares the Greek and Hebrew of Ps 67:34; Isa 45:24 and continues, "In reality, the term [doxa] always speaks of one thing. God's power is an expression of the 'divine nature,' and the honour ascribed to God by man is finally no other than an affirmation of this nature. The doxa theou is the 'divine glory' which reveals the nature of God in creation and in His acts, which fill both heaven and earth."33

Though this collocation of God's power and glory is found in the Old Testament, it is not as frequent as one might expect. In contrast, the frequency of the parallelism of kābôd and gěbûrāh (or more rarely kōaḥ) in Qumran literature is striking. It reveals a development in Palestinian Jewish thinking in pre-Christian times. For example, "to God shall I say, 'My righteousness' and to the Most High, 'Founder of my welfare, source of knowledge, spring of holi-

ness, height of glory and power of all'" (1QS 10:11-12). "Your power is [unfathomable] and your glory is immeasurable" (10H 5:20). "There is no one besides you, there is no one with you in might; there is nothing to compare with your glory, and for your power there is no price" (IQH 10:9-10). "And I have learned that your mouth is truth, in your hand is righteousness; and in your thought all knowledge, in your might all power; and all glory is with you" wbkwhkh kwl gbwrh wkwl kbwd 'tkh hw', 1QH 11:7-8); ". . . a collection of glory and a spring of knowledge and powe[r]" (1QH 12:29). See also 1QS 11:6-7, 20; 1QH 4:28-29; 9:16-17, 25-26; 15:20. These examples scarcely bear directly on the meaning of the Pauline phrase in Phil 3:10; there is no direct contact here. But they at least illustrate the growing frequency of the parallelism of power and glory in more or less contemporary Jewish (Essene) writings. It makes all the more plausible the close relationship of God's "power" and "glory" in Paul's theology of the resurrection.

If this explanation of Paul's view of the role of "power" in the resurrection of Jesus has any merit, it serves to unite a number of elements in his theology that might otherwise seem disparate. It also enables one to understand the full theological meaning that is latent in the phrase of Phil 3:10, "the power of his resurrection." Paul sought to bring his Philippian converts to a deeper knowledge and awareness of this transforming power that they might better appreciate their experience of the Christ-event.

#### NOTES

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<sup>1</sup>See D. M. Stanley, Christ's Resurrection in Pauline Soteriology (AnBib 13; Rome: Biblical Institute, 1961); F. X. Durrwell, The Resurrection: A Biblical Study (New York: Sheed and Ward, 1960); W. Künneth, The Theology of the Resurrection (St. Louis, MO: Concordia, 1965); A. M. Ramsey, The Resurrection of Christ: An Essay in Biblical Theology (2d ed.; London: G. Bles, 1956); J. Schmitt, Jésus ressucité dans la prédication apostolique: Etude de théologie biblique (Paris: Gabalda, 1949); R. C. Tannehill, Dying and Rising with Christ: A Study in Pauline Theology (BZNW 32; Berlin: Töpelmann, 1967); S. Lyonnet, "La valeur sotériologique de la résurrection du Christ selon saint Paul," Greg 39 (1958) 295-318; E. Schweizer, "Dying and Rising with Christ," NTS 14 (1967-68) 1-14; B. Vawter, "Resurrection and Redemption," CBQ 15 (1953) 11-23; L. Cerfaux, "La résurrection du Christ dans la vie et la doctrine de saint Paul," Lumière et vie 3 (1952) 61-82. E. Ruckstuhl and J. Pfammatter, Die Auferstehung Jesu Christi: Heilsgeschichtliche Tatsache und Brennpunkt des Glaubens (Munich: Rex, 1968); B. Rigaux, Dieu l'a ressucité: Exégèse et théologie biblique (Gembloux: Duculot, 1973); W. Marxsen, The Resurrection of Jesus of Nazareth (Philadelphia: Fortress, 1970); X. Léon-Dufour, Resurrection and the Message of Easter (New York: Holt, Rinehart and Winston, 1975); R. H. Fuller, The Formation of the Resurrection Narratives (New York: Macmillan, 1971).

<sup>2</sup> See further my commentary on "The Letter to the Philippians," *JBC*, art. 50 §5–8. Cf. H. Koester, "The Purpose of the Polemic of a Pauline Fragment (Philippians III)," *NTS* 8 (1961–62) 317–32.

<sup>3</sup>See C. F. D. Moule, An Idiom Book of New Testament Greek (Cambridge: University Press, 1953) 26; M. Zerwick, Biblical Greek: Illustrated by Examples (Rome: Biblical Institute, 1963) 231; BDF, §342.3; N. Turner, in his treatment of syntax in vol. 3 of J. H. Moulton and W. F. Howard, A Grammar of New Testament Greek (4 vols.; Edinburgh: Clark, 1908, 1919–29, 1963, 1975), 3. 57, maintains that egerthe "is passive only in form and is used of the resurrection with a very active nuance. . . . There is simply no difference between this and aneste, where the action of the Father is assumed no more and no less. . . ." This, however, is quite debatable, and the evidence amassed above should reveal why. Cf. A. T. Robertson, A Grammar of the Greek New Testament in the Light of Historical Research (New York: G. H. Doran, 1914) 817, 896; G. B. Winer, A Treatise on the Grammar of the New Testament (3d ed.; Edinburgh: Clark, 1882) 316 n. 3. Note that BDF §313 ("Passives with intransitive meaning") includes no example of egeirein; nor does BDR §313.

<sup>4</sup> Mark 16:6; Matt 17:9; 27:64; John 2:22; 21:14.

<sup>5</sup> Although the use of the perfect passive is not in the same category, because its form is identical with the perfect middle (often used deponently), the same question can be posed about it (see 1 Cor 15:4, 12, 13, 14, 16, 17, 20 [cf. 2 Tim 2:8]). Cf. BDF §342.3.

<sup>6</sup> See also egerthe in Matt 17:9 (to be compared with Mark 9:9, aneste); Matt 25:7; etc. Cf. the unnuanced discussion of this problem by John A. Lacy, Sr., "Egerthe—He Has Risen," TBT 36 (1968) 2532-35.

<sup>7</sup>See also Gal 1:1; 1 Cor 6:14; 2 Cor 4:14; Rom 4:24; 8:11(bis); 10:9; Col 2:12; Eph 1:20.

<sup>8</sup> The active usage is also found in 1 Pet 1:21; Acts 3:15; 4:10; 5:30; 10:40; 13:30. This makes it likely that the aorist passive of *egeirō* in such passages as Mark 14:28; 16:6; Matt 27:64; 28:6-7; Luke 24:6, 34 should also be translated as a real aorist passive, "he was raised." This clear and obvious conclusion is also drawn by C. F. D. Moule, *Idiom Book*, 26. The translation, "He is risen" or "He has risen," which has been in the English Bible tradition for the last four hundred years is influenced by the Latin Vulgate much more than by Hellenistic usage of the aorist passive as a mode of expressing the intransitive. In part, it also reflects a later understanding of the resurrection born of such New Testament passages as John 10:30 ("I and the Father are one") and the later realization that Jesus and the Father act as one (the common *operatio ad extra* of the Trinity).

It may be good to compare in this regard the way the New Testament refers to the ascension of Jesus. The theological passive of *analambanein* is used in Mark 16:19, "the Lord Jesus... was taken up into heaven" (i.e., by God). Aside from the fact that there is probably an allusion to the assumption of Elijah in 2 Kgs 2:11, the passive of *analambanein* (which is also used in Acts 1:2, 11, 22 and 1 Tim 3:16) can scarcely mean "he ascended." The intransitive verb anabainein is never used by Paul or Luke of Jesus' ascension. It is found in Rom 10:6, which may have some overtones of the ascension [?]—more likely it refers to Moses' ascent of Mt. Sinai—but even that occurs in a quotation of Deut 30:12. There may also be a covert allusion to Jesus' ascension in Acts 2:34, but in reality it denies David's ascent. The only text in which anabainein, "ascend," is used of Jesus' ascension is in Eph 4:8, again in an allusion to Ps 68:19. One should compare the use of the intransitive anabainein or anerchesthai in the early creeds, which reflect a more developed theology and christology. The analogous use of the passive of analambanein must enter into any decision about the meaning of the aorist and perfect passive forms of egeirein (over against the intransitive use of anistanai).

<sup>9</sup>See M. Zerwick, Biblical Greek, §236; BDF §130.1; 342.1.

<sup>10</sup> See J. Jeremias, New Testament Theology: The Proclamation of Jesus (New York: Scribner, 1971) 10-14.

<sup>11</sup> The Greek word that Paul uses for this notion is dynamis (and its cognate forms); only rarely does the word ischys occur (2 Thess 1:9; Eph 1:19; 6:10), which is so frequently employed in the LXX to express God's power. In reality, however, there is little difference between the use of these two words or energeia (see P. Biard, La puissance de Dieu [Travaux de l'Institut Catholique de Paris, 7; Paris: Bloud & Gay, 1960] 22–33, 138, for an attempt to determine the Old Testament and the Pauline nuances). Cf. O. Schmitz, "Der Begriff Dynamis bei Paulus: Ein Beitrag zum Wesen urchristlicher Begriffsbildung," Festgabe für Adolf Deissmann (Tübingen: Mohr [Siebeck], 1927) 139–67; S. N. Roach, "The Power of His Resurrection: Phil. III:10," RevExp 24 (1927) 45–55, 297–304; 25 (1928) 29–38, 176–94.

<sup>12</sup> See further P. Biard, La puissance de Dieu; W. Grundmann, "Dynamai, etc.," TDNT, 2 (1964) 290-94; Der Begriff der Kraft in der neutestamentlichen Gedankenwelt (BWANT 4/8; Stuttgart: Kohlhammer, 1932) 11-26; E. Fascher, "Dynamis theou," ZTK 19 (1938) 82-108.—R. E. Murphy ("GBR and GBWRH in the Qumran Writings," Lex tua veritas: Festschrift für Hubert Junker [ed. H. Gross and F. Mussner; Trier: Paulinus-V., 1961] 137-43) surveys the related notion of God's power in the Qumran texts and supplies important links in the development of this notion from the Old Testament to the Pauline writings.

<sup>13</sup> See my article, "Jesus the Lord," *Chicago Studies* 17 (1978) 75–104, esp. pp. 95–96.

<sup>14</sup> The meaning of the participle *horisthentos* is debated. The Latin tradition, represented by the Vulgate, Augustine, and Pelagius understood it to mean "predestined"; but this is to give to the simple verb *horizein*, which means "limit, define, determine," the meaning of the compound *proorizein*, which it does not really have. The Greek patristic tradition understood it to mean "manifested, displayed"; Chrysostom explained it as *deichthentos, apophanthentos*. This was a meaning influenced, in part at least, by the theological discussions about the natures in Christ. In view of the use of *horizein* in Acts 10:42 and 17:31, a meaning like "appointed, installed, set up," seems preferable. See further A. Brown, "Declared or Constituted, Son of God," *ExpTim* 5 (1893–94) 308–9; E. Käsemann, An die Römer (HNT 8a; Tübingen: Mohr [Siebeck], 1974) 8–9; O. Kuss, Der Römerbrief übersetzt und erklärt (2d ed.; Regensburg: Pustet, 1963), 1. 8–9.

15 See M.-E. Boismard, "Constitué Fils de Dieu (Rom., I, 4)," RB 60 (1953) 5-

17; J. Trinidad, "Praedestinatus Filius Dei . . . ex resurrectione mortuorum (Rom 1,4)," VD 20 (1940) 145-50; W. H. Griffith Thomas, "Romans i. 4," *ExpTim* 24 (1912-13) 44-45.

<sup>16</sup> So W. Sanday and A. C. Headlam, A Critical and Exegetical Commentary on the Epistle to the Romans (5th ed.; Edinburgh: Clark, 1902) 9 ("not with huiou theou, . . . but rather adverbially, qualifying horisthentos, 'declared with might to be Son of God'"). This mode of construing the prepositional phrase regards the resurrection as a miracle, a signal manifestation of God's power. Similarly E. J. Goodspeed ("decisively declared"), the NEB ("by a mighty act"). The meaning of "Son of God in power," which I prefer as more consonant with Paul's understanding of the resurrection, takes the prepositional phrase as a nounmodifier; on the omission of the definite article with it, see BDF §272. Cf. E. Käsemann, An die Römer, 9-10.

<sup>17</sup> The context of this statement immediately concerns Paul's authority as an apostle in his dealings with the Corinthian church; he sees his role rooted in his close association with the risen Jesus. Implied, however, in his statement is the more radical idea that what gave life to Jesus gives vitality also to himself.

<sup>18</sup> The Greek text has the pronoun *autou*, which in the non-reflexive form must refer to Jesus. The result is that the Father's vivifying power, with which Jesus in his risen state is endowed, is now regarded as the means whereby the Christian will be raised. The trisyllabic form *heautou* is commonly preferred as the reflexive in Hellenistic Greek to the dissyllabic *hautou*; in fact, the latter disappears in the papyri of the first century B.C. (see BDF §64.1). Hence it is scarcely likely that *autou* is to be read as *hautou* or that it refers to the Father's power.

<sup>19</sup> For want of a better term I speak of the "physical event." This is preferable to the term "historical event," used by many commentators. But both have connotations which are out of place in speaking of Christ's resurrection.

<sup>20</sup> See Les épûtres de saint Paul (Paris: Cerf, 1945) 194. See also R. C. Tannehill, Dying and Rising, 121.

<sup>21</sup>Die Briefe an die Philipper, an die Kolosser und an Philemon (MeyerK 9; Göttingen: Vandenhoeck & Ruprecht, 1956) 138.

<sup>22</sup> Saint Paul: Les épîtres de la captivité (VS 8; 19th ed.; Paris: Beauchesne, 1947) 350. He supports his interpretation by an appeal to Suarez, In 3 Sent., 56.1.

<sup>23</sup>A Critical and Exegetical Commentary on the Epistles to the Philippians and to Philemon (ICC; New York: Scribner, 1906) 104.

<sup>24</sup>La puissance de Dieu, 148.

<sup>25</sup> Christ's Resurrection, 104.

<sup>26</sup> "The Fellowship of His Sufferings (Phil 3,10): A Study of St. Paul's Doctrine on Christian Suffering," CBQ 22 (1960) 1-32, esp. p. 30.

<sup>27</sup> See O. Kuss, *Der Römerbrief*, 7–8; W. Charlesworth, "The Spirit of Holiness: Romans i. 4," *ExpTim* 5 (1893–94) 115; W. S. Curzon-Siggers, "Power and the Resurrection," *ExpTim* 6 (1894–95) 44.

<sup>28</sup> See P. Bonnard, L'Epître de Saint Paul aux Philippiens (CNT 10; Neuchâtel: Delachaux et Niestlé, 1950) 66; F. W. Beare, A Commentary on the Epistle to the Philippians (BNTC; London: Black, 1959) 122; K. Staab, Die Thessalonicherbriefe; Die Gefangenschaftsbriefe (RNT 7; 3d ed.; Regensburg: Pustet, 1959) 193; G. Friedrich, Der Brief an die Philipper (NTD 8; 13th ed.; Göttingen: Vandenhoeck & Ruprecht, 1972) 119.

<sup>29</sup> See A. Plé, "La gloire de Dieu," VSpir 74 (1946) 479–90; J. Duplacy, "La

gloire de Dieu et du Seigneur Jésus dans le Nouveau Testament," BVC 9 (1955) 7-21; R. Reitzenstein, Die hellenistischen Mysterienreligionen nach ihren Grundgedanken und Wirkungen (3d ed.; Leipzig: Teubner, 1927) 344, 355-59; A. H. Forster, "The Meaning of Doxa in the Greek Bible," ATR 12 (1929-30) 311-16; I. Abrahams, The Glory of God (London/New York: Oxford University, 1925); J. Edwards, "The Glorification of Christ in St. Paul," Bellarmine Commentary 4 (1965) 9-17.

<sup>30</sup> See further p. 151 above.

<sup>31</sup> See further J. Dupont, "Le chrétien, miroir de la gloire divine d'après II Cor., III, 18," RB 56 (1949) 392-411; J. Goettsberger, "Die Hülle des Moses nach Ex 34 und 2 Kor 3," BZ 16 (1922-24) 1-17; H. Ulonska, "Die Doxa des Mose," EvT 26 (1966) 378-88; P. Grech, "2 Corinthians 3,17 and the Pauline Doctrine of Conversion to the Holy Spirit," CBQ 17 (1955) 420-37; S. Schulz, "Die Decke des Moses: Untersuchungen zu einer vorpaulinischen Überlieferung in II Cor 3,7-18," ZNW 49 (1958) 1-30; D. Georgi, Die Gegner des Paulus im 2. Korintherbrief: Studien zur religiösen Propaganda in der Spätantike (WMANT 11; Neukirchen-Vluyn: Neukirchener-V., 1964) 265-300; R. Bultman, Der zweite Brief an die Korinther (MeyerK 6; 10th ed.; Göttingen: Vandenhoeck & Ruprecht, 1976) 81-112.

<sup>32</sup> See, however, 2 Cor 4:7, where Paul speaks of "the transcendent power belonging to God."

<sup>33</sup>See "Dokeō, doxa, etc.," TDNT, 2 (1964) 244; also A. H. Forster, "The Meaning of Power for St. Paul," ATR 32 (1950) 177-85 (he admits that at times "dynamis and doxa are almost identified," but fails to pursue the relation between them).

# Ten

## NEW TESTAMENT KYRIOS AND MARANATHA AND THEIR ARAMAIC BACKGROUND\*

WHENEVER NEW PALESTINIAN Aramaic texts from the early centuries of Christianity are made available to the scholarly world, there is inevitably some aspect or other in them that illumines New Testament expressions and bears on the interpretation of them. Recently some material has been published which sheds light on such age-old problems as the New Testament title *kyrios* for Jesus and the phrase *maranatha* preserved in 1 Cor 16:22. Such new light has been shed on these problems in the recently published Enochic material from Qumran Cave 4.

First discovered in 1952, by both Bedouins and archaeologists, the fragments of Qumran Cave 4 are still in large part unpublished. It has been estimated that, out of the nearly 15,000 fragments found in that cave, 511 fragmentary texts have been pieced together like a jigsaw puzzle and mounted under glass in 620 plates.<sup>1</sup> To date, not even a hundred of these texts have been fully published.<sup>2</sup> Preliminary reports have been issued and partial publications of some of the texts have been made;<sup>3</sup> these have told us about the astounding character of the material discovered.

The bulk of the Enoch fragments is part of the lot entrusted to J. T. Milik for publication; a few of them also belong to the lot of fragments entrusted to J. Starcky. The preliminary reports issued by these scholars had warned us about the complicated picture of the Qumran fragments of this Enoch-literature now available in its Semitic original. Late in 1976 Milik published the majority of the Enochic fragments.<sup>4</sup> He presented the Aramaic text of seven fragmentary copies of the book that we know of as *1 Enoch* or *Ethiopic Enoch.*<sup>5</sup> He also published the text of four fragmentary manuscripts of Astronomical Enoch and parts of three fragmentary texts of the Enochic Book of Giants. Besides these fourteen fragmentary manuscripts Milik also identified several fragments from Qumran Caves 1, 2, and 6, which had been published earlier by others, but had either gone unidentified or had been wrongly identified.

Milik speaks of the Books of Enoch, because what we have normally known as 1 Enoch is the result of a later redactional process; and it is now apparent that the Enochic literature at Qumran existed in a different form. It has been customary to speak of five parts of 1 Enoch: (1) The Book of Watchers (chaps. 1-36); (2) The Book of Parables (mesallê, chaps. 37-71); (3) The Astronomical Book (chaps. 72-82); (4) The Book of Dreams (chaps. 83-90); and (5) The Epistle of Enoch (chaps. 91-108, with a possible insert in the latter [chaps. 106-7] originally separate [?] and sometimes called the Book of Noah). At Qumran, however, the "Astronomical Book" was copied separately on a scroll by itself and was much more extensive than its counterpart in the third section of 1 Enoch. Moreover, the Book of Parables is completely missing from the form of the Book of Enoch found at Qumran. In its stead Milik has discovered a number of fragments of the Enochic Book of Giants, otherwise known from Manichean and patristic literature. In one case, part of the Book of Giants is actually copied on the same fragments as part of the Book of Enoch (40EnGiants<sup>a</sup> was part of 4QEn<sup>c</sup>). So it seems clear that the Book of Enoch at Qumran was likewise a pentateuch, composed of (1) The Astronomical Book; (2) The Book of Watchers; (3) The Book of Giants; (4) The Book of Dreams; and (5) The Epistle of Enoch.

In publishing this Qumran Enoch-material, Milik has proposed a thesis that needs further scrutiny: that the Book of Parables, known to us as the second part of 1 Enoch, was originally a Christian Greek composition, which was only later inserted into the Enochic literature by Christians, who for some reason replaced the Book of Giants with it—possibly because the Book of Giants was popular with the Manicheans. If Milik's thesis has any foundation, then it will have great repercussions on the debate about the New Testament title Son of Man.<sup>6</sup>

Milik dates these fourteen Enochic texts roughly from the end of the third century B.C. to the beginning of the first century A.D. They thus preserve for us a form of the Aramaic language that was in use in Palestine during the last two centuries B.C. and the first century A.D. Hence, in addition to their importance for the recovery of the original Aramaic text of some of the Enoch-literature, they also preserve for us examples of this important language in which the Palestinian traditions of the early church were, in part at least, first formulated.

With these preliminary remarks about the nature of the Aramaic texts which bring to light some data that are pertinent to age-old New Testament problems, we may pass on to the discussion of two of them: (1) the New Testament kyrios-title for Jesus; and (2) the acclamation maranatha.

### I. The New Testament Kyrios-title for Jesus

As a title for Jesus, (ho) kyrios is used by various New Testament writers. It is but one of several titles given to him. As in the case of the others, one invariably has to inquire into three aspects of the title for an adequate understanding of it: (1) its origin or background; (2) its meaning and connotations; and (3) its application—was it used of the earthly Jesus, of the exalted or risen Jesus, or of the parousiac Jesus? The answer that is given to the first question (its origin and background) often colors the meaning and connotations which the title carries and eventually even the quest for the stage of Jesus' career to which it was originally applied. The new evidence bears on the question of the origin or background of the kyrios-title.

At issue is the absolute usage of (ho) kyrios for Jesus, i.e., the unmodified title, without any adjectival, possessive, or genitival attributes.<sup>7</sup> In the second part of this paper, we shall be discussing the acclamation marantha, which does contain the possessive, "our Lord." But the question is, How did it come about that early Christians came to call Jesus "Lord" or "the Lord" simply? This New Testament Greek absolute usage is not to be confused with the Aramaic absolute state of the noun, for in reality both the absolute (or indeterminate) state of the noun,  $mar\hat{e}$ , "Lord," or the emphatic (or determinate) state,  $mary\bar{a}$ , "the Lord," could be regarded as the Aramaic substratum of the Greek absolute usage—if there be evidence for it.

Currently, four different views are proposed for the origin or background of the absolute usage in the New Testament. (1) A Palestinian-Semitic Secular Origin: (Ho) kyrios as a religious title for Jesus would have developed from the ordinary vocative or suffixal form for "Sir" or "Milord" (in either Hebrew ['ādon] or Aramaic [mārê']). This secular usage would be reflected in the address kyrie, "Sir," the vocative, used even of Jesus at times in the New Testament (e.g., Matt 8:2). (2) A Palestinian-Semitic Religious Origin: (Ho) kyrios would have developed as a title for Jesus from the religious title 'adon or marê' used by Palestinian Jews of Yahweh and extended by Jewish Christians of Palestine to Jesus. This view has usually cited the maranatha acclamation of 1 Cor 16:22 as evidence, but it has normally encountered the objection that the title preserved in maranatha is not absolute, but modified, "our Lord," and hence cannot explain the emergence of the absolute usage. (3) A Hellenistic-Jewish Religious Origin: Greekspeaking Jewish Christians of the diaspora, in carrying the Christian message to the Hellenistic world, would have applied to Jesus the title kyrios used in the Greek Old Testament as the translation of the tetragrammaton, as, e.g., in the so-called LXX. Indeed, for some New Testament interpreters, this explanation is often joined to the preceding. (4) A Hellenistic Pagan Origin: The absolute title (ho) kyrios was derived by Christian missionaries, carrying the kerygma of the primitive Palestinian church to the Hellenistic world, from the use of kyrios for gods and human rulers in the eastern Mediterranean world of the first centuries B.C. and A.D. In this case, kyrios was not a kerygmatic title, i.e., it was not part of the original kerygma, but rather the product of Greek-speaking Christian evangelization of the eastern Mediterranean world.8

O. Cullmann popularized the combination of the second and third explanations.<sup>9</sup> The fourth explanation has been widely advocated by R. Bultmann and his followers. The Hellenistic pagan origin has been proposed mainly for the three following reasons: (a) Paul's allusion to "many 'gods' and many 'lords'"-yet "for us there is one God, the Father, . . . and one Lord, Jesus Christ" (1 Cor 8:5-6)-seems to allude to such an origin; (b) kyrios as a title for Yahweh or as a translation of the tetragrammaton is said to be found only in Christian copies of the LXX, whereas pre-Christian Greek translations of the Old Testament, made by Jews or for Jews, preserve in the Greek text itself the tetragrammaton written in either the Aramaic "square" characters or paleo-Hebrew writing (thus Papyrus Fuad 266; 8HevXII gr); and (c) the conviction that Palestinian Jews simply did not refer to Yahweh as "Lord" or "the Lord" and hence the title for Jesus could not have been an extension of this to him. To quote R. Bultmann, "At the very outset the unmodified expression 'the Lord' is unthinkable in Jewish usage. 'Lord' used of God is always given some modifier; we read: 'the Lord of heaven and earth,' 'our Lord' and similar expressions."10

The evidence that comes from Palestinian Aramaic and Hebrew texts that bear on this issue now supports, in my opinion, the second of the views set forth above: that the absolute use of kyrios for Jesus was originally of Palestinian-Semitic religious background. I set forth the

arguments in full in an article in the Conzelmann Festschrift.<sup>11</sup> From the various evidence available today it seems quite likely that there was an incipient custom among both Semitic- and Greek-speaking Jews of Palestine to call Yahweh 'adon, marê', or kyrios. The Hebrew evidence was cited from Ps 114:7 in the canonical psalter, from Ps 151:4 (in 11QPs<sup>a</sup> 28:7-8), and from deuterocanonical Ben Sira 10:7. Greek evidence can be found in Josephus, Ant. 20.4,2 §90; 13.3,1 §68 (in the latter case, even in a quotation from Isa 19:19); and also in Philo (De mut. nom. 2 § 12; Quis rer. div. heres 6 §22-29; De somn. 1.63), if I may be permitted to add a non-Palestinian source. The Aramaic may be permitted to add a non-ralestiman source. The Aramaic evidence was cited from two Qumran texts: (a) 11QtgJob 24:6-7, which translated Job 34:12, "Of a truth, God will not act wickedly, and the Almighty will not pervert justice. In Aramaic this becomes, hk'n sd' 'lh' / yšqr wmr' [y wt dyn'], "Now will God really act treacher-ously, and will the Lord [pervert justice]?"<sup>12</sup> Here the absolute state  $m\bar{a}r\hat{e}^2$ , "Lord," stands in parallelism with 'elāhā', "God." Mārê' here is not a translation of Yhwh, but of šadday, because the tetragrammaton is used in the Book of Job only in the prologue, epilogue, and final speech of Yahweh, whereas in the dialogues of the book it is practically non-existent.<sup>13</sup> Another instance of the absolute usage of cally non-existent.<sup>13</sup> Another instance of the absolute usage of "Lord" can be found in the *Genesis Apocryphon* from Qumran Cave 1: bryk 'nth 'l 'lywn mry lkwl 'lmym dy 'nth mrh wšlyt 'l kwl', "Blessed are you, O God Most High, my Lord for all ages; for you are (the) Lord and ruler over all" (1QapGen 20:12–13; cf. also 20:15).<sup>14</sup> In these instances, which I had already set forth earlier, the title for God is found not only in the absolute usage, but also in the absolute state of the noun in Aramaic, mr3/mrh. Now, however, in the new Enoch material from Qumran Cave 4, we have a clear instance of the absolute usage of the title for God in the emphatic state of the noun, māryā'. It is found in  $4QEn^b$  1 iv 5: [wlgbry'l 'mr m]ry' 'z[l n' 'l mmzry' . . .], "[And to Gabriel] the [L]ord [said]: 'G[o now to the bastards . . .']".<sup>15</sup> Moreover, what is striking here is that the Greek translation of Enoch, which is extant for this part of 1 Enoch (10:9), reads: kai to Gabriel eipen ho KS.<sup>16</sup> Although the first letter of mry' is missing in this Aramaic text, it is nevertheless certainly restored on the basis of the Greek version. We had often suspected that the emphatic form of the noun would be written with the final radical yodh, as in later Aramaic and Syriac, but now the form with it is clearly attested in this Palestinian Aramaic text from Qumran, which copy Milik dates "in the middle . . . of the second century" B.C.<sup>17</sup> This text, then, supplies further evidence to that which has gradually been building up for what was an incipient custom among Semitic-speaking and Greek-speaking Jews of Palestine in the last

century prior to Christianity of referring to Yahweh as "Lord" or "the Lord." Even though we do not yet have a clear case of Yahweh, the tetragrammaton, being translated directly as  $m\bar{a}r\hat{e}^2$  or  $m\bar{a}ry\bar{a}^2$ , it was scarcely "unthinkable in Jewish usage" to refer to God as "the Lord."

If such evidence be acceptable, then another aspect of the kyrios-title for Jesus in the New Testament has to be reconsidered. If, as seems likely, the title 'adon, or marê', or kyrios were, indeed, in use among Palestinian Jews for Yahweh, and the title were borrowed by Palestinian Iewish Christians for Jesus from such a usage, then it would seem that it was used of him as a means of expressing his transcendent and regal status. In this, I find myself thrust back to the explanation of the meaning of the title that O. Cullmann once advocated, even though for reasons quite different from his.<sup>18</sup> The title would suggest a Gleichsetzung of Jesus with Yahweh, a setting of him on a par with Yahweh, but not an Identifizierung-because he is not 'abba'. This would, then, imply perhaps a higher christology for him than the kyrios-title derived from a Hellenistic pagan context of the eastern Mediterranean world. It would also imply that the kerygma of the Palestinian church actually included a recognition of him as marê' and kyrios. It would root in Palestine itself the christological confession of Kyrios Iesous (1 Cor 12:3; Rom 10:9), among the Hebraists as well as the Hellenists. It would, therefore, deny that the title was solely the product of the evangelization of the Greek world, being applied to Jesus by Greek-speaking apostles or disciples alone.

The absolute usage of this title would also make intelligible the acclamation preserved in 1 Cor 16:22, maranatha—an acclamation that may be as old and as primitive as the absolute usage itself. Perhaps the acclamation does not explain the emergence of the absolute title, but it does help to provide a context in which the absolute title is intelligible.

#### **II.** The Aramaic Acclamation Maranatha

The ancient acclamation maranatha has been called by J. H. Moulton and G. Milligan "an old Aramaic watchword . . . misunderstood in most of our English versions down to the AV."<sup>19</sup> It is preserved for us, first of all, by Paul and, strangely enough, in that most Greek of his letters, 1 Corinthians (16:22). There it forms part of his final farewell to the Corinthians and of his blessing upon them: "I, Paul, write this greeting with my own hand. If any one has no love for the Lord, let him be accursed. Maranatha! The grace of the Lord Jesus be with you." Moreover, it is also preserved in Didache 10:6, where it forms part of the

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final blessing of an ancient eucharistic liturgy: "Let grace come and let this world pass away. Hosanna to the God of David. If any one be holy, let him/her come! If any one be not, let him/her repent. *Maranatha!* Amen." Cf. *Apostolic Constitutions* 7.26,5.

In neither case is this Aramaic phrase preserved in a context of a miracle-story, like *ephphatha* (Mark 7:34) or *talitha koum* (Mark 5:41). Hence its preservation cannot be explained as the use of *onoma* thespesion  $\bar{e}$  rhesis barbarike, "a holy name or a foreign phrase," used in healing stories, as Lucian likes to caricature them.<sup>20</sup> R. Bultmann has compared the use of Aramaic phrases in miracle stories of the Gospel tradition to such extrabiblical descriptions.<sup>21</sup> Though one might have to reckon with this character of the Aramaic phrases used in such miracle stories, this does not seem to be the reason for the preservation of *maranatha*. In Paul's use of it, it seems rather to be the use of a familiar phrase in his farewell to the Corinthians—who are presupposed to understand it. And in the Didache it is, in a somewhat similar way, part of a liturgical blessing.

Patristic writers such as John Chrysostom and John of Damascus thought that the expression maranatha was Hebrew;<sup>22</sup> but eventually it was correctly identified as Aramaic in the patristic tradition: Theodoret of Cyrrhus speaks of it as written in "the language of the Syrians."<sup>23</sup>

Attempts to explain the meaning of the phrase throughout the centuries have been numerous. It is clear, however, that the first problem to be resolved is the division of the words involved in it, for the meaning depends on how the phrase is to be divided. It is precisely on this point that the new Palestinian Aramaic texts of Enoch shed some light. However, before we consider this new evidence, it may be wise to recall how the problem of the division arose.

In the major Greek majuscle MSS of the New Testament the phrase is normally written as one word. At the end of the last century N. Schmidt studied the reading of the phrase in the main Greek MSS then available.<sup>24</sup> The problem of the division is aggravated in these MSS by the custom of *scriptio continua*, in which there were generally no division of words, no accents, and no breathings. In some cases, however, accents/breathings were later added, and these give a clue to the interpretation of the phrase then in vogue. Schmidt found four different forms of the continuous writing of the phrase as one word:

- (a) MAPANA $\Theta$ A (in codices of the Pauline corpus  $\aleph$ , A, B, C);
- (b) MAPANNAOA (in codices F, G);
- (c) MAPANAOÁ (with an acute accent on the ultima, in codex M and in 6 minuscles);
- (d) MAPANAOA (with an acute accent on the propenult, in codex E).<sup>25</sup>

He also found suggestions for the division of the phrase in the accents and breathings eventually added to the one-word writing of it:

(e) MAPÀN<sup>2</sup>A $\Theta$ Á (with a grave accent on *maràn* and an acute on the final syllable, added by a ninth-century scribe to codex Claromontanus, and also found in codex L);

(f) MAPÂN'A $\Theta$  (with a circumflex accent on marân and on the final vowel, added by a ninth/tenth-century scribe to codex Vaticanus).

Both of these readings, therefore, suggest the division maran atha.

Since Schmidt's research, only one new Greek MS of 1 Corinthians, containing 16:22, has come to light, P<sup>46</sup>, the Chester Beatty Papyrus text of the Pauline letters.<sup>26</sup> It preserves the phrase written as one word, agreeing with the codices listed above under (a).

In the Greek text of the *Didache* published by J. Rendel Harris, the phrase is written in ligated minuscule letters and clearly as one word, maranathá.<sup>27</sup>

The ancient attempts to divide the phrase in the Greek MSS, by the addition of accents or breathings, date from the end of the first Christian millennium and reflect the division and interpretation of it already present in patristic and early medieval writers. For it is written as one word in the homilies or commentaries of John Chrysostom, Theodoret of Cyrrhus, John of Damascus, Oecumenius, and Theophylact.<sup>28</sup> But here we are hampered by the lack of critical editions of most of these commentaries on 1 Corinthians. In any case, it is significant that all these writers interpret the phrase as meaning ho Kyrios hēmon *ëlthe*, "our Lord has come," and the later writers are undoubtedly merely repeating what their predecessors had written. However, this is the meaning that is also preserved in the Peshitta (māran 'ethā'),<sup>29</sup> and a fifth century Coptic translation of the Didache preserves a similar sense:  $p\bar{js}$  afi amēn, "The Lord has come. Amen."<sup>30</sup>

When one consults the various critical editions of the New Testament in modern times, one finds three varieties of readings:

(a) maranatha (written as one word): C. Tischendorf (Novum Testamentum graece et latine, 1848), K. Lachmann (1850).

(b) maran atha (divided after the ny): J. J. Griesbach (1818), A. Mai (following the accentuation added to Codex Vaticanus, 1859), C. Tischendorf (in the edito octava, 1872), B. F. Westcott and F. J. A. Hort (1890), H. von Soden (Handausgabe, 1913), the British and Foreign Bible Society's text (1914), A. Merk (9 editions from 1933-64), H. J. Vogels (1950).

(c) marana tha (divided after the third alpha): E. Nestle (at least since the 20th ed., 1961), J. M. Bover (since 1953), R. V. G. Tasker (1964), K. Aland et al. (UBSGNT 1961), Nestle-Aland, 26th ed. (1979).

Here one notes the weight of tradition: either the phrase was printed as one word (as in the main Greek MSS) or was divided as maran atha (according to the accents and breathings added to certain manuscripts or, more likely, according to the patristic and early medieval interpretation of the phrase). For it is only in the more recent modern critical editions that the division marana tha is found. The traditional division, however, was defended in modern times by no less a scholar than P. de Lagarde: "muss man maran atha schreiben oder auf den Ruhm ein verständiger Mann zu sein verzichten."<sup>31</sup>

The division marana tha, however, can be traced back at least to such scholars as J. Wellhausen, T. Nöldeke, and G. Dalman.<sup>32</sup>

The meanings that have been given to the phrase have been numerous; some of them are quite implausible, e.g.:

(1) "Our Lord is the sign," understanding the divided words as *māran athā* (A. Klostermann, E. Hommel),<sup>33</sup> in which the "sign" would be the liturgical kiss.

(2) "A Lord art thou," understanding the words as mār 'antā' (J. C. K. Hofmann, who even changed the Greek text to suit his interpretation).<sup>34</sup>
(3) "Devoted to death," understanding the words as maharam motha (M.

Luther, giving an explanation that does not correspond to the Greek transcription).<sup>35</sup>

(4) "Our Lord has come," the patristic interpretation, which understood the phrase as  $m\bar{a}ran \ \bar{a}th\bar{a}$  (the perfect tense). (I consider this implausible because there is no way to justify the past tense interpretation in the context of 1 Corinthians or the *Didache*.)<sup>36</sup>

(5) "Our Lord will come," understanding the perfect as a prophetic future (C. L. W. Grimm).<sup>37</sup>

Apart from these implausible interpretations, there are three others which would have to be considered seriously:

(6) "Our Lord is coming," understanding the phrase to stand for *māran* '*athê*', the active participle.<sup>38</sup> But then the problem would be to explain how the Greek *maranatha* would reflect the Aramaic participle '*athê*'; one would rather expect *maranathē*.

(7) "Our Lord cometh," understanding the phrase as divided māran 'āthā', the perfect tense with a present meaning (J. Buxtorf, E. Kautzsch).<sup>39</sup>

(8) "Our Lord, come!", understanding the phrase to be divided māranā thā, with the second element taken either as the apocopated imperative  $(th\bar{a})$  or as an elided form of the imperative  $(ath\bar{a})$ , i.e., with the elision of aleph and the reduced vowel because of the preceding final long a of the pronominal suffix.<sup>40</sup>

In favor of the imperatival interpretation, "Our Lord, come!", commentators have often appealed to Rev 22:20, where in a similar final greeting at the end of that apocalyptic writing the seer makes the testifying heavenly Jesus say, "Surely I am coming soon," and he adds his own response, "Amen, come, Lord Jesus" (amēn, erchou, kyrie Iēsou). Here the imperative erchou supports the interpretation of the second element of maranatha as imperatival. This meaning does no violence to the Didache context, with its reference to the close of a eucharistic liturgy, especially when it is thought by some commentators to echo the sentiment of Paul in 1 Cor 11:26, "proclaiming the Lord's death until he comes" (achri hou elthē).

In the light of these varied interpretations, we can see that the real problem is still that of the division of the phrase maranatha. Should one divide it maran atha or marana tha? No little part of the problem is the form of the suffixal ending in Aramaic. Is it -an or -ánā? When the suffix of the first plural is first attested in Old Aramaic texts (from 925-700 B.C.), it is -an (e.g., in the Hazael ivory inscription from Arslan Tash, mr'n [KAI 232:1]).41 Similarly, in the period of Imperial or Official Aramaic (from 700-200 B.C.), it is invariably written as -an in extrabiblical inscriptions or papyri (thus, mr'n in AP 17:1, 5; 27:2, 10, 19, 21, 22; 30:1, 2, 18, 23; 31:17, 22; 33:7, 12, 13; KAI 273:9; on other words: AP 7:6; 2:3, 9, 13; 3:4; 20:8, 9; etc.).42 Toward the end of this period one begins to meet the fuller ending  $-án\bar{a}^{2}$  (in AP 81:110, 115); this is also found in Biblical Aramaic (Ezra 5:12; Dan 3:17). When one looks for evidence from Middle Aramaic texts from Palestine (from the last two centuries B.C. and the first century A.D.), one finds only the fuller form -ánā' (thus in 1QapGen 12:16; 19:12, 13; 21:5; 11QtgJob 26:5; the Hyrcania inscription, frg. 2, line 3 [lnpšn<sup>2</sup>]<sup>43</sup>). Moreover, to clinch the matter, we may cite the very form that we have long been looking for, since this suffixal form of marê' has now turned up in the Enoch fragments recently published by J. T. Milik.

In 4QEn<sup>6</sup> 1 iii 14 (= 1 Enoch 9:4) we read about Raphael and Michael, who are described as great Watchers and holy ones, who go in before God's presence and say: ['nth hw'] mrn' rb' [hw]' mr' 'lm' [. . . wkwrs]' yqrk lkl {l} dr dry' dy mn 'lm['], "[You are] our great Lord; (you) are Lord of the world . . . and your glorious [thron]e (is) for every generation of generations which are from eter[nity]."<sup>44</sup> Here we have the missing link, mrn', which is to be vocalized as māránā'. This form now puts to rest the question about how the Aramaic word for "our Lord" would have been pronounced (and written) in first-century Palestine.<sup>45</sup>

However, it still leaves a problem, for, although the verb  $2ata^2$  is well attested in Qumran texts, there is so far no example of the peal imperative of it. When imperatives of other *Pe Aleph* verbs appear in this literature,

they usually appear with the initial *aleph*, as in 1QapGen 20:23, <sup>3</sup>zl, "go!"; 4QEn<sup>b</sup> 1 iv 5, <sup>2</sup>z[l], quoted above in part I of this paper; <sup>46</sup> 4QEn<sup>c</sup> 5 ii 29, <sup>3</sup>zl, "go!" There is no sign, however, in these texts, which are purely consonantal, of how the imperatives were pronounced. The presumption is that the initial *aleph* was still being pronounced.<sup>47</sup> But in a number of other forms of the verb <sup>3</sup>ty, "come," the *aleph* has disappeared in the writing, reflecting the quiescence of it in the pronunciation (e.g., ytwn, 11QtgJob 16:1, 2 [for y<sup>3</sup>twn]; lmth, 4QEnastr<sup>b</sup> 7 iii 2 [for lm<sup>3</sup>t<sup>3</sup>]).

So far, however, there is no evidence for the apocopated imperative  $t\bar{a}$ ' in the Aramaic of Palestine of this period (200 B.C.-A.D. 200), such as one finds later in Palestinian Jewish Aramaic texts<sup>48</sup> or in Syriac writings.<sup>49</sup>

In the light of these data, I should prefer to regard the Greek transcription maranatha of 1 Cor 16:22 and Did. 10:6 as a representation of an elision of Aramaic māránā' ' $\check{a}th\bar{a}$ ', "our Lord, come!" (an imperative with the elision of the reduced vowel and initial aleph because of the preceding long a).<sup>50</sup>

That the phrase is intended to be a liturgical acclamation in a eucharistic context in *Did.* 10:6 seems clear,<sup>51</sup> but I hesitate to find the ending of 1 Corinthians reflecting a similar eucharistic situation, *pace J. A. T.* Robinson.<sup>52</sup>

On the other hand, the collocation of marana tha in 1 Cor 16:22 with *ētō anathema*, immediately preceding it, has suggested to some commentators that marana tha might itself have had an imprecatory meaning.<sup>53</sup> Most of the evidence for this sort of interpretation of marana tha comes from a later period, when the Pauline collocation of the two phrases was taken up into a context of malediction. It seems that at some point the original sense of marana tha was completely lost, and it was thought to be a foreign curse (a sort of abacadabra), formulating perhaps the anathema which immediately precedes. In this way it became what J. H. Moulton and G. Milligan have called asymbolon.<sup>54</sup> And this undoubtedly accounts even for the misspelling of it in a 4th/5th-century inscription, maranathan,<sup>55</sup> where it is used as an imprecation. But as far as I can see, there is not the slightest hint that marana tha itself was to be so understood in the time of Paul. To read it thus in 1 Corinthians is to be guilty of either eisegesis or anachronism.<sup>56</sup>

The best explanation of marana tha remains that of an ancient acclamation, held over from some primitive Palestinian liturgical setting,<sup>57</sup> which can no longer be specified more precisely. Paul would have made use of it at the end of 1 Corinthians as part of his final blessing on the community to which he writes. The brief, almost disjointed, concluding phrases of that blessing make it difficult to say whether marana tha goes with the preceding or the following phrase. To me it makes more sense to relate it to what follows and to regard it as an acclamation referring to Jesus' parousiac coming, understood at least as eschatological and regal, and perhaps also as judicial.<sup>58</sup>

In any case, the Palestinian Aramaic evidence that now bears on the phrase helps to relate the words to a primitive Jewish-Christian context, the same to which I sought earlier to relate the *kyrios*-title for Jesus itself. It thus gives evidence of a veneration of Jesus by early Jewish Christians as the "Lord," as a figure associated with Yahweh of the Old Testament, even as one on the same level with him, without saying explicitly that he is divine.<sup>59</sup>

#### NOTES

\* Submitted for the forthcoming Bo Reicke Festschrift, published here with the permission of the editor.

<sup>1</sup>See R. de Vaux and J. T. Milik, *Qumrân Cave 4: II/I. Archéologie; II. Tefillin, mezuzot et targums (4Q128-4Q157)* (DJD 6; Oxford: Clarendon, 1977) 8.

<sup>2</sup> Twenty-nine of the Cave 4 fragments have been published by J. M. Allegro, *Qumrân Cave 4: I (4Q158-4Q186)* (DJD 5; Oxford: Clarendon, 1968); and thirty more by J. T. Milik in the volume mentioned in n. 1 (pp. 31-91). Fourteen more were published by him in the volume to be mentioned in n. 4 below. For further Cave 4 texts, published in preliminary or partial form, see my book, *The Dead Sea Scrolls: Major Publications and Tools for Study, With an Addendum (January* 1977) (SBLSBS 8; Missoula, MT: Scholars, 1977) 23-34.

<sup>3</sup> For reports on the literature of Cave 4, see P. Benoit et al., "Editing the Manuscript Fragments from Qumran," *BA* 19 (1956) 75–96, esp. pp. 89, 94; "Le travail d'édition des fragments manuscrits de Qumrân," *RB* 63 (1956) 49– 67, esp. pp. 60, 66; J. T. Milik, *Ten Years of Discovery in the Wilderness of Judaea* (SBT 26; Naperville, IL: Allenson, 1959) 33–34. For the preliminary Enochic publications, see J. T. Milik, "Hénoch au pays des aromates (ch. xxvii à xxxii): Fragments araméens de la grotte 4 de Qumran," *RB* 65 (1958) 70–77 (= 4QEn<sup>c.e.</sup>); "Problèmes de la littérature hénochique à la lumière des fragments araméens de Qumrân," *HTR* 64 (1971) 333–78 (= 4QEnastr<sup>a-d</sup>); "Turfan et Qumran: Livre des Géants juif et manichéen," *Tradition und Glaube: Das frühe Christentum in seiner Umwelt: Festgabe für Karl Georg Kuhn zum* 65. Geburtstag (eds. G. Jeremias et al.; Göttingen: Vandenhoeck & Ruprecht, 1971) 117–27.

<sup>4</sup>The Books of Enoch: Aramaic Fragments of Qumran Cave 4 (Oxford: Clarendon, 1976). Unfortunately, despite the claim that Milik makes that the "main purpose of this edition is to present . . . all the fragments identified among the manuscripts of Qumran Cave 4 as forming part of different Books of Enoch" (p.

3), he has not yet presented all of them. See my remarks in "Implications of the New Enoch Literature from Qumran," TS 38 (1977) 332-45.

<sup>5</sup> For a recent critical edition of this text, see M. A. Knibb (in consultation with E. Ullendorff), *The Ethiopic Book of Enoch: A New Edition in the Light of the Aramaic Dead Sea Fragments* (2 vols; Oxford: Clarendon, 1978). See my review of this edition in a forthcoming issue of *JBL*.

<sup>6</sup>See my remarks in TS 38 (1977) 342-44. Cf. M. A. Knibb (with E. Ullendorff), BSOAS 40 (1977) 601-2; "The Date of the Parables of Enoch," NTS 25 (1978-79) 345-59; J. C. Greenfield, "Prolegomenon," in H. Odeberg, 3 Enoch or the Hebrew Book of Enoch (reprinted; New York: Ktav, 1973) xi-xlvii; J. C. Greenfield and M. E. Stone, "The Enochic Pentateuch and the Date of the Similitudes," HTR 70 (1977) 51-65; "The Books of Enoch and the Traditions of Enoch," NTS 25 (1978-79) 89-103; C. L. Mearns, "Dating the Similitudes of Enoch," NTS 25 (1978-79) 360-69.

<sup>7</sup> On this crucial distinction, see further my article, "The Semitic Background of the New Testament Kyrios-Title," WA, 115–42, esp. pp. 117, 133–34; or "Der semitische Hintergrund des neutestamentlichen Kyriostitels," Jesus Christus in Historie und Theologie: Neutestamentliche Festschrift für Hans Conzelmann zum 60. Geburtstag (ed. G. Strecker; Tübingen: Mohr [Siebeck], 1975) 267–98, esp. pp. 271–72.

<sup>8</sup> These four views have been described in greater detail in the articles cited in n. 7: in German, pp. 269–71; in English, WA, 115–17.

<sup>9</sup>Christology of the New Testament (London: SCM, 1963) 195-237.

<sup>10</sup> Theology of the New Testament (2 vols.; London: SCM, 1956), 1. 51.

<sup>11</sup> See n. 7 above; in German, pp. 290–96; in English, WA, 123–27.

<sup>12</sup> See J. P. M. van der Ploeg and A. S. van der Woude, Le targum de Job de la grotte xi de Qumrân (Koninklijke nederlandse Akademie van Wetenschappen; Leiden: Brill, 1971) 58.

<sup>13</sup> But see Job 12:9 and the apparatus criticus.

<sup>14</sup> See N. Avigad and Y. Yadin, A Genesis Apocryphon: A Scroll from the Wilderness of Judaea (Jerusalem: Magnes, 1956), col. XX.

<sup>15</sup> The Books of Enoch (n. 4 above), 175-76.

<sup>16</sup> See M. Black, Apocalypsis Henochi graece (PVTG 3; Leiden: Brill, 1970) 25. Ho kyrios is, however, lacking in the Greek text preserved in George Syncellus.

<sup>17</sup> The Books of Enoch (n. 4 above), 5. The form mry' also shows that the emphatic form was not mārā', pace K. G. Kuhn (TDNT 4 [1967] 467).

<sup>18</sup> The Christology of the New Testament (n. 9 above), 218.

<sup>19</sup> The Vocabulary of the Greek Testament Illustrated from the Papyri and Other Non-Literary Sources (London: Hodder and Stoughton, 1930; reprinted, 1957) 388.

Cf. E. J. Goodspeed, Problems of New Testament Translation (Chicago: Chicago University, 1945) 166-68.

<sup>20</sup> Philopseudes 9 (LCL, 3. 334-35).

<sup>21</sup> The History of the Synoptic Tradition (Oxford: Blackwell, 1968) 222.

<sup>22</sup> John Chrysostom, In Ep. I ad Cor. hom. xliv (PG,61. 377); John of Damascus, In Ep. ad Cor I, 123 (PG,95. 705). This identification is also found in an eleventh century Greek MS (Vat. gr. 179): hebraikē estin hē lexis, ho Kyrios hēkei.

<sup>23</sup> Interpr. ep. I ad Cor., cap. 16, 21 (PG,82. 373); cf. Oecumenius, Comment. in ep. I ad Cor. (PG,118. 904-5); Theophylact, Expos. in ep. I ad Cor., 16.22 (PG,124. 793). <sup>24</sup> "Maranatha, I Cor. xvi. 22," *JBL* 13 (1894) 50–60; cf. *JBL* 15 (1896) 44 n. 14 for a correction.

<sup>25</sup> JBL 13 (1894) 50. I have been able to check the reading in the major Mss in this list.

<sup>26</sup> See F. C. Kenyon, The Chester Beatty Biblical Papyri: Descriptions and Texts of Twelve Manuscripts on Papyrus of the Greek Bible: Fasciculus III Supplement, Pauline Epistles, Plates (London: E. Walker, 1937), f. 60 v. (last line). The phrase is actually somewhat unclear here; the theta is obscure. But it is almost certainly to be read as one word. The papyrus is dated ca. A.D. 200.

<sup>27</sup>The Teaching of the Apostles (Didachě tôn apostolôn) Newly Edited, with Facsimile, Text and a Commentary (Baltimore: Johns Hopkins University; London: C. J. Clay and Sons, 1887), pl. VII, fol. 79a, line 12 (accented with an acute on the ultima).

<sup>28</sup> See nn. 20-21 above. Some of the Latin patristic tradition also echoes this interpretation: Jerome, Lib. interpr. Hebr. nom., ad I Cor. (CC, 72. 154): "Maranatha, dominus noster venit. Syrum est"; In ep. I ad Cor., 16 (PL, 30. 806): "Maranatha. Magis Syrum est, quam Hebraeum; tametsi ex confinio utrarumque linguarum, aliquid Hebraeum sonet. Et interpretatur, Dominus noster venit." Cf. Ep. 26.4 (PL, 22. 431). Ambrosiaster, In ep. ad Cor. I, 16:22 (CSEL 81.194): "Maranatha enim 'dominus venit' significat. Hoc propter Judaeos qui Jesum non venisse dicebant, hi ergo anathema sunt a Domino, qui venit." Augustine, however, is said to have recorded another interpretation which refers the phrase not to the incarnation, but to the parousia ("Anathema dixit graeco sermone: condemnatus; maranatha definivit: Donec dominus redeat"), but I have been unable to verify this interpretation in Augustine's writings. This interpretation, however, is echoed in a ninth century codex G (Boernerianus): "Anathema sit in adventu domini." Cf. Du Cange, Glassarium mediae et infimae latinitatis (Niort: L. Favre, 1885), 5. 258. On this sort of interpretation, see p. 228.

<sup>29</sup>The New Testament in Syriac (London: British and Foreign Bible Society, 1950) 101.

<sup>30</sup> See G. Horner, "A New Papyrus Fragment of the Didache in Coptic," *JTS* 25 (1924) 225-31 (= Brit. Mus. Or. 9271). Cf. C. Schmidt, "Das koptische Didache-Fragment des British Museum," *ZNW* 24 (1925) 81-99; L.-Th. Lefort, Les Pères apostoliques en copte (CSCO 135; Louvain: Imprimerie orientaliste L. Durbecq, 1952) 32 (Lefort reads  $f\bar{p}[\bar{s}]$  afi  $\langle h \rangle$ amēn, and translates: "Le seigneur est venu, amen" [CSCO 136, 26]). Cf. E. Peterson, "Ueber einige Probleme der Didache-Ueberlieferung," *Rivista di archeologia cristiana* 27 (1951) 37-68, esp. pp. 60 n. 80, 61 n. 86.

<sup>31</sup> Quoted by N. Schmidt, JBL 13 (1894) 51.

<sup>32</sup> See T. Nöldeke, Review of E. Kautzsch, Grammatik des Biblisch-Aramäischen mit einer kritischen Erörterung der aramäischen Wörter im Neuen Testament (Leipzig: F. C. W. Vogel, 1884), GGA 1884/2, 1014–23, esp. p. 1023. Nöldeke there cites the agreement of J. Wellhausen with him in adopting the suggestion of G. Bickell ("Die neuentdeckte 'Lehre der Apostel' und die Liturgie," ZKT 8 [1884] 400– 12, esp. p. 403 n. 3), who was apparently the first person in modern times to suggest this division and meaning of the phrase. He compared Rev 22:20.See further J. Halévy, "Découvertes épigraphiques en Arabie," REJ 9 (1884) 1–20, esp. p. 9; G. Dalman, Grammatik des jüdisch-palästinischen Aramäisch nach den Idiomen des palästinischen Talmud, des Onkelostargum und Prophetentargum, und der jerusalemischen Targume (2d ed.; Leipzig: Hinrichs, 1903; reprinted, Darmstadt; Wissenschaftliche Buchgesellschaft, 1960) 357 n. 1. There is now no longer any reason to appeal to Nabatean forms, as did Dalman and Halévy.

<sup>33</sup> These different meanings have been well discussed by K. G. Kuhn, "Maranatha," TDNT 4 (1967) 466-72. Cf. A. Klostermann, Probleme im Aposteltexte neu erörtert (Gotha: Perthes, 1883) 200-46; E. Hommel, "Maran atha," ZNW 15 (1914) 317-22.

<sup>34</sup> J. C. K. von Hofmann, Die heilige Schrift neuen Testaments zusammenhängend untersucht: II/2 Der erste Brief Pauli an die Korinther (Nördlingen: G. H. Beck, 1874) 401-3.

<sup>35</sup> Sämmtliche Werke: 4. Abt., Vermischte deutsche Schriften 12 (Frankfurt/ Erlangen: Heyder und Zimmer, 1855), 64. 233; Deutsche Bibel 1522-1546, 7. Das Neue Testament, 2. Hälfte (Weimar: H. Böhlaus, 1931) 136-37 ("So jemandden HErrn Jhesu Christ nicht lieb hat, der sey Anathema Maharam Motha." His marginal gloss reads: "Bann auf deutsch, Anathem, Griechisch, Maharam auff Ebreisch ist ein ding. Moth aber heisst tod. Wil nu S. Paulus sagen, Wer Christus nicht liebet, der ist verbannet zum tode"). Luther was, however, aware of another interpretation, that of the Fathers and Scholastics before him, for he wrote in his commentary of 1519 on Galatians: "Si quis non amat dominum Iesum Christum, sit anathema maranata (quod Burgensis pessimum maledicendi genus apud Hebreos esse dicit, ubi nostri maranata 'dominus venit' intelligunt, non absque errore, ut puto): nihil mirum sit, si et hic [i.e., in Galatians] maledicat, externi hominis malum detestans, quo bonum spiritus impediri cernebat" (Weimar Ausgabe, 2. 573). "Dominus venit" is, of course, ambiguous, because it could be the perfect or the present tense. The translator of the Commentary on Galatians in Luther's Works (ed. J. Pelikan and W. A. Hansen; Saint Louis: Concordia Publishing House, 1964), 27. 345, translated it, "the Lord is coming," but I daresay that that is not what Luther meant, since the tradition before him almost unanimously understood it as the past tense (perfect). The translator has here been influenced apparently by a modern understanding of the phrase, in preferring the present.

The passage to which Luther refers can be found in Nicolaus de Lyra, *Postilla super totam bibliam, cum additionibus Pauli Burgensis* (Unveränderter Nachdruck der Ausgabe Strassburg, 1492; Frankfurt: Minerva, 1971), vol. 4, no pagination: Ad Corinthios I, in 16:22. (I am indebted to Prof. Karlfried Froehlich for this reference.)

The interpretation, maharam motha, "devoted to death," is ascribed by Cornelius a Lapide (Commentarii in Sacram Scripturam [Milan: F. Pagnoni, 1870], 17. 456) to Erasmus, Theodore de Bèze, and Bullinger. His own comment on this interpretation is: "Sed hoc tortum est et longe distat macharam mota a maran ata." A similar judgment was made by Melanchthon, "cum adpareat longius accersitam esse" (Comm. in ep. Pauli ad Cor., cap. xvi; C. G. Bretschneider, Philippi Melanthonis opera quae supersunt omnia [Corpus reformatorum, 15; Halle/S.: C. A. Schwetschke, 1848] 1190-92).

I have been unable to find the use of this explanation in the works of Erasmus. In his Novum instrumentum: Annotationes Novi Testamenti (Basel: Froben, 1516), 2, 483, he gives the usual translation, "Dns noster venit." This is repeated in the printing of 1519, and also in his revision, In annotationes Novi Testamenti (Basel: Froben, 1540) 522 (= Des. Erasmi roter. operum sextus tomus, 522).

Theodore de Bèze gives the explanation of maranatha which relates it to Hebr.

herem; see Annotationes maiores in Novum Dn. nostri Jesu Christi Testamentum (2 vols.; [no place or publisher], 1594), 2. 250-51. Cf. J. Calvin, Commentary on the Epistle of Paul the Apostle to the Corinthians (Grand Rapids, MI: Eerdmans, 1948), 2. 80-**8**3.

<sup>36</sup> According to this interpretation, the phrase was often said to be a "confession," to distinguish it from other interpretations. See K. G. Kuhn, "Maranatha," TDNT 4 (1967) 470-72. The reason why this is still preferred by some commentators is the patristic tradition that is associated with it. It seems rather obvious that the Fathers either did not understand what the phrase meant or related it to the preceding anathema, or simply repeated what earlier interpreters had said it meant. The past tense was often used in patristic and early scholastic writings to affirm the incarnation in the face of unorthodox views of Jesus, either Docetic or Jewish. See especially B. Botte, "Maranatha," Noël-Epiphanie, retour du Christ: Semaine liturgique de l'Institut Saint-Serge (Paris: Cerf, 1967) 25-42, esp. pp. 37-39. Cf. F. Field, Notes on the Translation of the New Testament: Being Otium norvicense (pars tertia) (Cambridge: University Press, 1899) 190; R. Payne Smith, Thesaurus syriacus (2 vols.; Oxford: Clarendon, 1901), 2. 2205; also G. Klein, "Maranatha," RGG 4 (1960) 732-33, esp. col. 732.

<sup>37</sup> In J. H. Thayer, A Greek-English Lexicon of the New Testament, Being Grimm's Wilke's Clavis Novi Testamenti Translated, Revised and Enlarged (New York: Harper, 1892) 389. See K. G. Kuhn, "Maranatha," TDNT 4 (1967) 472. Cf. M. Black "The Maranatha Invocation and Jude 14, 15 (1 Enoch 1:9)," Christ and Spirit in the New Testament: In Honour of Charles Francis Digby Moule (ed. B. Lindars and S. S. Smalley; Cambridge: University Press, 1973) 189-96, esp. p. 196; "The Christological Use of the Old Testament in the New Testament," NTS 18 (1971-72) 1-14, esp. p. 10 n. 4. I remain skeptical, along with G. Dalman and K. G. Kuhn, about the so-called prophetic perfect or perfectum futurum in Aramaic, especially in main clauses, as this phrase would be. Cf. H. Bauer and P. Leander, Grammatik des Biblisch-Aramäischen (Hall/S.: Niemeyer, 1927) §77a, 79n.

<sup>38</sup> See A. Adam, "Erwägungen zur Herkunft der Didache," ZKG 68 (1957) 1-47, esp. p. 6 n. 14. He cites as an authority for this interpretation "ein arabisch, syrisch, englisch sprechender Mönch" of St. Mark's Syrian Orthodox Monastery in Jerusalem, who pronounced it moran ote and translated it, "Der Herr ist im Kommen" (!).

<sup>39</sup> J. Buxtorf, Lexicon chaldaicum, talmudicum et rabbinicum (2 vols.; ed. B. Fischer; Leipzig: M. Schaefer, 1875) 633; E. Kautzsch, Grammatik des Biblisch-Aramäischen, 12; J. Weiss, Der erste Korintherbrief (MeyerK 5; 9th ed.; Göttingen: Vandenhoeck & Ruprecht, 1910) 387.

<sup>40</sup> This explanation is given by E. Kautzsch (Grammatik des Biblisch-Aramäischen, 12) as an alternative. The same suggestion was made by J. Hehn, recorded in F. J. Dölger, Sol salutis: Gebet und Gesang im christlichen Altertum (Liturgiegeschichtliche Forschungen, 16/17; Münster in W.: Aschendorff, 1925) 201.

<sup>41</sup> True, -ana' should be regarded as the older form. On the basis of comparative Northwest Semitic grammar, one would postulate a Proto-Semitic from -ana (see H. Bauer and P. Leander, Grammatik des Biblisch-Aramäischen [n. 37 above], 79 §20t'; C. Brockelmann, Grundriss der vergleichenden Grammatik der semitischen Sprachen [2 vols.; Berlin: Reuther & Reichard, 1908; reprinted, Hildesheim: Olms, 1966], 1. 309 [§105d]). But the earliest historically attested Aramaic forms end simply in -n; there is no evidence that this was merely a consonantal writing for -na. See F. M. Cross and D. N. Freedman, Early Hebrew

Orthography: A Study of the Epigraphic Evidence (AOS 36; New Haven: American Oriental Society, 1952) 21-34; J. A. Fitzmyer, The Aramaic Inscriptions of Sefire (BibOr 19; Rome: Biblical Institute, 1967) 139-49; R. Degen, Altaramäische Grammatik der Inschriften des 10.-8. Jh. v. Chr. (Abh. f. d. Kunde des Morgenlandes, 38/3; Wiesbaden: Deutsche morgenländische Gesellschaft, 1969) 44 36. S. Segert (Altaramäische Grammatik mit Bibliographie, Chrestomathie und Glossar [Leipzig: VEB Verlag Enzyklopädie, 1975] 5.1.3.4.1 [sic!]) considers it a possibility that final -n was pronounced -na, but he offers not a shred of evidence for the possibility. Cf. L. A. Bange, A Study of the Use of Vowel-Letters in Alphabetic Consonantal Writing (Munich: Verlag-UNI-Druck, 1971) 78; he offers no proof for the actual pronunciation of final -n beyond the postulated Proto-Semitic ending that everyone acknowledges. When final long a was preserved in the pronunciation, it was invariably written with h (see znh [= zěnāh], "this," Sf I A 36, 40; I B 28, 33; I C 17; 'nh [= 'ănāh], "I," Sf II C 8; III 6).

<sup>42</sup> This evidence shows that -an was the historically older ending of the first plural suffix in Aramaic, at least as far as what is attested, and that  $-ána^2$ prevailed at a later date. The latter may, of course, represent a preservation of the more original Proto-Semitic ending -anā in certain areas—or at least a return to an older pronunciation. This evidence should at least make one cautious in describing the fuller ending as the "old suffix form māránā" over against what is sometimes called "the more recent popular māran" (F. Hahn, The Titles of Jesus in Christology: Their History in Early Christianity [London: Lutterworth, 1969] 93).

<sup>43</sup> See J. Naveh, "Ktwbt 'rmyt mhwrqnyh [An Aramaic Inscription from Hyrcania]," 'Atiqot: Hebrew Series 7 (1974) 56-57 (+ pl. XV/8).

<sup>44</sup> The Books of Enoch (n. 4 above), 171. Literally, the text reads, "[You are] our Lord, the Great One."

<sup>45</sup> This evidence is, consequently, significant, because several writers in recent times have insisted on the division maran atha, citing evidence for the short ending -an from Palestinian pentateuchal targums, Samaritan Aramaic, and Christian Palestinian Aramaic. Thus, e.g., H.-P. Rüger, "Zum Problem der Sprache Jesu," ZNW 59 (1968) 113–22, esp. p. 121: "Und in der Tat heisst das Suffix der 1. communis pluralis am konsonantisch auslautenden Nomen im Idiom des palästinischen Pentateuchtargums, im samaritanischen Aramäisch und im Christlich-palästinischen stets -an, teils -ana'." Rüger cites F. Schulthess, Grammatik des christlich-palästinischen Aramäisch, (Tübingen: Mohr [Siebeck], 1924) 33 §57; G. Dalman, Grammatik des jüdisch-palästinischen Aramäisch, 95 and 202-3. The short ending reappears, indeed, in the Late Phase of the language (for the phases in question, see WA, 57-84). The question has always been, What form did the first plural suffixal ending take in first-century Palestine? None of the evidence that Rüger cites answers that question; and the same has to be said for most of what is cited by K.-G. Kuhn, TDNT 4 (1967) 467-68. See further J. A. Emerton, "Maranatha and Ephphata," JTS ns 18 (1967) 427-31, esp. p. 427.

<sup>46</sup> See p. 222 above.

<sup>47</sup> Cf. E. Qimron, "Initial *Alef* as a Vowel in Hebrew and Aramaean Documents from Qumran Compared with Other Hebrew and Aramaean Sources," *Leš* 39 (1975) 133-46.

<sup>48</sup> See G. Dalman, Grammatik des jüdisch-palästinischen Aramäisch, 357, 300. Cf. H. Odeberg, The Aramaic Portions of Bereshit Rabba, with Grammar of Galilaean Aramaic (Lunds Universitets Årsskrift, ns 1/36 n. 4; Lund: Gleerup; Leipzig: Harrassowitz, 1939) 77, 160.

<sup>49</sup> See T. Nöldeke, Compendious Syriac Grammar (London: Williams and Norgate, 1904) 133 (§183). Cf. the Peshitta of Rev 22:20: tā<sup>3</sup> māryā<sup>3</sup> Yēšū<sup>c</sup>.

<sup>50</sup> As suggested earlier by E. Kautzsch and J. Hehn (see n. 40 above).

<sup>51</sup> There it occurs at the end of a rather lengthy description of an early eucharistic liturgy. See B. Botte, "Maranatha," 33-34.

<sup>52</sup> "The Earliest Christian Liturgical Sequence," JTS ns 4 (1953) 33-41; reprinted in *Twelve New Testament Studies* (SBT 34; Naperville: Allenson, 1962) 154-57.

<sup>53</sup> See C. F. D. Moule, "A Reconsideration of the Context of Maranatha, 'NTS 6 (1959-60) 307-10. He takes up a suggestion of E. Peterson, Heis theos: Epigraphische, formgeschichtliche und religionsgeschichtliche Untersuchungen (Göttingen: Vandenhoeck & Ruprecht, 1926) 130-31. For the later evidence on which this interpretation is based-apart from the implication of it in patristic writing—see H. Leclercq, "Maranatha," DACL 10/2 (1932) 1729-30. Cf. W. Dunphy, "Marantha: Development in Early Christology," ITQ 37 (1970) 294-308; M. Black, "The Maranatha Invocation" (see n. 37 above), 189-96. According to B. Botte ("Marantha," 29-34), this linking of maranatha with what precedes was the source of the long-standing patristic and medieval understanding of the phrase. My own investigations agree with his on this score.

54 The Vocabulary (see n. 17 above), 33.

<sup>55</sup> See CIG, 4. 9303; J. H. Moulton and G. Milligan, *The Vocabulary*, 33. *Pace* C. F. D. Moule (*NTS* 6 [1959–60] 308), the misspelling is precisely the evidence for the misunderstanding of the phrase.

<sup>56</sup> Still further wide of the mark is the interpretation of the text of 1 Cor 16:22 set forth by W. F. Albright and C. S. Mann, "Two Texts in I Corinthians," *NTS* 16 (1969–70) 271–76.

<sup>57</sup> The attempt of W. Heitmüller ("Zum Problem Paulus und Jesus," ZNW 13 [1912] 320-37, esp. pp. 333-34) to seek a Hellenistic Christian origin for the uitle, either in bilingual Antioch or Damascus, has found little support. Cf. W. Bousset, Kyrios Christos: A History of the Belief in Christ from the Beginnings of Christianity to Irenaeus (Nashville: Abingdon, 1970) 129. On Bousset's vacillations, see S. Schulz, "Marantha und Kyrios Jesus," ZNW 53 (1962) 125-44, esp. p. 125. Cf. V. H. Neufeld, The Earliest Christian Confessions (NTTS 5; Leiden: Brill, 1963) 55 n. 4.

<sup>58</sup> See further B. Botte, "Maranatha," 40–42; G. Bornkamm, Early Christian Experience (London: SCM, 1969) 169–76, 178–79; P.-E. Langevin, fésus Seigneur et l'eschatologie: Exégèse de textes prépauliniens (Studia, 21; Bruges/Paris: Desclée de Brouwer, 1967) 168–208.

<sup>59</sup> See G. Klein, "Maranatha," RGG 4 (1960) 732-33.

### Eleven

### HABAKKUK 2:3-4 AND THE NEW TESTAMENT\*

IT IS WELL KNOWN that in the New Testament, Hab 2:4 is used by Paul in Gal 3:11 and Rom 1:17, and Hab 2:3-4 by the author of the Epistle to the Hebrews (10:37-38). This Old Testament text is also commented on by the author of the pēšer on Habakkuk from Qumran Cave 1. The different forms of the quotation from Habakkuk have at times been discussed.<sup>1</sup> But it is puzzling why the differing Hebrew and Greek forms of these verses, which must have been behind the New Testament use of this famous quotation, have not been more adequately treated. Moreover, the original verses in the Hebrew form of Habakkuk have concealed problems that would have to be considered in an adequate treatment, since many of the modern translations of the passage have been greatly influenced by-at least-a Greek version of it. The dependence of New Testament writers on the Habakkuk passage is rather obvious, but it is not always clearly stated that the dependence is on a rather narrowly understood Greek version of it. Consequently, it might be well to survey the data now available in a more comprehensive way with the hope that they may shed some light on the problems that one has in the interpretation and use of Hab 2:3-4 in the New Testament.

### I. The Text of Hab 2:3-4 in Pre-New Testament Usage

The passage that concerns us reads as follows in the Hebrew of the MT (Hab 2:3-4):

כי עוד חזון למוער ויפח לקץ ולא יבזב
 אם־יתמהמה חכה־לו כי־בא יבא לא יאחר:
 4 הנה עפלה לא־ישרה נפשו בו וצריק באמונתו יחיה:

The Hebrew text of Hab 2:3-4 has usually been translated somewhat as follows:

<sup>3</sup>For still the vision awaits its time; it hastens to the end—it will not lie.
If it seem slow, wait for it; it will surely come, it will not delay.
<sup>4</sup>Behold, he whose soul is not upright in him shall fail, but the righteous shall live by his faith. (RSV)

#### Or:

<sup>3</sup>For the vision still has its time, presses on to fulfillment, and will not disappoint;
If it delays, wait for it, it will surely come, it will not be late.
<sup>4</sup>The rash man has no integrity; but the just man, because of his faith, shall live. (NAB)

In the original context of Habakkuk, these verses form part of Yahweh's reply to the prophet's second complaint about the continuing oppression of Judah. Chaldean invaders, who are expected and whose god is their might, are contrasted with Judah, whose deliverance lies in fidelity to Yahweh. Yahweh has just ordered the prophet to record the vision clearly upon tablets so that even a runner can read it. Then follow vv. 3-4, which tell of a vision destined for an appointed time, which will make clear that Judah's deliverance will not depend on its wealth; rather, only fidelity to Yahweh will prove its righteousness and bring life. The Hebrew word '*ēmûnāh*, "fidelity, steadfastness," expresses the key idea.

The meaning of these verses has been queried in recent times by a number of writers. In particular, v. 3, as translated above, may really express a slightly different idea. The crucial word is wyph (vocalized in the MT as weyapeah) and is usually translated, "hastens"; related to it is 'wd (vocalized as 'ôd), "still." Frequently enough, the word wyph has been regarded as a verb, derived from the root pwh/pyh, and related to a similar form yapiah in Proverbs (6:19; 12:17; 14:5, 25; 19:5, 9, 26) and Ps 27:12. Recently, however, it has become clear that yph must mean "witness" in a good number of these instances, since this root is now abundantly attested in Ugaritic.<sup>2</sup> Baumgartner has admitted this explanation for yapeah in Ps 27:12.<sup>3</sup> W. McKane has made use of this meaning, "witness," for the passages in his commentary on Proverbs.<sup>4</sup> Because of the parallelism found there between  $y\bar{a}p\hat{a}h$  and  $\bar{e}d$ , which has the same meaning, it has been further suggested that possibly in Hab 2:3 one should rather read 'wd as 'yd in the first bicolon, and then take wyph in parallelism with it. Recently, D. Pardee essayed such an interpretation:

For there is yet a vision (or: the vision is a witness) for a set time, Even a witness for the end, One that will not lie.<sup>5</sup>

If Pardee's suggestion proves correct—though he is still somewhat tied to the older mode of rendering the bicola, given his double translation—then it is clear that Habakkuk's text here carries a nuance slightly different from that to which we have been accustomed.

However, Hab 2:3 is quoted in full in 1QpHab 7:5-10. The second word of v. 3 was read there by M. Burrows as 'wd in the editio princeps.<sup>6</sup> However, the word could just as easily be read as 'yd.<sup>7</sup> If so, then possibly the Qumran reading would support this more recent mode of understanding Hab 2:3. The difficulty is that waw and yod in this Qumran text are not always clearly distinguished; and Burrows almost certainly read 'wd under the influence of the MT. In eliminating the waw before ypyh, the Qumran form removes the possibility of understanding the word as a verb, as is done in various Greek translations (see below).

The text of 1QpHab 7:5-8:3 is presented below so that one can see how it has interpreted Hab 2:3-4 and preserved parts of those verses.

כיא עיד חזון			5
מועד יפיח לקץ ולוא יכזב		למועד יפיח לי	6
פשרו אשר יארוך הקץ האחרון ויתר על בול		7	
אשר דברו הנביאים כיא רזי אל להפלה		8	
אם יתמהמה חכה לו כיא בוא יבוא ולוא		9	
л	פשרו על אנשי האמ	יאחר	10
עושי התורה אשר לוא ירפו ידיהם מעבודת		11	
האמת בהמשך עליהם הקץ האחרון ביא		12	
כול קיצי אל יבואו לתכונם כאשר חקק להם ברזי ערמתו הנה עופל{ה} לוא יושרה		13	
		•	14
4 /		[נפשו בו]	15
זלנ ז	ן]ל[וא] ירצו במשפטם [		16
(וצדיק באמונתו יחיה]		17	
		. <u>L</u>	,

פשרו על כול עושי התורה בבית יהודה אשר 🔢 🔢

יצילם אל מבית המשפט בעבור עמלם ואמונתם 🛸 🖄

במורה הצדק 3

<sup>5</sup>For a vision (is) a witness <sup>6</sup>for a set-time, a witness for the end-time, and it will not lie. <sup>7</sup>The interpretation of it: The final end-time will be long and (will be) an extension beyond all <sup>8</sup>that the prophets have said, because the mysteries of God are amazing. <sup>9</sup>If it tarries, wait for it, because it will surely come and will not <sup>19</sup>delay. The interpretation of it concerns the men of truth, <sup>11</sup>the observers of the Law, whose hands slacken not in the service of <sup>12</sup>the truth, as the final end-time is prolonged over them, for <sup>13</sup>all God's times will come according to their appointment, as he has determined <sup>14</sup>for them in the mysteries of his providence. Now (as for the) puffed-up one, [his soul] is not found upright <sup>15</sup>[within him]. The interpretation of it: [Their sins] will be doubled upon them, <sup>16</sup>[and] they will n[ot] be found acceptable at their judgment [ <sup>17</sup> ; but (the) righteous one because of his fidelity shall find life]. <sup>8:1</sup>The interpretation of it concerns the house of judgment because of their struggle and their fidelity to the Teacher of Righteousness.<sup>8</sup>

Unfortunately, the *peser* sheds little light on the words wd/wyd or ypyh, since the author concentrates only on the extension of the final endtime beyond that which was announced by the prophets. Again, the pëser in lines 9-14 assures the sectarians of the coming of the end-time and recalls to them their need not to slacken their allegiance. The commentary on v. 4a does not present a clear interpretation of the words of Habakkuk, because it is almost as incomprehensible as the original, and the two last words of it (npšw bw) may not be correctly restored. Hesitation about this restoration will be more evident when one considers the Greek translation in the LXX where eudokei may correspond in some way to the verb yrsw (from rsy), even though the form itself seems to be different. The phrase he psyche mou en auto, which changes the sense of the MT, may be closer to what the author of the pēšer originally wrote. In any case, vv. 4a and 4b in the commentary seem to contrast two types of persons, those not upright and those who are righteous. Though the lemma of v. 4b is missing in the fragmentary col. 7, the comment on it makes it clear that it was once there. Because of struggle and loyalty to the Teacher of Righteousness God will deliver them from the house of judgment.

Over against such an understanding of the text of Habakkuk one has to consider the various Greek translations of it that exist today. The oldest is probably that of the so-called Septuagint, which runs as follows:

3 dioti eti horasis eis kairon kai anatelei eis peras kai ouk eis kenon
ean hysterēsē, hypomeinon auton, hoti erchomenos hēxei kai ou mē chronisē.
4 ean hyposteilētai, ouk eudokei hē psychē mou en autōho de dikaios ek pisteōs mou zēsetai.<sup>9</sup> Mss N, B, Q, W\* read ek pisteös mou; Mss A, C read dikaios mou ek pisteös; and Ms W<sup>c</sup> omits mou. A corrector of N<sup>2</sup> read apangelei instead of anatelei, subsequently erased. The LXX may be translated thus:

<sup>3</sup>For (there is) still a vision for the set-time, and it will appear for the end, and not for naught; if it tarries, wait for it, because it will surely come and will not delay. <sup>4</sup>If one draws back, my soul takes no delight in him; but the righteous one because of my fidelity shall find life (MSS A, C: but my righteous one shall find life).

When one compares this LXX text with the MT, one notes that its Hebrew Vorlage clearly read  $\omega d$ , here translated as *eti*, "still." Moreover, the Hebr. wyph (with the conjunction) was understood as a verbal form, here translated as *anatelei*, "will appear" (lit., will spring up on the horizon). The reading in MS  $\aleph^2$ , introduced by a corrector, *apangelei*, "will announce," shows that the same word was also understood as a verb. Through this could be seen as expressing the function of a witness, the Greek translator scarcely had this in mind. In any case, these words, *eti* and *anatelei* (or *apangelei*), are undoubtedly the reason for the more or less traditional mode of translating v. 3.

In the treatment of v. 4, the LXX has understood Hebr. 'uppëlāh as a verb (hyposteilētai) and yāšērāh similarly (eudokei); the latter seems to be related to rsh, found in 1QpHab 7:16. Above, I followed the vocalization of E. Lohse, who took yrsw as a niphal impf.;<sup>10</sup> if correct, then it seems that both the Qumran commentator and the LXX translator understood the Hebr. Vorlage in a somewhat similar way. But the LXX translator obviously read Hebr. napšô as napšî, "my soul."

It is, however, the last clause in v. 4 that is of more interest. Whereas the MT has weisaddiq be'emúnātô yihyeh, "but the righteous one because of his fidelity shall find life," the LXX has either changed it to Yahweh's righteousness (MSS X, B, Q, W\*: ek pisteos mou, "because of my fidelity") or introduced a close connection between the righteous one and Yahweh himself (MSS A, C: ho de dikaios mou ek pisteos, "my righteous one because of [his] fidelity will find life").<sup>11</sup> What is at work here again is the confusion of a waw and a yodh (b'mwntw/b'mwnty), as in npšw/npšy above.

There is, however, another Greek translation of Habakkuk, unfortunately fragmentary, with which that of the LXX can be compared. It is found in the scroll of the Minor Prophets in Greek that comes from the eighth cave of Wadi Habra (Nahal Hever), 8HevXIIgr, col. 12. What is preserved of it reads as follows:

3 [ k]airon kai emphanēset[ai kai ou di]apseusetai. Ean stran[geusētai au]ton hoti erchomenos hē[xei ] 4 id[ou] skotia ouk eutheia psyché autou[ ] [dik]aios en pistei autou zéset[ai].<sup>12</sup>

<sup>3</sup>[ se]t-time and it will appea[r and it will not] lie. If it (or: he) tar[ries, i]t (or: h]im), because it (or: he) will surely co[me .] \*No[w] (as for) darkness, his soul is not upright[ ; but the ri]ghteous one with his fidelity will find li[fe].

The hexaplaric apparatus criticus of Ziegler's edition of the LXX Minor Prophets reveals that Aquila read kai dikaios en pistei autou zēsetai (see also Eusebius, Dem. evang., p. 269). But Symmachus had a significant addition ho (de) dikaios tē heautou pistei zēsei.<sup>13</sup>

What is striking here is that the Greek translation from Wadi Habra, usually regarded as from Proto-Theodotion,<sup>14</sup> seems to be independent of the so-called LXX. The few words that it has in common with LXX are kairon kai, ean, auton, hoti erchomenos hēxei, ouk, psychē, dikaios, zēsetai (with pistis in a different case).

More striking, however, is the use of *emphanesetai* for *yph* and *shotia* for *'uppělāh*. In the LXX the verb *emphainein* is used to translate *yp'*; and *shotia* the noun '*opel*. Is a confusion of h/' and '/' at the bottom of this? More noteworthy is the translation of the last clause of v. 4: [ho de di]kaios en pistei autou zesetai. This is a closer rendering of the Hebrew of the MT and differs from the various preserved LXX forms.

In any case, it is clear that the New Testament passages that make use of Hab 2:3-4 are more dependent on a Greek translation-tradition that is related to the LXX than to this Greek text of the Wadi Habra cave, of Palestinian provenience. It is not easy to say to what extent this New Testament Greek text might represent a Palestinian *tradition*. In any case, the New Testament quotations of Hab 2:3-4 stand closer to what is found in the Egyptian text-tradition.

## II. The Text of Hab 2:3-4 in the New Testament

In turning to the New Testament passages in which Hab 2:3-4 occurs we can treat the two Pauline passages with more dispatch than that in Hebrews, since they make use of only the last clause of Hab 2:4. In Gal 3:11 Paul really only alludes to the passage. It reads:

hoti de en nomō oudeis dikaioutai para tō theō dēlon, hoti ho dikaios ek pisteōs zēsetai.

It is evident that no one is accepted as righteous by God for obeying the Law, since the righteous one because of faith will find life. (My translation)

## And in Rom 1:17 Paul writes, quoting Habakkuk explicitly:

kathōs gegraptai. ho de dikaios ek pisteōs zēsetai

..., as it has been written (in Scripture), 'The righteous one because of faith shall find life.' (My translation)

Now Paul, in using ek pisteos, is clearly dependent on the text-tradition which we know from the LXX; he does not translate the Hebrew text of Habakkuk, nor does he use the Proto-Theodotionic version, en pistei autou.<sup>15</sup> Strikingly, he omits the personal pronoun entirely, and undoubtedly the reason for this omission is that, although the word *pistis* is the same in his texts as in either the LXX or the Proto-Theodotionic version, he fills that word with his own Christian meaning of "faith." The meaning of *'emûnah* which we preferred earlier, "fidelity, steadfastness," seems to be demanded by the original context. In 10pHab 8:2 the word also has to be translated in the same way, again not only because of the context which speaks of "the observers of the Law" ('052 hattôrāh), but also because <sup>3</sup>mwntm is set in juxtaposition to <sup>5</sup>mlm, "their struggle," suggesting that the former must have some meaning like "fidelity" or "loyalty" to the Teacher of Righteousness.<sup>16</sup> For Paul, however, the word pistis is pregnant with all that he means by that in his view of Christian experience.<sup>17</sup> It would not have been impossible for Paul to use the reading ek pisteos mou (referring to God); but that would have introduced an entirely different notion, since Paul was speaking of something that involved a human self-involving act. In this regard, the reading of MSS A and C (ho de dikaios mou ek pisteos zesetai) would probably have been more congenial to him. But he avoids both of them, omitting the mou, probably because of the sense in which he wanted pistis to be understood.18

When one turns to Heb 10:37-38, the matter is a little more complicated. The author has finished his main exposé of christology and soteriology, of the way in which he understood Jesus Christ and what he once did for all human beings. He makes use of Hab 2:3-4 as part of an exhortation addressed to his readers, which recalls their struggles and sufferings when they first became Christians and encourages them to endurance, confidence, and faith. After having quoted Hab 2:3-4, he says, "But we are not of those who draw back and are liable to destruction, but of those who have faith and acquire life" (10:39). On the heels of that declaration, he introduces his famous description of faith and his recital of its Old Testament heroes and heroines. Into such a context he introduces the quotation of Habakkuk, which reads as follows:

<sup>37</sup> eti gar mikron hoson hoson
ho erchomenos hēxei kai ou chronisei.
<sup>38</sup> ho de dikaios mou ek pisteös zēsetai
kai ean hyposteilētai, ouk eudokei hē psychē mou en autō.

<sup>37</sup>For yet a little while (and) the coming one will come and will not delay; <sup>38</sup>my righteous one because of fidelity will find life; and if one draws back, my soul delights not in him. (My translation)

First of all, the author of Hebrews has conflated the verses of Habakkuk with a phrase from Isa 26:20 (LXX: mikron hoson hoson), which is added to the adverb eti, "still," undoubtedly derived from the LXX of Hab 2:3 (the conjunction gar serves as the author's introduction of the quotation from Habakkuk). The phrase is impossible to translate; it merely intensifies "yet."

Second, the author of Hebrews adds the definite article to the participle *erchomenos*, which served in the LXX as the translation of the intensifying infinitive absolute of the Hebr.  $b^2 yb^2$ . In adding the article *ho*, the translator has personalized the participle and made it refer to Jesus himself (in this parousiac appearance). The steadfastness that he demands of the Christians to whom he addresses his hortatory homily is that demanded by the coming of Christ.

Third, the author of Hebrews has inverted the order of phrases in Hab 2:4, and the reason for it is not clear. There does not seem to be at present any justification for this order in the various MSS of the LXX; so it must be the work of the author of Hebrews.

Fourth, the author of Hebrews reads Hab 2:4b as in Mass A and C of the LXX: ho de dikaios mou ek pisteās zēsetai, "my righteous one because of fidelity will find life" (or possibly, "because of faith"). "My righteous one" in this context must mean the person who finds a righteous status in the sight of God. It may be queried whether one should translate pistis here in Hebrews as "fidelity" or as "faith." The former certainly suits the general context of the homily, but some other passages in Hebrews may demand a different understanding of the word. Certainly, the word in Heb 4:2 has to be understood as a reaction to a "word of hearing" (ho logos tēs akoēs) and the description of pistis in chap. 11 would also color the notion. In any case, pistis here in Hebrews should not be simply equated with Pauline "faith," even though Christian faith is meant.

Of Hab 2:3-4 used in Heb 10:37-38 G. Howard has said that the use

is "unlike either" the Hebrew text of Habakkuk or the LXX, but is under "LXX influence."<sup>19</sup> This is a correct assessment of the textual situation. He has also noted that it is not right "to characterize the quotations in Hebrews as always Septuagintal," since "a great many of them do not correspond exactly to any Septuagint, and some agree with a known Hebrew text, either whole or in part, against the Septuagint."<sup>20</sup> He has also asked whether the New Testament might not have influenced the Mss of the LXX. His query takes on significance in the light of the reading *ho de dikaios mou ek pisteös zēsetai* in Heb 10:38. Is it possible that this reading in Mss A and C of the LXX has been influenced by the text of Hebrews? After all, those LXX Mss are Christian copies and do not antedate the fourth/fifth century A.D.<sup>21</sup>

#### NOTES

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<sup>1</sup> See P. J. M. Southwell, "A Note on Habakkuk ii. 4," JTS 19 (1968) 614–17; A. S. van der Woude, "Der Gerechte wird durch seine Treue leben: Erwägungen zu Habakuk 2:4–5," Studia biblica et semitica Theodoro Christiano Vriezen . . . dedicata (Wageningen: Veenman & Zonen, 1966) 367–75.

<sup>2</sup> See D. Pardee, "Yph 'Witness' in Hebrew and Ugaritic," VT 28 (1978) 204–13 (and the literature cited by him). Cf. J. Obermann, "Survival of an Old Canaanite Participle and Its Impact on Biblical Exegesis," JBL 70 (1951) 204–6.

<sup>3</sup>Hebräisches und aramäisches Lexikon zum Alten Testament (3d ed.; Leiden: Brill), Lief. 2 (1974) 405. Cf. P. D. Miller, Jr., "Yāpîaḥ in Psalm xii 6," VT 29 (1979) 495-501.

<sup>4</sup>Proverbs: A New Approach (London/Philadelphia: Westminster, 1970) 229, 445.

<sup>5</sup> "Yph 'Witness,' " 210. At first sight, it might seem that the Hebrew syntax would be against such an interpretation. What we would have is a predicate + subject + prepositional phrase (dependent on the predicate). This construction, though not the most common, is found in the MT. See Exod 14:3 (*něbūkîm hēm bā'āreş*); Num 10:29 (*nōsē'îm 'ănaḥnû 'el hammāqôm . . .*); Deut. 7:17 (*rabbîm haggôyīm ha'ēlleh mimmennî*); see further Gen 4:13; 49:12; F. I. Andersen, The Hebrew Verbless Clause in the Pentateuch (SBLMS 14; Nashville: Abingdon, 1970) 66-67.

<sup>6</sup> The Dead Sea Scrolls of St. Mark's Monastery: Volume I, The Isaiah Manuscript and the Habakkuk Commentary (New Haven: A.S.O.R., 1950) pl. 1viii. See also F. M. Cross et al. (eds.), Scrolls from Qumran Cave I: The Great Isaiah Scroll, The Order of the Community, The Pesher to Habakkuk, from Photographs by John C. Trever (Jerusalem: Albright Institute of Archaeological Research and the Shrine of the Book, 1972) 156-57. <sup>7</sup> Cf. CD 9:23; 10:1.

<sup>8</sup> Note the following differences in the Qumran text from the MT of Hab 2:3-4 (apart from scriptio plena like  $bw^3$  or  $ky^3$ ): 'yd (if correctly read) for 'wd; ypyh (without initial waw); ywšrh (pual pf. 3d sg. fem.). The form 'wplh, apart from the scriptio plena, is as enigmatic here as in the MT. I follow the suggestion in BHS (1051, app. crit.) to read 'uppāl or 'appāl. The latter is more difficult for the consonants in 1QpHab, but seems to be a better form; a masc. substantive is needed (without the article) for the parallelism with saddîq. In line 16 I have followed the emendation of E. Lohse, Die Texte aus Qumran hebräisch und deutsch (Munich: Kösel, 1964) 236.

Another Hebrew text of Habakkuk is found in the scroll of the Minor Prophets from a Murabba'at cave (Mur 88); see P. Benoit, J. T. Milik, and R. de Vaux (eds.), *Les grottes de Murabba'ât* (DJD 2; Oxford: Clarendon, 1961) 199. But only *wyph* is preserved of the verses in which we are interested (col. xviii, line 19 + pl. 1xviii).

See further K. Elliger, Studien zum Habakuk-Kommentar vom Toten Meer (BHT 15; Tübingen: Mohr [Siebeck], 1953) 191–96. For further use of 'mwnh in QL, see 1QS 8:3; 1QM 13:3; 1QH 16:17; 17:14; 1QSb 5:26; 11QPs<sup>a</sup> 19:14.

<sup>9</sup> See J. Ziegler, Septuaginta: Vetus Testamentum graecum, NIII: Duodecim Prophetae (Göttingen: Vandenhoeck & Ruprecht, 1967) 264. See C. Tischendorf, Bibliorum codex sinaiticus petropolitanus (4 vols.; Hildesheim: G. Olms, 1969), 1. xvi\*.

<sup>10</sup> Die Texte aus Qumran, 236.

<sup>11</sup> It is scarcely likely that mou in MSS A, C is to be understood as a prepositive modifier of *ek pisteös*. Note too that a corrector of MS W deleted the mou; but he may well have been influenced by the Pauline use of the text. It is certainly not demanded by the Hebrew of the MT.

<sup>12</sup> See D. Barthélemy, Les devanciers d'Aquila: Première publication intégrale du texte des fragments du Dodécaprophéton trouvés dans le Désert de Juda, précédée d'une étude sur les traductions et recensions grecques de la Bible réalisées au premier siècle de notre ère sous l'influence du rabbinat palestinien (VTSup 10; Leiden: Brill, 1963) 175.

<sup>13</sup> Septuaginta, XIII: Duodecim Prophetae, 264–65. It is this text-tradition (Proto-Theodotion, Aquila, and especially Symmachus) that is related to the Latin Vulgate translation, *iustus autem in fide sua vivet*.

Eusebius (Dem. evang. 6.14 §276-77 [GCS 23. 268-69]) shows his dependence on Heb 10:37-38 when he quotes the Greek text of Habakkuk in 6.14,1 with the article ho before erchomenos. The erchomenos hexei of Hab 2:3 was understood in a personal sense by Eusebius because of Zech 6:12 (anatole onoma autou is used to explain the mysterious verb anatelei). He actually quotes Hebrews 10. Actually, he reads the verse in three ways: (a) ho de dikaios ek pisteos mou zesetai (16.4,3); (b) ho de dikaios ek pisteos zesetai (16.4,6-influenced by Paul's phrase?); (c) ho de dikaios mou ek pisteos zesetai (16.4,8).

<sup>14</sup> See F. M. Cross, "The History of the Biblical Text in the Light of Discoveries in the Judaean Desert," *Qumran and the History of the Biblical Text* (ed. F. M. Cross and S. Talmon; Cambridge, MA: Harvard University, 1975) 177–95, esp. p. 178.

<sup>15</sup> The original hand of MS C inserted mou after ho de dikaios in Rom 1:17, obviously making the passage conform to its own reading of Hab 2:4b.

<sup>16</sup> J. Carmignac ("Interprétation de prophètes et de psaumes," Les textes de

Qumran traduits et annotés [ed. J. Carmignac et P. Guilbert; 2 vols.; Paris: Letouzey et Ané, 1961, 1963], 2. 107) remarks: "Le contexte, qui parle de la pratique de la Loi, indique suffisamment qu'il s'agit de la fidelité aux directives du Docteur de Justice sur l'observation de cette Loi. Ce contexte interdit de projeter ici la notion chrétienne de la 'foi' (c'est-à-dire: adhésion de l'intelligence) et de comprendre: 'La foi aux paroles du Docteur de Justice', ou à plus forte raison: 'la foi à la personne du Docteur de Justice'." Carmignac is correct, but I should hesitate to say that for Paul pistis can be simply defined as an "adhésion de l'intelligence." J. A. Sanders ("Habakkuk in Qumran, Paul, and the Old Testament," JR 39 [1959] 232-44) has noted, however, that the interpretation in 1QpHab shares with Paul a notion of faith that is "centered in a person" and a belief which involves commitment and and [sic] perseverance in the face of adversity and suffering" (p. 233). Does it really?

<sup>17</sup> For an attempt to describe Pauline pistis, see my Pauline Theology: A Brief Shetch (Englewood Cliffs, NJ: Prentice-Hall, 1967) 67–65; cf. JBC, art. 79, §125– 27.

<sup>18</sup> See further A. Feuillet, "La citation d'Habacuc ii. 4 et les huit premiers chapitres de l'épître aux Romains," NTS 6 (1959-60) 52-80.

<sup>19</sup> "Hebrews and the Old Testament Quotations," NovT 10 (1968) 208-16, esp. p. 210.

<sup>20</sup> Ibid., 215.

<sup>21</sup> Note that, when Eusebius quotes Heb 10:37-38 (Dem. evang. 6.14, 3 [GCS, 23.268]), he reads ek pisteös mou. See n. 13 above.

See further J. C. McCullough, "The Old Testament Quotations in Hebrews," NTS 26 (1979-80) 363-79, esp. pp. 376-77; J. G. Janzen, "Habakkuk 2:2-4 in the Light of Recent Philological Advances," HTR 73 (1980) 53-78.

# Part III LUCAN TOPICS

Twelve

# JESUS IN THE EARLY CHURCH THROUGH THE EYES OF LUKE-ACTS\*

IN RECENT DECADES we have learnt to speak more precisely about the Marcan Jesus, the Matthean Jesus, or the Johannine Jesus. Now the topic of our concern is the Lucan Jesus: How has Luke presented Jesus of Nazareth in his two-volume work? It may be that this distinction of different kinds of Jesus has undermined the global view of him that earlier writers of so-called New Testament theology sought to construct; but it has enriched the individual portraits of him sketched by the various New Testament writers. It has taught us how diversely Jesus of Nazareth was comprehended in the heritage of the early Christian communities.

This enrichment is important for the faith of twentieth-century Christians. As Christians, people today acknowledge not only their faith in and allegiance to the Jesus of history, but also their indebtedness to the diverse portraits of him and interpretations of his ministry and work enshrined in the first records put in writing about him. Both must be kept in tandem: Jesus himself and the early testimony to him, diverse though it be. For Christian faith necessarily has a backward-looking aspect; we are Christians because we look back to him and to the tradition inherited about him from his earliest followers. Indeed, without that recorded tradition accounts for the different Gospels that we have inherited. It may be puzzling why in God's providence we have been given four different Gospel portraits, and not just one. How simple it would be to understand Jesus if we had, say, only the Matthean or the Johannine Gospel! How different would be our understanding of Jesus of Nazareth! And how impoverished it would be!

The diversity of the gospel tradition that we have inherited makes us reflect at the outset on the growth and development of that tradition. Today we realize that we must keep in mind two different aspects of such growth and development. First, the development of the gospel tradition out of the church's primitive kerygma.<sup>1</sup> Traces of this kerygma or early proclamation are still found in various New Testament passages (such as 1 Cor 15:3-5; Rom 1:3-4; 4:25; 10:9; and parts of the early speeches in Acts). The first development beyond the early kerygma was the passion narrative, the continuous account of what happened to Jesus during his last days, from at least his arrest onward (something like Mark 14:43 to 16:8 or possibly Mark 14:1 on). All four canonical Gospels (the Synoptic as well as the Johannine) manifest this development, despite their diversity of detail, with a remarkable similarity. In time, there was prefixed to the passion narrative the ministry narrative, the account that told of what Jesus did and said. It sought to explain in part why his earthly career ended as it did. This stage of the development is best seen in the Marcan Gospel (apart from its canonical appendix, 16:9-20). Eventually, two further developments emerged in the gospel tradition, and it is hard to say which came first. There was the resurrection narrative, the account of diverse appearances of the risen Christ to Cephas and other select followers, who were destined to become "witnesses of his resurrection" (Acts 1:22). There was also the infancy narrative (or other gospel beginning), which was prefixed to the ministry narrative; in the case of Matthew and Luke we have the well-known narratives of chapters 1 and 2; in the case of the Johannine Gospel, we have instead the quasi-poetic Prologue to the Logos. Moreover, we realize today that both the Matthean and the Lucan Gospels made use of the Marcan Gospel and supplemented that tradition with other material from a postulated Greek written source that we usually call "Q" and from other private sources (respectively called "M" and "L"). It is all a development of the gospel tradition that bears on the Lucan portrait of Jesus, as we shall see.

Second, we have also learned to view that gospel tradition from another important standpoint, according to which we must distinguish in it three stages:

(a) Stage I, representing A.D. 1-33, which has to do with what Jesus of Nazareth, the Jesus of history, did and said, i.e., with the actual words and deeds of his earthly ministry up until his crucifixion and death.

(b) Stage II, representing A.D. 33-65, which has to do with what the apostles and disciples of Jesus preached and taught about him to Jews and Gentiles after his death and resurrection. A.D. 65 is taken as the time of the composition of the earliest Gospel (Mark). Up to that time the disciples were concerned about spreading the word about him, his impact, and his message in various parts of the eastern Mediterranean world.

(c) Stage III, representing A.D. 65-95, which has to do with what the evangelists recorded in writing about Jesus the Christ, as they culled from the preaching and teaching of Stage II, synthesized it in literary forms, and explicated or explained it according to the needs of the Christian communities for which they wrote.<sup>2</sup>

When, then, we ask about Jesus in the early church as seen through the eyes of Luke-Acts, we are clearly asking about how an evangelist of Stage III understood him. Luke's portrait of Jesus is presented to us in a unique way. He is the only evangelist who has not only recounted Jesus' conception and birth, his preparation for a public ministry, his preaching of the kingdom of God in Galilee, Judea, and Jerusalem, his teaching of a new way of salvation, and his performance of mighty deeds on behalf of human beings beset with various sorts of sickness, but has also narrated the sequel to that Jesus-story. He has penned for us a continuation of that story in an extended, often idyllic, account of early Christian communities in the Acts of the Apostles. In Luke's writings, then, we are confronted with a two-volume account, composed during Stage III of the gospel tradition, and set in writing about A.D. 80-85. It is an account that builds on Stage II, as Luke's prologue makes clear: he has consulted "eyewitnesses and ministers of the word" (Luke 1:2), i.e., eyewitnesses who became ministers of the word. His Gospel presents us with a view of Stage I, but the Lucan account is neither stenographic nor cinematographic. (To maintain that Stage III presents such a report of Stage I would be to subscribe to the fundamentalist reading of the Gospels.) It is the Lucan literary interpretation of the life and ministry of Jesus.

With such preliminary remarks that have attempted to make clear my understanding of the growth and development of the gospel tradition and of the sense in which one must think about the Lucan portrait of Jesus, I pass on to the main part of this discussion. I shall describe how that portrait of Jesus is painted in Luke-Acts under four headings: (I) the Lucan kerygma; (II) the geographical and historical perspectives of the Lucan portrait of Jesus; (III) the Lucan emphasis in his use of christological titles; and (IV) the Lucan view of Jesus and the Spirit.

## I. The Lucan Kerygma

We may begin by admitting with Rudolf Bultmann that the primitive kerygma of the early church was the proclamation about Jesus Christ, crucified and risen, as God's eschatological act of salvation; or, as the challenging word in the salvific act of Christ — God's proclamation made in the crucifixion and resurrection of Jesus the Christ for our salvation.<sup>3</sup> In other words, in what Jesus Christ did and suffered God proclaimed to humanity a new mode of salvation, which brought to a climax all that he had done for the chosen people of Israel. That is what is meant by the "eschatological act of salvation." In that primitive kerygma Jesus himself was not only the preacher who announced that salvation, but also the one "who formerly had been the *bearer* of the message [but who] was [now] drawn into it and became its essential *content*. The proclaimer became the proclaimed."<sup>4</sup> Bultmann's formulation of the early kerygma is widely admitted today. Yet, given such an understanding of it, is it found in Luke-Acts?

Bultmann himself once claimed that Luke had "surrendered the original kerygmatic sense of the Jesus-tradition," because it had become for Luke "an entity of world history."<sup>5</sup> Luke rooted the kerygma in world history and subjected it to historicization and periodization; the result was that Luke deformed the kerygma. In this, too, Bultmann has had a wide following.

How accurate is this view of Luke's treatment of the kerygma? As we shall see, Luke is the only evangelist who roots the Jesus-event in history; but does that mean that he deformed the kerygma? As O. Betz has noted, Luke has not written the Antiquities of the Christians,<sup>6</sup> as a counterpart of the Antiquities of the Jews of the historian Josephus. To read Luke-Acts in such a way would be to miss its fundamental proclamatory and accosting thrust; it is clearly a work that has been composed in faith and seeks to stir up the response of faith in a reader like Theophilus, to whom Luke in his best Hellenistic literary style dedicates his two volumes. Luke's opus contains a proclamation of the Christevent, and even if it is no longer cast in terms of "gospel" or "power," as was the Pauline proclamation (Rom 1:16), it no less challenges the reader to respond with faith in the risen Christ and his significance for humanity. Luke has not spoiled or deformed the kerygma; he has simply played it in another key, historical though that may be. In the process he proclaims to Theophilus the basic Christian message and assures him (Luke 1:4, asphaleia) that what the church of his own day was preaching as the Christian message was indeed rooted in the teaching of Jesus himself. Luke makes the proclamation itself the guarantee and assurance. He has not composed his account in order to guarantee the kerygma, as E. Käsemann would have us believe.<sup>7</sup> If one has to ask what it is that guarantees the Lucan kerygma, it is the proclaimed Spirit, as his account time after time shows.

I shall restrict my further comments on the Lucan kerygma to its content sense, even though one could also discuss kerygma as an act of proclamation in the Lucan writings.<sup>8</sup> In the content sense, the kerygma includes what Luke depicts Jesus preaching, what the disciples preached, and what Luke himself proclaims. In each of these details we see that Luke has not only preserved the primitive kerygma, but has also given it a distinctive Lucan nuance. First, he presents Jesus proclaiming himself as the fulfillment of Isa 61:1-2, and in such a radical way that his own townspeople of Nazareth cannot accept it (4:16-30). Second, he presents Jesus proclaiming the kingdom of God; it is the task for which he has been sent (4:34). Third, in the Lucan Gospel Jesus himself is the kingdom preacher *par excellence*. Though in Matt 3:2 John the Baptist is portrayed preaching the kingdom in the *same words* as Jesus would later proclaim it (4:17), in the Lucan Gospel Jesus is the sole kingdom preacher. His proclaim of it is an event: the Lucan Jesus does not deliver a professorial lecture on the nature of God's kingship.<sup>9</sup> Rather, Luke's

emphasis on such preaching is found in the announcement of this kingdom as present in Jesus' own person and activity: it is "among you" (17:21), i.e., something within your grasp and reach, something you can possess. The force with which the Lucan Jesus makes this proclamation is no less radical than in the Marcan Gospel, from which the evangelist indeed inherits the theme of Jesus' kingdom preaching (see Luke 8:19; 9:27; 18:16) as well as from "Q" (see Luke 6:20; 7:28; 10:9).

Equally important is the way Luke depicts Jesus' disciples proclaiming the kerygma, especially after his death and resurrection; now it becomes a proclamation about Jesus himself: the proclaimer has become the proclaimed one. First, during Jesus' own ministry, the disciples are sent out by him to announce the kingdom (Luke 9:2; 10:9). This is continued in Acts 8:12; 14:22; 19:8; 20:25. Their proclamation, however, is often made in Acts in terms of "the word" or "the word of God," which is a Lucan recasting of the fundamental message derived from Jesus (Acts 4:4, 29, 31; 6:2, 4; 8:4, 14, 25; 10:36, 37, 44; 20:32). Second, the disciples also announce the Christ-event itself as a manifestation of that kingdom: Jesus as the crucified, risen, exalted Messiah and Lord. Thus Peter proclaims on Pentecost, "This Jesus God has raised up; . . . Let all the house of Israel know for sure, then, that God has made him both Lord and Messiah, this Jesus whom you crucified" (Acts 2:32, 36). Later on, as he appears before the Sanhedrin and the high priest, Peter declares, "The God of our fathers raised up Jesus whom you killed by hanging him on a tree; God exalted him at his right hand as Leader and Savior, to give Israel repentance and forgiveness of sins" (Acts 5:30-31). Still later, Paul similarly proclaims to the Jews in the Thessalonian synagogue that same truth, explaining from the Scriptures and proving that it was necessary for the Messiah to suffer and rise from the dead: "This Jesus, whom I proclaim to you, is the Messiah" (Acts 17:2-3).

Third, Luke's own formulation of the content of the kerygma comes through most clearly in Acts, especially in Peter's preaching in Jerusalem: "Let it be known to you and to all the people of Israel that in the name of Jesus Christ of Nazareth, whom you crucified and whom God has raised from the dead, through him this man stands before you sound and well. . . . There is salvation in no one else, for there is no other name under heaven given to human beings by which we must be saved" (Acts 4:10, 12). So Peter preaches before the elders and scribes in Jerusalem, after the cure of the lame man at the Gate of the Temple called Beautiful. In this case the formulation is clearly Lucan, but it is not for that reason any less kerygmatic. Indeed, Luke uses the word *onoma*, "name," in the Old Testament sense of "person" (cf. Joel 3:5 [2:32E], quoted in Acts 2:21). He means: "there is no other person under heaven. . . ." Thus one may query, Does a Lucan formulation of the kerygma in the content sense differ really from the Pauline, even if one were to admit that it is less eschatologically nuanced? Paul speaks of the scandal of the cross (1 Cor 1:23); but Luke differs little from him when he puts on the lips of Simeon the declaration that the child the old man holds in his arms is "marked for the fall and rise of many in Israel, a symbol that men will reject" (Luke 2:34).

Finally, we must at least mention some aspects of Luke's soteriology as part of the content-sense of the proclamation that he makes in Luke-Acts. There is not space to develop these aspects, but one would have to include them in a fuller discussion of the Lucan view of the effects of the Christ-event: What has Christ done for humanity in the Lucan view. He sums up the effects of the Christ-event under four headings: (1) Salvation: Jesus has saved, rescued, or delivered humanity from evil (from physical evil, political evil, cataclysmic evil, and moral evil [sin]); (2) Forgiveness of Sins: Jesus has brought about pardon of our offences before God; (3) Peace: Jesus has put us at ease with God and showered us with bounty from God; (4) Life: Jesus has become the Leader and Author of Life (meaning eternal life).<sup>10</sup>

So much for remarks on the Lucan kerygma. I now come to the second point in this discussion.

## II. The Geographical and Historical Perspectives of the Lucan Portrait of Jesus

It may seem strange that I should use two such adjectives as "geographical" and "historical" of the Lucan portrait of Jesus, but they are both highly important aspects of the literary and theological portrait that Luke sketches.

The geographical perspective is seen in various ways. In his Gospel Luke has followed the basic order of the Marcan Gospel, with its one journey of Jesus to Jerusalem, in contrast to the Johannine Gospel, with three journeys. Yet Luke gives greater emphasis to that one journey by his artificially expanded travel account (9:51 to 19:27), the central portion of the Third Gospel. H. Conzelmann and W. C. Robinson, Jr. have, in particular, devoted much attention to this geographical aspect of the Third Gospel.<sup>11</sup>

The overarching geographical perspective in Luke-Acts is seen in the author's preoccupation with Jerusalem as the goal of Jesus' movements and as the pivot-city whence the new message of salvation will go forth. Throughout the Gospel Luke is concerned to depict Jesus moving without distraction towards the city of David's throne; it is his goal (Luke 13:33), and there he will bring about his *exodos* (9:31), his transit to the Father through suffering, death, and resurrection. From Jerusalem "the word of God" will likewise go forth in Acts and spread "to the end of the earth" (Acts 1:8). Thus Jerusalem is not merely the place where Jesus dies and is raised to glory, but is also the place where salvation itself is thus accomplished for humanity and whence preordained and trained witnesses carry forth the kerygma.

The Lucan Gospel begins and ends in Jerusalem: It begins with the service of Zechariah in its Temple (Luke 1:9) and ends with the Eleven and others praising God there (24:53). The infancy narrative strikes the chord of this important Lucan motif, as the child Jesus is taken there twice by his parents (in 2:22, for the presentation in the Temple; and in 2:42, for the feast of the Passover, when he as a twelve-year old stays behind in his Father's house). Thus the infancy narrative itself ends with an episode involving Jerusalem, as does the Gospel itself. In the preparatory episodes of the ministry narrative, the same motif accounts for the ordering of the temptation scenes, where the sequence in the Lucan Gospel is desert — view of world kingdoms — pinnacle of the Temple, in contrast to the Matthean sequence of desert — pinnacle — high mountain.<sup>12</sup>

In the ministry narrative Jesus is active in Galilee, Samaria, and Judea or Jerusalem, as in the Marcan Gospel, but one detects the Lucan concern to move Jesus from Galilee to Jerusalem without distraction. To it the Son of Man makes his way, "as it has been determined" (Luke 22:22). This geographical perspective accounts for the Big Omission in the Third Gospel, the dropping of what corresponds to Mark 6:45-8:26, where Jesus leaves Galilee for Bethsaida, Tyre, Sidon, and the Decapolis.<sup>13</sup> All of this is passed over in the Lucan Gospel, where one reads instead about Jesus, realizing that "the days were drawing near when he was to be taken up (to heaven)," "sets his face resolutely towards Jerusalem" (9:51). Only in this Gospel among the Synoptics is such a notice found. Later on, not even the threat from Herod, "that fox," can deter Jesus from moving on: "Today, tomorrow, and the next day I must keep on my way, because it is impossible that a prophet will die outside of Jerusalem" (13:33). In the transfiguration scene Luke depicts Jesus with Moses and Elijah, as does Mark (9:4), but only Luke adds that they "were conversing with him" and "speaking about his departure, the one that he was to complete in Jerusalem" (9:31). For it was in Jerusalem that the Lucan Jesus was destined to accomplish his transit to the Father through suffering, death, resurrection, and ascension.

All of this geographical perspective is skillfully orchestrated by Luke in the use of the word *hodos*, "way," and its various compounds, *eisodos*, "entrance" (Acts 13:23); *exodos*, "departure" (Luke 9:31), and of the word *dromos*, "course" (Acts 13:25). Indeed, to this idea of Jesus making his *way* to his destiny is related an important aspect of Lucan discipleship, for the disciple must be one who follows Jesus on his way.

This geographical perspective is also linked to the Lucan historical perspective. By this I do not mean a concern for historicity or any emphasis on Luke's role as a historian (see the prologue, Luke 1:3-4). It is rather this evangelist's concern to anchor the Jesus-story and its sequel about the church in time or in human history. In contrast with the Pauline or the Marcan kerygma, where the emphasis falls on proclamation and the challenge it presents, the kerygmatic message in the Lucan writings is rather proclaimed in a narrative that is rooted in history.<sup>14</sup> This historical concern is seen in various ways.

First, in Acts 26:26 Luke depicts Paul speaking before King Agrippa and rehearsing details of his own conversion and of the Christ-event and saying, "None of these things has escaped the king's notice, for this was not done in a corner." By contrast, all these things might well have come to pass in a corner, if we had to depend on information from Paul, Mark, Matthew, or John about when they happened. Apart from the mention of Pontius Pilate, none of them, save Matthew (in one isolated reference: 2:1, about Jesus' birth in the days of Herod), ever supplies a date for the Jesus-story. Luke, however, goes out of his way to do so.

Second, Luke relates the Christ-event to human history in three ways: (a) To Roman history: He connects the birth of Jesus with a decree of Caesar Augustus and with the census of Quirinius (2:1-2), thus depicting Jesus as one born in the well-known period of Pax Augusta, "the Augustan Peace." Later on, he relates the beginning of the ministry of John the Baptist, and indirectly of Jesus himself, to the fifteenth year of the Emperor Tiberius (probably August/September A.D. 28) and to the prefecture of Pontius Pilate (A.D. 26-36). Luke makes further allusion to a famine in the days of Emperor Claudius (A.D. 41-54) in Acts 11:28. Finally, he depicts Paul haled before the proconsul Gallio in Achaia, which is now certainly dated to the summer of A.D. 52. (b) To Palestinian history: Luke links the birth of Jesus to the "days of Herod" the Great (37-4 B.C.) in 1:5, and his ministry to the high priesthood of Annas and Caiaphas, as well as to the reigns of Herod Antipas, tetrarch of Galilee, of Philip, tetrarch of Iturea and Trachonitis, and of Lysanias, tetrarch of Abilene (Luke 3:1). Though this sixfold synchronism raises some problems in detail, they need not concern us now.<sup>15</sup> It is these references to Roman and Palestinian rule, the historical anchoring of the Jesus-story, that Bultmann and others think have spoiled the kerygma. (c) To church history: Luke is the only evangelist who joins to his account of Jesus' ministry a sequel, an account of the beginnings of the early church. As one watches in Acts the spread of the word of God from Jerusalem, to Judea, to Samaria, to parts of the eastern Mediterranean world, and even to "the end of the earth," i.e., Rome (in the Lucan story),<sup>16</sup> one cannot fail to see how the Jesus-movement is thus related to church history as well as to Roman and Palestinian history.

Luke alone has in this way sought to relate his account of the Jesus-story to known human history, but this is not all there is to his historical perspective, because for him it is all part of *sacred* history. If Luke has sought to depict Jesus of Nazareth as an important figure in human history, he has done so in order to make clear how God himself has intervened in that history to give it a new direction and to relate the manifestation of his kingship to humanity in a new way. Luke speaks of it as "God's design" (*hē boulē tou theou*, Luke 7:30) or as "God's will" (thelēma, 22:42), for "the Son of Man goes his way, as it has been determined" (Luke 22:22; cf. Acts 10:42).

This is Lucan salvation history, and it is seen to have three phases: (a) the *Period of Israel* (from creation to John the Baptist); (b) the Period of Jesus (from John the Baptist to the ascension); and (c) the Period of the Church under Stress (from the ascension to the parousia).

This threefold division of salvation history, first proposed by H. von Baer and later worked out in greater detail by H. Conzelmann,17 is widely accepted today. Conzelmann makes much of Luke 16:16, "Up until John it was the law and the prophets, from that time on the kingdom of God is being preached, and everyone is pressed to enter it." Despite proposals by others (e.g., W. G. Kümmel, H. H. Oliver, S. G. Wilson, and C. H. Talbert)<sup>18</sup> who have preferred to speak of a two-phased Lucan salvation history, governed by promise and fulfillment, I insist on the threefold distinction that Conzelmann has used, even though I make some slight modification of it.<sup>19</sup> Promise and fulfillment are not distinctive enough, since one finds this in the Matthean and Johannine Gospels as well, whereas only the Lucan story has the sequel about the post-ascension phase, which cannot be simply categorized as part of the fulfillment. Luke recounts the ascension of Jesus twice (once in Luke 24:50-51, and again in Acts 1:9-11), and in the context of the ascension depicts the disciples asking the risen Christ whether "at this time" he is going to restore the kingdom to Israel (Acts 1:6), clearly showing that "this time" is different from that which preceded in the Period of Jesus himself, when salvation was wrought, die Mitte der Zeit, to use Conzelmann's phrase for it.

What I have sketched in this part of my discussion is the exclusive emphasis on the way that this evangelist has presented the ministry of Jesus and its sequel. One will find nothing like it in any of the other Gospels. It orients the ministry of Jesus in a peculiar way to Jerusalem; it ties down the Jesus-story in time and place. Thus it plays the basic kerygma of the early church in a different key.

With this I shall pass on to my third point.

## III. The Lucan Emphasis in His Use of Christological Titles

One of the important elements in New Testament Christology is the use of titles for Jesus. From them one learns quickly how the early church came to regard him. Some of these titles emerged during the earthly ministry of Jesus itself. Indeed, one or other may have been used by him of himself; but others emerged only in the post-resurrection period. In the latter period, they may have served either a kerygmatic or a confessional function, i.e., they may have been part of the kerygmatic proclamation in Palestine or elsewhere, or they may have been used in confessional creeds and the cultic liturgy. Some of them arose as a result of a fuller awareness of who Jesus was that developed with post-resurrection faith and the corporate gift of the Spirit to his followers.

In studying such christological titles one has to ask oneself about three aspects of them: (1) What was the origin, background, or matrix of the title? Was it an Old Testament title, a Palestinian Jewish title, or a Hellenistic title? (2) What did the title mean? (3) Where or in what context was it first predicated of Jesus? To what phase of his existence was it first applied?

The answers to these questions are not easy, and the last one is especially important, because we realize today that New Testament writers have sometimes retrojected christological titles, i.e., they have often pushed them back into earlier phases of Jesus' existence from the post-resurrection phase where they first came to be used of him. In the limited space available I cannot undertake such a threefold discussion of every title used of Jesus in the Lucan writings. Instead, I shall concentrate on a few of the titles to which Luke has given a peculiar emphasis or nuance, but I shall list the Lucan titles, to give an overview of the diversity of Jesus' titles that this New Testament writer has employed. They are: (1) Christos, "Christ, Messiah," (2) Kyrios, "Lord," (3) Soter, "Savior," (4) Huios or Huios tou Theou, "Son" or "Son of God," (5) Ho huios tou anthropou, "Son of Man," (6) Doulos, "Servant," (7) Prophetes, "Prophet," (8) Basileus, "King," (9) Huios David, "Son of David," (10) Archēgos or Archēgos tēs zöēs, "Leader," "Pioneer," or "Pioneer of Life," (11) Ho Hagios, "Holy One," (12) Ho Dikaios, "Righteous One," (13) Ho Eklektos, "Chosen One," (14) Kritēs, "Judge," (15) Didaskalos or Epistatēs, "Teacher" or "Master," and (16) possibly Theos, "God."20

From such a lineup of christological titles in the Lucan writings one realizes that most of them are traditional, being used already in the Marcan Gospel, in "Q," or in earlier New Testament writings. In some instances Luke uses traditional titles in the same sense as other evangelists, especially those who would have written after Mark or in dependence on him. In other instances, he has introduced his own nuances, and on these I now prefer to concentrate.

The more or less exclusively Lucan title for Jesus is  $s\bar{o}t\bar{e}r$ , "Savior." Although John uses it once (4:42), it is found in the Synoptics only in Luke. In the message given by the angels to the shepherds of Bethlehem, Jesus is identified as "Savior, Messiah, and Lord" (Luke 2:11). It occurs again in Acts 5:31; 13:23, 34 and is closely related to the primary abstract way in which Luke refers to effects of the Christ-event, viz. "salvation."<sup>21</sup> Although  $s\bar{o}t\bar{e}r$  was used in the contemporary Greco-Roman world for a god, philosopher, statesman, or king, it also has an Old Testament background. There "Savior" is used of individuals whom God has raised up for the deliverance of his people (Judg 3:9, 15) and also of God himself (1 Sam 10:19; Isa 45:15, 21), where he is called in Hebrew  $m\delta \tilde{s}t\tilde{a}$  and in Greek  $S\bar{o}t\bar{e}r$ . Hence, in this instance the background of the christological title may be disputed, whether it is Jewish or Hellenistic in origin. As applied to Jesus, it designates him as the deliverer, the one who rescues human beings from evil, be it physical, psychic, political, cataclysmic, or moral evil (sin).

A more important Lucan title for Jesus, however, is Christos, and one may debate how one should translate it, whether as "Christ" or as "Messiah," which it means. Only Luke tells us that because of this title Jesus' followers came to be known as "Christians" (Acts 11:26; cf. 26:28). He uses Christos as a title about 24 times, but it has also become for him a sort of second name, Jesus Christ, having lost its titular sense (especially in Acts 2:38; 3:6; 4:10, etc.). As a title, it is derived from Palestinian Judaism, where it was often used to designate an "anointed agent" of Yahweh for the service, protection, and deliverance of Israel. Within the last two centuries before the time of Jesus it came to connote further an expected anointed agent, to be sent by God either in the Davidic (kingly or political) tradition for the restoration of Israel and the triumph of God's power, or in the priestly tradition. From the Dead Sea Scrolls we know how both a Messiah of Israel and a Messiah of Aaron were expected (1QS 9:11). This title was adopted by Christians and used of Jesus, in reality, only after his death. I follow N. A. Dahl in thinking that Pilate's inscription on the cross, which attributed to Jesus a kingly status, was the catalyst for the Christian use of it by Jesus' followers. If he were "the king of the Jews" (Mark 15:26), then he easily became for his followers God's anointed kingly agent of that salvation being wrought in a new sense by his death on that cross.<sup>22</sup> The title then became kerygmatic; it formed part of the early Christian proclamation, as 1 Cor 15:3 reveals: "Christ died for our sins in accordance with the Scriptures." And Peter proclaims in his pentecostal sermon, "This Jesus whom you crucified, God has made Lord and Messiah" (Acts 2:36).

Luke, however, has given a distinctive nuance to this title: the idea that Jesus was a suffering Messiah. "Was not the Messiah bound to suffer all this before entering into his glory?" So the risen Christ queries the disciples on the road to Emmaus in the Lucan Gospel (24:26). Or again, "This is what stands written: the Messiah shall suffer and rise from the dead . . ." (24:46; cf. Acts 3:18; 17:3; 26:23). The idea of a suffering Messiah is found nowhere in the Old Testament or in any Jewish literature prior to or contemporaneous with the New Testament. This has to be maintained, despite what Luke himself attributes to "Moses," "all the prophets," and "all the Scriptures" in his Gospel (24:27, 46). Nor does any other New Testament writer ever speak of Jesus as a suffering Messiah. This is an exclusively Lucan theologoumenon. Luke may well have developed it from Mark 8:29-31, where after Peter's confession at Caesarea Philippi Jesus begins to teach the disciples about "the Son of Man who must suffer, be rejected, and killed by his people's leaders." Needless to say, one should not confuse the Suffering Servant of Isa 52:13-53:12 with a suffering Messiah. The Suffering Servant is eventually called "the Messiah" in the Jewish tradition, but that cannot be traced back earlier than the fourth century A.D.<sup>23</sup>

N. . .

The most frequently used title for Jesus in Luke-Acts is Kyrios, "Lord." The absolute, unmodified use of this title, Kyrios or ho Kyrios, has often been attributed to the efforts of Christian missionaries carrying the Christian message into the eastern Mediterranean Greek world. When such emissary disciples preached there, they would have recognized, "Though there are many 'lords,' yet for us there is . . . one Lord, Jesus Christ" (1 Cor 8:5-6). Indeed, R. Bultmann maintained that it was "unthinkable" that a Palestinian Jew would have used "the Lord" of Yahweh.<sup>24</sup> So it could not have emerged as a title for Jesus on Palestinian soil. In this Bultmann has been followed by many NT interpreters, but it is now clear that Yahweh was indeed referred to as "Lord," not only in Aramaic as mārêh, or in Hebrew as 'ādôn, but even in Greek as Kyrios (by Greek-speaking Jews).<sup>25</sup> The upshot of this is that a Palestinian matrix is not impossible for the transfer of this absolute title used among Jews for Yahweh to the risen Christ himself among Semitic- and Greek-speaking Jewish Christians. Indeed, the slogan-like statement, "Jesus is Lord" (1 Cor 12:3; Rom 10:9), which can easily be retroverted into contemporary Aramaic or Hebrew, could well have been part of the kerygmatic proclamation in Palestine, as well as in the eastern Mediterranean Greco-Roman world. To call the risen Christ "Lord" or "the Lord" was thus to use of him a title that had been used of Yahweh; it gave to Christ a status equal to Yahweh. It does not mean that Christ was identified with Yahweh, for he is never said to be abba; but it did put him on a par with Yahweh, and his lordship was something that all Christians came to acknowledge.

In using Kyrios of Christ, Luke has also given it a nuance, for in his writings it is no longer reserved for the risen Christ. Luke never predicates it of Christ at his parousia, and Peter's speech on Pentecost clearly relates it to the resurrection: "This Jesus God has raised up . . . ; this Jesus whom you crucified God has made Lord and Messiah" (Acts 2:32, 36). All of this would be conventional enough, but Luke has further retrojected this title not only into the ministry narrative, but even into the infancy narrative. Whereas Kyrios is employed only once during Jesus' ministry in the Marcan Gospel (11:3), Luke frequently introduces the title into his account of the ministry: "and the Lord said" (e.g., Luke 12:42a; 17:5, 6; 19:8). In all this title occurs about 20 times, and Luke is simply using for Jesus the title that had become current in his own day, as the narrative in Acts clearly shows (1:21; 4:33; 5:14; 8:16, etc.). But he even retrojects it into the infancy narrative, where the child just born is announced to shepherds of Bethlehem as "Savior, Messiah, and Lord" (Luke 2:11). Here we see how a title, born of resurrection faith, has been made applicable to the ministry and even to the time of Jesus' birth, to the Period of Israel itself.

I terminate my discussion of the distinctive Lucan emphasis given to traditional New Testament titles of Jesus in order to give some brief treatment of yet another important aspect of Lucan Christology.

## IV. The Lucan View of Jesus and the Spirit

No account of Lucan Christology would be complete without some discussion of Jesus and the Holy Spirit. This is, in fact, only one aspect of the Lucan treatment of the Spirit, for a full treatment would have to deal with the relation of the Spirit to the Father and his salvific plan, to Jesus the Christ, and to the emergent Christian church.

References to the Spirit in the Lucan writings are far in excess of anything in Mark, Matthew, or John. Whereas Mark mentions the Spirit six times, and Matthew 12 times, Luke refers to the Spirit 17/18 times in his Gospel and 57 times in Acts. It has been claimed that the Spirit is "the connecting thread" that runs through the two works; this may be true, but it needs to be nuanced a bit differently. For of the 17/18 times that the Spirit appears in the Lucan Gospel, seven of them occur in the infancy narrative, six in the chapters that inaugurate the public ministry (chaps. 3-4), and four in the opening chapters of the travel account (chaps. 10-12). It is not easy to say why the Spirit does not appear towards the end of the travel account, or in the Jerusalem ministry of Jesus, or in the passion narrative, or even in the resurrection narrative, save for the vague, unidentified "promise of my Father" in Luke 24:49 (for the identification of which one has to wait until Acts 1:4). Similarly, the Spirit appears often in Acts 1-16, but from chapter 17 on only 12 times in all. From all of this it appears that the Spirit is considered by Luke to be, above all, a divine inaugurating influence not only in the career of Jesus, but also in the life of the early church.

In most instances, the Spirit appears in the Lucan writings as it does in the Old Testament: a force expressive of God's presence to his people or his world in an active, creative, prophetic, or renovative way. Occasionally, Luke attributes to it personal activity (Luke 2:26; 4:1; Acts 16:7).

Conzelmann maintained that the Spirit in Luke-Acts was no longer regarded as God's gift in the *eschaton* but had rather become a substitute for the delayed parousia.<sup>26</sup> But other writers (e.g., W. B. Tatum et al.)<sup>27</sup> have rightly emphasized the work of the Spirit in the Period of Israel, especially its influence in the Lucan infancy narrative. Indeed, one has to insist that it is the same Spirit that is promised for the *eschaton* ("the promise of my Father"), which is active in the Lucan infancy narrative and under whose influence the Lucan Jesus inaugurates his ministry. "The promise of my Father" (Luke 24:49) clearly relates the Spirit to the Father and his salvific plan, but, as Peter proclaims on Pentecost, it is Jesus, "having been exalted to the right hand of God," who has "received from the Father the promised Holy Spirit and poured it out" (Acts 2:33).

The Period of Jesus begins with the preaching of John the Baptist, as he announces the imminent arrival of One-Who-Is-to-Come; he will baptize with the Holy Spirit (3:16), and his Spirit baptism will transcend John's own water baptism. Luke retains from his Marcan source the baptism of Jesus. In Mark this scene is of supreme importance in that through it the evangelist first identifies Jesus' relation to heaven. In the Lucan Gospel, however, especially in its present form with the prefixed infancy narrative, in which Jesus is already identified not only as "Savior, Lord, and Messiah" (2:11), but even as "the Son of God" (1:35), that function of the baptismal scene is no longer so important. Yet Luke retains it because it reveals the beginning of the ministry of Jesus under the influence of the Spirit. Nothing in that episode determines Jesus' relationship to heaven as "messianic," despite what is often said about it. Yet in Acts 10:38, Luke interprets that baptism as an *anointing* of Jesus with the Holy Spirit. Thus he goes beyond the details of the baptism scene itself and stresses the inaugurating role of the Spirit in Jesus' ministry.

Finally, the Spirit poured out on Pentecost performs another inaugural function. Now it is no longer with reference to Jesus himself, but with the new phase of salvation history, the Period of the Church under Stress. The role of the Spirit as initiator was important for the inception of both Jesus' life and his ministry; and now it has become the initiator of the common testimony of his followers. The Spirit becomes the dynamo and the guiding force of Christian disciples. Indeed, through the Spirit Jesus will henceforth be present to his followers, no longer in the visible way of appearances of the risen Christ, but they will know him "in the breaking of the bread" (24:35) and in the "promise of my Father" (24:49).

These remarks terminate my discussion of Lucan Christology. Luke has painted a portrait of Jesus of Nazareth that depicts him as a fully human being, "a man (andra) attested to you by God with mighty deeds, wonders, and signs that God did through him in your midst" (Acts 2:22), but also with characteristics that transcend such a human condition: virginally conceived through the power of the Holy Spirit, carrying out a ministry among human beings that was further guided by the same Spirit, a ministry in which he manifested an absolute dedication to his heavenly Father (Luke 2:49; 13:33; 22:42). He completed that ministry by suffering and dying at the hands of those who rejected him and handed him over to the Roman authorities, and he was raised to glory by the Father's power. Exalted at the right hand of God, he poured forth the Spirit; he thus became the bearer of God's Spirit to humanity, the dynamo of its spiritual life. So Jesus appears in the writings of Luke, which form a quarter of the New Testament itself.

#### NOTES

<sup>\*</sup> Originally published in *Scripture Bulletin* 17 (1987) 26-35; an abbreviated form of the essay can also be found as "Luke's Portrait of Jesus: The Bearer of God's Spirit," *Church* 6/1 (1990) 24-28.

1. See C. H. Dodd, The Apostolic Preaching and Its Developments (London: Hodder and Stoughton, 1936).

2. These three stages were born of the form-critical study of the Gospels in the early part of this century. For further explanation of them, see the Instruction of the Biblical Commission, "On the Historical Truth of the Gospels" of 1964. A translation of the Instruction and a commentary on it can be found in my booklet, A Christological Catechism: New Testament Answers: New Revised and Expanded Edition (New York/Mahwah, NJ: Paulist, 1991) 119-62.

3. The Theology of the New Testament (2 vols.; London: SCM, 1952-55), 1.33.

4. Ibid.; Bultmann's italics.

5. Ibid., 2.116-17.

6. "The Kerygma of Luke," Int 22 (1968) 131-46.

7. "Probleme der neutestamentlichen Arbeit in Deutschland," Die Freiheit des Evangeliums und die Ordnung der Gesellschaft (BEvT 15; Munich: Kaiser, 1952) 133-52.

8. For the active sense of kērygma (as an act of proclamation), see my commentary, *The Gospel according to Luke* (AB 28, 28A; Garden City, NY: Doubleday, 1981-85) 149-53.

9. See J. Friedrich, TDNT, 3.710.

10. See further Luke (n. 8 above), 221-27.

11. See H. Conzelmann, The Theology of St Luke (New York: Harper & Bros., 1960) 18-94; cf. W. C. Robinson, Jr., Der Weg des Herrn: Studien zur Geschichte und Eschatologie im Lukas-Evangelium: Ein Gespräch mit Hans Conzelmann (TF 36; Hamburg-Bergstedt: Reich, 1964).

12. See Luke (n. 8 above), 506-13.

13. Even when Jesus is depicted as going to the region of the Gerasenes, Luke takes pains to relate that territory to Galilee: "in the region of the Gerasenes opposite Galilee" (Luke 8:26).

14. See N. Perrin, Rediscovering the Teaching of Jesus (New York: Harper & Row, 1967) 15.

15. See Luke (n. 8 above), 455-58.

16. See my forthcoming commentary, The Acts of the Apostles: A New Translation with Introduction and Commentary (AB 31; New York: Doubleday, 1998).

17. Theology (n. 11 above), 12-17.

18. See W. G. Kümmel, Promise and Fulfilment: The Eschatological Message of Jesus (SBT 23; Naperville, IL: Allenson, 1957); "Current Theological Accusations against Luke," Andover Newton Quarterly 16 (1975) 131-45, esp. 138; H. H. Oliver, "The Lucan Birth Stories and the Purpose of Luke-Acts," NTS 10 (1963-64) 202-26, esp. 216-19; S. G. Wilson, "Lukan Eschatology," *ibid.*, 16 (1969-70) 330-47.

19. See Luke (n. 8 above), 185-87.

20. For the study of such titles, see V. Taylor, *The Names of Jesus* (London: Macmillan, 1953); W. Kramer, *Christ, Lord, Son of God* (SBT 50; London: SCM, 1966); O. Cullmann, *The Christology of the New Testament* (Philadelphia: Westminster, 1959; 2d ed., 1963); F. Hahn, *The Titles of Jesus in Christology: Their History in Early Christianity* (London: Lutterworth, 1969). Cf. Luke (n. 8 above), 197-219.

21. Ibid., 222-23.

22. The Crucified Messiah and Other Essays (Minneapolis: Augsburg, 1974) 23-28.

23. See Targum Jonathan of the Prophets, Isa 52:13 (A. Sperber, The Bible in

Aramaic [4 vols.; Leiden: Brill, 1959-73], 3.107). Also G. H. Dalman, Der leidende und sterbende Messias der Synagoge im ersten nachchristlichen Jahrtausend (Berlin: Reuther, 1888).

Cf. S. H. Levey, The Messiah: An Aramaic Interpretation: The Messianic Exegesis of the Targum (Cincinnati: Hebrew Union College, 1974) 63-67; B. Chilton, A Galilean Rabbi and His Bible; Jesus' Own Interpretation of Isaiah (London: SPCK, 1984) 199-200. The last two works should be read with discernment.

24. Theology (n. 3 above), 1.51. Cf. H. Conzelmann, An Outline of the Theology of the New Testament (New York/Evanston: Harper & Row, 1968) 82-84; W. Kramer, Christ (n. 20 above), 70-71.

25. See my articles, "The Semitic Background of the New Testament Kyrios-Title," WA, 115-42; "New Testament Kyrios and Maranatha and Their Aramaic Background," TAG, 218-35. Cf. G. Howard, "The Tetragram and the New Testament," JBL 96 (1977) 63-83.

26. Theology (n. 11 above), 95, 136.

27. See W. B. Tatum, "The Epoch of Israel: Luke i-ii and the Theological Plan of Luke-Acts," NTS 13 (1966-67) 184-95; G. W. H. Lampe, "The Holy Spirit in the Writings of St Luke," in *Studies in the Gospels: Essays in Memory of R. H. Lightfoot* (ed. D. E. Nineham; Oxford: Blackwell, 1957) 159-200.

## Thirteen

# THE ASCENSION OF CHRIST AND PENTECOST\*

DID LUKE INVENT the ascension? Why is the Christian feast of Pentecost referred to only by Luke in the New Testament?1 How are these two early Christian events recounted by him to be understood in relation to the resurrection of Christ? These modern questions are occasioned by the annual liturgical celebration of the Ascension between two feasts inherited by the Christian community from the Palestinian Jewish calendar and "baptized": Passover celebrated as paschal Easter and the Feast of Weeks celebrated as Pentecost. The article of the Creed of Nicaea and Constantinople recited by Christians every Sunday includes the affirmation "He ascended into heaven," but only rarely does one reflect on the implications of such an affirmation. Furthermore, the way in which modern Christians think about the ascension of Christ and about the Pentecost experience often gives rise to problems in the understanding of the New Testament texts that treat of these two early Christian events. Hence it may be worthwhile to try once again to sort out what the New Testament itself has to say about the ascension of Christ and about Pentecost to deepen our theological understanding of them, for the two events are not only intimately connected but are also related to the resurrection, the heart of Christian faith.

## I. The Ascension of Christ

Data about Christ's ascension are found only in certain New Testament writings. In fact, in the majority of the books there is not a line about it: nothing in Matthew, Mark,<sup>2</sup> most of the Pauline corpus, the Catholic Epistles, or Revelation. Allusions to the ascension are found in Romans, Ephesians, John, and Hebrews, whereas the appendix of the Marcan Gospel and Luke-Acts treat it explicitly. It is an affirmation of major importance in Lucan Christology and intimately related to the Lucan theme of testimony about the word of God and the Lucan teaching about the Spirit. The relation of the Lucan material to the rest of the New Testament data has been discussed before from a variety of viewpoints, but not always with the requisite distinctions.<sup>3</sup> If such distinctions are not properly made, one runs the risk of picturing to oneself the ascension differently from the way the New Testament itself presents it. In this study I shall treat the New Testament data that bear on the ascension under three headings: (1) the exaltation of Christ; (2) diverse New Testament assertions about the ascension; and (3) the New Testament meaning of the ascension.

### 1. The Exaltation of Christ

The earliest New Testament references to the phase of Christ's existence following his burial cast it in terms of *exaltation*, i.e., his being taken up to the glorious presence of the Father, but without specifying the mode of such a taking up.

Such an assertion is found in the pre-Pauline hymn, probably of Jewish-Christian origin, preserved in Phil 2:5-11.<sup>4</sup> According to a widespread opinion today Paul has incorporated into chap. 2 of his letter to the Philippians an early (probably Jewish) Christian hymn to Christ, as he seeks to motivate his beloved converts of Philippi to a proper or befitting attitude of mind:

Have this mind among you, which was also in Christ Jesus, <sup>6</sup>Who, though of divine status, did not treat like a miser's booty his right to be like God, <sup>7</sup>but emptied himself of it, to take up the status of a slave and become like humans; having assumed human form, <sup>8</sup>he still further humbled himself with an obedience that meant death ---even death on a cross! <sup>9</sup>That is why God has so greatly exalted him and given him the name which is above all others: <sup>10</sup>that everyone at Jesus' name should bend his knee in heaven, on earth, and under the earth; <sup>11</sup>that every tongue should proclaim unto the glory of God the Father that Jesus Christ is Lord! (2:5-11)

In using this primitive hymn, the structure of which traces the bend of a parabola (with a descending arm and an ascending arm), Paul sings of Christ Jesus and his pouring out of himself in six strophes:

- (a) his divine preexistence;
- (b) the humiliation in shedding his divine status and becoming human;
- (c) the further humiliation of death to which Paul adds the gloss, "even death on a cross!";
- (d) (as the parabola begins its upward swing) Christ Jesus' celestial exaltation;
- (e) the adoration of him by the entire universe;
- (f) the new name the exalted one receives, "Lord."

In a striking assertion about the sequel to Jesus' obedience in crucifixion and death, early Christians acknowledged that God had "exalted" him and bestowed on him a name superior to every other name, i.e., *Kyrios*, the title par excellence for the risen Christ. What is noteworthy in this early Christian *homologia* or confession is the omission of any reference to Jesus' resurrection, or even his burial; one passes from his death on the cross to his exaltation to glory. Likewise noteworthy is the adoration which is owed to him as *Kyrios*: that which Isa 45:23 ascribed to Yahweh, as the allusion makes clear, "to me (God ['El]) every knee shall bend, every tongue shall swear." In this pre-Pauline hymn one finds affirmed the adoration of the crucified and exalted Christ.

Another reference to Christ's exaltation without mention of the resurrection is found in another primitive Christian confession embedded in a much later writing, 1 Tim 3:16:

Who was manifested in the flesh, vindicated by the Spirit; seen by angels, preached among the nations; believed in throughout the world, taken up in glory.<sup>5</sup>

Whereas the pre-Pauline hymn in Philippians 2 affirmed that God had "so greatly exalted him" (hyperypsösen, lit. "superexalted him"), Christ is here said to have been "taken up in glory" (anelēmphthē), i.e., enthroned. In both instances "glory" (doxa) is associated with Christ's risen status, and in this instance it is the term of the various phases of his existence mentioned: earthly manifestation, vindication (by God's Spirit), association with angels, object of proclamation and faith, and glorious enthronement. Again, in noteworthy fashion all this is acknowledged without any reference to the resurrection.

To such early references to the exaltation of Christ one has to relate certain

intriguing assertions in the Johannine Gospel that seem to allude to the same phase of his existence. In his conversation with Nicodemus the Johannine Jesus says, "As Moses lifted on high the serpent in the desert, so must the Son of Man be lifted on high (hypsöthēnai), that everyone who believes in him may have life eternal" (3:14). Later on Jesus says to Jews, "When you have lifted on high the Son of Man, then you will know that I am (he)" (8:28). In this instance the allusion seems to be to a lifting on high in crucifixion, because human beings are the subject of the verb (hypsosete). The ambiguity, whether lifting up on the cross or lifting up to glory, may be found in 12:32, "If I am lifted up (hypsotho) from the earth, I shall draw all (human beings) to myself"; or again in 12:34, when the crowd replies that it has learned from the Law that the Messiah remains forever, "How then can you say that the Son of Man must be lifted up (hypsothenai)?" Although some commentators would restrict the "lifting on high" in 3:14 and 12:32, 34 to the crucifixion of Jesus, as in 8:28,6 the verb hypsoun is used elsewhere in the New Testament of Jesus' glorious exaltation (Acts 2:33; 5:31) and may be a relic of a primitive tradition about such exaltation. Other commentators on the Johannine Gospel have little difficulty in seeing Jesus' "being lifted on high" in these verses as "one continuous action of ascent,"7 in which he begins his transit to the Father in crucifixion and completes it with exaltation. Even though the final redaction of the Johannine Gospel postdates the Synoptic Gospels, it contains early Christian traditional affirmations, which have developed independently of the Synoptic tradition.8

In time, however, references to Jesus' exaltation came to be coupled with his resurrection. Indeed, this is found in the so-called Jerusalem kerygma, elements of which have been embedded in the early speeches in Acts, according to the thesis of C. H. Dodd.<sup>9</sup> In his speech on the first Christian Pentecost Peter affirms, "This Jesus God raised up *(anestēsen)*, and of that we are all witnesses. Being therefore lifted on high *(hypsötheis)* to the right hand of God, and having received from the Father the promise of the Holy Spirit, he has poured it out this which you see and hear" (Acts 2:33). Similarly 5:30-31.

Thus some of the earliest references to Jesus' postcrucifixion status were expressed in terms of his exaltation, sometimes without allusion to his resurrection, sometimes with it. Those without the allusion do not deny the resurrection, but they reveal at least that Jesus' status as the risen *Kyrios* was at times thought of independently as an exaltation to the Father's glory, as a glorious enthronement. Indeed, on one occasion Paul even speaks of Jesus' resurrection as being effected by "the glory of the Father": "... so that as Christ was raised from the dead by the glory of the Father, we too might walk in newness of life" (Rom 6:4).<sup>10</sup>

This belief in the glorious exaltation of Christ is further implied in a series of New Testament texts that speak of his being in heaven or at the right hand of the Father, with no mention of how he arrived there. Thus, in Paul's earliest letter, the Thessalonians are said to be awaiting "his Son from heaven whom he raised from the dead, Jesus who delivers us from the coming wrath" (1 Thess 1:10); or "he will come down from heaven" (1 Thess 4:16).<sup>11</sup> The same presence of Christ in heaven is depicted with apocalyptic stage props in the book of Revelation (1:12-18; 3:21; 6:1-7; 7:17). In all these instances the celestial existence of Christ is affirmed or assumed without any reference to ascension.

## 2. Diverse New Testament Assertions about the Ascension

Since there are New Testament assertions of Christ's exaltation to heavenly glory without any mention of his resurrection, it is not surprising to find the primitive proclamation of his resurrection without any reference to exaltation or ascension. Thus, in the fragment of early kerygmatic preaching passed on by Paul in 1 Cor 15:3-5, "that Christ died for our sins according to the Scriptures, that he was buried, that he was raised on the third day according to the Scriptures, and that he appeared to Cephas, then to the Twelve. . . ." Here, though the resurrection and Jesus' postresurrectional appearances are proclaimed, nothing is said of the ascension.

That the exaltation of Christ should in time have been thought of in terms of an assumption or an ascension is not surprising, given the Old Testament notices of the assumption of Enoch (Gen 5:24) and Elijah (2 Kgs 2:11) and the development of this theme about other Old Testament figures in intertestamental and later Jewish literature.<sup>12</sup>

In dealing with Jesus' ascension in New Testament writings, one has to distinguish two sorts of references: (1) those which allude to an ascension in the context of other affirmations, without describing it; and (2) those which describe or depict it, i.e., which situate it in time and space.

(1) Texts Alluding to Jesus' Ascension. One must further distinguish between texts (a) that imply motion upwards without using the word "ascend"; and (b) those that employ the verb or its equivalent. Thus (a) in Heb 4:14, Jesus is "a great high priest who has passed through the heavens" (dielēlythota tous ouranous; cf. 6:19-20); or he has "entered . . . into heaven itself" (eisēlthen . . . eis auton ton ouranon, 9:24). Or again, in 1 Pet 3:22, "who has gone into heaven (poreutheis eis ouranon) and is at the right hand of God." In these passages the motion is not only that of Christ himself, but one that implies either passage through the heavens (plural ouranoi) or into heaven (ouranos, understood as a place).

There are also the texts (b) that employ the word "ascend" in a context where some other affirmation is primary. Thus in Romans Paul argues that God's new way of righteousness through faith in Christ Jesus is open to all and easy of access. He alludes to Deut 30:11-14, where Moses persuades the Israelites that the observance of the Law just promulgated does not require one laboriously to scale the heights or descend to the depths. Paul accommodates these words of Moses in an allusion to Christ himself. The ease of the new righteousness is seen because the heights have been scaled and the depths have been plumbed, for Christ has come to the world of humanity and been raised from the dead: "Who will ascend into heaven' (that is, to bring Christ down) or 'who will descend into the abyss' (that is, to bring Christ up from the dead)? But what does it say? The word is near to you, on your lips and in your heart . . ." (10:6-8). Paul thus alludes to the incarnation and resurrection of Jesus; but his use of *anabēsetai* in v. 6, though derived from Deut 30:12 (LXX), makes the Christian reader think of someone "ascending" into heaven, as Christ Jesus did. The allusion to the ascension may be remote, but it is unmistakable, even when Paul's main concern is the ease of Christian faith as the way to righteousness.

Such an allusion is, however, clearer in Eph 4:7-11. In this Deutero-Pauline writing, the author speaks of the gifts that the risen Christ has bestowed on his church:

To each one grace has been given according to the measure of Christ's gift. That is why it [Scripture] says, "Ascending on high, he led a host of captives; he gave gifts to human beings." In saying "he ascended," what does it mean but that he also descended to the lowest parts of the earth? He who descended is the same as he who ascended far above all the heavens, that he might fill all things. His gifts were that some should be apostles, some prophets, some evangelists, some pastors and teachers.

In these verses the writer quotes Ps 68:19 (LXX: "You ascended on high, you took captivity captive; you took gifts [from] among men"); but he adapts the words to his purpose by inserting the verb *edoken*, "he gave" (gifts), in place of *elabes*, "you took" (gifts), thus Christianizing the quoted psalm in order to affirm that the ascended Christ has graced his church with apostles, prophets, evangelists, pastors, and teachers. His main affirmation bears on the gift of church officials, and the ascension of Christ is affirmed incidentally to that affirmation.

To this category also belongs Jesus' statement to Mary Magdalene on the day of the discovery of the empty tomb, when he appears to her and bids her not to cling to him, "for I have not yet ascended" (John 20:17). Immediately he adds the charge that she go to his brethren and tell them, "I am ascending to my Father and your Father, to my God and your God." Jesus' intention in this Johannine episode is to get Mary Magdalene to go and inform his disciples about his risen status: he is returning to him who sent him,<sup>13</sup> and the tense of the verbs is not to be pressed. Jesus speaks of his "ascension," which in this

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Gospel is to be understood as "the terminus of 'the hour' in which Jesus passed from this world to the Father (xiii 1)."<sup>14</sup> In other words, for the Johannine Jesus the "lifting on high," which began with the crucifixion, finds its climax in his "ascending to my Father" (20:17).

(2) Texts That Describe or Depict the Ascension. The ascension in these passages is now treated as something happening to the risen Christ, situating it as an event in time and space, as "an observable incident,"<sup>15</sup> an "objectified ascension,"<sup>16</sup> or an "objectified transfer,"<sup>17</sup> as various writers have sought to describe it. To this category belong three New Testament passages:

(a) Luke 24:50-51: "Then he [Jesus] led them [the Eleven and others] out as far as Bethany, and raising up his hands he blessed them. While he was blessing them, he happened to be parted from them and was carried up into heaven."

Several things should be noted: (i) The last clause of v. 51, "and was carried up into heaven," has been treated as a Western Non-Interpolation ever since Westcott and Hort published their critical edition of the Greek New Testament in 1881.18 Because of their influence, the last part of v. 51 has either been bracketed or omitted entirely in many modern critical editions of the Greek New Testament. The reason: because it is lacking in the so-called Western texttradition of the Lucan writings. As late as the 25th edition of Nestle-Aland (1975), it was still relegated to a footnote, despite the fact that all the major Greek manuscripts except the prima manus of Codex Sinaiticus and the Codex Bezae contain it.<sup>19</sup> The discovery and publication in 1961, however, of Papyrus Bodmer XIV (P<sup>75</sup>).<sup>20</sup> a text dated to A.D. 200 (±25 years), has clinched the matter. It contains the clause and supports what is in reality the lectio difficilior, which is to be preferred. As a result, the 26th and 27th editions of Nestle-Aland and the UBSGNT (3d and 4th editions) read the clause without brackets.<sup>21</sup> Yet, even if someone were to continue to question the reading, one would have to cope with the beginning of the Lucan second volume, which implies that the "first volume" (protos logos) ended with a mention of the ascension: "until the day when he was taken up" (Acts 1:2), seemingly a reference to Luke 24:51b.

(ii) The Greek verb in 24:51 is the passive anephereto, "he was carried up," and not a form of the intransitive anabainein, "go up, ascend." Here one encounters the same sort of problem as when the New Testament speaks of Jesus' resurrection in the passive,  $eg\bar{e}gertai$  or  $\bar{e}g\bar{e}rth\bar{e}$ , "He has been/was raised" (1 Cor 15:12; Rom 4:25), rather than in the active intransitive aneste, "he rose."<sup>22</sup> In all these instances one has to do with the so-called theological passive, "he was carried up" or "he was raised" by God. In the case of the resurrection one also finds the active of egeirein with "God" or "the Father" as its subject (e.g., 1 Thess 1:10; Gal 1:1; 1 Cor 6:14).<sup>23</sup> The apparently more primitive expressions of the ascension, as of the resurrection, were couched in

the passive; with the gradual development of a higher Christology in the early Christian communities, the use of the active intransitive forms for both the resurrection and the ascension became more common.

(iii) This taking up of Jesus from Bethany, as he was blessing his disciples in a priestly act, is recounted in Luke 24 as happening on the evening of the day of the discovery of the empty tomb. The series of temporal adverbs, prepositional phrases, and subordinate clauses used in that chapter make this dating clear: v. 1, "on the first day of the week" (i.e., Sunday); v. 13, "that same day" (Cleopas and his companion leave for Emmaus); v. 33, "that same hour" (they set out to return to Jerusalem); v. 36, "as they were saying these things" (the Eleven and others report about Jesus' appearance to Simon; thereupon Jesus appears to them all); vv. 45, 50, "but" (= "then," *RSV*). Thus Luke ends his first volume with a description of Jesus being carried up to heaven from Bethany on the first Easter Sunday evening. In this connection one should recall the timing of Jesus' statement to Mary Magdalene (John 20:17) discussed above.<sup>24</sup>

(b) Acts 1:9-11. The second text in this category is found at the beginning of Luke's second volume, addressed to the same Theophilus and fitted with an allusion to Jesus' being "taken up" (anelemphthe, Acts 1:2), a reference to the detail at the end of the first volume (Luke 24:51b). The first two verses of Acts make it clear that Luke regards the ascension of Jesus as the term of his public ministry.<sup>25</sup> If the reference to Jesus' ascension were confined to vv. 1-2, there would be no problem; his being "taken up" would simply be understood as a reference to that which was recounted at the end of Luke 24. Immediately thereafter, however, one reads about the appearance of the risen Christ to the "apostles whom he had chosen" (v. 2b; cf. Luke 6:13) and about his "speaking of the kingdom of God" during a period of "forty days" (v. 3). At first one might think that these were postascension appearances of Christ, but then we soon learn that during one of the appearances, when he had commissioned the apostles to be witnesses to him "in Jerusalem and in all Judea and Samaria, and even to the end of the earth" (v. 8), he was taken up from them: "As they were looking on, he was lifted up (eperthe), and a cloud took him out of their sight" (v. 9). As they continued to gaze into the sky, two white-robed persons stood by and asked, "Galileans, why do you stand looking into heaven? This Jesus, who has been taken up (anelemphthe) from you into heaven, will come in the same way as you have seen him go into heaven" (v. 11).26

Again, several things should be noted: (i) The "forty days" of appearances of Christ and of instruction about the kingdom now create a problem when this time notice is compared with Luke 24:51. It seems clear that this difference in timing is the reason for the textual omission of v. 51b mentioned above.<sup>27</sup>

(ii) This passage in Acts supplies a date for Jesus' "ascension" sometime after "forty days" had elapsed from his resurrection; but in Acts 13:31 the interval is given merely as "many days" (epi hēmeras pleious), which suggests that Luke was taking "forty days" merely as a round number. In any case, this passage supplies not only a temporal *terminus ad quem* for this event in Christ's existence, but also a spatial *terminus a quo*, the Mount of Olives (1:12),<sup>28</sup> and a spatial *terminus ad quem*, "heaven" (1:11). Moreover, it specifies the mode of motion, "lifted up," with a cloud taking him out of sight and with angels commenting on the connection of his ascension with his parousia.

(iii) Here Luke has employed apocalyptic stage props to recount the ascension of Christ. Whereas in the Lucan Gospel Christ was simply "carried up into heaven," in Acts this is done with the aid of clouds<sup>29</sup> and angel-interpreters.<sup>30</sup> Such props are found only in the Lucan story.

(iv) Some commentators think that vv. 9-11 are actually a later insertion by Luke into a context that originally did not contain these verses or that Luke had originally composed a continuous story that would have gone from Luke 24:49 directly to Acts 1:3 (without the mention of the "forty days").<sup>31</sup> Whatever one wants to think about such suggestions, the second alternative is attractive, because the story would flow smoothly from Luke 24:49, with its mention of the "promise of my Father," to the reference of Jesus' appearance "alive after his passion" and his instructions about the kingdom, and especially to the further charge to await the "promise of the Father . . . before many days" (Acts 1:3-5). I shall return to the question of the "forty days" in the second part, but one should note now that the insertion of "during forty days" into Acts 1:3 could well have come to pass when Luke decided to divide his opus ad Theophilum into two books. Further discussion of the matter would involve the whole question of the composition of Luke-Acts, into the details of which I cannot enter now. I need only recall that many commentators on the Lucan writings consider it likely that these works existed at one time in an earlier form, to which Luke later added not only the infancy narrative (Luke 1:5-2:52) and prologue (Luke 1:1-4), but even the secondary prologue (Acts 1:1-2).<sup>32</sup>

Why would Luke insert a reference to the ascension of Christ on two occasions, on the day of the discovery of the empty tomb in Luke 24 and after forty days in Acts 1? Part of the reason was his decision to divide his opus into two parts; part of it was his lack of concern to eliminate all inconsistencies in his writing; and no little part of it was the emphasis that the double reference gives to the ascension as the caesura or line of demarcation between two periods of salvation history. That is why Conzelmann's theory of the three phases of Lucan salvation history is basically correct,<sup>33</sup> and not the two-phased theory of W. G. Kümmel, C. H. Talbert, and others.<sup>34</sup>

(c) Mark 16:19: "When the Lord Jesus had spoken to them, he was taken up into heaven (anelēmphthē eis ton ouranon) and took his seat at the right hand of God." This third text records that, after Christ "appeared to the Eleven themselves as they sat at table" (16:14, presumably still in Jerusalem on the evening of the day of the discovery of the empty tomb), he was so taken up.

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(Verses 12-13 record a short, primitive form of the Emmaus episode [expanded and dramatized in Luke 24:13-35].) Once again, there is a notice of Jesus' ascension on Easter Sunday evening and of its spatial *terminus ad quem*.

These, then, are the different ways in which New Testament writers allude to or depict the ascension of Christ. They range from mere allusions to graphic descriptions of his passage through the heavens. Given this diversity of modes of speaking about the ascension, we have to pose the real question about its meaning in the New Testament. It is important, however, to stress at this point that the "ascension" of Christ is scarcely a Lucan creation or invention. Even though Luke may be the only one to describe it, apart from the author of the appendix to the Marcan Gospel, and situate it in space and time, other New Testament writers have already noted Jesus' exaltation, sometimes with a clear distinction of it from his resurrection, and sometimes without that distinction. In other words, the exaltation is pre-Lucan, even if the graphic details of its mode are not.

### 3. The New Testament Meaning of the Ascension

The major problem for modern readers of the New Testament passages referring to the ascension stems from the way they tend to think about or imagine to themselves what the New Testament is saying about it or about the risen Christ. In this regard several things must be recalled.

(1) Despite Acts 1:22, where criteria are set forth for the person to replace Judas among the Twelve and one of them is that such a person must have been a "witness to the resurrection," no one witnessed the resurrection of Jesus. It is never so stated or depicted, not even in Matt 28:2, where, as the earth quaked, an angel of the Lord descends to roll back the tombstone and sit on it. The resurrection itself is not described. In Acts 1:22, Luke has formulated *abstractly* what he really means: the person to replace Judas has to be someone who has been a witness to the risen Lord, someone to whom the risen Christ has appeared. For none of the canonical Gospels does for the resurrection what the later apocryphal Gospel of Peter does.<sup>35</sup> The sort of development found in that apocryphal Gospel for the resurrection is similar to what Luke has provided for the ascension. He describes it in Acts 1:9-11, albeit in a more sober fashion. He has supplied the concrete termini for what was earlier known in the tradition as the "exaltation" or the "ascension."

(2) The New Testament never presents the resurrection of Jesus as a resuscitation, i.e., a return to his former mode of physical, terrestrial existence, such as that of, say, Lazarus in John 11:43-44; 12:1-2. Jesus is never depicted in the New Testament as inhabiting the earth for forty days. Indeed, he walks the road from Jerusalem to Emmaus with Cleopas and his companion: "Jesus himself drew near and walked with them" (Luke 24:15). Their eyes were at first kept from recognizing him (v. 16), and when they were finally opened (v. 31), Jesus "vanished from their sight." The question to be asked here is, whence did Jesus appear to them along that road? What was the spatial *terminus a quo* of his appearance?

(3) We are never told in the New Testament whence Christ appears in his postresurrection encounters with his disciples. Regularly enough, the beginning of these encounters is narrated; but at the end, where does he go? A clue, however, is hidden away in the Emmaus account, one on which most readers do not reflect. At one point the Lucan Jesus exclaims to the two disciples, "Was not the Messiah bound to suffer all this before entering into his glory?" (24:26). Thus on the day of the discovery of the empty tomb, Luke depicts Jesus speaking of having entered "his glory," i.e., the glory (doxa) of his Father's presence. The implication, then, is that the crucified and risen Christ appears to his disciples from glory, i.e., from the glorious presence of his heavenly Father, on whose right hand he has already been installed.<sup>36</sup>

(4) The foregoing considerations also shed light on the transit of Christ Jesus from "death on a cross" to the status of exaltation in the glory of the Father, to which the pre-Pauline hymn in Phil 2:6-11 referred. They also explain the ambiguity of assertions about his "being lifted up" in the Johannine texts to which we have referred earlier.

(5) "Ascent/ascension" means motion upwards, and implied in the New Testament account of Jesus' ascension is his movement upward through the heavens or the celestial spheres (see Eph 4:10).<sup>37</sup> It is this sort of time-conditioned thinking about where God is that likewise led to the use of apocalyptic stage props to describe Jesus' ascent: "He was lifted up, and a cloud took him out of their sight" (Acts 1:9). Though the Lucan description has made use of such time-conditioned stage props, they are not necessarily part of the essential New Testament affirmation of the presence of the risen/ascended/exalted Christ in the Father's glory, *wherever* that may be. From the Father's glory the risen Christ not only appears to his disciples but also sends forth the promise of the Father, the Holy Spirit.

(6) Once we understand these fundamental New Testament modes of speaking about the risen/ascended Christ, we can see that his "ascension" is nothing more than *the* appearance from glory in which Christ took his final leave from the community of his followers, his last visible leave-taking from the assembled followers: "And when he had said this . . ." (Acts 1:9). In other words, Christ would no longer present himself visibly to them in their corporate unity. Henceforth, his "presence" to them would be either through "the promise of my Father" (Luke 24;49; Acts 1:4), which he as the exalted One pours out (Acts 2:33), or "in the breaking of the bread," as the Emmaus incident makes clear (Luke 24:35); "... how he became known to them in the breaking of the bread."

(7) Finally, this explains why Luke, John, and the appendix of the Marcan

Gospel speak of the "ascension" of Christ as an aspect of his entrance into glory associated with the day of the discovery of the empty tomb. If the exaltation or ascension of Christ makes it easier to understand the period during which he manifested himself as risen to his early followers, we see that the risen Christ could appear to his disciples at any time, on the day of the discovery of the empty tomb or "many days" later (Acts 13:31). Luke has not invented the "ascension" as distinct from Jesus' resurrection, for that was in the tradition before him; but he has historicized it in a way that no other New Testament writer has done, by his introduction of the "forty days," about which I shall say more in connection with Pentecost.<sup>38</sup> Luke has done this because of his concern for a historical perspective, which he more than any other evangelist has introduced into his form of the early Christian kerygma and the Jesus-story.<sup>39</sup> He has, indeed, periodized aspects of the existence of the risen Christ in a way that no other Christian writer has done. The passion, death, burial, resurrection, exaltation or ascension, and heavenly intercession of Christ have often been called the "paschal mystery." Luke has periodized aspects of this unit in an attempt to make it more comprehensible --- in effect, to describe the indescribable.

Moreover, one might recall the diverse ways in which the ascension of Christ was understood in the patristic tradition.<sup>40</sup> It was not until the fourth century A.D. that the feast of the Ascension was celebrated distinctly. Even so, Jerome could still write that "the Lord's Day, the day of the Resurrection, the day of Christians . . . is also called the Lord's Day, because on it the Lord ascended as a victor to the Father."<sup>41</sup>

In concluding this first part, I must stress that the ascension of Christ is the guarantee of Christian destiny. Even if Luke assures the Christian reader that "this Jesus, who was taken up from you into heaven, will come in the same way as you saw him go to heaven" (Acts 1:11), Paul in his earliest letter to his Christian followers assures them that "the Lord will descend from heaven with a cry of command, with the archangel's call, and with the sound of the trumpet of God; and the dead in Christ will rise first; then we who are alive . . . shall be caught up together with them in the clouds to meet the Lord in the air; and so we shall *always be with the Lord*" (1 Thess 4:16-17). Paul, no less than Luke, makes use of apocalyptic stage props to assure his Christian followers of their destiny, "to be with the Lord always." In his own way the author of the Epistle to the Hebrews affirms this belief, too, when he says that "we have this as a sure and steadfast anchor of the soul, a hope that enters into the inner shrine behind the curtain, where Jesus has gone as a forerunner on our behalf, having become a high priest forever after the order of Melchizedek" (6:19-20).

According to Luke 24:50, Jesus' final leave-taking was accompanied by a hieratic gesture: "Lifting up his hands, he blessed them." Like Melchizedek, "priest of God Most High," who blessed Abram (Gen 14:16-19), or like Aaron of old blessing the people of Israel (Num 6:23-27), or even like Simon son of

Onias, the high priest (219-196 B.C.), who blessed the "whole congregation of the children of Israel" (Sir 50:20), the departing risen Christ calls heaven's blessing down upon the assembly of his followers.

We may now pass to the second part of this paper.

### **II.** Pentecost

Before Christ ascends in Luke 24, he charges his disciples to await "the promise of my Father" (24:49). In that chapter we are not told what that promise is, but at the beginning of the second Lucan volume the risen Christ describes it as a baptism "with the Holy Spirit" (Acts 1:5). As the Lucan story continues, when "the day of Pentecost" (2:1) arrives and is running its course, the promise is realized: a sound from heaven like a mighty wind fills the whole house where the apostles and others are sitting; tongues as of fire appear resting on them, and they are all filled with the Holy Spirit and begin to speak in other tongues (2:2-4). Readers of Acts 2 tend to think that what is recorded in vv. 2-4 is the most important part of the early Christian Pentecost-experience. However, the outpouring of the Spirit occupies but three of the forty-two verses that Luke devotes to his pentecostal account. Those three verses are only introductory to the real pentecostal event, the first proclamation by Spirit-filled, tongue-speaking Galileans to "Jews and proselytes" sojourning in Jerusalem from all over the Jewish diaspora. For Peter stands up with the Eleven and addresses such Jews in Jerusalem, climaxing his discourse with the words, "Let the whole house of Israel know with certainty that God has made this Jesus, whom you crucified, both Lord and Messiah" (2:36). Shortly before this climax Peter has explained that the phenomenon of tongues is not caused by "new wine" (2:13) or by the drunkenness of the speakers (2:15), but by the outpouring of the Spirit: "This Jesus God raised up, and of that we are all witnesses; being exalted then at the right hand of God and having received from the Father the promise of the Holy Spirit, he has poured it out; this is what you see and hear" (2:32-33). The event of Pentecost, then, was not merely the outpouring of the Spirit on Jesus' followers, but more so the first Spirit-filled proclamation of "the word of the gospel" (Acts 15:7) made by the spokesman of the group, Peter, to the Jews in Jerusalem.

As the Lucan story continues, the newly empowered Christian community grows daily into the Spirit-guided institutional church, so idyllically depicted in the rest of Acts. The promise of the Father has been realized in the pouring out of the Spirit by the risen/ascended Christ. This gift of the Spirit to the Christian community is known, however, elsewhere in the New Testament, but it is spoken of in different ways. These differences raise questions about the origin of the Christian Pentecost and the meaning of that event in the Lucan story.<sup>42</sup> The

different descriptions of the gift of the Spirit also create problems in the modern understanding of that primitive phenomenon. Hence an attempt has to be made again to sort out the different aspects of this so important heavenly gift from the ascended Christ to his church. These problems will be treated under four headings: (1) the relation of the Lucan story to the Johannine and other New Testament references to the gift of the Spirit; (2) the relation of the gift of the Spirit to Pentecost; (3) the relation of the fifty to the forty days; and (4) the role of the Spirit of the ascended Christ in Christian life.

# 1. The Relation of the Lucan Story to the Johannine and Other New Testament References to the Gift of the Spirit

We have just recalled briefly the Lucan story of the gift of the Spirit, with which the apostles and others were to be clothed (Luke 24:49) or baptized (Acts 1:5) on "the day of Pentecost" (Acts 2:1). In the Lucan story "fifty days" have elapsed since Jesus' resurrection. In the Johannine Gospel, however, the risen Christ appears to the disciples on "the evening of that day" (20:19), announces his peace, commissions them, and then breathes on them, saying, "Receive the holy Spirit! If you forgive the sins of any people, they are forgiven; if you hold back the sins of any, they are held back" (20:22-23). In both the Lucan and the Johannine stories the phrase for the gift bestowed is the same, "a Holy Spirit" (pneuma hagion). In Luke-Acts fifty days separate the discovery of Jesus' empty tomb from the outpouring of the Spirit (Acts 2:1, 33), whereas in John the insufflation of the Spirit takes place on the occasion of Christ's first appearance to assembled disciples on the very day of that discovery (20:19-23). Some writers have even called this episode the "Johannine Pentecost."43 In contrast to the effect of the outpouring of the Spirit in the Lucan story, by which Peter and the others are emboldened to stand up and proclaim the risen/ascended Christ, the breathing of the Spirit on the disciples in the Johannine story has no recorded effect. In fact, "eight days later" (20:26) the disciples are still closeted in the house, apparently as yet "for fear of the Jews" (20:19). This difference of description of the bestowal of the Spirit on the Christian community raises again the question whether Pentecost is a Lucan "invention."

Moreover, Paul shows no awareness of the meaning of Pentecost for Christian life or conduct,<sup>44</sup> or for that matter of the Johannine "insufflation," even though he is fully aware of the gift of the Spirit to Christians. In his earliest letter, the first to the Thessalonians, he stressed that his gospel came to them "not only in word but also in power and in the Holy Spirit" (1:5). A few years later, in writing to the Galatians, he expostulated, "O foolish Galatians, who has bewitched you? . . . Did you receive the Spirit through deeds of the law or through a hearing with faith?" (Gal 3:1-2). With the Corinthians he insisted, "No one can say 'Jesus is Lord' except through the Holy Spirit" (1 Cor 12:3). Paul even speaks of the "outpouring" of God's love into Christian hearts "through the Holy Spirit" (Rom 5:5), but it is unrelated to Pentecost. His formulation may be no more than an independent echo of Joel 3:1-2 or an antithetical reflection of the Old Testament idea of God pouring out the wrath of anger on his people.<sup>45</sup> At any rate, Paul either affirms or takes for granted the bestowal of God's Spirit on the Christian community in a way related to faith in the risen/ascended Christ.<sup>46</sup> The same awareness of the presence of the Spirit to Christians is found in other New Testament writings as well (e.g., Heb 2:4; 10:15; Jas 2:5; Jude 20), but again without reference to Pentecost.

Although Luke has similarly recorded the bestowal of the Spirit on the Christian community, only he has temporally distanced its bestowal from the resurrection of Christ. This again ties in with what we have noted above about his periodization of salvation history.<sup>47</sup> What John has closely related to the newly risen Christ, Luke has associated with the exalted/ascended Christ in his view of such history. The result is that we cannot be sure today just when the early Christian community first became aware of the gift of the Spirit to it, or apropos of what function it was performing, whether the forgiveness of sins, as in John 20:22-23, or the first proclamation of the Christian message to Jews in Jerusalem, as in Acts 2:5-41. Neither the Lucan nor the Johannine testimony about the *time* of that bestowal comes to us from the earliest strata of New Testament tradition. This is, in itself, an indication of the Spirit among them as a gift of the risen/ascended Christ.

It is important, however, to note that the Lucan tradition is not alone in separating temporally the Christian disciples' reaction to the resurrection of Christ from their carrying out of the commission laid on them by the risen Lord. The Lucan Christ, appearing to the disciples on the day of the discovery of the empty tomb, commissions them to preach repentance and the forgiveness of sins in his name to all the nations, beginning from Jerusalem: "You are witnesses of this" (Luke 24:47-48). They first must remain, however, "in the city" and await "what my Father has promised" (v. 49). So Luke explains the interval. The Johannine Christ, however, though he charges his disciples, "As the Father has sent me, so I too send you" (20:21), says nothing further either about the specifics of their mission or about a waiting period. Yet the disciples likewise delay in the Johannine story. Indeed, the Johannine account distances the first appearance of the risen Christ from another one "eight days later," when Thomas is present with them, presumably in the same house (20:26). The Johannine appendix (chap. 21) even portrays seven of the disciples, having returned to their old haunts, going out to fish. In fact, we never learn from the Johannine Gospel whether the disciples ever carried out the mission on which they were "sent" (20:21). That, of course, is implied, but what is important is that the Johannine Gospel, in effect, testifies to a period between the resurrection of

Christ and the beginning of the Christian mission, to a period during which the risen/ascended Christ appeared to his followers. This shows that the periodization that one finds in the Lucan tradition is not without some foundation in the general gospel tradition. The Johannine Gospel may not know of a "Pentecost" in the Lucan sense; but it does imply that the period between the resurrection and the beginning of the Christian mission was more than a matter of hours.

# 2. The Relation of the Gift of the Spirit to Pentecost

Only two of the great Jewish feasts of old have become part of the Christian tradition, Passover and Pentecost. The Feast of Booths or Tabernacles, though mentioned in John 7:2 as an occasion when Jesus made his way to Jerusalem (7:10) and perhaps reflected in the transfiguration scene of the Synoptic tradition (Mark 9:5 and parallels), has remained without significance for the Christian community. Passover (*pesaḥ*) was transformed into the Christian paschal feast of the resurrection at an early date. Paul may already be alluding to such a Christian feast when he writes, "Christ, our passover lamb, has been sacrificed; let us celebrate, then, the festival not with the old leaven, the leaven of wickedness and evil, but with the unleavened bread of sincerity and truth" (1 Cor 5:7-8).

The first feast that would normally occur after Passover in the Jewish calendar was the Feast of Weeks ( $hag \ sabu\ oblight oblight$ 

Why would Pentecost have been the occasion? To answer that question, I have to say something about the nature and celebration of this feast among Palestinian Jews of the first century. This will enable us to appreciate the significance of the Lucan story in Acts 2.

The Feast of Weeks was known among Greek-speaking Jews as Pentecost:  $h\bar{e}$  hēmera pentēkostē, "the fiftieth day," or  $h\bar{e}$  hēmera pentēkostēs, "the Day of Pentecost" (or "of the Fiftieth"), or even  $h\bar{e}$  pentēkostē  $h\bar{e}$  heortē,  $h\bar{e}$  estin hagia hepta hebdomadōn, "the fiftieth feast, which is the sacred (festival) of Seven Weeks."<sup>50</sup> It was originally a farmers' feast at the end of a harvest. In Num 29:26 it is identified with "the day of the first-fruits" (yôm habbikkûrîm, cf. Exod 23:16a), but in time it came to be understood specifically as the feast at the end of the wheat harvest (Exod 34:22: *bikkûrê qēşîr hittîm*). According to Deut 16:9, one was to "count seven weeks from the time you first put the sickle to the standing grain." In time this was more specifically explained as a counting "from the morrow after the sabbath (*mimmohorat haššabbāt*), from the day that you brought the sheaf of the wave offering: seven full weeks shall they be, counting fifty days to the morrow of the seventh sabbath" (Lev 23:15-16). This became the feast when two loaves made of new flour and baked with leaven were to be offered to Yahweh. Hence, fifty days after the beginning of the harvest, fifty days after Passover, when *maṣṣôt*, "unleavened bread," had been eaten, the Jews would offer farmers' leavened bread to the Lord. The date of the Feast of Weeks, however, was not really fixed until the Priestly tradition had related it to the joined feasts of Passover and Unleavened Bread.<sup>51</sup>

Then debate ensued among the Jews of Palestine. Some of them, the Sadducees, started to count the fifty days from "the morrow after the Sabbath," understanding *šabbāt* generically as "feast day," hence equal to Passover itself (14 Nisan, the first month). Reckoning from the day after Passover, they celebrated Pentecost on 6 Siwan (the third month). Other Jews, the Pharisees, counted from the Sabbath after Passover, whenever that would come; and still others, the Essene community of Qumran and those who used the Book of Jubilees and followed a calendar in which the feasts fell every year on the same day of the week, held that the first sheaf, which was to be offered on "the morrow of the Sabbath," was to be presented on the Sunday following the Passover octave (21 Nisan).52 Reckoning from that date, they celebrated the Feast of Weeks on the 15th of Siwan (the third month).53 The debate persisted for centuries, and traces of it are found in the rabbinical writings of subsequent centuries.54 This dispute about how to reckon the date of Pentecost need not detain us, since in the Lucan story the only important thing is "the fiftieth day," and the connotations that it carried.

According to Exod 19:1, Israel arrived in its exodus wanderings at Mt. Sinai in the third month after leaving Egypt, i.e., after Passover. This gave rise in time to the celebration of the making of the covenant, or to a yearly renewal of the Sinai covenant, in the middle of the third month. This celebration may be reflected in the assembly of Jews in Jerusalem in the fifteenth year of King Asa recorded in 2 Chr 15:10-12, but in recent decades it has been customary to think that the association of the renewal of the Sinai covenant with the Feast of Weeks was attested in Judaism only in the Christian period.<sup>55</sup>

A more thorough study of the *Book of Jubilees* and of some Qumran writings, however, seems to show that at least some Palestinian Jews were celebrating the Feast of Weeks in the middle of the third month precisely as the renewal of the Sinai covenant. From *Jub.* 1:1; 6:17-19; 14:20 it has been

concluded that on that feast all the ancient covenants, from Noah to Sinai, were celebrated in renewal.<sup>56</sup> Moreover, part of the ritual of an annual celebration seems to be recorded in the Qumran *Manual of Discipline* (1QS 1:16–2:25).<sup>57</sup> According to many interpreters of the Qumran literature, this celebration was held on the Feast of Weeks itself.<sup>58</sup> However, the question is not unanimously answered in the affirmative, for R. de Vaux has stated categorically that "there is no connection between the Christian feast of Pentecost and the Feast of Weeks as understood by the Qumran community, or in later days, by orthodox Judaism. The story in Acts contains no allusion to the Sinaitic Covenant nor to the New Covenant of which Christ is the mediator."<sup>59</sup> That might seem to clinch the matter, but many New Testament commentators continue to associate the Jewish celebration of Pentecost with the giving of the Torah at Sinai.<sup>60</sup>

In the Lucan story of Pentecost there is no *direct* reference to the Sinaitic Covenant, but there are allusions to be pondered. First of all, Luke recounts the gift of the Spirit on an occasion when not only "Judeans" but "devout Jews from every nation under heaven" (Acts 2:5; cf. Deut 2:25) are gathered or assembled for a feast in Jerusalem. Even though Luke says to plethos synēlthen, "the multitude came together" (RSV), he may be speaking of a festive assembly, what Josephus called asartha (Ant. 3.10.6 §252), the Aramaic name for Pentecost ('ășartā'). Second, Peter, "standing up with the Eleven" (Acts 2:14), confronts the assembled Jews. In other words, the "twelve apostles" (Luke 6:13) confront "the twelve tribes of Israel" and function as their judges (Luke 22:29). This scene clearly echoes the saying of Jesus at the Last Supper in the Lucan Gospel, wherein only shortly before allusion was made to the shedding of Jesus' blood as a sign of "the new covenant" (Luke 22:29, 20). This allusion may seem subtle, but in a correct understanding of Lucan foreshadowing it is not. Now Peter as the spokesman for the newly reconstituted Twelve confronts the assembled "house of Israel" on its 'asarta', its Feast of Weeks, and lets it be known that, despite what it had done in crucifying "this Jesus," the promise of old made by God to that house was still valid for it and its children, far and near, as being those "whom the Lord our God calls to him" (Acts 2:39). That "promise" cannot be limited in the Lucan story to the ancient experience of the twelve tribes at Sinai. Third, J. Dupont has worked out a list of verbal allusions in Acts 2 to the important chaps. 19 and 20 of Exodus, wherein are recounted the theophany at Sinai and the giving of the Torah.<sup>61</sup> Thus, the adverb homou, "together" — or its variant homothymadon<sup>62</sup> — may be an echo of pas ho laos homothymadon of Exod 19:8, "all the people together." In Acts the "sound" from heaven is *ēchos* (v. 2) and *phonē* (v. 6); in Exod 19:16 one reads, eginonto phonai, "there were sounds" (= thunders) and phone tes salpingos echei mega, "a sound of the trumpet blasted loudly." The source of the sound is ek tou ouranou, "from heaven" (Acts 2:2); compare Exod 20:22, ek tou ouranou lelalēka pros hymas, "I have

spoken to you from heaven." Yahweh's descent to Mt. Sinai in fire (Exod 19:18) provides an Old Testament background for "the tongues as of fire" (Acts 2:3). Further support for the allusions may come from an unfortunately fragmentary liturgical text of Qumran, which associates "tongues of fire" (lěšônôt 'ēš) with Yahweh commanding assembled Israelites to "observe all these words" (1Q29 1:3 and 5:1-7:4),63 and one fragment of which carries the isolated word  $t \hat{o} r \bar{a} h$  (frg. 16). Dupont further suggests that Acts 2:33, which mentions Jesus' exaltation to the right hand of God, may be an allusion to Ps 68:19, the very passage used in Eph 4:8 (about the ascension!), and drawn from a psalm which Jews of the later rabbinic tradition interpreted of Moses and his ascent of Mt. Sinai to get the Law and promulgate it.64 The last suggestion, however, is far from clear. Admittedly, none of these allusions is unambiguous, but if there is any validity to them, they may supply an Old Testament and Palestinian Jewish background for the first Christian Pentecost, when the newly reconstituted Twelve, filled with and emboldened by the Spirit, confronted "the whole house of Israel" (2:36) with its "word of the gospel" (Acts 15:7) on the Feast of its Assembly for the renewal of the Sinai Covenant.

Until recently it has been customary to interpret the Pentecost of Acts 2 as the equivalent of the Feast of Weeks alone, i.e., as the feast celebrated at the end of the wheat harvest, when at least some Palestinian Jews may have gathered for the renewal of the Sinai Covenant. In the newly published Temple Scroll from Qumran Cave 11, however, we learn that the Jews, who used this scroll and who may have considered it as their "sectarian Torah,"<sup>65</sup> actually celebrated three pentecostal feasts, and one of them may shed some light on what has always been a puzzling aspect of the Lucan story of the first Christian Pentecost.

Texts of the Temple Scroll speak of the three pentecostal celebrations as follows:

(1) 11QTemple 18:10-13 Feast of Weeks or Feast of First Fruits, third month, fifteenth day (hag šābū ôt wehag bikkûrîm, 11QTemple 19:9):

wsprth
<sup>11</sup>[lkmh] šb° šbtwt tmymwt mywm hby kmh 't h°wmr
<sup>12</sup>[htnwph ts]pwrw 'd mmwhrt hšbt hšby yt tspwrw
<sup>13</sup>[hmšyn] ywm whby wtmh mnhh hdšh lyhwh . . .

10 You will count

<sup>11</sup>[for yourselves] seven Sabbaths complete from the day you bring the sheaf <sup>12</sup>[of waving]; you will count until the morrow of the seventh Sabbath; you will count

<sup>13</sup>[fifty] days and you will bring a new meal-offering to Yahweh . . .

(2) 11QTemple 19:11-14 Feast of New Wine, fifth month, third day (hag yayin hādāš):

<sup>11</sup>[wspr]tmh lkmh mywm hby'kmh 't hmnhh hdšh lyhw[h]
<sup>12</sup>['t] lhm hbkwrym šb'h šbw wt šb' šbtwt tmymwt
<sup>13</sup>[thyynh ']d mmwhrt hšbt hšby'yt tspwrw hmšym ywm
<sup>14</sup>w[hby'wt]mh yyn hdš lnsk . . .

<sup>11</sup>You [will count] for yourselves from the day you bring the new meal-offering to Yahweh,

<sup>12</sup>[the] bread as the first fruit, seven weeks; seven Sabbaths complete
<sup>13</sup>[they will be un]til the morrow of the seventh Sabbath; you will count fifty days,

<sup>14</sup>and [you will bring] new wine for a libation . . .

(3) 11QTemple 21:12-16 Feast of New Oil, sixth month, twenty-second day (hag šemen hādāš):

<sup>12</sup>wspr[tm]h [lkm]h mywm hzh šb<sup>c</sup>h šb<sup>c</sup>wt šb<sup>c</sup> p<sup>c</sup>mym tš<sup>c</sup>h
<sup>13</sup>w<sup>c</sup>rb<sup>c</sup>ym ywm šb<sup>c</sup> šbtwt tmymwt thyynh <sup>c</sup>d mmwhrt hšbt
<sup>14</sup>hšby<sup>c</sup>yt tspwrw hmšym ywm whqrbtmh šmn hdš mmšbwt
<sup>15</sup>[m]ţwt b[ny yś]r<sup>2</sup>l mhṣyt hhyn <sup>c</sup>hd mn hmṭh šmn hdš ktyt
<sup>16</sup>[ ] yṣhr <sup>c</sup>l mzbh h<sup>c</sup>wlh bkwrym lpny yhwh.

<sup>12</sup>You w[ill] count for y[ourselves] from this day seven weeks, seven times (seven), forty-nine

<sup>13</sup>days, seven Sabbaths complete they will be until the morrow of the seventh

<sup>14</sup>Sabbath; you will count fifty days, and you will offer new oil from the dwelling-places of

<sup>15</sup>[the] tribes of the Is[rael]ites, a half hin from each tribe, new oil crushed <sup>16</sup>[ ], fresh oil upon the altar of holocaust as fresh-fruits before

Yahweh.

In other words, fifty days from the morrow of the Sabbath of the Passover octave occurred the Pentecost of New Grain/Wheat. Then, fifty days from the morrow of the Pentecost of New Grain/Wheat was celebrated the Pentecost of New Wine. Finally, fifty days from the morrow of the Pentecost of New Wine was celebrated the Pentecost of New Oil.<sup>66</sup>

This seems to have been the complete cycle of pentecostal feasts for the Palestinian Jews who used this document. One should note the express mention of "fifty days" in the formulation of each of the regulations.

If I am right in thinking that Luke was a non-Jewish Semite, a native or *incola* of Syrian Antioch, to which ancient ecclesiastical tradition has rather uniformly assigned him,<sup>67</sup> then it is readily understandable how he did not comprehend everything perfectly about Palestinian Judaism. He had certainly heard about the Jewish Feast of Pentecost, and maybe even about Jewish Pentecosts; perhaps he has unwittingly mixed some of their details. This may be why he introduces Peter justifying the disciples' speaking in "other tongues" as *not* being "filled with new wine" (Acts 2:13, 15). It has always been puzzling why Peter mentions "new wine," since new grain and new wine were not normally harvested at the same time.<sup>68</sup> Now that we see from the Temple Scroll that there were multiple Pentecosts in some forms of Palestinian Jewish life, that may provide a background for Luke's association of "new wine" with Pentecost.

In his story of the first Christian Pentecost, Luke has probably mingled allusions to details of the Jewish Pentecost of New Grain and the Pentecost of New Wine. For him it makes little difference, since the only thing that is important is that a period of "fifty days" has separated the resurrection of Christ, shortly after Passover, from the occasion when the Spirit-guided proclamation of the gospel was made to Jews of Jerusalem, "Judeans" and Jews assembled "from every nation under the heavens."

Lastly, if there is any value in the proposal that I am making, it would be a substitute explanation for the connection between "new wine" with the speaking in "other tongues." O. Betz once tried to explain that connection by appealing to Isa 28:7-13, where the prophet inveighs against religious leaders and teachers who reel with wine and stagger with strong drink. Betz had to resort to the later targumic translation of it in his attempt to establish such a farfetched connection.<sup>69</sup>

In any case, in the Lucan story the "promise of the Father" is bestowed on the nucleus of the Christian community, empowering it to begin its mission of testimony on a feast that is marked in more than one way as pentecostal.

## 3. The Relation of the Fifty to the Forty Days

According to Conzelmann, the dating of the Pentecost event is related to the "forty days" of Acts 1:3 and "thus does not necessarily belong to the substance of the story."<sup>70</sup> To my way of thinking, the "fiftieth day" is more important than the forty days, since that is the import of Luke's formula "when the day of Pentecost was running its course" (lit. "was being filled out").<sup>71</sup> This specific designation stands in contrast to the vague phrase "during forty days" (1:3). If Luke has, indeed, inherited from the tradition before him Jerusalem as the place and Pentecost as the time when the Twelve confronted Jerusalem Jews with the kerygma, what is the point of the "forty days"? Several things must be considered in answering that question. First, because Acts 13:31 refers to the same

interval merely as "many days" (hēmeras pleious), "forty" is undoubtedly to be understood as a round number, as its Old Testament background may also suggest.<sup>72</sup> Second, the "forty days" are not meant by Luke as a period needed by Jesus himself, i.e., for some development in his role in salvation history.73 Third, chap. 1 of Acts suggests rather that the interval was needed by the disciples, who were being further instructed about the kingdom (v. 3). Their continuing misunderstanding of the role of the risen Christ in regard to the kingdom is recorded in v. 6, "Lord, are you going to restore the kingship to Israel at this time?" Though Christ corrects this misunderstanding, he commissions them to bear witness to him in Jerusalem, Judea, Samaria, and "to the end of the earth" (v. 8), once they have received the promise of the Father. Fourth, since in the Lucan understanding of salvation history the exalted Christ had to be with the Father in order to pour forth the promised Spirit (Acts 2:33), Luke has used the end of that classic interval of forty days to depict the final leavetaking of the risen Christ from his disciples as a preparation for the fiftieth day. This is thus part of the Lucan periodization of salvation history, already explained.

## 4. The Role of the Spirit of the Ascended Christ in Christian Life

As we have seen, the gift of the Spirit of Christ to the Christian community is not a Lucan invention. Pauline, Johannine, and other New Testament writings are likewise tributary to what was a primitive tradition about that gift. If only Luke links that gift with Pentecost, he clearly wants the connotations of that feast of old to be associated with the gift. In the Palestinian Jewish tradition, Pentecost, despite its Greek name, was clearly a feast of first-fruits, whether they be understood in the conventional sense of the wheat harvest or now, in light of the Temple Scroll, of multiple harvests, wheat, wine, or oil. This nuance of Pentecost explains why the story in Acts 2 ends with the note of the first Christian harvest: "Those who welcomed his [Peter's] word were baptized, and that day there were added about three thousand persons" (2:41). Thus the first-fruits of the Christian harvest come from the Spirit-inspired proclamation of Peter, the spokesman of the nucleus community.

A. R. C. Leaney once summed up various ways in which the Lucan pentecostal story has been interpreted: "The reversal of Babel, the proclamation of the New Law, the fulfilment of the prophecy of Joel, of the threat of John the Baptist and of the promise of Jesus, and an earnest of the spread of the gospel throughout the world."<sup>74</sup> The last-mentioned interpretation is related to the suggestion made in the foregoing paragraph, but goes beyond it. Most of the other modes of interpreting the Lucan story tend to be eisegetical, apart from the fulfillment of Joel's prophecy; yet to put emphasis on that minor detail in Peter's speech might be inappropriate. Anything that might be valid in these suggestions has to be subordinated to the main Lucan emphasis: the Spirit, as the promise of the Father now poured out by the ascended Christ, empowers the Twelve to make the first proclamation of the Christ-event to Jews in Jerusalem, which results in three thousand of them becoming the first-fruits of the new Christian pentecostal harvest. This is the inaugurating role of the Spirit in the corporate life of the early Christian community. This is the real Lucan emphasis.

Another aspect of the role of the Spirit of the ascended Christ is found in the Epistle to the Ephesians: "You were sealed with the Holy Spirit of promise" (1:13). Although "the Holy Spirit of promise" resonates with the Lucan view of the Spirit, Ephesians adds that individual Christians have been marked or "sealed" as belonging to that Spirit, since in antiquity a seal (*sphragis*) was often used to show ownership. Again, in the same writing the author mentions how Gentiles have become "fellow heirs, members of the same body, and fellow sharers of the promise in Christ through the gospel" (3:6). The "promise" appears again and resembles the Lucan relation of the Spirit as "the promise of the Father," even if the Spirit's influence is described as having other effects on individuals, even on Gentiles. In the use of "promise" in both Luke-Acts and Ephesians, one detects the Old Testament background of God's promise made to the patriarchs of old, which is now related to the Spirit of God and thus realized in a new way, as the promise of the Father and of the risen/ascended Christ.

It would take another article to discuss fully the role of the Spirit in Christian life, which is not my purpose here. Accordingly, I have limited the discussion to the role of the Spirit of the ascended Christ, which is the Lucan emphasis. For Paul, the Spirit is the gift of the risen Christ (1 Cor 15:45; 2 Cor 3:17), especially to individuals, whereas the Spirit is the gift of the ascended Christ in Acts bestowed more in a corporate sense.

#### NOTES

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I. In I Cor 16:8 Paul speaks of staying in Ephesus for a feast of Pentecost. That is undoubtedly to be understood as Pentecost according to the Jewish calendar; see E. Lohse, "Pentēkostē," TDNT, 6.50; cf. C. K. Barrett, A Commentary on the First Epistle to the Corinthians (HNTC; New York: Harper & Row, 1968) 389. In Acts 20:16 Paul is depicted hurrying to be in Jerusalem for the day of Pentecost, again probably meant as the Jewish festival. Even if this be understood as the Christian Pentecost, it is still a Lucan reference. See further A. Weiser, "Pentēkostē," EDNT, 3.70.

2. I.e., apart from the non-Marcan, canonical appendix to this Gospel, 16:9-20, which will be discussed below.

3. The literature on the New Testament references to the ascension is vast. Among

the more important recent literature one may cite: J. E. Alsup, The Post-Resurrection Appearance Stories of the Gospel Tradition (Calwer theologische Monographien 5; Stuttgart: Calwer-V., 1975); A. W. Argyle, "The Ascension," ExpTim 66 (1954-55) 240-42; P. Benoit, "The Ascension," Jesus and the Gospel 1 (New York: Herder and Herder, 1973) 209-53; G. Bouwman, "Die Erhöhung Jesu in der lukanischen Theologie," BZ 14 (1970) 257-63; J. G. Davies, He Ascended into Heaven (London: Lutterworth, 1958): J. van Goudoever, Biblical Calendars (Leiden: Brill, 1959) 195-205; J. Haroutounian, "The Doctrine of the Ascension: A Study of the New Testament Teaching," Int 10 (1956) 270-81; W. Kern, "Das Fortgehen Jesu und das Kommen des Geistes oder Christi Himmelfahrt," GuL 41 (1968) 85-90; G. Kretschmar, "Himmelfahrt und Pfingsten." ZKG 66 (1954-55) 209-53; X. Léon-Dufour, Resurrection and the Message of Easter (New York: Holt, Rinehart and Winston, 1974) 80-94; G. Lohfink, Die Himmelfahrt Jesu: Untersuchungen zu den Himmelfahrts- und Erhöhungstexten bei Lukas (SANT 26; Munich: Kösel, 1971); "Der historische Ansatz der Himmelfahrt Christi," Catholica 17 (1963) 44-84; M. McNamara, "The Ascension and the Exaltation of Christ in the Fourth Gospel," Scr 19 (1967) 65-73; J. F. Maile, "The Ascension in Luke-Acts," TynBull 37 (1986) 29-59; P.-H. Menoud, "'Pendant quarante jours' (Actes i 3)," Neotestamentica et patristica: Eine Freundesgabe, Herrn Prof. Dr. Oscar Cullmann . . . (NovTSup 6; Leiden: Brill, 1962) 148-56; "Remarques sur les textes de l'Ascension dans Luc-Actes," Neutestamentliche Studien für Rudolf Bultmann . . . (BZNW 21; ed. E. Eltester; Berlin: Töpelmann, 1954) 148-56; B. M. Metzger, "The Ascension of Jesus Christ," Historical and Literary Studies: Pagan, Jewish, and Christian (NTTS 8; Grand Rapids: Eerdmans, 1968) 77-87; "The Meaning of Christ's Ascension," Search the Scriptures: New Testament Studies in Honor of Raymond T. Stamm (Gettysburg Theological Studies 3; ed. J. M. Meyers et al.; Leiden: Brill, 1969) 118-28; P. Miquel, "Le mystère de l'Ascension," Questions liturgiques et paroissiales 40 (1959) 105-26; C. F. D. Moule, "The Post-Resurrection Appearances in the Light of Festival Pilgrimages," NTS 4 (1957-58) 58-61; J. Neuner, "He Ascended into Heaven," Vidyajyoti 59 (1995) 400-404; M. C. Parsons, The Departure of Jesus in Luke-Acts: The Ascension Narratives in Context (JSNTSup 21; Sheffield, UK: JSOT, 1987); R. Pesch, "Der Anfang der Apostelgeschichte: Apg 1,1-11: Kommentarstudie," EKKNT Vor. 3 (1971) 7-35: A. M. Ramsey, "What Was the Ascension?" SNTS Bulletin 2 (1951) 43-50; B. Rigaux, Dieu l'a resuscité: Exégèse et théologie biblique (Gembloux: Duculot, 1973) 258-63; K. H. Schelkle, "Christi Himmelfahrt," GuL 41 (1968) 81-85; G. Schille, "Die Himmelfahrt," ZNW 57 (1966) 182-99; E. Schillebeeckx, "Ascension and Pentecost," Worship 35 (1960-61) 336-63; H. Schlier, "Jesu Himmelfahrt nach den lukanischen Schriften," Gul 34 (1961) 91-99; A. Weiser, "Himmelfahrt Christi (I: Neues Testament)," TRE 15 (1986) 332; A. Wikenhauser, "Die Belehrung der Apostel durch den Auferstandenen nach Apg 1,3," Vom Wort des Lebens: Festschrift für Max Meinertz (NTAbh Erg. 1; Münster in W.: Aschendorff, 1951) 105-13; S. G. Wilson, "The Ascension, a Critique and an Interpretation," ZNW 59 (1968) 269-81; A. W. Zwiep, "The Text of the Ascension Narratives (Luke 24.50-3; Acts 1.1-2,9-11)," NTS 42 (1996) 219-44.

4. See further R. P. Martin, Carmen Christi: Philippians ii.5-11 in Recent Interpretation and in the Setting of Early Christian Worship (SNTSMS 4; Cambridge: Cambridge University, 1967; repr. Grand Rapids: Eerdmans, 1983) 197-248; P. Grelot, "Deux notes critiques sur Philippiens 2,6-11," Bib 54 (1973) 169-86, esp. 176-79; J. A. Fitzmyer, WA, 118-25; "The Aramaic Background of Philippians 2:6-11," CBQ 50 (1988) 470-83; repr. as "The Christological Hymn of Philippians 2:6-11," According to Paul: Studies in the Theology of the Apostle (New York/Mahwah, NJ: Paulist, 1993) 89-105, 149-51.

5. See further J. T. Sanders, The New Testament Christological Hymns: Their Historical Religious Background (SNTSMS 15; Cambridge: Cambridge University, 1971) 15-17, 94-95; E. Schweizer, "Two Early Christian Creeds Compared, I Corinthians 15.3-5 and I Timothy 3.16," Current Issues in New Testament Interpretation (ed. W. Klassen and G. F. Snyder; New York: Harper & Row, 1962) 168-77; Lordship and Discipleship (SBT 28; London: SCM, 1960) 64-66; J. Jeremias, Die Briefe an Timotheus und Titus (NTD 9; 8th ed.; Göttingen: Vandenhoeck & Ruprecht, 1963) 23-25; M. Dibelius and H. Conzelmann, The Pastoral Epistles (Hermeneia; Philadelphia: Fortress, 1972) 61-63.

6. E.g., J. H. Bernard, Critical and Exegetical Commentary on the Gospel according to St. John 1 (Edinburgh: Clark, 1928) 114.

7. E.g., R. E. Brown, *The Gospel according to John* (AB 29, 29A; Garden City, NY: Doubleday, 1966, 1970), 1.146; C. K. Barrett, *The Gospel according to St. John* (2d ed.; Philadelphia, PA: Westminster, 1978) 427; B. Lindars, *The Gospel of John* (NCB; Greenwood, SC: Attic, 1972) 157; R. Schnackenburg, *The Gospel according to St. John* (3 vols.; New York: Crossroad, 1980-82), 1.396. Cf. G. Bertram, "Die Himmelfahrt Jesu vom Kreuz aus und der Glaube an seine Auferstehung," *Festgabe für Adolf Deissmann*... (Tübingen: Mohr [Siebeck], 1927) 187-217.

8. See further C. H. Dodd, *Historical Tradition in the Fourth Gospel* (Cambridge, UK: Cambridge University, 1965).

9. The Apostolic Preaching and Its Developments (London: Hodder and Stoughton, 1936; repr. New York: Harper, 1962) 17-24. For another view of this matter, see U. Wilckens, Die Missionsreden der Apostelgeschichte (WMANT 5; Neukirchen-Vluyn: Neukirchener-V., 1961); cf. J. Dupont, "Les discours missionnaires des Actes des Apôtres," RB 69 (1962) 37-60, esp. 39-50.

10. For the relation of the Father's "glory" to his "power" in the resurrection, see J. A. Fitzmyer, "'To Know Him and the Power of His Resurrection' (Phil 3:10)," *Mélanges bibliques en hommage au R. P. Béda Rigaux* (ed. A. Descamps et al.; Gembloux: Duculot, 1970) 411-25; repr. TAG, 202-17, esp. 209-13.

11. See further Phil 3:20; 1 Cor 4:5; 15:47; Rom 8:34; cf. 2 Thess 1:7; Col 3:1; Eph 1:3, 20; 2:6; 6:9; 1 Pet 3:22; 2 Tim 4:18; Acts 7:55; Heb 1:3, 13; 7:26; 8:1; 9:12, 24; 10:12-13; 12:2.

12. See G. Bertram, "Der religionsgeschichtliche Hintergrund des Begriffs der 'Erhöhung' in der Septuaginta," ZAW 68 (1956) 57-71; E. Bock, "Von der Himmelfahrt im Alten und Neuen Testament," Christengemeinschaft 4 (1927) 45-50; and especially G. Lohfink, Die Himmelfahrt Jesu (n. 3 above), 32-79.

13. See further John 7:33; 16:5; or (going to the Father) 13:1; 14:28; 16:10, 17, 28; 17:11; or (going to God) 13:3.

14. R. E. Brown, John (n. 7 above), 1012; A. Vergote, "L'Exaltation du Christ en croix selon le quatrième évangile," ETL 28 (1952) 5-23.

15. C. K. Barrett, Gospel according to St. John (n. 7 above), 566.

16. E. J. Epp, "The Ascension in the Textual Tradition of Luke-Acts," New Testament Textual Criticism: Its Signficance for Exegesis: Essays in Honour of Bruce M. Metzger (ed. E. J. Epp and G. D. Fee; Oxford: Clarendon, 1981) 131-45, esp. 134.

17. Ibid., 144.

18. The New Testament in the Original Greek (2 vols.; rev. ed.; Cambridge/London: Macmillan, 1890-96).

19. On the Western Non-Interpolations, see my commentary, *The Gospel according* to Luke (AB 28, 28A; Garden City, NY: Doubleday, 1981, 1985) 130-32; cf. B. M. Metzger, *TCGNT* (2d ed., 1994) 5\*-7\*.

20. See V. Martin and R. Kasser, *Papyrus Bodmer XIV-XV: Evangiles de Luc et Jean 1* (Cologny: Bibliotheca Bodmeriana, 1961) 150. For a good survey of all the evidence, see Epp, "The Ascension" (n. 16 above); cf. A. W. Zwiep, "The Text" (n. 3 above), 222-34.

21. In *TCGNT* (1st ed., 1971), 189-90, the pejorative judgment of the committee is recorded as D ("a very high degree of doubt concerning the reading selected for the text," xxviii). In the 4th ed. of the UBSGNT (1993), this clause now bears the reading B ("the text is almost certain," 3\*); compare *TCGNT* (2d ed., 1994), 162-63. Note, however, that A. Huck and H. Greeven (*Synopse der drei ersten Evangelien* . . . [Tübingen: Mohr (Siebeck), 1981] 281) still relegated it to the *apparatus criticus*, despite the fact that it was the *lectio difficilior* and the form of the text that best explained the other variants.

22. Contrast the passive expressions for the resurrection in 1 Cor 15:20; 2 Cor 5:15; Rom 6:4, 9; 7:4; 8:34 with the active intransitive forms in 1 Thess 4:14; Mark 8:31; 9:9, 31; Luke 24:46; Acts 17:3. Cf. J. Fernández y Fernández, "La ascensión del Señor: Subió al cielo por su propria virtud," CB 11 (1954) 134-42; J. Dupont, Etudes sur les Actes des Apôtres (LD 45; Paris: Cerf, 1967) 477-80.

23. See "To Know Him" (n. 10 above), 413-14 or 204-6.

24. For details, see R. E. Brown, John (n. 7 above), 992-94; Schnackenburg, John (n. 7 above), 3.318-19.

25. For the textual problems of v. 2 and the reading of the Western Text that does not include the verb *anelēmphthē*, see Epp, "The Ascension" (n. 16 above), 136-37; cf. *TCGNT* (2d ed., 1994), 236-41.

26. For the textual problems of vv. 9-11, see Epp, "The Ascension" (n. 16 above), 137-44.

27. One should not neglect the further reference to the ascension in Acts 1:22; as in 1:2, it is again used to mark the end of Jesus' public ministry. In this context, however, that end is to be understood as some forty days after the resurrection.

28. In Luke 24:50 it was "Bethany," a locality not significantly different, since that village was on the Mount of Olives.

29. For clouds in other apocalyptic passages, see Dan 7:13; *1 Enoch* 14:8; 1 Thess 4:17; Rev 1:7; 11:12. More remote Old Testament background can be found in Exod 13:21-22; 24:15-18 (as a sign of divine or heavenly presence). Cf. W. K. Lowther Clarke, "The Clouds of Heaven: An Eschatological Study," *Theology* 31 (1935) 63-72, 128-41; R. B. Y. Scott, "'Behold, He Cometh with Clouds,' "*NTS* 5 (1958-59) 127-32; M. D. R. Willinck, "Studies in Texts: 'A Cloud Received Him' (Acts 1:9)," *Theology* 24 (1927) 297-99.

30. For angel-interpreters, see *1 Enoch* 19:1; 22:3; 23:4; Rev 10:9; 19:9-10; 22:8. Cf. 1 Thess 4:17.

31. See R. Pesch, "Der Anfang" (n. 3 above), 7-35; G. Lohfink, Die Himmelfahrt Jesu (n. 3 above), 147-62.

32. See Pesch, "Der Anfang" (n. 3 above), 25-32.

33. The Theology of St Luke (New York: Harper & Bros., 1960) 12-16; cf. Luke (n. 19 above), 179-87.

34. See chap. 12, n. 18.

35. See chap. 19 below for a further discussion of this description (p. 377).

36. See further W. Michaelis, TDNT, 5.355-56. For other aspects of the appearances of the risen Christ, see chap. 19 (pp. 377-78).

37. In this connection one may recall Paul's boast, "A man in Christ... who was caught up to the third heaven — whether in the body or out of the body I do not know" (2 Cor 11:2). Cf. Aristotle, *Metaphysics* 12.8 §1037a.

38. This has to be emphasized in contrast to such modern writers as A. R. C. Leaney, who speaks of Luke's having "invented the Ascension as a physical event" ("Why There Were Forty Days between the Resurrection and the Ascension in Acts 1,3," *SE IV* [TU 102] 417-19, esp. 417). I am also uneasy with G. Schille's description of the Lucan story in Acts 1:9-11 as a *Kultätiologie* for an assembly of the Jerusalem community on the Mount of Olives forty days after Passover, in which the ascension of Jesus was recalled ("Die Himmelfahrt," *ZNW* 57 [1966] 183-99, esp. 193). See the critique of this view by Wilson, "The Ascension" (n. 3 above).

39. See Luke (n. 19 above), 171-92.

40. See V. Larrañaga, L'Ascension de Notre-Seigneur dans le Nouveau Testament (Scripta Pontificii Instituti Biblici; Rome: Biblical Institute, 1938) 12-17; P. Benoit, "The Ascension" (n. 3 above), 218-21; U. Holzmeister, "Der Tag der Himmelfahrt des Herrn," ZKT 55 (1931) 44-82; J. G. Davies, He Ascended (n. 3 above), 108-11.

41. In die dominica Paschae 51-52; CCLat 78.550. See G. Morin, "S. Hieronymi presbyteri tractatus novissime reperti," Anecdota maredsolana 3/2 (1902) 418. See also Barn. 15.9; Tertullian, Adv. Iud. 13.23; CCLat 2.1389; Eusebius, De eccl. theol. 3.5; PG 24.1009.

42. Again, the literature on Pentecost in the New Testament is vast; the more important recent studies are the following: N. Adler, Das erste christliche Pfingstfest: Sinn und Bedeutung des Pfingstberichtes Apg 2,1-13 (NTAbh 18/1; Münster in W.: Aschendorff, 1938); C. K. Barrett, A Critical and Exegetical Commentary on the Acts of the Apostles (ICC; Edinburgh: Clark, 1994), 1.106-57; F. Bovon, "Le récit de la Pentecôte," Luc le théologien: Vingt-cinq ans de recherches (1950-1975) (Neuchâtel: Delachaux et Niestlé, 1978) 235-44; S. Brown, "Easter and Pentecost: A Biblical Reflection on Their Relationship," Worship 36 (1972) 277-86; M. Delcor, "Pentecôte (La fête de la)," DBS, 7.858-79; J. D. G. Dunn, Baptism in the Holy Spirit: A Reexamination of the New Testament Teaching on the Gift of the Spirit in Relation to Pentecostalism Today (SBT 2/15; London: SCM; Naperville, IL: Allenson, 1970); J. Dupont, "La nouvelle Pentecôte (Ac 2,1-11)," AsSeign n.s. 30 (1970) 30-34; "The First Christian Pentecost," The Salvation of the Gentiles (Ramsey, NJ: Paulist, 1979) 35-59; C. H. Giblin, "Complementarity of Symbolic Event and Discourse in Acts 2,1-40," SE VI (TU 112 [1973]) 186-96; W. Grundmann, "Der Pfingstbericht der Apostelgeschichte in seinem theologischen Sinn," SE II (TU 87 [1964]) 584-94; K. Haacker, "Das Pfingstwunder als exegetisches Problem," Verborum veritas: Festschrift für Gustav Stählin . . . (ed. O. Böcher and K. Haacker; Wuppertal: Brockhaus, 1970) 125-31; E. Haenchen, The Acts of the Apostles: A Commentary (Philadelphia: Westminster, 1971) 166-89; J. Kremer, Pfingstbericht und Pfingstgeschehen: Eine exegetische Untersuchung zu Apg 2,1-13 (SBS 63-64; Stuttgart: Katholisches Bibelwerk, 1973); "Was geschah Pfingsten? Zur Historizität des Apg. 2,1013 berichteten Pfingstereignisses," Wort und Wahrheit 28 (1973) 195-207; I. H. Marshall, "The Significance of Pentecost," SJT 30 (1977) 247-69; P.-H. Menoud, "La Pentecôte lucanien et l'histoire," RHPR 42 (1962) 141-47; R. Pesch, Die Apostelgeschichte (EKKNT 5/1-2; Einsiedeln: Benziger; Neukirchen-Vluyn: Neukirchener-V., 1986), 1.97-128; L. Potin, La fête juive de la Pentecôte (LD 65; 2 vols.; Paris: Cerf, 1971); J. Ramos García, "Significación del fenómeno del Pentecostés apostólico," EstBíb 3 (1944) 269-93; J. Roloff, Die Apostelgeschichte (NTD 5; Göttingen: Vandenhoeck & Ruprecht, 1981) 37-64; R. Schnackenburg, "Pfingsten damals und heute," Glaubensimpulse aus dem Neuen Testament (Düsseldorf: Patmos, 1972) 106-12; G. Schneider, Die Apostelgeschichte (HTKNT 5; Freiburg im B.: Herder, 1980, 1982), 1.239-79; D. L. Tiede, "Acts 2:1-47," Int 33 (1979) 62-67.

43. Bp. Cassien, as quoted by P.-H. Menoud, "La Pentecôte lucanien" (n. 42 above), 146 n. 11; M.-A. Chevallier, "'Pentecôtes' lucaniennes et 'Pentecôtes' johanniques," *RSR* 69 (1981) 301-13.

44. Despite 1 Cor 16:8, on which see n. 1 above.

45. See Ezek 20:8, 13, 21; 30:15; 36:18.

46. Sometimes Paul does not clearly distinguish the Spirit from the risen Lord (e.g., 2 Cor 3:17, "the Lord is the Spirit"; or 1 Cor 15:45, "the last Adam became a life-giving Spirit").

47. See no. 7 of the section "The New Testament Meaning of the Ascension" above. 48. *TDNT*, 6.51.

49. See Acts of the Apostles (n. 42 above), 75.

50. See the LXX of Tob 2:1 (Sinaiticus omits *hepta*); cf. 2 Macc 12:32. Josephus (*Ant.* 3.10.6 §252) records the postbiblical Aramaic name of the feast as *Asartha*, which he interprets as meaning "fiftieth." That meaning is impossible, since Aramaic '*ăṣartā*' means "gathering," a generic term for a festive assembly. In time, it was used specifically for the gathering of Jews for the Feast of Weeks (see Tg. Onqelos of Num 28:26). Cf. *Ant.* 13.8.4 §252; 14.13.4 §337; 17.10.2 §254; Philo, *De Decalogo* 30 §160; *De specialibus legibus* 2.30 §176.

51. See further R. de Vaux, Ancient Israel: Its Life and Institutions (New York: McGraw-Hill, 1961) 493-95; E. Lohse, TDNT, 6.44-53.

52. Note the LXX version of Lev 23:11: tē epaurion tēs protēs.

53. See Jub. 15:1; 44:4-5.

54. See Str-B, 2.598-600. The dispute continues even today; see M. A. Sweeney, "Sefirah at Qumran: Aspects of the Counting Formulas for the First Fruits Festivals in the Temple Scroll," *BASOR* 251 (1983) 61-66, and the literature cited by him.

55. According to Str-B (2.601), the Feast of Weeks in the Old Testament was a thanksgiving festival for the recently ended wheat harvest, and the interpretation of it as the feast of the memorial of the Sinai covenant cannot be traced back *quellenmässig* before the second century of the Christian era.

56. See B. Noack, "The Day of Pentecost in Jubilees, Qumran, and Acta," ASTI 1 (1962) 73-95. For a different interpretation of some of these texts, see M. Delcor, "Das Bundesfest in Qumran und das Pfingstfest," *BibLeb* 4 (1963) 188-204. Delcor would translate *hag šābû`ôt* as "Feast of Oaths," but that is a farfetched solution.

57. See M. Burrows, The Dead Sea Scrolls of St. Mark's Monastery: 2/2, Plates and Transcription of the Manual of Discipline (New Haven: American Schools of Oriental Research, 1951). Cf. A. Dupont-Sommer, The Essene Writings from Qumran (Oxford:

Blackwell, 1961) 74-76; O. Betz, "Die Proselytentaufe der Qumransekte und die Taufe im Neuen Testament," RevQ 1 (1958-59) 213-28. In this passage the renewal of the covenant is made into a ritual for the renewal of the members' commitment to the community. Of particular significance in it is the recounting of the deeds of God by the priests and of the iniquities of Israel by the levites, with corresponding blessings and curses.

58. See J. T. Milik, Ten Years of Discovery in the Wilderness of Judaea (SBT 26; Naperville, IL: Allenson, 1959) 103, 116-18; G. Vermes, The Dead Sea Scrolls: Qumran in Perspective (Cleveland: Collins & World, 1978) 177-79. Cf. F. M. Cross, The Ancient Library of Qumran and Modern Biblical Studies: Revised Edition (Grand Rapids: Baker, 1980) 90 n. 74, 219 n. 40; T. Elgvin, "The Qumran Covenant Festival and the Temple Scroll," JJS 36 (1985) 103-6.

59. Ancient Israel (n. 51 above), 495. See further H. Conzelmann, Acts of the Apostles (Hermeneia; Philadelphia: Fortress, 1987) 16.

60. See G. Kretschmar, "Himmelfahrt" (n. 3 above); G. Schneider, Apostelgeschichte (n. 42 above), 1.246-47.

61. "The First Christian Pentecost," The Salvation of the Gentiles (n. 42 above), 35-59; "La nouvelle Pentecôte (Ac 2,11)," AsSeign n.s. 30 (1970) 30-34.

62. So read in mss.  $C^3$ , E, and the Koine text-tradition.

63. See D. Barthélemy and J. T. Milik, *Qumran Cave 1* (DJD 1; Oxford: Clarendon, 1955) 130-32.

64. "Ascension du Christ et don de l'Esprit d'après Actes 2:33," Christ and Spirit in the New Testament: In Honour of Charles Francis Digby Moule (ed. B. Lindars and S. S. Smalley; Cambridge: University of Cambridge, 1973) 219-28.

65. As Y. Yadin has proposed, "the author's purpose was to present a sort of expanded and fully supplemented Deuteronomy — or *Mishneh Torah* — that is, a complete written law of God, by which one is to conduct oneself in the conditions of life prevailing in the Promised Land." *The Temple Scroll* (3 vols.; Jerusalem: Israel Exploration Society, 1983), 3.87. Cf. B. Z. Wacholder, *The Dawn of Qumran: The Sectarian Torah and the Teacher of Righteousness* (Cincinnati: Hebrew Union College, 1983) 1-4.

66. See Y. Yadin, *The Temple Scroll*, 2.78-96; cf. 1.105-14. See also G. Brooke, "The Feast of New Wine and the Question of Fasting," *ExpTim* 95 (1983-84) 175-76; R. T. Beckwith, "The Feast of New Wine and the Question of Fasting," *ExpTim* 95 (1983-84) 334-35; J. C. Reeves, "The Feast of the First Fruits of Wine and the Ancient Canaanite Calendar," *VT* 42 (1992) 350-61.

67. See Luke (n. 19 above), 35-47.

68. See Conzelmann, Acts (n. 59 above), 15: "The objection that there would not yet be any 'new wine' at Pentecost is ridiculous."

69. "Zungenreden und süsser Wein: Zur eschatologischen Exegese von Jesaja 28 in Qumran und im Neuen Testament," Bibel und Qumran: Beiträge zur Erforschung der Beziehungen zwischen Bibel- und Qumranwissenschaft: Hans Bardtke zum 22.9.1966 (ed. S. Wagner; Berlin: Evangelische Haupt Bibelgesellschaft, 1968) 20-36.

70. Acts (n. 59 above), 13. His original German says: "und braucht daher nicht zur Substanz der Geschichte zu gehören." Compare H. Sahlin, "Die vierzig Tage zwischen Auferstehung und Himmelfahrt Jesu," Der Messias und das Gottesvolk: Studien zur protolukanischen Theologie (ASNU 12; Uppsala: Almqvist, 1945) 343-47.

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71. The formula *en tō symplērousthai tēn hēmeran tēs pentēkostēs* echoes an Old Testament phrase (Gen 25:24; Lev 8:33; 12:4; 25:30). It scarcely is meant to express some eschatological fulfillment, denoting rather the completion of a period of time.

72. See, e.g., Gen 7:4, 12, 17; 8:6; Exod 24:18; 34:28; Deut 9:9, 18, 25; 1 Sam 17:16; Jonah 3:4. Cf. H. Balz, *TDNT*, 8.136-37; *EDNT*, 3.352.

73. Compare E. F. Harrison, "The Ministry of Our Lord during the Forty Days," BSac 95 (1938) 45-68.

74. "Why There Were Forty Days between the Resurrection and the Ascension in Acts," SE IV (TU 102) 419.

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# Fourteen

# THE USE OF THE OLD TESTAMENT IN LUKE-ACTS\*

THE MATRIX OF CHRISTIANITY was Palestinian Judaism. So it is not surprising that Christianity made use of the sacred writings of Palestinian Jews. Jesus of Nazareth was himself a Palestinian Jew, brought up and trained in the tradition of his people, whose spiritual life was nourished by such writings. In the New Testament writings, which record what he did and said, he is often said to have alluded to or quoted the Hebrew Scriptures of old. When his ministry began a movement among his followers, it developed a tradition of its own, which not only built upon Jesus' teaching and preaching, but used the Hebrew Scriptures to enhance the transmission of that teaching.

After Jesus' death and resurrection his disciples proclaimed him as the Messiah or Christ and fashioned by their own preaching and teaching about him a tradition that has been passed on to Christians throughout the centuries that followed. Part of that tradition was eventually consigned to writing under the guidance of the Holy Spirit in what we have come to know as the Gospels, Epistles, and other books of the New Testament. Yet those writings not only preserve a record of what Jesus did and said or an interpretation of his teaching and his meaning for humanity, but they also associate with that record and interpretation numerous teachings of the Old Testament itself. They draw upon the very Scriptures that Jesus himself used, as he derived from them inspiration and motivation for his ministry. Thus the Christian tradition itself was formed by the preexistent Scriptures of Palestinian Jews. In this way, Christianity became a Religion of the Book, a religion shaped by the Hebrew Scriptures of old and by a pre-written Christian tradition that eventually developed its own book, what we call the New Testament. These Christian Scriptures grew out of the existent Old Testament and the early Christian pre-written tradition. From this experience the early church has evolved its Scripture and Tradition.

The Third Gospel and the Acts of the Apostles are not the earliest writings of the New Testament, being composed about A.D. 80-85. Luke-Acts was preceded by some of the Pauline letters and other writings of the gospel tradition. Acts, however, presents us with a picture of the emergent Christian community, of the body of followers with whom Jesus had surrounded himself and who eventually became his church, as well as of their preaching, teaching, and activity that gradually fashioned the tradition of that church. Acts is a good example of a New Testament writing that reflects the use of the Old Testament; it reveals the role that the Old Testament played in the formation of the nascent Christian community and of the tradition that antedated the consignment of part of it to writing.

Moreover, Acts was written by Luke the evangelist, who also gave us an account of the life and ministry of Jesus of Nazareth. For this reason the Lucan writings, the Third Gospel and the Acts of the Apostles, are a unique New Testament record of the early beginnings of Christianity. The way that the Old Testament is used in them is unparalleled. Other New Testament writers, to be sure, quote the Old Testament, but Luke-Acts, written by one person, represents about a quarter of the New Testament. The Lucan writings show us, then, how one New Testament writer used the Old Testament to enhance his story about Jesus and about the sequel to the movement that he started, viz. the early church. Yet the imitation of Old Testament phrases would be expected in a writing that seeks to tell that story in the manner of biblical history or of the historical narratives of the Old Testament.<sup>1</sup> For this is recognized today as one of the purposes of Luke-Acts: Luke sought to retell the Jesus-story and its sequel in a fashion that imitated the historical narratives of the Old Testament.

To illustrate the use of the Old Testament in Luke-Acts, I shall devote my remarks to four main topics: (1) formulas used by Luke to introduce explicit Old Testament quotations; (2) Old Testament passages that Luke explicitly quotes; (3) the mode in which Luke quotes the Old Testament; and (4) the significance of the Lucan use of the Old Testament.<sup>2</sup>

# I. Formulas Used by Luke to Introduce Explicit Old Testament Quotations

Luke not only quotes Old Testament passages in an explicit fashion, making use of introductory formulas to identify them as such, but often alludes to Old Testament episodes or employs Old Testament phrases without calling attention to them. In at least three places in his Gospel and seventeen places in Acts he incorporates such allusive phrases: Luke 21:27; 22:69; 23:30; Acts 2:30, 31, 39; 3:13; 7:3, 5b, 7, 18, 27-28, 30, 32, 33, 34, 35, 37, 40; 13:22.<sup>3</sup> In many other places he does so, but not as clearly.<sup>4</sup> Luke also quotes the Old Testament explicitly in twenty-three instances in his Gospel and in twenty-two instances in Acts,<sup>5</sup> introducing them by special formulas that relate his account to the Old Testament as a continuation of its biblical history. Such quotations show the connection between Christianity and Judaism, between the Christ-event and its sequel and Old Testament history.

Luke uses introductory formulas to show that he is deliberately citing Old Testament writings and thereby relating his account to the history enshrined in those writings. He uses different forms of two/three verbs, "to write" and "to say," which were commonly used by contemporary Palestinian Jewish writers, when they were quoting other writers.

(1) Using γράφειν, "write," Luke employs such formulas as these:

- (a) γέγραπται, "it has been written" (Luke 4:8; 19:46).
- (b) γέγραπται ὅτι, "it has been written that" (Luke 4:4).
- (c) γέγραπται γὰρ ὅτι, "for it has been written that" (Luke 4:10; Acts 23:5).
- (d) γέγραπται γὰρ ἐν βίβλω ψαλμῶν, "for it has been written in (the) Book of Psalms" (Acts 1:20).
- (e) καθώς γέγραπται, "as it has been written" (Acts 15:15).
- (f) καθώς γέγραπται ἐν νόμφ Κυρίου ὅτι, "as it has been written in (the) law of the Lord" (Luke 2:23).
- (g) καθώς γέγραπται ἐν βίβλω τῶν προφήτων, "as it has been written in (the) book of the prophets" (Acts 7:42).
- (h) ὡς γέγραπται ἐν βίβλῷ λόγων 'Hoαίου τοῦ προφήτου, ''as has been written in (the) book of the sayings of Isaiah the prophet'' (Luke 3:4).
- (i) ὡς καὶ ἐν τῷ ψαλμῷ γέγραπται τῷ δευτέρῳ, "as it has also been written in the second psalm" (Acts 13:33).<sup>6</sup>
- (j) οὗτός ἐστιν περὶ οὖ γέγραπται, "this is the one about whom it has been written" (Luke 7:27).
- (k) τί οὖν ἐστιν τὸ γεγραμμένον τοῦτο, "What, then, is this which has been written?" (Luke 20:17).
- (l) τοῦτο τὸ γεγραμμένον δεῖ τελεσθῆναι ἐν ἐμοί· τό, "that which has been written must be fulfilled in me, namely" (Luke 22:37).
- (m) τὸν τόπον οὖ ἦν γεγραμμένον, "the place where it was written" (Luke 4:17).
- (n) Μωϋσῆς ἔγραψεν ἡμῖν, "Moses wrote for us" (Luke 20:28).

(2) Using λέγειν, "say," or λαλεῖν, "speak," Luke employs such formulas as these:

- (0) λέγει ὁ Θεός, "God says" (Acts 1:20).
- (p)  $\circ \Theta \varepsilon \circ \varsigma \varepsilon i \pi \varepsilon v$ , "God has said" (Acts 7:7).
- (q) ώς λέγει, "as He/it says" (Luke 20:37).

- (r) εἴρηται, "it is said" (Luke 4:12).
- (s) έλάλησεν δὲ οὕτως ὁ θεὸς ὅτι, "and in this way God said that . . ." (Acts 7:6).
- (t) léger dè autos [Lauíd], "but [David] himself says" (Acts 2:34).
- (u) Δαυίδ γὰρ λέγει εἰς αὐτόν, "for David says about him" (Acts 2:25).
- (v) αὐτὸς γὰρ Δαυίδ λέγει ἐν βίβλφ ψαλμῶν, "for David himself says in the Book of Psalms" (Luke 20:42).
- (w) Μωϋσῆς μέν εἶπεν ὅτι, "now Moses has said that" (Acts 3:22).
- (x) λέγων πρòς Άβραάμ, "saying to Abraham" (Acts 3:25).
- (y) καθώς δ προφήτης λέγει, "as the prophet (Isaiah) says" (Acts 7:48).
- (z) ούτως εἴρηxεν ὅτι, "so he has said that . . ." (Acts 13:34).
- (aa) διότι καὶ ἐν ἑτέρφ λέγει, "because it also says in another [psalm]" (Acts 13:35).
- (bb) βλέπετε οὖν μη ἐπέλθη τὸ εἰρημένον ἐν τοῖς προφήταις, "see to it, then, that what was said in the prophets does not come about" (Acts 13:40).
- (cc)  $\delta$  τοῦ πατρὸς ἡμῶν διὰ πνεύματος ἀγίου στόματος Δαυίδ παιδός σου εἰπών, "(You, O Lord), who said by the Holy Spirit (through) the mouth of our father David, your servant" (Acts 4:25-26).
- (dd) καλῶς τὸ πνεῦμα τὸ ἅγιον ἐλάλησεν διὰ 'Ησαΐου τοῦ προφήτου πρὸς τοὺς πατέρας ὑμῶν λέγων, "well indeed has the Holy Spirit spoken through Isaiah the prophet to your fathers, saying" (Acts 28:26).
- (ee) κατὰ τὸ εἰρημένον ἐν τῷ νόμῷ Κυρίου, "according to what has been said in the law of the Lord" (Luke 2:24).

(3) Lastly, sometimes Luke writes simply:

- (ff) και ότι, "and that" (introducing a follow-up quotation, Luke 4:11).
- (gg) οὖτός ἐστιν, "this is. . ." (Acts 4:11).
- (hh) τοῦτό ἐστιν τὸ εἰρημένον διὰ τοῦ προφήτον 'Ιωήλ, "this is what was said by the prophet Joel" (Acts 2:16).
- (ii) οὗτός ἐστιν ὁ Μωϋσῆς ὁ εἴπας τοῖς υἱοῖς Ἰσραήλ, "this is the Moses who said to the children of Israel" (Acts 7:37).
- (jj) οὕτως γὰρ ἐντέταλται ἡμῖν ὁ Κύριος, "for so the Lord has commanded us" (Acts 13:47).
- (kk) ή δὲ περιοχή τῆς γραφῆς ῆν ἀνεγίνωσκεν ἦν αὕτη, "now the passage of Scripture that he was reading was this" (Acts 8:32).
- (11) τάς ἐντολὰς οἶδας, "you know the commandments" (Luke 18:20).
- (mm) iva, "in order that" (Luke 8:10).

Two instances need special attention. In one, Jesus asks a question, using a formula, which does not introduce an Old Testament passage that he cites: (nn)  $\dot{\epsilon}v \tau \tilde{\omega} v \dot{\omega} \omega \tau i \gamma \dot{\epsilon} \gamma \rho \alpha \pi \tau \alpha$ ;  $\pi \tilde{\omega} \zeta \dot{\alpha} v \alpha \gamma v \dot{\omega} \sigma \varkappa \epsilon i \zeta$ ; "What is written in the law?

 $\ell_{\gamma}$ 

How do you read (it)?" (Luke 10:26). The lawyer's answer then quotes part of the Decalogue. In the other instance, the risen Christ purportedly quotes the Old Testament, but no one has ever been able to identify the passage: (00) outwog  $\gamma \epsilon \gamma \rho \alpha \pi \tau \alpha$ ,  $\pi \alpha \theta \epsilon \bar{\nu} \tau \tau \lambda \nu$  XPIOTOV XAÌ  $\alpha \nu \alpha \sigma \tau \bar{\eta} \nu \alpha \bar{\nu} \epsilon \nu \nu \kappa \rho \bar{\omega} \nu \tau \bar{\eta} \tau \rho \tau \eta \eta \epsilon \rho \alpha$ , "thus it has been written, 'the Messiah must suffer and rise on the third day'" (Luke 24:46).

Significantly, not many of these introductory formulas turn up in the LXX, though one or other does:  $\kappa\alpha\theta\omega\varsigma$   $\gamma\epsilon\gamma\rho\alpha\pi\tau\alpha\iota$  is used in 2 Kgs 14:6; 23:21; 2 Chr 23:18 ( $\kappa\alpha\theta\omega\varsigma$   $\gamma\epsilon\gamma\rho\alpha\pi\tau\alpha\iota$   $\epsilon\nu$   $\nu\delta\mu\omega$  M $\omega\nu\sigma\eta$ ); 25:4;<sup>7</sup> 1 Esdr 3:9; Tob 1:6; and in the Theodotion text of Dan 9:13.

Much more significantly, however, a good number of these introductory formulas have Hebrew counterparts in Qumran texts, in which isolated Old Testament verses are similarly quoted.<sup>8</sup> Thus, καθώς γέγραπται corresponds to care, which is also found in the MT of Dan 9:13. In 2 Kgs 14:6 and 2 Chr 23:18 there is the abbreviated form  $\Box$  care care is often used in Qumran writings: 1QS 5:17; 8:14; CD 7:19; 4QFlor 1-2 i 12; 4QpIsa<sup>c</sup> 4-7 ii 18; 47:2; 4QCatena<sup>a</sup> 10–11:1; 4Q178 3:2.

Similarly, one finds in the same writings other Hebrew equivalents of Lucan formulas. For instance, with the verb "to write":

- כאשר כתוב בספר [מושה], "as (is) written in the book of [Moses]" (4QFlor 1-2 i 2). Compare d and f above.
- כאשר אמר, "as (is) written about it in the songs of David, who said" (11QMelch 2:9-10; cf. 2:23). Compare d above.
- כי כן כתוב, "for so it (is) written" (1QS 5:15; CD 11:18). Compare oo above.
- כי כתוב, "for (it is) written" (CD 11:20). Compare c above.
- אשר כתוב בספר ישעיה הנביא, "as/what (has been) written in the book of Isaiah the prophet" (4QFlor 1-2 i 15). Compare g and h above.
- אש]ר כתוב בספר דניאל הנביא, "as/what (has been) written in the book of Daniel the prophet" (4QFlor 1-2 ii 3). Compare g above.
- אשר כתוב עליהמה בספר יחוקאל הנביא, "and these are the things about which (it has been) written in the book of Ezekiel the prophet" (4QFlor 1-2 i 16). Compare i and hh above.
- היא העת אשר היה כתוב עליה, "this is the time about which it has been written" (CD 1:13). Compare j above.
- אשר אשר אמר, "when there comes to pass the thing which (is) written in the words of Isaiah son of Amoz the prophet, who said" (CD 7:10-11). Compare h, which is not exact, and also bb, which may be a sort of opposite formula.
- הנביא הרבר אשר כתוב ביד זכריה הנביא, "when there comes to pass the thing which (has been) written by Zechariah the prophet" (CD 19:7).

With a verb "to say":

אשר אמר, "as He/it said" (CD 7:8 [= 19:5], 14, 16; 20:16 [13:23]). Compare q above.

כאשר דבר, "as He/it said" (CD 19:15). Compare q above.

- ר דבר מושה], "as Moses said" (4QOrd [4Q159] 5:7). Compare w above.
- אשר אמר אל עליהם, "what/as God has said about them" (CD 6:13; 8:9). Compare o and p above.
- אשר אמר עליהם לוי בן יעקב, "about whom Levi son of Jacob said" (CD 4:15). Compare u above.

אשר אמר ביד יחזקאל, "what/as He said through Ezekiel" (CD 19:11-12). אשר אמר ישעיה, "what/as Isaiah said" (CD 6:7-8). Compare y above.

- אשר אמר דויד, "what/as David said" (4QCatena<sup>a</sup> 12–13 i 2). Compare t above.
- אשר אמר דנ[יאל עליו, "what/as Dan[iel] said [about it]" (11QMelch 2:18). Compare u above.
- אשר אמר מושה לישראל, "what/as Moses said to Israel" (CD 8:14; 19:26-27). Compare ii above.
- ומושה אמר, "and Moses said" (CD 5:8). Compare w above.
- אשר הגיד [מושה] לנו, "what/as [Moses] informed us" (1QM 10:1). Compare ii above.
- כי]א הוא אשר אמר (1QpHab 3:13-14; 5:6; CD 10:16; 16:10). Compare gg and hh above.
- הוא הדבר אשר אמר ירמיהו לברוך, "this is the word that Jeremiah spoke to Baruch" (CD 19:7). Compare hh above.
- כאשר דבר אל ביד ישעיה הנביא בן אמוץ, "as God said through Isaiah the prophet, son of Amoz" (CD 4:13). Compare cc and dd above.
- מצות אל אשר אמר לו, "God's commandment, which said to him" (CD 9:7). Compare ll above.
- על השבועה אשר אמר, "about the oath which said" (CD 9:9).

The Lucan formulas are not in every instance an exact equivalent, but they resemble the Palestinian Jewish formulas enough to reveal how closely Luke depends on a genuine Palestinian Jewish custom of quoting the Hebrew Scriptures in other writings. This is noteworthy, because Luke is the evangelist most influenced by his Hellenistic ambiance. Despite it, his interpretation of Old Testament passages reveals his dependence on such a Palestinian Jewish exegetical tradition. This has been noted by other writers as well.<sup>9</sup> It is thus a mode of relating Luke's understanding of the Christ-event and its sequel to the record of God's salvation history in the sacred writings of the Jewish people.

Moreover, these Lucan formulas reflect a first-century Palestinian Jewish

mode of quoting Scripture, and precisely as this mode differs from the later rabbinic mode. Although introductory formulas are used in the early rabbinic writing, the Mishnah, and even various forms with the verbs אמר, "write," or "say," the Lucan formulas are closer to those of Qumran writings than to the Mishnaic forms. In fact, very few of the Mishnaic formulas find counterparts in Luke-Acts. Only three or four Lucan formulas are listed by B. M. Metzger in his comparative study of the Mishnaic and New Testament introductory formulas.<sup>10</sup> They are not exact, but again only somewhat similar:

- עבדך לאמר, "as (is) written in the law of Moses, your servant, saying" (m. Yoma 3:8; 6:2 [4:2]).<sup>11</sup> Compare f above.
- ישראל ידי דוד מלך ישראל, "and thus (it is) written in the book of Psalms by David, the king of Israel" (m. Aboth 6:9 [with variants in some mss.]). Compare d above.
- which/it is said" (m. Shabb. 9:1-4). This niphal form (šene'emar) is very common in the Mishnah. Compare r above.
- היאך אתה קורא, "How do you read?" (m. Abod. Zar. 2:5). Compare nn above.

Here we are confronted with a difference of the Mishnaic from the Qumran formulas because of the relative dating of them; those in Qumran literature date roughly from the end of the second century B.C. to the second or third quarter of the first century A.D., whereas those in the Mishnah do not antedate A.D. 200. The fact that the Lucan formulas conform to those in Qumran literature more than they do to the Mishnaic types is yet another indication of the kind of Jewish literature that best illustrates the Palestinian background of the New Testament, and even of the Lucan writings.

Related to this mode of introducing Old Testament quotations in his narrative account or discourses is the way Luke introduces a quotation from classical Greek literature in the Areopagus speech (Acts 17:28). R. Renehan has shown that the use of the plural  $\dot{\omega}\zeta$  καί τινες τῶν καθ' ὑμᾶς ποιητῶν, "as even some of your own poets (have said)," is a normal Greek method to introduce a single and specific poetic quotation,<sup>12</sup> and that the only poetic citation used here by Luke is Aratus' half-verse, τοῦ γὰρ καὶ γένος ἐσμεν, "for we are indeed his offspring."<sup>13</sup> Luke's introductory formulas should probably also be compared with those of a writer like Josephus.<sup>14</sup>

# II. The Old Testament Passages That Luke Explicitly Quotes

In considering the passages that Luke quotes explicitly, one should note four things:

(1) Normally, Luke does not cite an extended passage from the Old Testament, but only a verse or two. The only exception to this is found in Acts 2:17-21, where five verses of Joel 3 are cited (vv. 1-5a). Moreover, he does not cite the Old Testament either in the manner of a Qumran pesher, i.e. citing continuously verse after verse, commenting on them, and relating them to his account,<sup>15</sup> or in the manner of later rabbinic midrashim.

(2) The chapters in the Gospel and Acts in which the Old Testament quotations occur and the literary forms into which Luke has introduced them may be significant. Conversely, the chapters in which they do not appear and the literary forms in which he has not introduced them may also be noteworthy.

For instance, although the Lucan infancy narrative is written in heavily Septuagintal Greek, it contains only two explicit quotations: Exod 13:2, 12, 15 (regulations about the presentation of the firstborn) in Luke 2:23; and Lev 12:8 (offering of turtledoves or pigeons) in Luke 2:24. The story of Jesus' temptation, however, is a dialogue in which the conversation is wholly that of Old Testament quotations: Deut 8:3 in Luke 4:4; Deut 6:13 in 4:8; Ps 91:11-12 in 4:10-11; Deut 6:16 in 4:12. This is derived from "Q," and so it may not be a characteristically Lucan feature.<sup>16</sup> The programmatic scene in the Nazareth synagogue (4:18-19), where Jesus speaks of being anointed with the Spirit to preach good news to the poor, is built on Isa 61:1-2, conflated with Isa 58:6. Thus nine of the Old Testament quotations occur in these early chapters in the Gospel. The other fourteen are scattered through chaps. 7, 8, 10, 13, 18, 19, 20, 22, 23, without any apparent reason for their appearance apart from the earlier gospel tradition on which Luke depends (either "Mk" or "Q"). Again, it may be noteworthy that there is no Old Testament quotation in the Lucan resurrection narrative.

As for Acts, there are no explicit quotations of Old Testament passages in chaps. 5, 6, 9-12, 15, 16-22, and 24-27 of Acts. This means that Luke has seen fit not to incorporate such quotations into his narrative of the persecution of early Christians, the story of Paul's conversion, the evangelization of Judea and Samaria, Peter's mission in Palestine, the Cornelius incident and the inauguration of preaching to Gentiles, most of Paul's first missionary journey (apart from the speech in the synagogue of Pisidian Antioch), the entire story of Paul's later missionary journeys (II and III), and the accounts of Paul's arrest in Jerusalem and voyage to Rome. In the whole second half of Acts Luke introduces an explicit Old Testament quotation only once: in 23:5, when Paul appears before the Sanhedrin, he excuses himself for having unwittingly called the high priest a "whitewashed wall" by citing Exod 22:27, which forbids anyone to speak against or curse a leader in Israel. Finally, at the very end of Acts (28:26-27), as Paul addresses the leaders of the Roman Jews, he is made to quote against them the prophetic saying about a people of ears that hear not and eyes that see not (Isa 6:9-10).

(3) In Acts the vast majority of the explicit quotations of the Old Testament are found in chaps. 1–4, 7, and 13, with isolated individual quotations in the narratives of chaps. 8, 15, 23, and 28. In the first group, the Old Testament is quoted in the four speeches of Peter (chaps. 1–4), in the speech of Stephen (chap. 7), and in the speech of Paul in Pisidian Antioch (chap. 13). From these occurrences we see that Luke has used the quotations, never in his well-known summary statements, rarely in his narrative episodes, and frequently in the discourses put on the lips of important figures in his story.<sup>17</sup>

Apart from the address of Peter before the selection of Matthias to replace Judas as a member of the Twelve (1:16-24), the Old Testament quotations occur in the *missionary discourses* of Peter (2:14-36; 3:12-26; 4:8-11) and of Paul (13:16-41, 46-47), and in the *indictment* that Stephen addresses to the Jews of Jerusalem (7:2-53), in effect, in speeches addressed to Jews. Apart from these occurrences in speeches, an isolated quotation is used in the prayer of the early Jewish Christian community in Jerusalem in 4:24-30.

In the discourses of Stephen and Paul it is, on the one hand, easy to see why so many Old Testament passages would be used, since both of these discourses include résumés of Israel's history as part of their argument. Israel's history forms part of Stephen's indictment of the Jerusalem Jews as well as of the background to Jesus' ministry that Paul presents to the Jews of Pisidian Antioch. Likewise in these speeches of Stephen and Paul, most of the allusions to the Old Testament or phrases drawn from it occur. Stephen's speech (chap. 7) includes forty such allusions, and Paul's speech (chap. 13) seven. On the other hand, it is not surprising that there are no explicit quotations from the Old Testament in the speeches that Paul addresses to pagans in Lystra (14:15-17) or to Athenians on the Areopagus (17:22-31). There are Old Testament phrases, however, even in these speeches: one from Exod 20:11 in the speech at Lystra (14:15),<sup>18</sup> and two in the speech to the Athenians, from Isa 42:5 in Acts 17:24, and from Ps 9:919 in Acts 17:31. In the latter Paul cites a pagan poet instead of Scripture (Acts 17:28), the third-century Aratus of Soli in Cilicia, τοῦ γὰρ καὶ yévoç éoµév, "for we are indeed his offspring" (Phainomena 5), a suitable substitute for his non-Jewish audience.

(4) When one looks at the Old Testament books from which Luke draws his explicit quotations, one finds that he derives sixteen of them from the Pentateuch (ten in the Gospel and six in Acts), sixteen from the Prophets (seven in the Gospel and nine in Acts), and fourteen from the Psalms (seven in the Gospel and seven in Acts). Interestingly enough, there are no quotations from the historical books (Joshua, Judges, 1-2 Samuel, 1-2 Kings, 1-2 Chronicles, Ezra, Nehemiah). This is striking, because Luke's obvious desire in writing Luke-Acts is to construct a story of the Jesus-event and its sequel in imitation of biblical narratives of old. Though he quotes from the prophets Isaiah, Jeremiah, Joel, Amos, Habakkuk, and Malachi, there are no quotations from Ezekiel or Daniel, and none from the so-called Writings, apart from the Psalter.

Thus, Luke has quoted from those parts of the Old Testament that he himself has mentioned in Luke 24:44, where he depicts the risen Christ appearing to the disciples and saying, "Now this is what my words meant which I addressed to you while I was still with you: all that was written about me in the Law of Moses, in the Prophets, and in the Psalms must see fulfillment." This mode of referring to the Old Testament has often been appealed to as an indication of the parts of the Old Testament that were then regarded as canonical among the Jews of Luke's day:<sup>20</sup> the הורה הורה, the ביאים, and the Testament about me writings been puzzled why Luke mentions only the Psalms from among the Writings (כתובים). Were other parts of the Writings not yet regarded as books that "defile the hands" (m. Yadaim 3:5),<sup>21</sup> or what Christians would call canonical? Now that we have analyzed Luke's Old Testament quotations, we may have at least a partial answer to that question, why Luke speaks only of the Law, the Prophets, and the Psalms.

### III. The Mode in Which Luke Quotes the Old Testament

When we scrutinize the way in which Luke cites the Old Testament, we see that he normally quotes it in its Greek form, according to what we usually call the Septuagint (LXX). Thus in sixteen instances the quotation is drawn from the LXX verbatim:

Lev 19:18 in Luke 10:27d Deut 6:16 in Luke 4:12 Deut 8:3 in Luke 4:4 Isa 6:9-10 (except for the introductory phrase) in Acts 28:26-27<sup>22</sup> Isa 53:7-8 in Acts 8:32-33 Isa 61:1-2 (with a clause omitted, but conflated with Isa 58:6) in Luke 4:18-19 Isa 66:1-2 (with a change of word order) in Acts 7:49-50 Ps 2:1-2 in Acts 4:25-2623 Ps 2:7 in Acts 13:33 Ps 16:8-11ab in Acts 2:25-28<sup>24</sup> Ps 16:10 in Acts 13:35 **Ps 91:11** in Luke 4:10 Ps 91:12 in Luke 4:11 Ps 110:1 in Luke 20:42-43 and Acts 2:34-35 Ps 118:22 in Luke 20:17<sup>25</sup> Ps 118:26 in Luke 13:35 and 19:38

In twenty-three instances Luke cites the Old Testament text in a manner close to but not exactly corresponding to the Greek of the LXX:

Gen 15:13-14 in Acts 7:6-7a Gen 22:18 in Acts 3:25 Exod 3:15 in Luke 20:37 Exod 22:27 in Acts 23:5 Lev 12:8 in Luke 2:24 Lev 23:29 (conflated with Deut 18:19) in Acts 3:23 Deut 5:17-20, 16 (or possibly Exod 20:13-16, 12) in Luke 18:20<sup>26</sup> Deut 6:5 (with influence from Deut 10:12) in Luke 10:27bc Deut 6:13 in Luke 4:8 Deut 18:15 in Acts 3:22 and 7:37 Isa 40:3 in Luke 3:4-5 Isa 49:6 in Acts 13:47<sup>27</sup> Isa 53:12 in Luke 22:37 Isa 55:3 in Acts 13:34 Isa 56:7 in Luke 19:46 Jer 7:11 in Luke 19:46 Joel 3:1-5a in Acts 2:17-21 Amos 5:25-27 in Acts 7:42-43 Amos 9:11-12 in Acts 15:16-17 Hab 1:5 in Acts 13:41 Ps 31:6 in Luke 23:46 Ps 69:26 in Acts 1:20a Ps 109:8 in Acts 1:20b

In six instances the quotation is not close to the Greek text of the LXX at all; it is impossible to tell whether Luke is conflating, quoting from memory, or using a Greek version different from the LXX:

Exod 3:12 in Acts 7:7b Exod 13:2, 12, 15 in Luke 2:23 Deut 25:5 (influenced by Gen 38:8) in Luke 20:38 Isa 6:9 in Luke 8:10 Mal 3:1 (influenced by Exod 23:30) in Luke 7:27 Ps 118:22 in Acts 4:11

In these forty-five examples of Old Testament quotations introduced explicitly by formulas, there is no instance of a citation that follows the Hebrew MT rather than the Greek, when the latter differs from the Hebrew. Even in Acts 4:11, when Luke cites Ps 118:22, his text is clearly a rewording of the Greek and not a translation of the Hebrew; similarly in Acts 7:7b the Lucan quotation does not correspond to the Hebrew. In such instances either Luke has freely reworded the quotation or was using another Greek translation different from the LXX; the last option is the least likely.

In some instances of explicit quotations one finds variant readings. Some of these variants, when they occur in the LXX, may reflect the form of the quotations in Acts, where Christian copyists of the LXX have harmonized the Old Testament text to make it agree with the Lucan text of Acts. Again, some variants in the quotations in Acts seem to be a copyist's harmonization of the Lucan text to make it agree with the LXX.

In one instance (Acts 13:41), where Hab 1:5 is quoted, the Lucan text reads: ἴδετε, οἱ καταφρονηταί, καὶ θαυμάσατε καὶ ἀφανίσθητε ὅτι ἕργον ἐργάζομαι ἐγὼ ἐν ταῖς ἡμέραις ὑμῶν, ἔργον ὃ οὐ μὴ πιστεύσητε ἐάν τις ἐκδιηγῆται ὑμῖν, "Look, you scoffers, be amazed and disappear! For I am doing a deed in your days, a deed which you will not believe, even if someone tells you (about it)."

In this case every word in the Lucan text corresponds to a word in the LXX version, except for the repeated έργον before the relative pronoun ő, which Luke has added. It omits some words that are in the Greek text of the LXX; but, more importantly, the Lucan text reads ίδετε, οἱ καταφρονηταί, "Look, you scoffers," as does the LXX. This reading, however, does not translate the Hebrew of the MT, כאו בגוים, "Look at the nations." Both the Lucan and LXX forms presuppose as a Hebrew Vorlage ראו הבוגרים אם לא הרבר על] הבוגרים על הרבר על הבוגרים על הרבר על הרבר על הבוגרים אין הרבר של הרבר על הרבר על הרבר הזים ווא איש הכוגרים אין הרבר של הרבר של הרבר או הבוגרים או הרבר של הרב

Because Luke quotes the Old Testament almost always in a form either corresponding to the LXX or close to it, and not according to the Hebrew MT, it bolsters the identity of Luke himself. He is often said to have been a "Greek" or "an Antiochene Greek."<sup>29</sup> Yet that identification hardly accords with what can be garnered from his two-volume writing or with the early ecclesiastical tradition that considered him a Syrian from Antioch.<sup>30</sup> If he were, indeed, a Syrian from Antioch, well educated in Hellenistic tradition, this would account for his not using the Hebrew text of the Old Testament, but for his depending rather on a Greek translation of it. As a Syrian, he would have been a non-Jewish Semite, an *incola* of the Roman province of Syria, whose native language would have been Aramaic; but not necessarily one who would have used or read Hebrew. None of this is certain, but it is noteworthy that Luke shows his familiarity with the Old Testament in Greek.

# IV. The Significance of the Lucan Use of the Old Testament

As other New Testament writers have done, Luke has quoted the Old Testament as an illustration of what the God of the Hebrew Scriptures of old has now brought to pass in the life and ministry of Jesus of Nazareth and in their sequel, the early Christian church. In a unique way, Luke prefixed to his Gospel a carefully constructed literary prologue, the like of which is found in no other evangelist's writing. In that prologue he calls attention to "the events that have come to fulfillment among us" (Luke 1:1). What he means by τὰ πεπληροφορημένα έν ὑμῖν πράγματα is not mere "facts" or "happenings," which any secular historian or pagan annalist might have recounted. For Luke, the πράγματα were rather "events" of salvation history, the significance of which depended on their relation to the mighty acts of God recorded in the Old Testament and were regarded as foreshadowing what was to be brought to realization in the Christevent. Thus the special Lucan nuance given to the Old Testament was its preparation for the "events that have come to fulfillment among us." What God planned of old as a mode of salvation for his chosen people has now come to a realization and a climax in the life and ministry of Jesus of Nazareth, and in the sequel to them.

Due significance has to be accorded the fact that so many of the Old Testament quotations in Luke-Acts are found in the Lucan discourses in Acts, in the speeches of Peter, Paul, and Stephen. These speeches were either missionary discourses, intended to stir up belief among Jews in Jesus as the Messiah and in his role in salvation history, or a polemical and apologetic discourse, in which Stephen is portrayed indicting the Jews for their disbelief.<sup>31</sup> It is well known that Luke often draws a parallel between Peter and Paul; hence it is not surprising that Paul's first recorded address to Jews in the synagogue of Pisidian Antioch echoes that of Peter speaking to the Jews gathered in Jerusalem on Pentecost. Paul's speech, recorded in chap. 13, serves as a turning point in his ministry and also as a turning point in the Lucan story of evangelization. At the end of his discourse, as he notes the reaction of the Jews of Antioch to his proclamation of Jesus as the resurrected "Son" of God (13:30-33), Paul announces, "The word of God had to be addressed to you first, but since you reject it and condemn yourselves as unworthy of eternal life, we turn now to the Gentiles" (13:46). Just prior to that announcement Paul quotes the verse from Hab 1:5 discussed above, "Look, you scoffers, be amazed and disappear! For I am doing a deed in your days, a deed which you will not believe, even if someone tells you (about it)" (13:41); and right after it, he cites Isa 49:6, "I have made you a light of the Gentiles, that you may be a (means of) salvation unto the end of the earth" (13:47). So Luke ends the Pauline discourse. As Paul and his companion Barnabas turn in their missionary work to evangelize Gentiles, Luke never again uses Old Testament quotations in his narrative of Pauline evangelistic activity. There are isolated instances in chap. 15, where James of Jerusalem at the so-called Council quotes Amos 9:11-12 on behalf of the Gentiles, who are not to be burdened with circumcision, or in chap. 23, where Paul cites Exod 22:27 in his defense before the Sanhedrin in Jerusalem, but not in an evangelical endeavor. Finally in chap. 28, where Paul quotes Isa 6:9-10 against the leaders of the Jews of Rome, Luke makes Paul more or less repeat what he had intimated in chap. 13. Chapter 13, then, serves not only as the turning point in Luke's story of Paul's evangelical ministry, but also in Luke's own appeal to the Old Testament in Acts. The "word of God" had to be addressed first to the Jewish people, and in addressing it to them Luke makes Peter and Paul appeal to their Scriptures to bolster up the Christian message, the story of whose spread to the end of the earth is the message of Acts itself.

In effect, we see that Luke has used quotations from the Law, the Prophets, and the Psalms in a global fashion, interpreting the bulk of the Old Testament in a christological sense. He makes passages that he quotes from the Pentateuch and the Psalter, passages that really have nothing to do with prophecy, into prophetic passages that not only announce God's message to humanity, as Old Testament "prophecy" was intended to do, but even into predictive passages.<sup>32</sup> This is why Luke can quote the Old Testament and assert its relation to the "events that have come to fulfillment among us" (Luke 1:1). He makes use of the Old Testament for this proof-from-prophecy argument in his two-volume work. Paul Schubert rightly called attention years ago to this element "proof from prophecy" in the story of Luke 24,<sup>33</sup> but it applies to the whole Lucan work as well. Luke's argument, then, depends in large part on his way of reading the Old Testament among us."

This aspect of Lucan composition comes to the fore at the end of the Gospel. There Luke not only quotes a specific Old Testament text in 22:37, introducing it explicitly with a fulfillment formula, λέγω γὰρ ὑμῖν ὅτι τοῦτο τὸ γεγραμμένον δει τελεσθηναι έν έμοι, τό και μετά άνόμων έλογίσθη, "For I tell you, what has been written in Scripture must find its final sense in me: He was classed even with outlaws," quoting the Servant Song of Isa 53:12. Luke also depicts Jesus globally interpreting the Old Testament as referring to himself: ἀρξάμενος άπὸ Μωϋσέως καὶ ἀπὸ πάντων τῶν προφητῶν διερμήνευσεν αὐτοῖς ἐν πάσαις ταῖς γραφαῖς τὰ περì ἑαυτοῦ, "Then he began with Moses and all the prophets and interpreted for them what pertained to himself in every part of Scripture" (Luke 24:27). Or again, ούτως γέγραπται παθεῖν τὸν Χριστὸν καὶ ἀναστῆναι έκ νεκρῶν τῆ τρίτη ἡμέρα, "Thus it stands written, 'The Messiah shall suffer and rise from the dead on the third day'" (24:46). In neither of the last two quoted passages does Luke hint at what Old Testament passages he has been thinking of. He is content to interpret the Old Testament globally in a christological sense.<sup>34</sup> Cf. Acts 10:43, which echoes this global mode.

This christological interpretation of a specific Old Testament passage is perhaps best seen in what Luke makes of Psalm 16 in Acts 2. It is cited there as scriptural testimony for the resurrection of Christ,35 or better to show that God was present to Jesus not only in his life, but also in his death, for he did not allow him to see the "corruption" of the grave, but restored him to life (with himself). Thus the quotation of Ps 16:10-11 is cited as proof of God's providence for what Luke has made Peter say in vv. 23-24, and possibly even in vv. 22-24. God has been with Jesus, and as a result he is with God now. It has always been puzzling why Luke does not round off his citation by quoting Ps 16:11c (tepπνότητες έν τῆ δεξιῷ σου εἰς τέλος, "delights at your right hand without end"). Commentators debate whether there is an allusion to this part of the verse in Acts 2:33, "Being exalted, therefore, at God's right hand, he has received the promise of the Holy Spirit from the Father and poured it out."36 Possibly Luke sees the "delights" as the risen Christ's reception of the Spirit to be poured out. In any case, this citation of Psalm 16 is a prime example of the christological interpretation of the Old Testament by Luke in his writings.

Thus in considering the ways in which Luke has introduced his explicit quotations of the Old Testament into the Gospel and Acts with the use of special formulas, the passages that he has quoted from it, the form in which he has cited them from the Greek LXX, and finally in considering the meaning of his use of the Old Testament, we understand through his example not only the importance of the Old Testament to New Testament writers, but also the relevance of the Old Testament to Christian faith even today. Our faith-heritage has been nourished by the Hebrew Scriptures, by the Scriptures that God entrusted to his chosen people of old. Those Scriptures have become part of the Christian Bible and part of the Christian tradition. For us who are Christians in the twentieth century, the Hebrew Scriptures have been a source for our spiritual lives precisely because they have been picked up in the New Testament and animate the message of Jesus of Nazareth, which the New Testament writings bring to us. No little part of that heritage is owing to the way Luke quotes the Old Testament in his Gospel and in Acts.

When, then, Luke wrote his Gospel and Acts as the continuation of the history of God's dealings with his people, he was clearly concerned to show how the Jesus-movement and its sequel in the early church fitted into that history. Thus he, too, wrote biblical history. In order to achieve such a goal, as he has manifested in his writing, even though he does not call explicit attention to it, he made use of the device of promise and fulfillment. He saw that that record of God's dealings with his people in the Old Testament was meant as a promise of what God would accomplish in the ministry of Jesus of Nazareth and its sequel. When Luke recorded the events of that ministry and of the birth of the Christian church, he saw it all as the fulfillment of such a promise. For this reason the characterization of the writing of the Acts of the Apostles as an explanation of the delay of the parousia or as an account of how the Spirit became the *Ersatz* for the parousia, as Conzelmann once tried to maintain,<sup>37</sup> is today seen as misguided and wrongheaded. Acts itself turns out to be an account of how Jesus the Messiah fulfills that promise, of how God brings about in a new way the salvation of his people. Luke shows how God has been faithful to his promise, and Jesus becomes the proof incarnate of God's fidelity to the promise made through Moses and the prophets of old.

Luke's biblical history also explains Christianity as the Way (Acts 9:2; 19:9, 23; 22:4; 24:14, 22), the way to follow Christ.<sup>38</sup> In appointing the Twelve as apostles, the Lucan Jesus restored the twelve tribes of Israel under the symbol of the patriarchs of old. These Twelve have continued the work of Jesus himself, and the Christian Way that they have preached becomes the restored Israel, made up of Jews and Gentiles, who put faith in Jesus of Nazareth, thus the reconstituted Israel, the People of God in a new sense. This is the meaning that underlies the quotation of the Old Testament in the Lucan writings, both in his Gospel and in the Acts of the Apostles.

#### NOTES

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1. This is not the stated purpose of the Lucan writings (for which see Luke 1:1-4), but anyone who considers the purpose of Luke-Acts soon realizes that this imitation of biblical history is one of the main reasons why Luke has composed this two-volume work. See further *The Gospel according to Luke* (AB 28-28A; Garden City, NY: Doubleday, 1981, 1985) 9-10, and the relevant literature cited there.

2. See further C. K. Barrett, "Luke/Acts," It is Written: Scripture Citing Scripture (ed. D. A. Carson and H. G. M. Williamson; Cambridge, UK: Cambridge University, 1988) 231-44; D. L. Bock, Proclamation from Prophecy and Pattern: Lucan Old Testament Christology (JSNTSup 12; Sheffield, UK: Academic, 1987); F. Bovon, Luke the Theologian: Thirty-Three Years of Research (1950-1983) (Allison Park, PA: Pickwick Publications, 1987) 82-108; W. K. Lowther Clarke, "The Use of the Septuagint in Acts," The Beginnings of Christianity: Part I, The Acts of the Apostles (5 vols.; London: Macmillan, 1920-33; repr. Grand Rapids, MI: Baker Book House, 1979), 2.66-105; E. E. Ellis, The Old Testament in Early Christianity: Canon and Interpretation in the Light of Modern Research (WUNT 54; Tübingen: Mohr [Siebeck], 1991); T. Holz, Untersuchungen über die alttestamentliche Zitate bei Lukas (TU 104; Berlin: Akademie, 1968); J. Jervell, "Die Mitte der Schrift: Zum lukanischen Verständnis des Alten Testamentes," Die Mitte des Neuen Testaments: Einheit und Vielfalt neutestamentlicher Theologie: Festschrift für Eduard Schweizer . . . (ed. U. Luz und H. Wieder; Göttingen: Vandenhoeck & Ruprecht, 1983) 79-96; B. J. Koet, Five Studies on Interpretation of Scripture in Luke-Acts (Studiorum Novi Testamenti auxilia 24; Louvain: Leuven University/Peeters, 1989); R. Longenecker, Biblical Exegesis in the Apostolic Period (Grand Rapids:

Eerdmans, 1975) 79-103; R. V. G. Tasker, The Old Testament in the New Testament (Grand Rapids: Eerdmans, 1963) 63-79.

3. Phrases in these passages are set in **boldface** Greek in the UBSGNT<sup>3</sup>.

4. The 20th ed. of Nestle's Greek text sets additional phrases in boldface: Luke 1:13, 17, 32, 33, 35, 37; most of the Magnificat and the Benedictus; 2:22, 30, 31-32, 52; 4:26; 6:4; 7:22; 9:54; 10:28; 21:24, 25; 23:34, 35, 49; Acts 4:24; 5:30; 7:2, 5a, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 19, 20, 21, 23, 24, 29, 36, 39, 41, 42, 44, 45, 46, 47, 51a, 51b; 8:21, 23; 10:34, 36, 38, 40; 13:10, 17c, 18, 19, 26, 36; 14:10, 15; 15:18; 17:24, 31; 20:28, 32; 26:16, 17, 18; 28:28. Here modern editorial practice enters in, compounding the problem of how often Luke alludes to the Old Testament. There is also the difficulty: Who sees the allusion? Luke himself, or the modern editor?

5. In three instances (Luke 4:18-19; 19:46; Acts 3:22-23) he combines two quotations (Isa 61:1-2 and Isa 58:6; Isa 56:7 and Jer 7:11; Deut 18:15 and Lev 23:29, conflated with Deut 18:19). In all, then, there are twenty-five Old Testament passages cited in the Gospel, and twenty-three Old Testament passages cited in Acts.

6. See the apparatus criticus. Some mss. (D, d, gig) and patristic writers (Origen, Hilary, Ps.-Jerome) read  $\dot{\omega}\zeta$  ev to  $\pi\rho\dot{\omega}\tau\phi$   $\gamma\dot{\epsilon}\gamma\rho\alpha\pi\tau\alpha_1$ , a reading that may reflect a Jewish practice of joining Psalms 1 and 2.

7. The MT reads משה בספר משה, whereas the LXX has simply  $\kappa\alpha\theta\dot{\omega}\varsigma$  γέγραπται.

8. This is an updating of my list of introductory formulas, which was first published in "The Use of Explicit Old Testament Quotations in Qumran Literature and in the New Testament," NTS 7 (1960-61) 297-333; slightly revised in ESBNT, 3-57. Cf. F. L. Horton, Jr., "Formulas of Introduction in the Qumran Literature," RevQ 7 (1969-71) 505-14.

9. See M. Rese, "Die Funktion der alttestamentlichen Zitate und Anspielungen in den Reden der Apostelgeschichte," Les Actes des Apôtres: Traditions, rédaction, théologie (BETL 48; ed. J. Kremer; Louvain: Leuven University; Gembloux: Duculot, 1979) 61-79, esp. 62-72; B. Lindars, "The Place of the Old Testament in the Formation of New Testament Theology: Prolegomena," NTS 23 (1976-77) 59-66, esp. 61.

10. "The Formulas Introducing Quotations of Scripture in the New Testament and the Mishnah," JBL 70 (1951) 297-307; slightly revised in Historical and Literary Studies: Pagan, Jewish, and Christian (NTTS 8; Leiden: Brill, 1968) 52-63.

11. In this instance one should note the dependence on 2 Kgs 14:6, 2 Chr 23:18, or 2 Chr 25:4 (in the last case, according to the MT, not the LXX).

12. "Acts 17.28," Greek, Roman and Byzantine Studies 20 (1979) 347-53; "Classical Greek Quotations in the New Testament," The Heritage of the Early Church: Essays in Honor of Georges Vasilievich Florovsky (Orientalia christiana analecta 195; Rome: Oriental Institute, 1973) 17-46, esp. 37-42. As parallels to the use of the plural to introduce a single quotation, Renehan cites Lycurgus, Contra Leocratem 21.92 and 33.132; Aristotle, Politics 1.1.9 §1252b 7-8 and 7.14.11 § 1335b 33-34; [Aristotle], Magna moralia 2.15 §1212b 27.

13. Phainomena 5. Actually Aratus used the Ionic form  $\epsilon i\mu\epsilon\nu$ , for which Luke has substituted the Attic  $\epsilon \sigma \mu\epsilon\nu$ . See U. von Wilamowitz-Moellendorff, Hellenstiche Dichtung (Berlin: Weidmann, 1924), 2.274-76. The words quoted from Aratus resemble somewhat Cleanthes' Hymn to Zeus 4:  $\epsilon \kappa \sigma \sigma \nu \gamma \epsilon \nu \sigma \gamma \epsilon \sigma' \eta \chi \sigma \nu \mu \mu \eta \mu \alpha \lambda \alpha \chi \delta \nu \epsilon c$ , which is quoted in Stobaeus, Ecl. 1.1.12, but they are not exact. See H. von Arnim, Stoicorum veterum fragmenta (4 vols.; Leipzig: Teubner, 1905-24), 1.121.

14. Here are some samples of formulas that Josephus uses in quoting other authors: ἔστι δὲ οὕτω γεγραμμένον, "and so (it) is written" (supposedly quoting Aristotle in Ag.Ap. 1.22 §176); φησί δε ούτως, "he says thus" (quoting Manetho in Ag. Ap. 1.15 §94); ούτος [Δίος] τοίνυν έν ταις περί Φοινίκων ίστορίαις γράφει τον τρόπον τοῦτον, "He [Dius] writes in his history of the Phoenicians (in) this way" (quoting an otherwise unknown Dius in Ag.Ap. 1.17 §112); ἔπειτα γενόμενος κατὰ τὸν Εἰρωμὸν ταῦτά φησι, "then coming to Hiram, he says" (quoting Menander of Ephesus in Ag.Ap. 1.18 §117); λέγει γαρ οὕτως δια της τρίτης, "for he says so in his third (book)" (quoting Berosus in Ag.Ap. 1.20 §146); ἐν τούτοις γέγραπται, ὅτι ἐν Ἱεροσολύμοις ἀκοδομήθη ναὸς ὑπὸ Σολομῶνος τοῦ βασιλέως, "in these [Phoenician chronicles] it was written that a temple was built in Jerusalem by Solomon the king" (Ag.Ap. 1.17 §108); γέγραπται γαρ έν αύταις ότι Ναβουχοδονόσορος . . . τὸν παρ' ἡμιν ναὸν ἠρήμωσεν, "for in these [Phoenician records] it was written that Nebuchadnezzar. . . laid waste our temple" (Ag.Ap. 1.21 \$154); λέγει δὲ ὁ προφήτης τοῦτον ὑμῖν ὁ θεὸς ἔδωκε βασιλέα, "the prophet [1 Sam 10:24] says, 'God has given you this man as king' " (Ant. 6.4.6 §66); λέγει δέ σοι ό θεός, Νινευή, ὅτι ἀφανιῶ σε καὶ οὐκέτι λέοντες ἐκ σοῦ πορευόμενοι ἐπιτάξουσι τῷ κόσμῳ, "God says to you, Nineveh, 'I shall blot you out, and lions will no more go forth from you to rule the world'" (Ant. 9.11.3 §241, alluding to Nah 2:8-9).

15. See the elaborate study of this mode of quoting the Hebrew Scriptures by M. P. Horgan, *Pesharim: Qumran Interpretations of Biblical Books* (CBQMS 8; Washington, DC: Catholic Biblical Association, 1979).

16. To admit this, however, is not to agree with A. W. Argyle that the passage was formulated originally in Greek, and not translated from an earlier, possibly Aramaic, form of the story. See his article, "The Accounts of the Temptations of Jesus in Relation to the Q Hypothesis," *ExpTim* 64 (1952-53) 382.

17. J. W. Bowker ("Speeches in Acts: A Study in Proem and Yelammedenu Form," *NTS* 14 [1967-68] 96-111) seeks to explain Luke's use of Old Testament quotations in some speeches in Acts as involved in the homiletic modes known from rabbinic speeches, called proem and yelammedenu modes. He uses, however, the rabbinic evidence uncritically, claiming that "as always with rabbinic literature, individual elements may be much earlier than the works in which they are preserved" (97). That is a grand *petitio principii*. Similarly, J. W. Doeve (*Jewish Hermeneutics in the Synoptic Gospels and Acts* [Assen: Van Gorcum, 1954], 168-76) sees two midrashim about Christ's resurrection in the use of Ps 16:10 in Peter's speech (Acts 2:25-28) and in Paul's speech (13:24-28), which he claims are based on "inferences from Hebrew roots" (p. 176). Compare the remarks of J. Neusner, *SJT* 44 (1991) 77, 85.

18. As F. F. Bruce has noted in "Paul's Use of the Old Testament in Acts," *Tradition and Interpretation in the New Testament: Essays in Honor of E. Earle Ellis*... (ed. G. F. Hawthorne and O. Betz; Grand Rapids: Eerdmans; Tübingen: Mohr [Siebeck], 1987) 71-79, esp. 74. Bruce's claim, however, that there is an allusion to Gen 8:22 in Acts 14:17 is farfetched.

19. Or possibly from Ps 96:13, or Ps 98:9. See B. Gärtner, *The Areopagus Speech* and *Natural Revelation* (ASNU 21; Lund: Gleerup, 1955).

20. See, e.g., I. H. Marshall, *The Gospel of Luke* (NIGTC; Grand Rapids: Eerdmans, 1978) 905; G. Schneider, *Das Evangelium nach Lukas* (Ökumenischer Taschenbuch-Kommentar zum Neuen Testament 3/1-2; Würzburg: Echter; Gütersloh: Mohn, 1984) 502.

21. The prologue of Sirach speaks only of "the Law and the Prophets and the others that followed them." It is not yet clear just what "the others" were or how many they numbered. Compare Josephus, Ag.Ap. 1.7 §40.

22. See D. Seccombe, "Luke and Isaiah," NTS 27 (1980-81) 252-59.

23. See J. Dupont, "L'Interprétation des Psaumes dans les Actes des Apôtres," Etudes sur les Actes des Apôtres (LD 45; Paris: Cerf, 1967) 283-305.

24. For a more detailed analysis of this quotation, see M. Rese, "Die Funktion" (n. 9 above), 73-76.

25. See J. A. Sanders, "A New Testament Hermeneutic Fabric: Psalm 118 in the Entrance Narrative," *Early Jewish and Christian Exegesis: Studies in Memory of William Hugh Brownlee* (ed. C. A. Evans and W. F. Stinespring; Atlanta, GA: Scholars, 1987) 179-90.

26. The quotation here has to cope with variant readings in different mss. It may, moreover, be the result of what K. J. Thomas has called a "liturgical citation." See "Liturgical Citations in the Synoptics," NTS 22 (1975-76) 205-14.

27. See further M. Rese, "Die Funktion" (n. 9 above), 76-78.

28. See M. Burrows, The Dead Sea Scrolls of St. Mark's Monastery: Volume I, The Isaiah Manuscript and the Habakkuk Commentary (New Haven, CT: American Schools of Oriental Research, 1950) pl. 55.

29. So K. Lake, "Luke," in *Dictionary of the Apostolic Church* (New York: Scribner, 1922), 1.179. Similarly G. B. Caird, A. Harnack, W. K. Hobart, W. G. Kümmel, W. Manson, A. Plummer, K. Rengstorf, J. Schmid, and P. Vielhauer.

30. See the ancient extratextual Prologue to the Gospel of Luke (K. Aland, Synopsis quattuor evangeliorum [Stuttgart: Deutsche Bibelanstalt, 1964] 533). Cf. J. A. Fitzmyer, Luke (n. 1 above), 35-51.

31. See J. Dupont, "L'Utilisation apologétique de l'Ancien Testament dans les discours des Actes," *Etudes* (n. 23 above), 247-82.

32. See J. T. Sanders, "The Prophetic Use of the Scriptures in Luke-Acts," *Early Jewish and Christian Exegesis* (n. 25 above), 191-98.

33. See "The Structure and Significance of Luke 24," Neutestamentliche Studien für Rudolf Bultmann . . . (BZNW 26; ed. W. Eltester; Berlin: Töpelmann, 1954) 165-86.

34. See further M. Black, "The Christological Use of the Old Testament in the New Testament," NTS 18 (1971-72) 1-14, esp. 3-4. Cf. M. Rese, Alttestamentliche Motive in der Christologie des Lukas (SNT 1; Gütersloh: Mohn, 1969).

35. See A. Schmitt, "Ps 16,8-11 als Zeugnis der Auferstehung in der Apg," BZ 17 (1973) 229-48.

36. See M. Rese, "Die Funktion" (n. 9 above), 73; cf. B. Lindars, New Testament Apologetic: The Doctrinal Significance of the Old Testament Quotations (London: SCM; Philadelphia: Westminster, 1961) 42.

37. The Theology of St Luke (New York: Harper & Bros., 1960) 96-97.

38. See Luke (n. 1 above), 241-43.

Fifteen

# THE DESIGNATIONS OF CHRISTIANS IN ACTS AND THEIR SIGNIFICANCE\*

THE PROBLEM OF THE LOCAL CHURCH in its relation to the universal people of God has to be viewed from the data in the New Testament that record traces of the emergence of the Christian community as "church." The Acts of the Apostles purports to describe the emergence of that community as the sequel to the Jesus-story. In fact, Luke is the only evangelist<sup>1</sup> who has provided us with such a sequel, and even though it recounts the beginnings of that community, it does so from the viewpoint of a writer already conscious that he belongs to "the church." For Luke seeks to give Theophilus and readers like him asphaleia, "assurance" (Luke 1:4), that what the church of his own day is teaching (peri hon katechethes logon) is rooted in the teaching of Jesus of Nazareth himself. Yet we have in the New Testament writings that antedate the Lucan two-volume work and that offer even more primitive data about the emergence of the early Christian community and of its awareness of being "church," e.g., some Pauline letters. In Acts Luke writes with hindsight, and his later perceptions have colored some of his descriptions of the beginnings of that community. Hence an attempt has to be made to sort out from the many designations used in Acts for Christians as a body what may be Lucan traits (reflecting his theological view of the Jesus-movement) and what may be primitive nomenclature.

When one looks at the Acts of the Apostles, one notes the variety of designations used to express the early Christians as a group. Some of these designations have been studied before, either in part<sup>2</sup> or as part of data drawn from a wider ancient scope (e.g., the New Testament and patristic literature).<sup>3</sup> When one looks at the lists presented in such earlier studies, one realizes immediately two preliminary problems that confront anyone who begins to study these denominations. First, are all the designations rightly to be called "names," since some of them, especially those that occur only once or twice, seem to be rather descriptive phrases and not real names? Second, it is not easy to sort out the designations that were imposed on the group from without from those that may have been the result of conscious choice, i.e., self-designation. The latter problem bears on the significance of some of the designations or names. Moreover, Acts depicts the early Christians, despite their faith in Jesus Christ as "Lord and Messiah" (2:36), as still frequenting the Temple and sharing in its Jewish cult at stated hours of prayer (2:46; 3:1). Their separation from Judaism comes only in the course of time, and this implies a difference or an isolation that was not experienced from the outset. The gradual development of events may account for the variety of designations used to express the Christians as a group.

My plan is to present the various denominations in a list and comment on their meaning and significance as an aid to understanding the awareness of the early Christians as a church, as this is presented in the Acts of the Apostles. I shall list first the descriptive phrases and then what may be regarded as the real names for the Christians as a body or group. The order of the listings cannot claim any real priority in time or in importance. The frequency of usage will in the long run determine the latter. Finally, I shall conclude with some comments of the relation of the local church to the universal people of God.

#### I. Word or Phrase Designations for the Christians as a Group

These words or phrases, even though they are not regarded as real names for the body of Christians, form the beginning of our inquiry because they reveal something about the way early the Christians perceived themselves or were perceived by others.

#### (1) Witnesses of the Risen Christ<sup>4</sup>

This designation is rooted in the commission that the risen Christ gives to "the Eleven and their companions" (Luke 24:33) before he departs from them by ascension on the evening of the day of the discovery of the empty tomb: "In his [i.e., the Messiah's] name repentance for the forgiveness of sins shall be preached to all the nations — beginning from Jerusalem. You are witnesses of this!" (hymeis martyres touton).<sup>5</sup> This is repeated in a slightly different form in Acts 1:8: "You shall be my witnesses both in Jerusalem and in all Judea and Samaria and to the end of the earth." Thereafter on several occasions Christian individuals and groups are depicted as aware of their role of having to testify to the risen Christ and refer to themselves as "witnesses" (martyres, 1:22; 2:32; 3:15; 4:33; 5:32; 10:39; 13:31; 22:15, 20; 26:16). That the term refers to a group can be seen from the part that it plays in the reconstitution of the Twelve after the death of Judas (1:22). "We are witnesses to this" (3:15) reveals not only the

group's awareness of itself, but also of its obligation to speak out boldly about the new mode of God's salvation that comes only through the name of Jesus Christ of Nazareth.

#### (2) Brethren<sup>6</sup>

The first expression used in Acts to designate the early Christians' corporate existence is adelphoi: "Peter stood up among the brethren" (1:15).7 This absolute use of adelphoi is further found throughout the Book of Acts (1:16; 9:30; 10:23; 11:1, 12, 29; 12:17; 14:2; 15:3, 22, 32, 33, 40; 17:6, 10, 14; 18:18, 27; 21:7, 17, 20; 28:14, 15).8 The term has nothing to do with blood relationship or kinship and designates the closeness experienced by those who were followers of the risen Christ. That Peter addresses the Jews assembled in Jerusalem as adelphoi (2:29; 3:17), as does Stephen (7:2, 26), shows that the early Christians took over from their former co-religionists a designation already common among them. Indeed, Stephen depicts Moses visiting "his brethren, the sons of Israel" (7:23). Because "brethren" could express the common bond that united Jews to each other, it became almost a natural term to adopt for the self-designation of Jewish Christians in that early period still so intimately connected with its Jewish matrix. This source of the Christian usage is further suggested by 22:5; 28:21, but the bond clearly expressed more than it did for the Jews, for it was expressive of the solidarity of the Christians in their newfound faith in Jesus Christ. Subsequently, the term disappears in Christian usage, save in sermons or in common religious life.9

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#### (3) Believers<sup>10</sup>

Luke sometimes designates the Christians simply as *hoi pisteuontes*, "the believers" (present participle in 2:44; 4:32 [aorist]; 5:14, 15 [perfect]; 18:27 [perfect]; 19:18 [perfect]; 22:19). By the phrase Luke means those who have come to faith in Jesus Christ as the risen Lord, as 9:42; 11:21 show.<sup>11</sup> On one occasion (10:45) he refers to them simply as *hoi pistoi*. This designation is basic, since it expresses the fundamental relationship of these persons and the link that binds them together as a religious group. That these participles sometimes appear with modifying phrases ("in you," 22:19; "through grace," 18:27), and not always absolutely, made H. J. Cadbury query whether they are really "fixed terms."<sup>12</sup> This is why they are discussed here as designations and not in section II; they are not real names. R. Bultmann expressed well the significance of this designation when he described faith as the acceptance of the kerygma:

The importance of this act of believing acceptance of the message, the act which makes the believing one a member of the Congregation, had the result that the

concept "faith" took on a meaning which it had not had either in the Old Testament or in other ancient religions. In Christianity, for the first time "faith" became the prevailing term for man's relation to the divine; in Christianity, but not before it, "faith" came to be understood as the attitude which through and through governs the life of the religious man.<sup>13</sup>

## (4) Those Who Call upon the Name of the Lord<sup>14</sup>

Though this phrase has its roots in the OT (Joel 3:5 [2:32E]), as 2:21 reveals, it becomes a designation for Christians later in Acts, when *Kyrios* is understood to mean the risen Christ (*hoi epikalountes to onoma Kyriou*). Thus in 9:14, 21 it designates the members of the group that Paul was commissioned by the chief priests to imprison. Cf. 22:16. The phrase expresses the cultic affirmation of Jesus Christ as Lord: to acknowledge his lordship was part of the early Christian cult.<sup>15</sup> Closely related to it is also the idea of baptism in his name (see 2:38; 8:16; 10:48; 19:5).

## (5) Those Being Saved<sup>16</sup>

Hoi sōzomenoi occurs only once (2:47), in a Lucan summary, where we learn that the Lord "added to their number day by day those who were being saved." Cadbury linked this designation with *hoi pisteuontes* and rightly queried whether it is merely used ad hoc or has "a more established character."<sup>17</sup> Though Cadbury toyed with the possibility that *hoi sōzomenoi* might be middle, "implying the initiative of believing," the passive sense is preferred because of its LXX background and its use in the remnant passages of Isa 37:32; 45:20.<sup>18</sup> Given the Lucan emphasis on salvation as an effect of the Christ-event,<sup>19</sup> the designation takes on added significance as a term for the Christians as a group in Acts.<sup>20</sup>

### (6) Miscellaneous Designations

I list here terms that appear in some lists of earlier students, but that are only possibly meant as generic designations. These are:

(a) The Flock<sup>21</sup> In 20:28-29 Luke depicts Paul speaking about the church as *poimnion*, "a flock," entrusted to the elders as overseers appointed by the Holy Spirit. Is "flock" an "established term," as Cadbury himself queried, or only a commonly used pastoral figure, as 1 Pet 5:2-3 seems to suggest?

(b) The Company<sup>22</sup> The noun  $pl\bar{e}thos$ , when used to denote a large number of Christians gathered together, is usually qualified by some modifier, e.g., to

plēthos tōn pisteusantōn, "the company of believers" (4:32); to plēthos tōn mathētōn, "the company of disciples" (6:2); Ioudaiōn te kai Hellēnōn poly plēthos, "a great company of Jews and Greeks" (came to believe, 14:1). However, in 6:5 pan to plēthos, "the whole company," is used absolutely, referring in the context to that mentioned in 6:2. Similarly in 15:12 pan to plēthos is used absolutely, but it refers to those Christians gathered together (James, the apostles, and the elders), not to the whole Christian church, even of Jerusalem. Only in 15:30 does the absolute usage refer to the congregation in Antioch, i.e., to the specific Antiochene community of Christians. It is, moreover, difficult to say whether plēthos designates the group of ordinary Christians as distinct from their leaders, as becomes clear in Ignatius, Smyrn. 8:2. Such a distinction may be present in Acts 6:2; 15:30, but it is not clear elsewhere.

(c)  $Fellowship^{23}$  The noun *koinōnia* occurs only in 2:42, where Luke tells of the early Christians devoting themselves to "the apostles' teaching, the fellowship, the breaking of bread, and the prayers." In a sense, it might be regarded as the earliest abstract designation of the Christian group, but it is in reality more expressive of the group's activity of sharing in common (see 2:44-45) than of a static quality or name like "the Fellowship."<sup>24</sup>

(d)  $Jesus^{25}$  With no little hesitation I list this last designation,  $I\bar{e}sous$ . It appears in the words of the risen Christ to Paul in the three accounts of his experience near Damascus: "I am Jesus [the Nazorean], whom you are persecuting" (9:5; 22:8; 26:15). The risen Christ thus identifies his persecuted followers with himself. When we look in the context at the designations for the persecuted Christians, they turn out to be terms that we shall be considering as names in section II: thus "the Lord's disciples" (9:1), "the Way" (9:2; 22:4); "many of the saints" (26:10). Significantly, the word *ekklēsia* is absent from these contexts. In any case, the words of the risen Christ express the solidarity of his persecuted followers with himself, an important item in Lucan theology.

This terminates the discussion of the Lucan generic designations for the Christians as a group in Acts. We turn now to the terms that have been more widely recognized as "names" for the Christians as a body in this New Testament book.

#### II. The Names for the Christians as a Group

In this section I propose to discuss the following terms that appear in Acts: the Disciples, the Saints, the Way, the Church, Christians, the Sect of the Nazoreans, and Galilaeans. Only the first six of them will be recognized as real names for the body of Christians.

## (1) The Disciples<sup>26</sup>

Luke uses both the masculine mathētēs and the feminine mathētria, the latter only in the singular in 9:36. It may be significant that the term hoi mathetai, "disciples," does not occur in chaps. 1-5. Its first occurrence is noted when the disciples were increasing in number (6:1) and the Hellenists (Greek-speaking Jewish Christians of Jerusalem) complained about the treatment of their widows. Whether the absence of hoi mathētai in the first five chapters is owing merely to chance or whether it reflects the passage of a certain amount of time in early church history before the followers of Jesus came to call themselves mathetai is not easy to say. The idea of discipleship has often been discussed, and its use in a religious sense may be, practically speaking, a Christian phenomenon. Talmīd is almost wholly absent from the Old Testament,27 and is completely so in Qumran literature. Mathētēs occurs abundantly in the four Gospels to denote those who personally have been called to attach themselves as "followers" to Jesus the "teacher," but apart from Acts, the term is conspicuously absent from the rest of the New Testament writings. Its emergence in the Gospels is often attributed to Hellenistic influence on that growing tradition.<sup>28</sup> By contrast, we read in the Old Testament of followers: "son of the prophets" (= a prophetic guild, 1 Kgs 20:35; 2 Kgs 2:3, 5, 7, 15; 5:22; 6:1; 9:1); Elisha, who "followed after" Elijah (1 Kgs 19:20); Baruch and Jeremiah. They are never called "disciples," and the religious sense of discipleship or even of following is practically nonexistent.29

In the Greek world, however, "disciples" of eminent teachers appear from the fifth century on: of the Sophists, of Pythagoras, of the Stoics, of Epicurus. Socrates would not allow his companions to be called mathētai, and in this he was followed by Plato and Aristotle, but many others encouraged the relationship of teacher-disciple, and among them the idea of mimēsis, "imitation," developed. This relationship provides the background for the specific personal attachment of many persons depicted as followers of Jesus, who not only preached the kingdom but also engaged in teaching. There are also abundant references in the four Gospels to the use of akolouthein to express that relationship of followers to Jesus. Such data suggest that in Stage I of the gospel tradition (= the words and deeds of the earthly Jesus, roughly A.D. 1-33) the relationship was expressed more in terms of "following" (as in the Old Testament) than of discipleship. The latter seems to have been the result of a gradual reinterpretation of the former under Hellenistic influence, when the gospel tradition was carried into the Greco-Roman world.<sup>30</sup> In any case, both "following" and "discipleship" expressed originally a close personal relationship to Jesus of Nazareth, often initiated by an invitation from him. Discipleship was eventually extended to others through faith, when he became the preached one in the kerygma.

Hoi mathētai occurs abundantly in Acts from 6:1 on as the name for the

body of Christians, whether in Jerusalem, Damascus, Antioch, or Ephesus (6:2, 7; 9:1, 19, 25, 38; 11:26, 29; 13:52; 14:20, 22, 28; 15:10; 18:23, 27; 19:1, 9, 20; 20:1, 20; 21:4, 16; the singular is used in the same sense in 9:10, 26, 36; 16:1; 21:16). Whereas the nuance originally intended by the relationship was the personal schooling of the follower by the teacher Jesus, that was extended after his death and resurrection to a personal commitment in faith. A distinctively Christian nuance of discipleship is detected in the Gospels, as the "disciples" are "called" (see Mark 2:14; Matt 8:24; Luke 5:27; John 1:43). The disciples do not choose the teacher, as in the Greek world. Though *hoi mathētai* has a dependent genitive in 9:1, "the disciples of the Lord," it is otherwise used absolutely in Acts. Strangely enough, the use of *mathētai* eventually dies out, for what reason it is hard to say; its last appearance in the Lucan story is at Acts 21:16, which tells of Mnason of Cyprus, an "early disciple" (*archaios mathētēs*).<sup>31</sup>

#### (2) The Saints<sup>32</sup>

Of less extensive use in Acts is another name for the body of Christians, hoi hagioi, "the Saints" or "the Holy Ones," which occurs in 9:13, 32, 41; 26:10, in the first and last instances for those persons whom Paul was sent to imprison, hence Christians. Related to these occurrences is 20:32, where hoi hegiasmenoi pantes, "all the sanctified," occurs, undoubtedly another way of saying the same thing (cf. 26:18). Cadbury may well be right in tracing the name to Septuagintal usage (Ps 34:10; Isa 4:3; Dan 7:18, 22) and in suggesting that the name probably had "an eschatological rather than an ethical significance, though of course the ethical element is not wanting."33 There is undoubtedly also a cultic nuance in the use of this name, since Christians are called such precisely as redeemed by Christ and gifted by his Spirit. As such they are dedicated to God's service in a new way (continuing the Old Testament sense of dedication to Yahweh and his awesome cult). As hoi hagioi, Christians are thus related to the "Holy and Righteous One" (Acts 3:14) and to God's "holy Servant Jesus" (4:27), just as Christianoi are related to ho Christos (11:26).34 It should be noted that Luke does not share the Pauline idea of Christians as "called holy ones" (klētoi hagioi, Rom 1:7).

#### (3) The Way<sup>35</sup>

An exclusively Lucan name for the early Christians as a group is  $h\bar{e}$  hodos, "the Way" (9:2; 19:9, 23; 22:4; 24:14, 22). Though it occurs in two of Luke's accounts of Paul's experience on the road to Damascus as the name for those whom Paul is persecuting (9:2; 22:4), it is not confined to those accounts; nor does it always appear on Paul's lips. It is a name that Luke reveals was known not only in

Palestine but even in Ephesus (19:9, 23) and to the Roman procurator Felix (24:22). Is this unusual name for the mode of life lived by Christians a Lucan creation? Haenchen claims that "we do not know for certain - despite Repo's fine study -- the origin of the absolute use of hodos for Christianity."36 Haenchen rightly relates the name to the phrases "the way of the Lord" (18:25) or "the way of God" (18:26), which undoubtedly lie behind the abridged name. The first of these phrases echoes Isa 40:3, "make ready the way of the LORD" (used by Luke of John the Baptist in his Gospel, 3:4), but this Old Testament background does not explain the absolute use of the expression as a name for Christianity. Haenchen is also right in rejecting the parallels in rabbinic literature cited in Str-B (2.690); but his agnosticism and his passing over the Qumran parallels are eloquent indeed. The absolute use of Hebrew derek or hadderek to designate the Essene way of life is attested in Qumran literature: lbwhry drk, "those who have chosen the Way" (1QS 9:17-18); hm sry drk, "these are they who turn away from the Way" (CD 1:13; cf. 1QS 10:21); 'lh tkwny hdrk lmśkl, "these are the regulations of the Way for the Master" (1QS 9:21).<sup>37</sup> Among the Essenes of Qumran, "the Way" referred above all to the strict observance of the Law of Moses, as understood by the community.<sup>38</sup> This is made clear in 1QS 8:12-15, with its quotation of Isa 40:3, where "the way" is explicitly interpreted as mdrš htwrh, "the study of the Law." 39 Although both the Essenes and the early Christians could have derived the name from Isaiah 40 independently, it is much more plausible that the absolute term *he hodos* in the Lucan story reflects a memento of an early historical name for the Christian community, which imitated Essene usage. After all, this is not the sole instance of such contact between the Essenes of Qumran and the early Christians in Palestine.<sup>40</sup>

#### (4) The Church<sup>4</sup>

The term  $h\bar{e}$  ekklēsia, which became the standard and enduring name for the body of Christians, is notoriously absent from the Gospels, save for Matt 16:18; 18:17, and it occurs in none of the early chapters of Acts. When it does first appear in Acts (5:11), it is found in the comment with which Luke concludes his account of the Ananias and Sapphira incident: "Great fear fell upon the whole church." There Luke is using the name current in his own day to designate (with hindsight) the early Jerusalem Christian community, the idyllic existence of which has been shattered by the deception of Ananias and his wife. The comment employs characteristic Lucan hyperbole, holē hē ekklēsia (cf. 15:22). Otherwise, the name ekklēsia first appears with the beginning of the story of Paul and the great persecution against "the church in Jerusalem" (8:1). From then on, the name occurs frequently: 29 times in all. It is used not only of the Christian community in Jerusalem (8:3; 11:22; 12:1, 5; 15:4, 22; 18:22), but also of that in Antioch (11:26; 13:1; 14:27; 15:3), Lystra and Iconium (14:23), Syria and Cilicia (15:41), Ephesus (20:17, 28), unnamed cities (16:4), and throughout all Judea, Galilee, and Samaria (9:31).

The pericope in Matt 16:16b-19 --- a retrojected post-resurrection narrative of the appearance of the risen Christ to Peter?<sup>42</sup> — ascribes to Jesus the building of his ekklesia on Cephas. The absence of a parallel to this pericope in the other Gospels creates its own problem.<sup>43</sup> Consequently, the gradual emergence of the use of ekklesia in Acts, though it is hardly a Lucan creation, may reflect the historical growth in awareness of the early Christians that they were "church." The name was certainly in use before Luke begins to associate it with the Paul-story. Paul's own abundant use of the name (44 times in his seven undisputed letters<sup>44</sup>) attests the early usage, at least by A.D. 51. Though the name is a Greek word (and used by Luke as such in Acts 19:32, 39, 41, "town assembly"), its religious connotation is colored by the Old Testament qāhāl, which is translated regularly in the LXX by ekklesia. This Jewish usage is important and cannot be discounted in the discussion of the Christian use of ekklesia. Indeed, the Lucan story itself suggests this Old Testament origin of the name, when Stephen refers to Israel of old as he ekklesia en te eremo, "the congregation in the desert" (7:38, alluding to Deut 9:10). In the LXX ekklesia translates Hebrew qāhāl (Deut 23:2; Judg 20:2; 1 Kgs 8:55; 1 Chr 29:10), especially when it denotes the religious and cultic gathering of Israel. Strikingly enough, ekklēsia tou theou is not found there, save possibly in Neh 13:1 (where mss. S, L read kyriou against the others). New Testament commentators have normally appealed to gehal YHWH of such passages as Num 16:3; 1 Chr 28:8 as the background of the expression, but qehal 'El, the exact Hebrew equivalent, is found in 1QM 4:10, where it is to be the slogan on the sixth campaign-banner of the Essenes marching out to the holy war. A Palestinian Jewish religious use of qāhāl, implying a relation to "the congregation of the people of God" (Judg 20:2), is thus seen as the background to the Christian use of Greek ekklesia. Luke never refers to this Old Testament passage, but his use of ekklesia almost certainly reflects an early Christian use of this name that existed before he began to write.45 For Luke the Christian "church" is the reconstituted Israel, and it is the eschatological fulfillment of the people of God called in a new way to salvation through the ministry and preaching of Jesus and his followers.

From the use of the plural  $ekkl\bar{e}siai$  (15:41; 16:5) it is clear that Luke speaks at times of local Christian communities as "churches." Similarly, his use of the distributive phrase kat'  $ekkl\bar{e}sian$ , "church by church" (14:23), and his reference en Antiocheia kata tēn ousan ekklēsian, "in the church at Antioch" (RSV, 13:1), show his awareness of  $ekkl\bar{e}sia$  as a name for a local church. The local sense is further demanded by the context in 8:3 (Jerusalem), 11:26 (Antioch), 14:27 (Antioch), 15:3 (Antioch), 15:4 (Jerusalem), 18:22 (probably Jerusalem), and 20:17 (Ephesus). Luke also uses  $h\bar{e}$   $ekkl\bar{e}sia$  in a generic sense (12:1, 5) and even in the expression "the whole church" (5:11; 15:22). In the first instance

of the latter phrase (5:11), it denotes the whole local church in Jerusalem, since we have not yet learned that there were Christians elsewhere, whereas in the second instance (15:22), where it has seemed good "to the apostles and the elders with the whole church" (syn hole te ekklesia), a broader sense of ekklesia may be intended by Luke.46 Related to this distinction between a "local church" and "church" in a broader, generic sense are the problematic Lucan phrases such as hē ekklēsia hē en Ierosolymois/Ierousalēm, "the church in Jerusalem" (8:1; 11:22), or hē ekklēsia kath' holēs tēs Ioudaias kai Galilaias kai Samareias, "the church throughout all Judea, Galilee, and Samaria" (9:31). The former of these last two phrases seems to mean the local "church in Jerusalem," but it may denote "the church" (in the broader sense) as it is found "in Jerusalem." This broader meaning is certainly the sense in the latter instance, which speaks of "the church throughout all of Judea, Galilee, and Samaria," since the singular ekklēsia names as a unit the Christian group in the different geographical localities. Finally, the last mention of ekklesia in Acts, which occurs in the phrase he ekklēsia tou theou, "the church of God" (20:28),47 is noteworthy. Though it refers prima facie to the church of Ephesus, the dependent genitive enhances ekklēsia with a broader meaning,<sup>48</sup> suggesting the divine origin or relation of this Christian body.

#### (5) Christians49

If Luke can speak of Jesus' followers in Acts 12:1 as hoi apo tes ekklesias, it is not surprising that he is the New Testament writer who tells us where the name Christianoi was first used. After mentioning how Barnabas sought out Saul in Tarsus and brought him to Antioch, where they both labored for a whole year en tē ekklēsia, "in the church," he records that chrēmatisai te protos en Antiocheia tous mathetas Christianous "... that the disciples in Antioch were called for the first time Christians" (11:26).50 Even though the mss. \* and 81 write the name three times over as Chrēstianoi,51 the best-attested spelling of it is with iota, relating the name clearly to Christos. The Greek name is a Latinism, reflecting the formation of proper adjectives by the addition of -ianus to a personal name (compare Greek Hērōdianoi, "Herodians" [Mark 3:6; 12:13], Kaisarianoi). This reveals that Christos, originally a verbal adjective of chriein, "anoint," and used to translate the Hebrew noun māšîah or the Aramaic měšîhā', "anointed one" (transcribed in Greek as Messias in John 4:25), had already become a name, "Christ," as it so often appears in the Pauline corpus. It is sometimes suggested that it was originally a nickname or Scheltname (derogatory name) given to Jesus' followers.52 That may be, but neither in Acts 11:26; 26:28 nor in 1 Pet 4:16, its earliest attestations, does it necessarily carry that nuance.

The real problem in the Lucan notice in Acts 11:26 is the meaning of the

infinitive *chrēmatisai*. Cadbury maintained that the "intransitive active in the sense 'be named' is abundantly illustrated by the papyri,"<sup>53</sup> and he refers to F. Preisigke's dictionary.<sup>54</sup> This would mean that "Christians" was first a name given to Jesus' followers by others, and all sorts of candidates for those who coined the name have been suggested by interpreters who follow Cadbury's line of thinking: Jews who did not accept the Christ, Gentiles who wanted to express scorn (thus with differing nuances, J. B. Lightfoot, A. Harnack, H. B. Mattingly, P. de Labriolle, G. Ricciotti et al.), or authorities of the Roman government (E. Peters, M. H. Shepherd).

However, E. J. Bickerman has contested this passive meaning of the active infinitive. Having analyzed many texts of Greek writers and papyrus usage, he argues for a middle meaning of the intransitive active, "to style oneself."<sup>55</sup> This would mean that Luke is recording that the disciples of Jesus at Antioch were the first to style themselves "Christians," i.e., "agents, representatives of the Messiah."<sup>56</sup> Spicq, too, argues for the same meaning: "Le verbe *chrēmatizō* 'designer'... 'porter officiellement tel nom ou tel titre'..., étant ici un aoriste ingressif, il faut l'entendre comme une référence chronologique; les croyants commencèrent à se dénommer des chrétiens; c'est leur titulature officielle dans l'histoire religieuse du monde...."<sup>57</sup> Spicq explains the adopted name thus: "Christianoi</sup> are those who belong to Christ, who claim to be his, adhere to his teaching, imitate his example, depend on his help, belong body and soul to him, and are assimilated to his person."<sup>58</sup>

Another thorough study of the use of *chrēmatizein* in the New Testament and in Hellenistic Greek is needed to resolve this philological question, since the names of reputable scholars are lined up on both sides of the debate about the meaning of this verb. In the long run, as far as my purpose is concerned, it will be a matter of different nuances: whether Christians were so styled officially by others or so styled themselves for the first time during the early 40s in the city of Antioch. The important thing is that disciples of Jesus of Nazareth, the Christ, came to be so called — the item that is common to both sides of the debate. It was originally a local designation, tied to a definite time and place, and eventually became the name of the entire body of disciples, no matter where they were located.

#### (6) The Sect of the Nazoreans<sup>59</sup>

This name for the early Christians, stemming clearly from a Jewish background, is recorded by Luke only in Acts 24:5. Its Jewish origin is also revealed in the use of *hairesis*, "sect," not only in this passage but also in 24:14, where it explains "the Way," and in 28:22, where Roman Jews tell Paul that they want to hear more about this group related to Judaism, which "is everywhere spoken against." Its relation to Judaism is clear from the Lucan use of *hairesis* for

Sadducees (5:17) and for Pharisees (15:5; 26:5). Luke thus considers Christianity to be another "sect" of Judaism.<sup>60</sup> This is also concluded from his general presentation of Christianity in his two-volume work: it has as much right to licit recognition in the Roman empire as Judaism, since it is the logical outgrowth of Pharisaic Judaism.<sup>61</sup> In the context of 24:5, the phrase is meant to be pejorative: Tertullus regards Paul as the ringleader of this "sect of the Nazoraeans."

The name of the sect is *Nazōraioi*, an enigmatic appellation that cannot be explained with certainty. It is often translated simply as "Nazarenes," which would mean followers of Jesus of Nazareth. That name, however, is spelled more properly *Nazarēnoi* (Luke 4:34; 24:19), whereas Luke uses the form *Nazōraios* as an apposite for Jesus (Luke 18:37) and consistently in Acts not only for Jesus (2:22; 3:6; 4:10; 6:14; 22:8; 26:9) but also for his followers (24:5). Hence for Luke *Nazōraioi* is to *Iēsous ho Nazōraios* as *Christianoi* is to *Christos*. It is also clear that for Luke *Nazōraios* = *Nazarēnos*, whatever may have been the real etymological meaning of *Nazōraios*.<sup>62</sup>

#### (7) Galileans<sup>63</sup>

i.

In Acts 1:11, as Christ is carried up to heaven, two men in white robes address his followers as *andres Galilaioi*, "Galileans." Again in 2:7 the brethren who address the assembled Jerusalem Jews are recognized as *Galilaioi*. Though earlier students of the names for Christians in Acts have included this designation in their lists, I question the inclusion. In 2:7 it expresses merely the geographical origin of the speakers and may imply scorn for those so addressed, but it scarcely denotes the corporate character of the new Christians. Similarly in 1:11. The name has been included in earlier lists because of its use in later tradition,<sup>64</sup> but there is no reason to list it under "eigentliche Namen," as far as Acts is concerned.

In neither of the above categories, designations or names, have I included such expressions as *hoi douloi*, "servants/slaves" (4:29; 16:17) or *hoi philoi*, "friends" (27:3), because, though they are used of Christians, they do not clearly express their corporate character or connote the body of Christians.

### III. Conclusion

Having thus listed the designations and names for Christians in Acts and commented on their significance, I conclude by asking to what extent these designations or names in Acts shed light on the problem of the local church in its relation to the universal people of God.

Prima facie, all the designations and names used of Christians in Acts could clearly be applied to the universal people of God, understood in the new Christian sense. But the question should rather be asked whether any of them either deals with or transcends local bounds. The designations "brethren," "believers," "those who call upon the name of the Lord," and "those being saved" have no limitation to local congregations of Christians. The designations "flock" and "company," though used of specific local communities (Ephesus and Jerusalem respectively), are in no way intrinsically restricted to such a meaning. Similarly, the bodies of Christians persecuted by Paul in Jerusalem and Damascus, which were identified by the risen Christ as "Jesus," would not necessarily be locally limited. Indeed, the term "witnesses," which expresses a function of Christian followers commissioned by Christ, would transcend the local bounds of Jerusalem, Judea, and Samaria, being destined for testimony even to "the end of the earth" (1:8).

The same lack of specific local connotation is found in the names "disciples," "saints," "Nazoreans," and "Christians," even though the last mentioned is traced to an Antiochene origin. "The Way" is given as the name for the body of Christians not only in Jerusalem (22:4; 24:14) but also in Damascus (9:2) and Ephesus (19:9, 23).

The name "church," however, which gradually emerges as the enduring name for the body of early Christians, is used not only to designate local bodies of Christians in specific geographical areas (as the plural *ekklēsiai* in 15:41; 16:5 and the distributive *kat' ekklēsian* in 14:23 show), but also to mark the "church" in a generic sense that transcends local areas: the singular *ekklēsia* that is found "throughout all Judea, Galilee, and Samaria" (9:31). It is the emergence of this singular usage that permits Luke, writing in the penultimate decade of the first century A.D., to speak even of "the whole church" (5:11), when he mentions *ekklēsia* for the first time. Although Luke can identify persecuted Christians with "Jesus" (9:5; 22:8; 26:15) and can speak of *holē hē ekklēsia*, "the whole church," he does not yet bring himself to say, as does Ignatius of Antioch, *hopou an ē lēsous Christos, ekei hē katholikē ekklēsia*, "where Jesus is, there is the catholic church" (*Smyrn.* 8:2). Lastly, it is noteworthy that Luke nowhere in Acts speaks of Christians in a corporate sense as "the people of God."<sup>65</sup>

Such designations, however, which emerged early as appellations of Christians as a group, reveal that the difference of local churches from "the whole church" or the church universal is a question related to the growth and development of the group, as it gradually became more numerous and spread to other places and as it gradually became more and more aware of itself as "church." The Lucan designations show that there were Christians around, functioning in a communal and corporate way, even prior to the tensions that inevitably emerged between local churches and the universal people of God. Even though such designations can be applied to either local groups of Christians or the universal Christian people as "the church," they provide a background for the proper discussion of the relation of local or individual churches to the universal people of God or the church as a whole.

#### NOTES

\* Originally published in Unité et diversité dans l'Eglise: Texte officiel de la Commission Biblique Pontificale et travaux personnels des membres (Vatican City: Libreria Editrice Vaticana, 1989) 223-36.

1. For reasons why one can still speak in a nuanced way of Luke as the author of Luke-Acts, as ancient tradition once held, see my commentary, *The Gospel according to Luke* (AB 28, 28A; Garden City, NY: Doubleday, 1981, 1985) 35-53.

2. E.g., H. J. Cadbury, "Names for Christians and Christianity in Acts," *The Beginnings of Christianity: Part I, The Acts of the Apostles* (5 vols.; ed. F. J. Foakes Jackson and K. Lake; London: Macmillan, 1920-33; repr. Grand Rapids, MI: Baker Book House, 1979), 5.375-92; C. Spicq, *Vie chrétienne et pérégrination selon le Nouveau Testament* (LD 71; Paris: Cerf, 1972) 13-57 (chap. 1, "Les dénominations du chrétien").

3. E.g., A. Harnack, *The Expansion of Christianity in the First Three Centuries* (London: Williams & Norgate, 1904), 2.1-45; H. Karpp, "Christennamen," *RAC*, 2.1114-38.

4. This term is listed by neither Harnack, Cadbury, Karpp, nor Spicq.

5. This is the form of the commission that the Lucan Christ gives to his followers. Contrast that given by the Matthean Christ in Matt 28:18 and by the Marcan Christ in Mark 16:15. The distinctive form in Luke 24 is derived from his own theology of discipleship.

6. This term is listed by Harnack, Cadbury, Karpp, and Spicq.

7. Adelphōn is the preferred reading in N-A<sup>27</sup>, being attested in mss.  $\aleph$ , A, B, C<sup>\*</sup>, 33<sup>vid</sup>, etc. Some mss. read mathētōn (C<sup>3</sup>, D, E,  $\Psi$ , and the Koine text-tradition); P<sup>74</sup> has apostolōn. The reason for the substitution in the Western Text "is obvious: to prevent the reader from confusing these 'brethren' with the brothers of Jesus (ver. 14)" (B. M. Metzger, TCGNT [2d ed., 1994], 247).

8. Perhaps Acts 15:7, 13, 23 should be included here, but in these verses the term may refer solely to those assembled at the so-called Council.

9. Josephus describes the Essenes as all enjoying a single patrimony "like brothers" (J.W. 2.8, 3 §122). Note the use of 'ah in IQS 6:22; IQSa 1:18; 2:13(?). See further J. Beutler, "Adelphos ...," EDNT, 1.28-30; K.-H. Schelkle, "Bruder," RAC, 2.631-40; H. Schürmann, "Gemeinde als Bruderschaft," Ursprung und Gestalt (Düsseldorf: Patmos, 1970) 61-73; H. von Soden, "Adelphos ...," TDNT 1.44-46. For a discussion of the Christian sense of "brother" as contrasted with the secular and Marxist senses, see J. Ratzinger, The Open Circle: The Meaning of Christian Brotherhood (New York: Sheed and Ward, 1966).

10. This term is listed by Cadbury, Karpp, and Spicq.

11. See also 8:37, a textually problematic verse.

12. See "Names" (n. 2 above), 382.

13. Theology of the New Testament (2 vols.; London: SCM, 1952-55), 1.89; cf. C. Spicq, "Les dénominations," 15-19.

14. This term is listed by Spicq.

15. C. Spicq, "Les dénominations" (n. 2 above), 45-50.

16. This term is listed by Cadbury.

17. "Names" (n. 2 above), 382-83. Cf. Luke 13:23.

18. See B. F. Meyer, "The Initial Self-Understanding of the Church," CBQ 27 (1965) 35-42, esp. 37-38.

19. See Luke (n. 1 above), 181-92, 222-23.

20. See further W. Radl, "Sozo . . . ," EDNT, 3.319-21.

21. This term is listed only by Cadbury.

22. This term is listed only by Cadbury.

23. This term is listed only by Cadbury.

24. For the possibility that *koinōnia* may reflect the Essene name for its community, *yaḥad* (1QS 1:1, 16; 5:1, 2, 16: 6:21; 7:20; 1QSa 1:26-27; 4QPBless 5), see my article, "Jewish Christianity in Acts in Light of the Qumran Scrolls," *ESBNT*, 271-303, esp. 283-84.

25. This term appears in no earlier list.

26. This term is listed by Cadbury and Karpp, but not by Spicq.

27. It occurs only in 1 Chr 25:8, being used of pupils in the Temple choir! Rare instances of *limmūd*, often translated "disciple," occur in Isa 8:16; 50:4; 54:13; cf. Jer 13:23; but in none of these instances does *mathētēs* appear in the LXX. Indeed, J. Jensen (*The Use of* tôrâ by *Isaiah* [CBQMS 3; Washington: Catholic Biblical Association, 1973] 110) has argued for the meaning of *limmūd* as "student, pupil" rather than as "disciple" in the New Testament sense. See further W. G. E. Watson, *Bib* 56 (1975) 275. *Mathētēs* is used in the LXX only in Jer 13:21; 20:11; 46:9, but always with a variant reading, which makes the drawing of any conclusion from such an occurrence very problematic.

28. The same is probably to be said about the use of the term in Philo (13 times, usually of a pupil/learner) and in Josephus (15 times), but scarcely with the religious connotation that the term has in the New Testament. See further M. Hengel, *The Charismatic Leader and His Followers* (New York: Crossroad, 1981); A. Schulz, *Nachfolgen und Nachahmen: Studien über das Verhältnis der neutestamentlichen Jüngerschaft zur urchristlichen Vorbildethik* (SANT 6; Munich: Kösel, 1962); E. Schweizer, *Lordship and Discipleship* (SBT 28; Naperville, IL: Allenson, 1960); H.-D. Betz, *Nachfolge und Nachahmung Jesu Christi im Neuen Testament* (BHT 37; Tübingen: Mohr [Siebeck], 1967).

29. The verb *akolouthein*, "follow," occurs 13 times in the LXX — seven times in a neutral sense, twice denoting an attendant (1 Sam 25:42; Jdt 15:13), and three times of one who obeys (Jdt 2:3; 5:7; 2 Macc 8:36 [all Greek deuterocanonical references]).

30. In Mark 2:18; Matt 22:16; Luke 5:33 one reads of "disciples of the Pharisees"; and in Mark 2:18; 6:29; Matt 9:14; 11:2; 14:12 of "disciples of John" (the Baptist). Once again this mode of expression for the relation of such followers to the Pharisees or John may reflect the same Hellenization of the later gospel tradition. The rabbinic tradition knows, indeed, of rabbis and *talmîdîm*; but no text of that tradition can be dated with certainty prior to A.D. 200 (the time of Rabbi Judah the Prince and the codification of the Mishnah), and Greek influence in that Jewish tradition is notorious. For this reason I hesitate to regard *mathētai* as the "oldest name" for Christians, as does H. Karpp, "Christennamen" (n. 3 above), 1115.

31. See further Cadbury, "Names" (n. 2 above), 376-78; Karpp, "Christennamen" (n. 3 above), 1115; P. Nepper-Christensen, "Mathētēs, ...," EDNT, 2.372-74.

32. This term is listed by Cadbury, Karpp, and Spicq.

33. "Names" (n. 2 above), 381. Karpp ("Christennamen" [n. 3 above], 1116) refers to the LXX of Ps 83:4 (ton hagion sou), where the MT has sepûnêkā, "thy protected ones" (RSV).

34. To paraphrase a saying of O. Procksch, TDNT, 1.106 n. 59. See further Karpp, "Christennamen" (n. 3 above), 1116; Spicq, "Les dénominations" (n. 2 above), 19-29.

35. This term is listed by Cadbury, barely by Karpp, and not at all by Spicq.

36. The Acts of the Apostles: A Commentary (Philadelphia: Westminster, 1971) 320 n. 1. He refers to E. Repo, Der "Weg" als Selbstbezeichnung des Urchristentums (Annales academiae scientiarum fennicae, ser. B123/2; Helsinki: Suomalainen Tiedeakateemia, 1964).

37. See further 1QS 4:22; 8:10, 18, 21; 9:5, 9, 19; 11:11; 1QSa 1:28; 1QM 14:7; 1QH 1:36. Cf. my article "Jewish Christianity" (n. 24 above), 281-83.

38. The Temple Scroll of Qumran Cave 11, which has been hailed as a "sectarian Torah," well illustrates the Essene understanding of the Mosaic Law. See Y. Yadin, *The Temple Scroll* (3 vols.; Jerusalem: Israel Exploration Society, 1983); *The Temple Scroll: The Hidden Law of the Dead Sea Sect* (New York: Random House, 1985).

39. Compare the Lucan phrase "the way of the Lord" (Acts 18:25) with the Qumran phrase drk hw'h' (1QS 8:13) and the Lucan phrase "the way of God" (18:26) with the Qumran phrase drk 'l (CD 20:18).

40. See further W. K. M. Grossouw, "The Dead Sea Scrolls and the New Testament: A Preliminary Survey," *Studia Catholica* 27 (1952) 1-8; F. Nötscher, *Gotteswege und Menschenwege in der Bibel und Qumran* (BBB 15; Bonn: Hanstein, 1958) 76-96, 100-101; V. McCasland, "The Way," *JBL* 77 (1958) 222-30; G. Vermes, "The Impact of the Dead Sea Scrolls on the Study of the New Testament," *JJS* 27 (1976) 107-16; H.-W. Kuhn, "The Impact of the Qumran Scrolls on the Understanding of Paul," *The Dead Sea Scrolls: Forty Years of Research* (STDJ 10; ed. D. Dimant and U. Rappaport; Leiden: Brill; Jerusalem: Magnes, 1992) 327-39; O. Betz, "Qumran and the New Testament: Forty Years of Research," *Mogilany 1989: Papers on the Dead Sea Scrolls Offered in Memory of Jean Carmignac*... (Qumranica mogilanensia 2; ed. Z. J. Kapera; Cracow: Enigma, 1993), 1.79-100; K. Berger, "Qumran und das Neue Testament," *TGl* 84 (1944) 159-74; H. Lichtenberger, "Die Texte von Qumran und das Urchristentum," *Judaica* 50 (1994) 68-82; J. A. Fitzmyer, "The Dead Sea Scrolls and Early Christianity," *TD* 42 (1995) 303-19.

41. This term is listed by Harnack, Cadbury, and Karpp, but not by Spicq.

42. See R. E. Brown et al. (eds.), Peter in the New Testament: A Collaborative Assessment by Protestant and Roman Catholic Scholars (Minneapolis: Augsburg; New York: Paulist, 1972) 83-101.

43. See Bultmann, Theology (n. 13 above), 1.37-38; K. L. Schmidt, TDNT, 3.518-25.

44. The noun occurs also 14 times in the Deutero-Paulines (Col, Eph, 2 Thess) and, strikingly enough, only three times in 1 Timothy and never in 2 Timothy or Titus.

45. Though the Hebrew noun kënëset occurs as a designation of the assembly of the Essenes (4QPBless 6; 4QpNah 3-4 iii), the Aramaic kënîštā' is not found in Biblical Atamaic or in any pre-Christian extrabiblical writings; it is also absent from Palestinian Aramaic texts prior to the Megillat Ta'ănît, where it means "Sanhedrin" in this, the first of the Aramaic rabbinic writings (dating from the time of Trajan). See J. A. Fitzmyer and D. J. Harrington, A Manual of Palestinian Aramaic Texts (Second Century B.C.-Second

Century A.D.) (BibOr 34; Rome: Biblical Institute, 1978) 186-87, 323. K. L. Schmidt (TDNT, 3.524-26) once claimed that kenîsta' was the Aramaic word that underlies the New Testament ekklesia, and not qehala', but none of the evidence that he cites from rabbinic literature antedates A.D. 200. Kěnîštā' is found, indeed, abundantly in the classical targums (Ongelos, Yerushalmi I, Yerushalmi II, Neofiti 1) and in the Late Phase of the Aramaic language (from A.D. 200 on); but the bearing of such evidence on the Greek of the New Testament is highly questionable. See my articles "The Phases of the Aramaic Language," WA, 57-84, esp. 62 and n. 38; "The Aramaic Language and the Study of the New Testament," JBL 99 (1980) 5-21, esp. 18-21. Cf. A. D. York, "The Dating of Targumic Literature," JSJ 5 (1974) 49-62; K. G. Kümmel, Kirchenbegriff und Geschichtsbewusstsein in der Urgemeinde und bei Jesus (2d ed.; Göttingen: Vandenhoeck & Ruprecht, 1968) 23-25; L. Rost, Die Vorstufen von Kirche und Synagoge im Alten Testament: Eine wortgeschichtliche Untersuchung (BWANT 76; Stuttgart: Kohlhammer. 1938; repr. Darmstadt: Wissenschaftliche Buchgesellschaft, 1967). Rost has shown that kěnîštā' normally renders Hebrew 'ēdāh and Greek synagögē in the targums, whereas *aāhāl* of the MT is regularly rendered by Aramaic *aĕhālā*' (see pp. 95-170).

46. This broader sense is hardly certain here, since the phrase may mean no more than the whole local church of Jerusalem. In this regard one has to reckon with the composite character of chap. 15 in Acts. For many interpreters of this chapter, Luke has joined accounts about two decisions made at Jerusalem: that against the need of circumcision for the salvation of Gentile Christians (vv. 4-12), a decision made by the so-called Council; and that about the dietary and *porneia* problems (vv. 13-31), made by James, the apostles, and the elders and sent in a letter to the Christians of Antioch, Syria, and Cilicia. If "the whole church," mentioned in v. 22, really belongs to the context of the latter decision, then it would refer to the whole local Christian community of Jerusalem, which along with James, the apostles, and the elders sends a decision to Christians of other local communities. By "telescoping" the two incidents and making them both seem to have been decisions of the so-called Council of Jerusalem, Luke insinuates a broader sense of hole he ekklesia in v. 22. For further discussion of this chapter, see Haenchen, Acts (n. 36 above), 468-72; G. Schneider, Die Apostelgeschichte (HTKNT 5/1-2; Freiburg im B.: Herder, 1980, 1982), 2.189-92; R. E. Brown et al. (eds.), Peter in the New Testament (n. 42 above), 49-56; and my discussion in the forthcoming The Acts of the Apostles: A New Translation with Introduction and Commentary (AB 31; New York: Doubleday, 1998); also in "Acts of the Apostles," JBC, art. 45 §72-77.

47. Or "the church of the Lord," a variant reading in mss.  $P^{74}$ , A, C, D, E,  $\Psi$ , 33 etc.

48. Being used by Paul in his discourse at Miletus, it may seem to echo the Pauline use of  $h\bar{e}$  ekklesia tou theou, but one should resist the temptation to read the Pauline nuances of that phrase into the Lucan usage.

49. This term is listed by Harnack, Cadbury, Karpp, and Spicq.

50. The infinitive chrēmatisai is dependent on a typically Lucan use of egeneto de, "it happened that." Codex D, however, reads: kai tote proton echrēmatisan en Antiocheia hoi mathētai Christianoi, "and then for the first time in Antioch the disciples were called Christians."

51. See H. J. Cadbury, "Names" (n. 2 above), 384.

52. See A. Gercke, "Der Christenname ein Scheltname," Festschrift zur Jahrhundertfeier der Universität Breslau am 2. August 1911 (ed. Schlesischer Philologenverein; Breslau: Trewendt & Granier, 1911) 360-73. He argues for the origin of the name in Rome during the persecution of Nero.

53. "Names" (n. 2 above), 385, n. 4.

54. Wörterbuch der greichischen Papyrusurkunden. . . (ed. E. Kiessling; 3 vols.; Berlin: Privately published, 1925-31), 2.753-54; "einen rechtsgiltigen Namen (Titel) führen, benanntwerden, heissen." See further BAG (2d ed., 1979) 885, Meaning 2: "bear a name, be called or named," with abundant references to Polybius, Strabo, Plutarch, Philo, Josephus, inscriptions, and papyri. Similarly MM, 692: "take a name from, am called"; *EDNT*, 3.474: "bear a name, be called." B. Reicke, "*Chrēma*...," *TDNT*, 9.481-82; "the disciples were publicly known as Christians"; P. Labriolle, "Christianus," *Bulletin du Cange: Archivum latinitatis medii aevi* 5 (1929-30) 69-88: "signifie, non pas s'attribuer un nom, mais le recevoir ou le porter" (p. 74); A. Harnack, *The Expansion* (n. 3 above), 16; C. Cecchelli, "Il nome e la 'setta' dei Cristiani," *Rivista di archeologia cristiana* 31 (1955) 55-73: "Non si tratta di nome assunto, ma di nome attribuito" (p. 61).

55. "The Name of Christians," HTR 42 (1949) 109-24.

56. Ibid., 123. Karpp ("Christennamen" [n. 3 above], 1132) rejects the arguments given by Bickerman on pp. 110-14, citing Philo, *Quod Deus imm.* 121; Origen, *Contra Cels.* 8.25 (GCS 2.241, 27); John Chrysostom, *Hom. in Acta* 25.1 (PG 60.192). Bickerman's interpretation is also contested by M. H. Shepherd, "The Occasion of the Initial Break between Judaism and Christianity," *Harry Austryn Wolfson Jubilee Volume*... (3 vols.; Jerusalem: Academy for Jewish Research, 1965), 2.703-17, esp. 709; and H. B. Mattingly, "The Origin of the Name *Christiani*," *JTS* n.s. 9 (1958) 26-37, esp. 28 n. 3.

57. "Les dénominations" (n. 2 above), 13 n. 1. See also "Ce que signifie le titre de chrétien," ST 15 (1961) 68-78, esp. 68. Spicq does not discuss the word in his Theological Lexicon of the New Testament (3 vols; Peabody, MA: Hendrickson, 1994).

58. "Les dénominations" (n. 2 above), 13.

59. This term is listed by Cadbury and Karpp, but not by Spicq.

60. Josephus also uses *hairesis* to designate different kinds of Jews: Pharisees, Sadducees, and Essenes (Life 2 §10, 12; Ant. 13.5.9 §171).

61. See Luke (n. 1 above), 8-11, 178, 289.

62. See further H. Kuhli, "Nazarēnos ...," EDNT, 2.454-56 (with bibliography). The change in the adjectival ending (-aios, -ēnos) is not the problem; it is rather the  $\bar{o}$ , instead of a, if Nazōraios were to mean apo Nazaret/Nazara, "from Nazareth/Nazara." Nazōraios as the equivalent of Nazarēnos has often been said to be the result of a popular etymology. Its real etymology, however, is unknown. It has often been explained as a form related to Hebrew  $n\bar{a}z\hat{i}r$ , "one dedicated by vow," or to Hebrew  $n\bar{e}ser$ , "scion, sprout," or even to Aramaic  $n\bar{a}s\hat{o}r\bar{a}y$ , "watcher, observer." Each of these suggestions only raises further questions.

63. This term is listed by Cadbury and Karpp, but not by Spicq.

64. See Karpp, "Christennamen" (n. 3 above), 1131.

65. The closest that one comes to this expression is Acts 15:14: labein ex ethnon laon to onomati autou, "to take from the nations (or Gentiles) a people for himself." See J. Dupont, Teologia della chiesa negli Atti degli Apostoli (Bologna: Edizioni Dehoniane, 1984) 51-60.

# Sixteen

# "A CERTAIN SCEVA, A JEW, A CHIEF PRIEST" (ACTS 19:14)\*

THE LUCAN ACCOUNT of Paul's ministry in Ephesus (Acts 19:1-20:1), which lasted for at least two years and three months (19:8, 10), tells of the "uncommon miracles" wrought by the Apostle, his curing of diseases and his exorcism of evil spirits. It also reports about itinerant Jewish exorcists who sought to drive out evil spirits by invoking the name of "the Lord Jesus," using the formula, "I adjure you by the Jesus whom Paul preaches" (19:13). Then Luke recounts, "Seven sons of a Jewish high priest named Sceva were doing this" (19:14, as the *RSV* renders it). When an evil spirit challenges them, the possessed man leaps upon them and overpowers them (καταχυριεύσας ἀμφοτέρων, 19:16), and they flee naked and wounded.

The Alexandrian text of Acts 19:14 reads:  $\tilde{\eta}$ σαν δέ τινος Σκευᾶ 'Ιουδαίου ἀρχιερέως ἑπτὰ υίοὶ τοῦτο ποιοῦντες. But P<sup>38</sup>, dated ca. A.D. 300 and considered a representative of the Western Text of Acts, reads rather:

έν οἶς καὶ υ[ioì σκευ]ΐo[u iou]δαίου τινὸς ἀρχιερέως ἠθ[έλη]σαν [τὸ α]ὐτὸ ποιῆσαι ἕθος ἔχοντες [ἐξορκί]ζειν τοὺς τοιούτους καὶ εἰσελθό[ντες] πρὸς δαιμονιζόμενον ἦρξα[ντο ἐπι]καλεῖσθαι τὸ ὄνομα λέγοντες π[αραγγέλ]λομέν σοι ἐν ιην ὃν Παῦλος ὁ [ἀπόστο]λος κηρύσσει ἐξελθεῖν.<sup>1</sup>

In these circumstances s[ons of Sce]va, a certain [J]ew, a chief priest, also wi[sh]ed to do [the s]ame, being accustomed [to exor]cise such persons. And they cam[e] to one who was possessed by a demon and beg[an to in]voke the Name, saying, "We com[man]d you by Jesus whom Paul the [Apost]le preaches to come out."

The later Codex Bezae, also of the Western text-tradition, has the same

basic rewriting as P<sup>38</sup>, but reads iɛpéωç, "priest," instead of ἀρχιερέως, "chief priest," and omits 'Ιουδαίου, "Jew." It also reads the aorist indicative εἶχαν instead of the participle ἔχοντες and inverts the order of the last two words (ἐξελθεῖν ¤ηρύσσει), which creates an impossible text. In this text-tradition only the margin of the Harclean Syriac version reads the equivalent of ἑπτά,<sup>2</sup> whereas the rest of the Western tradition has omitted this number, almost certainly because of ἀμφοτέρων in 19:16. This word normally means "both," but the *RSV* translates it as "all," following a meaning that B. M. Metzger says the word has in substandard Greek.<sup>3</sup>

Since, however, the Alexandrian text is supported by  $P^{38}$  in reading [lov] $\delta\alpha$ iou twòç  $\alpha p\chi$  spé $\omega$ ç, this is to be retained and regarded as the original. It is the *lectio difficilior*, and the rest of the Western text-tradition has changed the last word to ispé $\omega$ ç because of the usual way of understanding twòç  $\Sigma \varkappa \omega \alpha$  'Iou $\delta\alpha$ iou  $\alpha p\chi$  spé $\omega$ ç, viz., "a certain Sceva, a Jewish high priest."<sup>4</sup> This usual understanding of the phrase is what I wish to discuss further in this paper.

The name of Sceva is given in most manuscripts as  $\Sigma \varkappa \varepsilon \upsilon \tilde{\alpha}$ , the genitive of  $\Sigma \varkappa \varepsilon \upsilon \tilde{\alpha} \zeta$ , but it appears as  $\Sigma \varkappa \varepsilon \upsilon \iota \alpha$  in ms. A and is restored in P<sup>38</sup> by Sanders as  $\Sigma \varkappa \varepsilon \upsilon \tilde{\omega} \upsilon$ .<sup>5</sup> The name is not Semitic, but that does not create a difficulty, since other Jewish high priests bore non-Semitic names at times. The name  $\Sigma \varkappa \varepsilon \upsilon \tilde{\alpha} \zeta$  has been explained as a grecized form of Latin *Scaeva*,<sup>6</sup> but that explanation has likewise been questioned.<sup>7</sup> Whether or not it is related to Latin *Scaeva*, the name  $\Sigma \varkappa \varepsilon \upsilon \tilde{\alpha} \zeta$  is otherwise attested. It was the name of a zealous soldier in the service of Julius Caesar, Kάσσιος  $\Sigma \varkappa \varepsilon \upsilon \alpha \zeta$ , who, though badly wounded in the battle of Dyrrachium, smote two of the enemy.<sup>8</sup> It is also found in Dio Cassius, *Rom. Hist.* 65.16.1,<sup>9</sup> but it creates a problem when it is said to be the name of a "Jewish high priest."

Σχευᾶς is otherwise unknown as having been a "high priest" of the Jews. For the period from the time of Herod the Great to the First Revolt of the Jews against Rome the names of twenty-eight high priests are known,<sup>12</sup> and Σχευᾶς is not among them. Yet in both Josephus and the New Testament ἀρχιερεύς is used in a wider sense.<sup>13</sup> Whether one understands this wider sense to include "members of the noble families from which the High Priests were selected," as did Schürer,<sup>14</sup> or rather as "chief priests," i.e., "priests of higher rank than the majority," as did J. Jeremias,<sup>15</sup> Luke is possibly using  $d\rho\chi uspeuc of$  Sceva in this wider sense, viz., "chief priest" (so the New International Version).

The matter, however, is not so easily decided. Since the episode about the exorcist sons of Sceva is recounted as occurring at Ephesus,  $d\rho\chi\iota\epsilon\rho\epsilon\iota\varsigma$  may have an entirely different connotation, for the term was also used there in a non-Jewish context.

In the provinces of Asia and Bithynia, where people had earlier bestowed divine honors on Seleucid rulers, such honors were in time transferred to the Roman emperor, who was regarded more as a benefactor than as a monarch. Thus people from districts of Asia met in Ephesus and declared Julius Caesar a "god made manifest." Although Augustus disliked this ruler-cult and adopted instead the patronymic divi filius, "son of the Deified One," nevertheless even he eventually tolerated a temple being erected in the province of Asia to "Roma and Augustus." The Commonalty (xοινόν) of Asia held annual meetings there to further this cult. These were a festival and a sacred contest, which attracted contestants from all over, and it often celebrated the birthday of Augustus. The main leader of the Commonalty was a "chief priest" of Roma and Augustus, usually called apprepeus the Asias, who conducted the cult, but who also had numerous secular functions. D. Magie in his Roman Rule in Asia Minor to the End of the Third Century after Christ has an elaborate treatment of "chief priests" in several cities of Asia: "From the time of Claudius probably ---certainly from that of his successor, Nero --- onward there was in many of the cities of Asia and Greece a priest, usually a 'chief priest,' of the Augusti in general."<sup>16</sup> In appendix II, Magie lists the known ἀρχιερεῖς τῆς Ἀσίας, "chief priests of Asia," some of whom bear the specification έν 'Εφέσω, "in Ephesus." Sceva is not among those listed, since Magie's list is constructed from inscriptions and papyri. It should also be noted that Magie has a lengthy discussion of the relations of such "chief priests" of the imperial cult in Asia to the Ἀσιάρχαι, "Asiarchs." It is possible that this title was "an alternative, less formal, designation for the archiereus of the province."17 Now it may be no more than coincidence that in the same chapter of Acts Luke mentions Asiarchs (19:31), "who were friends" of Paul,

Jews had settled in Ephesus and elsewhere in Ionia from the mid-third century B.C.<sup>18</sup> Josephus tells of Jews in Ephesus who were Roman citizens, yet who "came together for sacred and holy rites in accordance with their law" (*Ant.* 14.10.12 §227; 14.10.16 §234; 14.10.19 §240). He also recounts how Herod the Great left "no suitable spot within his realm destitute of some mark of homage to Caesar" (*J.W.* 1.21.4 §407). Being a Jew himself, Josephus writes simply  $\tau\mu\eta\varsigma\gamma\nu\mu\nu\delta\nu$ , "naked of honor," yet immediately thereafter he explains

this "homage": "When he had filled his own territory with temples (value), he allowed the memorials of his homage to overflow into the province and established in many cities monuments to Caesar (Καισάρεια)." Specifically, Josephus records that Herod erected at Paneion ναὸν αὐτῷ, "a temple to him" (i.e., to Caesar, J.W. 1.21.3 §404). Such modes of honoring Augustus were thus sponsored by a king in Judea, whom Josephus calls "a commoner and an Idumean, that is, a half-Jew" (ίδιώτη τε όντι και 'Ιδουμαίω, τουτέστιν ήμιουδαίω, Ant. 14.15.2 §403). It should be noted, however, that the same word voic is used by Josephus for Herod's monuments to Caesar Augustus, as appears in many inscriptions mentioning the cult of the Augusti in Ephesus.<sup>19</sup> Would it be impossible, then, to think of a Jew living in Ephesus itself, not only becoming a Roman citizen, but even being appointed ἀρχιερεύς τῆς Ἀσίας? After all, Luke may be implying that the sons of Sceva were among "the itinerant Jewish exorcists," who undertook to pronounce the name of the Lord Jesus over those with evil spirits. The problem here is to understand why Jewish exorcists would want to invoke Jesus. What kind of Jews were found in Ephesus?<sup>20</sup>

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Having investigated all this, I subsequently discovered that this meaning for  $\dot{\alpha} \rho \chi_{12} \rho_{12} \dot{\omega} \zeta_{13}$  in Acts 19:14 had already been suggested in a brief note by B. E. Taylor.<sup>21</sup> B. A. Mastin also mentions Taylor's suggestion only to comment that "it cannot be shown that  $\dot{\alpha} \rho \chi_{12} \rho_{12} \dot{\omega} \zeta_{13}$  does not have this meaning in Acts xix. 14 or that it does not refer to some other pagan high priesthood."<sup>22</sup>

Now if Sceva were such an ἀρχιερεύς of the imperial cult, would it mean that he was "a renegade Jew" (Mastin's term)? Mastin himself offers a few parallels:

If in the first century A.D. Julia Severa, who is also known as  $\dot{\alpha}p\chi \dot{\epsilon}p\epsilon \alpha$  of a pagan cult, could build a synagogue in Phrygia, and if, as E. M. Smallwood has argued, Flavius Clemens, who was consul in A.D. 95, and his wife Flavia Domitilla were condemned by Domitian in Rome as  $\sigma\epsilon\beta\dot{\epsilon}\mu\epsilon\nu\alpha$ , it is perhaps not wholly impossible that a Jew should attain sufficient prominence in public life to be appointed to the essentially political post of  $\dot{\alpha}p\chi\epsilon\rho\epsilon\dot{\omega}\zeta$  of the imperial cult.<sup>23</sup>

In rejecting this interpretation of ἀρχιερεύς in Acts 19:14, Mastin prefers to compare the Lucan designation of the seven sons of Sceva, a "chief priest," with Zatchlas, a priestly figure who works wonders in Apuleius, *Metamorphoses* 2.28ff. The connection of the seven exorcist sons to a chief priest is essential for him. However, that comparison is farfetched in my opinion, mainly because of the date of the *Metamorphoses* in the late second century A.D.

It should be evident that there is no real proof that the Sceva of Acts 19:14 was ἀρχιερεύς in the imperial cult. Yet, if the verse in Acts were to be translated, "Seven sons of a certain Sceva, a Jew, a chief priest, were doing this," and the designation "chief priest" were to be understood of the imperial cult, the problematic "Jewish high priest" would be avoided and the main point of the Lucan story would still be retained. This can be shown by rewording slightly Haenchen's analysis of the meaning of the episode:

If these highly respected Jewish exorcists, sons of an actual chief priest of the imperial cult, had experienced such a fiasco, then what Luke wanted to bring before the eyes of his readers with this story would be palpably clear: so powerful was Paul's success that the great Jewish exorcists had themselves to take over the  $\delta vo\mu\alpha$  which he invoked if they wanted to remain competitive. But even more, this attempt reveals that no one is able to imitate Paul, the representative of the Christian church.<sup>24</sup>

The Jesus that Paul preaches cannot be taken over by outsiders, neither in Ephesus nor elsewhere.

#### NOTES

\* Originally published in Der Treue Gottes trauen. Beiträge zum Werk des Lukas. Für Gerhard Schneider (ed. C. Bussmann and W. Radl; Freiburg im B.: Herder, 1991) 299-305.

1. See H. A. Sanders in *Papyri in the University of Michigan Collection: Miscellaneous Papyri* (ed. J. G. Winter; University of Michigan Studies, Humanistic Series 40; Michigan Papyri vol. III; Ann Arbor: University of Michigan, 1936), 15-16, 18 (papyrus 138). See further his article, "A Papyrus Fragment of Acts in the Michigan Collection," *HTR* 20 (1927) 1-19, esp. 16-18.

2. See M.-E. Boismard and A. Lamouille, Le texte occidental des Actes des Apôtres: Reconstitution et réhabilitation (2 vols.; Synthèse 17; Paris: Editions Recherche sur les Civilisations, 1984), 1.193; 2.134. Also B. M. Metzger, TCGNT (2d ed., 1994), 417-18.

3. Ibid., 417; cf. B-A, 93. For an explanation of how "seven" may have been substituted for "two," see C. C. Torrey, "'Two Sons' in Acts 19:14," ATR 26 (1944) 253-55. (In the first century Greek  $\beta$  [= 2] looked very much like  $\zeta$  [= 7].) Codex Gigas of the Vetus Itala reads v. 14 as "filii Sceve duo sacerdotis." J. H. Moulton, A Grammar of New Testament Greek (3 vols.; 3d ed.; Edinburgh: Clark, 1908-1963), 1.246 mentions as "an ingenious suggestion" that  $\hat{\epsilon}\pi\tau \hat{\alpha}$  arose from a gloss,  $\Sigma x \epsilon \upsilon \alpha = \Psi \Box \Psi = \hat{\epsilon}\pi\tau \hat{\alpha}!$  Ingenious is hardly the word for it.

4. Pace A. C. Clark (The Acts of the Apostles: A Critical Edition with Introduction and Notes on Selected Passages [Oxford: Clarendon, 1970] 123, 223), 'Ioυδαίου is hardly an interpolation, and ἰερέως is not "indubitably right." It is the other way round.

5. See Papyri (n. 1 above), 18: "The form supplied,  $\sigma \varkappa \varepsilon \upsilon \upsilon \upsilon$ , supposes that the rather rare genitive  $\Sigma \varkappa \varepsilon \upsilon \tilde{\alpha}$  of Doric origin was changed to the common ou genitive ending of most masculines in  $-\eta \varsigma$  and  $-\alpha \varsigma$ . See Mayser, Grammatik, I, 250f." However, A. C. Clark (*The Acts* [n. 4 above], 222) reads line 10 of the papyrus without the restoration of  $\sigma \varkappa \varepsilon \upsilon$  at the end and makes  $\iota \upsilon [\upsilon]$  at the beginning of line 11 part of the adjective

to  $[v]\delta\alpha_1 ov$ . Similarly, R. Eisler, "The Sons of the Jewish High-Priest Scaeva in Ephesus (Acts 19:14)," *BBezC* 12 (1937) 77-78. The restoration of v is simply not sufficient to fill the lacuna; something more is needed, as Sanders rightly saw.

6. See B-A, 1507.

7. See BDF §125.2; but cf. BDR §125.2.

8. Plutarch, Caesar 16.2. Cf. Appian, Bell. civ. 2.60.

9. See further SEG 33 (1983) 416 §1476. The fact that the name is attested also makes improbable the suggestion of E. H. Kase, Jr. (in a review of J. G. Winter's edition of the Papyri [n. 1 above], AHR 43 [1937-38] 347-48) that  $\Sigma \times \omega \alpha \zeta$  has emerged from dittography. See further B. A. Mastin, "A Note on Acts 19,14," Bib 59 (1978) 97-99. B-A (1507) still lists an inscription from Miletus (CIG 2889) as also reading the name  $\Sigma \times \omega \alpha \zeta$ , but L. Robert (Les gladiateurs dans l'orient grec [Bibliothèque de l'Ecole des Hautes Etudes 278; Paris: Champion, 1940; repr. Amsterdam: Hakkert, 1971] 180, 182) has long since interpreted the text more correctly, taking  $\sigma \times \omega \alpha \zeta$  as an adjective, "left-handed":  $\Theta \rho \alpha \xi \sigma \times \omega \alpha \zeta E \delta \times \alpha \rho \pi \alpha \zeta [\Sigma] \alpha \mu (\alpha, \nu, \alpha', \sigma \tau, \alpha', "A left-handed Thracian, Eukarpos, (belonging [as a slave]) to Samia — won once, crowned once."$ 

10. EDNT, 1.164.

11. See K. Lake and H. J. Cadbury in *The Beginnings of Christianity: Part I. The* Acts of the Apostles (ed. F. J. Foakes Jackson and K. Lake; New York: Macmillan, 1922-1939; repr. Grand Rapids: Baker Book House, 1979), 4.241.

12. See E. Schürer, The History of the Jewish People in the Age of Jesus Christ (175 B.C.-A.D. 135) (rev. ed. by G. Vermes et al.; 3 vols. in 4; Edinburgh: Clark, 1973-1987), 2.227-36; cf. E. Schürer, "Die ἀρχιερεῖς im Neuen Testamente," TSK 45 (1872) 593-657.

13. See Acts 4:6,  $x\alpha$ è ốơou  $\eta \sigma \alpha v ėx γένους ἀρχιερατικοῦ, "and as many as were$ of the high-priestly family." There John and Alexander are also mentioned, who arelikewise unknown as "high priests" (in the strict sense). Josephus uses the phraseἀρχιερατικὸν γένος (Ant. 15.3.1 §40). See J.W. 6.2.2 §114, which mentions "the chiefpriests Joseph and Jesus and certain sons of chief priests"; cf. J.W. 2.20.4 §566; 4.9.11§574; 5.13.1 §527; Life 39 §197.

14. The History (n. 12 above), 2.235.

15. Jerusalem in the Time of Jesus (Philadelphia: Fortress, 1969) 178.

16. (2 vols.; Princeton: Princeton University, 1950; repr. Salem, NH: Ayer, 1988) 544; cf. 446-49, 1298-1301.

17. Roman Rule (n. 16 above), 1298-99. See further L. L. Taylor, "The Asiarchs," Beginnings (n. 11 above), 5.256-62; C. A. Brandis, "Asiarches (Ἀσιάρχης)," PW 2.1564-78. Compare Mart. Polycarpi 12:2 and 21; see W. Dittenberger, Orientis graeci inscriptiones selectae (2 vols.; Hildesheim: Olms, 1970), 2. §495 (Τιβερίου Κλαυδίου 'Ιέρωνος, ἀσιάρχου δὶς καὶ ἀρχιερέως δίς).

18. See E. M. Smallwood, The Jews under Roman Rule: From Pompey to Diocletian (SJLA 20; Leiden: Brill, 1976) 121.

19. E.g., Aristocrates, ἀρχιερεὺς Ἀσ[ί]ας τῶν ναῶν ἐν Ἐφέσῷ (CIG 2987b), or X., ἀρχιερέως τῆς Ἀσίας ναοῦ τοῦ ἐν Ἐφέσῷ (CIG 2965); or M. Aurelius Mindius Mattidianus Pollio, ἀρχιερεὺς Ἀσίας ναῶν ἐν Ἐφέσῷ (SEG 4.520). See now the updated list of chief priests and asiarchs published by M. Rossner, "Asiarchen and Archiereis Asias," Studii clasice 16 (1974) 101-42, especially the Anhang, 112-41.

20. See further A. Pallis, Notes on St Luke and the Acts (London: Oxford University [Humphrey Milford], 1928) 71-72. 21. "Acts xix. 14," *ExpTim* 57 (1945-46) 222. Taylor refers to T. Mommsen, *The Provinces of the Roman Empire from Caesar to Diocletian* (2 vols.; New York: Scribner, 1887), 1.347-97, esp. 374-76. Cf. B. Reicke, "Skevas," *Biblisch-historisches Handwörterbuch* (4 vols.; Göttingen: Vandenhoeck & Ruprecht, 1962-1979), 3.1814. Reicke thinks that Sceva had gone over to "Hellenismus und Römertum."

22. "Scaeva the Chief Priest," JTS 27 (1976) 405-12, esp. 406-7.

23. Ibid., 406. In the first instance he refers to PW 10.947f.; in the second, to E. M. Smallwood, "Domitian's Attitude toward the Jews and Judaism," *CP* 51 (1956) 1-13, esp. 5-9, 12-13.

24. The Acts of the Apostles: A Commentary (Philadelphia: Westminister, 1971) 565.

# Part IV FURTHER PAULINE TOPICS

## Seventeen

## Κεφαλή IN 1 CORINTHIANS 11:3\*

HAVING ONCE STUDIED the meaning of  $kephal\bar{e}$  in 1 Corinthians 11:3,<sup>1</sup> I return to the subject to discuss it yet again in order to relate it to a number of recent discussions of the topic.

The Greek text of 1 Corinthians 11:3 reads:

θέλω δὲ ὑμᾶς εἰδέναι ὅτι παντὸς ἀνδρὸς ἡ κεφαλὴ ὁ Χριστός ἐστιν, κεφαλὴ δὲ γυναικὸς ὁ ἀνήρ, κεφαλὴ δὲ τοῦ Χριστοῦ ὁ Θεός.

But I want you to understand that Christ is the head of every man, man is the head of woman, and God is the head of Christ.

The meaning of  $kephal\bar{e}$  in this passage has been much debated in recent times.<sup>2</sup> Since in verse 3 it cannot mean "head" in the physical, anatomical sense, as it does in verses 4, 5, 7, and 10 of the same chapter of 1 Corinthians, it must be used figuratively; but then does it mean "head," like the head of a department, or does it mean "source," like the source of a river? This is the debated issue.

Wayne Grudem investigated 2,336 instances in Greek literature from the eighth century B.C. to the fourth century A.D. and concluded that there are 49 examples of its meaning "head" in the sense of a "ruler" or "one having authority over" someone else: 12 in the New Testament, 13 in the LXX, 5 from other Greek Old Testament translations, 2 from Herodotus, 1 from Plato, 1 from the *Testaments of the Twelve Patriarchs*, 7 from Plutarch, 5 from Philo, 1 from the Apostolic Fathers, 1 from the Greek Anthology, and 1 from Libanius, but no indisputable instances of its meaning "source" in these writers.

However, R. S. Cervin, in an article of markedly polemical tone, contested Grudem's conclusions, dismissing the Pauline evidence as "illegitimate," because the instances are themselves disputed, and the Septuagintal occurrences are "translations," hence "influenced by the original language." He also maintained that many of the instances from nonbiblical Greek literature before the Byzantine period were used only in a metaphorical sense and do not mean "ruler" outright. He further asserted that kephale does not mean "source" in Herodotus 4.91, and perhaps also in Orphic Fragment 21-21A; Artemidorus Daldianus, 1.2; 1.35; 3.66; Testament of Reuben 2:2; and Philo, De praemiis et poenis 19 §114; 20 §125. In conclusion, he asked, "Does kephale denote 'authority over' or 'leader'? And he answered, "No. The only clear and unambiguous examples of such a meaning stem from the Septuagint and The Shepherd of Hermas, and the metaphor may very well have been influenced from Hebrew in the LXX."3 Grudem then replied with a lengthy article, answering most of Cervin's objections and discussing the data brought forth by other writers (B. and A. Mickelsen, R. A. Tucker, P. B. Payne, W. L. Liefeld, G. Bilezikian, C. C. Kroeger, and G. D. Fee) and myself.<sup>4</sup>

My purpose now is to review this discussion in an irenic fashion and try to derive from it what seems to be certain about the meaning of  $kephal\bar{e}$  in Greek literature and its bearing on the Pauline passage in question. I, too, have made use of the CD-ROM supplied by the *Thesaurus Linguae Grecae* and checked many of the examples to corroborate my findings.

First, there are many instances in Greek literature where  $kephal\bar{e}$  means "head" in the physical, anatomical sense (of a human being or an animal). Grudem counted 2,034 instances. This would be the sense of the word in 1 Corinthians 11:4, 5, 7, and 10.

Second, there are also a good number of instances in which  $kephal\bar{e}$ , as the most important part of the human body, stands for the whole person, often being used in an apostrophe or salutation, for example, *philē kephalē*, "Dear Head" (Homer, *Iliad* 8.281; cf. Plato, *Phaedrus* 264a; Euripides, *Rhesus* 226; Plutarch, *Moralia* 629d-e). This usage has no pertinence to the Pauline passage.

Third, kephale has the sense of "source" in the following passages:

- (a) Herodotus, *History* 4.91 (where *kephalai* is clearly used in conjunction with  $p\bar{e}gai$ , "springs," and hence denotes the "sources" of a river).
- (b) Orphic Fragment 21A (where kephalē is used of Zeus as the "beginning" of all things; a variant reading archē there even supports this meaning; and a scholion interprets it as hos poiētikon aition, "as [the] producing cause").<sup>5</sup>
- (c) Philo, De congressu quaerendae eruditionis gratia 12 §61 (where kephalē is used of Esau as the progenitor of a clan and explained as ho genarchēs estin Esau, "Esau is the first ancestor").
- (d) Philo, De praemiis et poenis 20 §125 (where  $kephal\bar{e}$  is used allegorically as the "source" of the spiritual life of good people in the human race).

- (e) Testament of Reuben 2.2 (where kephalē is used in the sense of the "origin" of deeds of rebellious youth: "Seven spirits were set by Beliar against a human being, and they are the source of youthful deeds" [kephalē tōn ergōn neōterismou]).
- (f) Artemidorus Daldianus, Oneirocriticon 1.2; 1.35; 3.66 (where kephal $\bar{e}$  is used metaphorically of a father as the "cause" and "source" of life).
- (g) Life of Adam and Eve 19:3 (where kephal $\bar{e}$  is used in the sense of the "origin" of all sin).<sup>6</sup>

These examples show that  $kephal\bar{e}$  could indeed be used in the sense of "source." Though it does not occur in as many instances as  $kephal\bar{e}$  in the sense of "ruler, leader," it is a legitimate ancient sense of the word. It could be, then, the meaning intended in 1 Corinthians 11:3, as claimed by writers such as Barrett, Bruce, Cervin, Cope, Delobel, the Mickelsens, and Murphy-O'Connor.<sup>7</sup> The question still remains, however, whether that meaning suits the context of 1 Cor 11:3 or whether it is any better than the traditional understanding of *kephalē* as "leader, ruler."

Fourth,  $kephal\bar{e}$  has the meaning of "leader, ruler, person in authority" in the following passages:

- (a) Plato, *Timaeus* 44d (where kephalē is used of the physical head of the human body, but Plato says of it, ho theiotaton te estin kai ton en hymin panton despotoun, "which is the most divine [part] and governor of everything within us").<sup>8</sup>
- (b) LXX, Judg 11:11 (where Hebrew wayāsîmû hāʿām 'ôtô 'ălêhem lĕrō'š ûlĕqāşîn is translated katestēsan auton ep' autōn eis kephalēn eis hēgoumenon in ms. A or kai eis archēgon in ms. B), "and they made him head and leader over them." The second word in each case specifies the nuance of kephalē. Obviously, the Greek translator thought that kephalē could bear that nuance, and it is not derived merely from the second word.
- (c) LXX, 2 Sam 22:44 (where David praises God because he will preserve him, eis kephalēn ethnön, "to be the head/leader of nations").9
- (d) LXX, Ps 18:43 (where the same phrase occurs: eis kephalē ethnon).
- (e) LXX, Isa 7:8-9 (where the son of Remaliah is called kephalē Somorön, not just preeminent in Samaria, but its "head," i.e., its leader).<sup>10</sup>
- (f) LXX, Jer 38:7 (in Hebrew: 31:7), where kephalēn ethnön occurs, and the RSV translates it "the chief of the nations").
- (g) In four further instances the LXX uses the contrast between kephalē, "head," and oura, "tail," in a figurative sense: Deut 28:13, 44; Isa 9:13-14; 19:15 (here kephalē has the connotation of "authority" or "supremacy")."
- (h) Philo, De specialibus legibus 3.33 §184 (where Philo explains the relation of the head to the body, saying ten tou somatos hegemonian he physis anepse

kephalē charisamenē, "Nature conferred the leadership of the body on the head").

- (i) Josephus, J.W. 4.4.3 §261 (where Josephus calls Jerusalem *tēn kephalēn holou tou ethnous*, "the head of the whole nation," by which he clearly means the capital or leading, not just preeminent, city in the nation).
- (j) The Shepherd of Hermas, *Similitudes* 7.3 (where the shepherd is considered to be *hē kephalē tou oikou*, "the head of the household").
- (k) Plutarch, *Pelopidas* 2.1 (where the general of an army is said to resemble the head of a body).
- (1) Plutarch, *Cicero* 14.6 (where *kephalē* is used by Catiline of himself as the "head" of the republic, i.e., its leader).
- (m) Plutarch, Galba 4.3 (where Galba is urged to become the kephalē of a strong province that was seeking a governor, i.e., one to rule over it).
- (n) Libanius, Oration 20.3 (where  $kephal\bar{e}$  is used in both the literal and figurative senses of the word; in the latter case it is used of "kings," who are understood as "leaders").
- (o) Libanius, Oration 52.18 (where kephalē is again used of an emperor). In addition to these instances, one might also mention a few instances where

the meaning is not clear, whether one should understand it as "leader" or as "preeminent one." Thus:

- (p) Philo, De vita Mosis 2.5 §30 (where Ptolemy II Philadelphus is called *kephalēn tropon tina tōn basileōn*, "in some sense the head of kings").
- (q) Philo, *De praemiis et poenis* 19 §114 (where an individual or a city that is superior is likened to the head over a body).

In at least a dozen of the foregoing passages, then,  $kephal\bar{e}$  clearly has the sense of "ruler" or "leader," and in some cases it is even so explained. This means that although  $kephal\bar{e}$  does not occur in the early Greek writings in the sense of "ruler, leader" or "person having authority over" someone or something, that meaning does begin to appear in Greek literature in the last pre-Christian centuries and at the beginning of the Christian era. Hence, there is little reason to doubt that a Hellenistic Jewish writer like Paul of Tarsus could have intended  $kephal\bar{e}$  in 1 Cor 11:3 to have that meaning.

In five of the passages the term is found in the LXX.<sup>12</sup> Cervin would write off this evidence, because such instances are translations of Hebrew  $r\bar{o}$ 's, and so the meaning would be colored by the original, but the matter is not so simple. Even if Greek translators of the LXX in most cases (109 out of 180 cases) translated  $r\bar{o}$ 's in the sense of "leader" as *archōn*, the few instances (perhaps 8 in all) in which they did not do so show that Greek *kephalē* could tolerate such a meaning; otherwise, it would not have been so used by them.

It is true that neither Liddell-Scott-Jones (9th ed.),<sup>13</sup> nor Moulton-Milligan, nor Preisigke, nor Chantraine has listed kephal $\bar{e}$  in the sense of "ruler" or

"leader" in his dictionary.<sup>14</sup> That meaning, however, is found in the dictionaries of F. Passow<sup>15</sup> and H. van Herwerden.<sup>16</sup>

Finally, I terminate this overview by adding some of the ways that writers in the patristic period have used the word kephale or interpreted 1 Cor 11:3. Such writers may have derived what has been called the Byzantine sense of kephale from what they considered the figurative meaning of this Corinthian passage. Kephale in the sense of "leader, ruler" is found in a passage of Athanasius that I have already quoted, which refers to some bishops as kephalai tosouton ekklesion, "heads of such great churches" (Apol. II contra Arianos 89; PG 25.409A).<sup>17</sup> To this example I add a few others. Gregory of Nyssa, in referring to Peter, says of him: mnēmoneuetai Petros hē kephalē ton apostolon, "Peter, the head of the apostles, is recalled (and with him the rest of the members of the church are glorified)" (Encomium in Sanctum Stephanum 2.46; PG 46.733). John Chrysostom, speaking of the emperor, says: Basileus gar esti koryphē kai kephalē ton epi tēs gēs anthropon hapanton, "For the king is the summit and head of all men on earth" (Ad populum antiochenum 2.2; PG 49.36). Similarly, he says of Antioch: ton gar poleon ton hypo ten heo keimenon kephalē kai mētēr estin hē polis hē hēmetera, "Of all the cities that lie in the East our city is the head and mother" (ibid., 3.1).<sup>18</sup> Pseudo-Chrysostom asks about Peter, ho stylos tēs ekklēsias, hē krēpis tēs pisteōs, hē kephalē tou chorou tôn apostolon, ho Petros, ouk arnesato ton Christon kai hapax kai dis kai tris;"Did not that pillar of the church, that foundation of faith, that head of the chorus of the apostles, deny Christ once and twice and thrice?" (In Psalmum 50; PG 55.581).

To such patristic use of  $kephal\bar{e}$  one would have to add the many places in patristic literature where comments are made on 1 Cor 11:3 or use is made of it. In these places the sense of  $kephal\bar{e}$  as "leader, ruler, one having authority over" is clear. To cite, for instance, Clement of Alexandria: "Therefore the head is the leading part (kephal $\bar{e}$  toinyn to h $\bar{e}$ gemonikon). And if [the] Lord is the head of the man, the man is the head of the woman" (Stromateis 4.8.63; GCS 52.277). Then Clement quotes Ephesians 5:21-25.

In discussing the different parts of the high priest's robes and headdress, Clement explains the mitre as symbolic of the headship of Christ as *Logos*, by whom all was made and to whom all is subjected: "It is the symbol of heaven made by the Word and subjected to Christ, the head of all things" (*Stromateis* 5.6.37; GCS 52.352).

When John Chrysostom comments on 1 Cor 11:3, he says,

 $\ldots$  as the man rules over the woman,  $\ldots$  so too the Father [rules over] Christ. Therefore just as Christ [rules over] the man, so too the Father rules over the Son. For he says, "Christ is the head of every man."  $\ldots$  For if to the extent that the Son is superior to us, to that extent the Father [is superior] to the Son, consider to what degree you will bring him. (In Epistolam I ad Corinthios, Homily 26:2; PG 61.214)

Chrysostom significantly adds, "But who will stand for this?" Then he explains.

... If [by "head"] Paul had meant to speak about rule and subjection, he would not have used the example of a wife, but that of a slave and a master. What does it matter if the wife is subject to us [men]? She is subject as a wife, as free, and as equal in honor. For with us it is reasonable for the wife to be subject to the husband, since equality of honor causes contention.

This may save Chrysostom from being a misogynist, but it still reveals that he understood "head" as meaning "having authority over."

Given such a traditional interpretation of 1 Cor 11:3,<sup>19</sup> one will have to marshall cogent and convincing arguments to say that Paul intended *kephalē* in that verse to mean "source" and not "one having authority over." Those who have claimed that "source" is the meaning intended by Paul have offered no other argument than their claim that *kephalē* would not have meant "ruler, leader, one having authority over" in Paul's day. The evidence brought forth above shows that it was certainly possible for a Hellenistic Jewish writer such as Paul to use the word in that sense. Hence, their argument has collapsed, and the traditional understanding of 1 Cor 11:3 has to be retained.

#### NOTES

\* Originally published in *Interpretation* 47 (1993) 52-59. This essay was part of a tribute to Paul Achtemeier on the occasion of his sixty-fifth birthday.

1. See "Another Look at Kephalē in 1 Corinthians 11.3," NTS 35 (1989) 503-11; repr. in my book, According to Paul: Studies in the Theology of the Apostle (New York/Mahwah, NJ: Paulist, 1993) 80-88, 146-48.

2. In addition to my earlier article (n. 1 above), see S. Bedale, "The Meaning of kephalē in the Pauline Epistles," JTS 5 (1954) 211-25; G. Bilezikian, "A Critical Examination of Wayne Grudem's Treatment of kephalē in Ancient Greek Texts," Beyond Sex Roles (2d ed.; Grand Rapids: Baker, 1990) 215-52; R. E. Cervin, "Does kephalē Mean 'Source' or 'Authority over' in Greek Literature? A Rebuttal," TrinJ 10 (1989) 85-112; L. Cope, "1 Cor. 11:2-16: One Step Further," JBL 97 (1978) 435-36; P. Cotterell and M. Turner, Linguistics and Biblical Interpretation (London: SPCK, 1989) 141-45; J. Delobel, "1 Cor 11:2-16: Toward a Coherent Explanation," L'Apôtre Paul: Personalité, style et conception du ministère (BETL 73; ed. A. Vanhoye; Louvain: Leuven University/Peeters, 1986) 369-89; G. D. Fee, The First Epistle to the Corinthians (NIC; Grand Rapids: Eerdmans, 1987) 501-5; W. Grudem, "Does kephalē ('Head') Mean 'Source' or 'Authority Over' in Greek Literature? A Survey of 2,336 Examples," TrinJ 6 (1985) 38-59; "The Meaning of kephalē ('Head'): A Response to Recent Studies,"

TrinJ 11 (1990) 3-72; C. C. Kroeger, "The Classical Concept of Head as 'Source,'" Equal to Serve (ed. G. Gaebelein; Old Tappan, NJ: Revell, 1987) 267-83; W. L. Liefeld, "Women, Submission and Ministry in I Corinthians," Women, Authority, and the Bible (ed. B. and A. Mickelsen; Downers Grove, IL: InterVaristy, 1986) 134-60, esp. 136-48; B. and A. Mickelsen, "Does Male Dominance Tarnish Our Translations?" ChrT 23 (1978-79) 1312-18; "What Does kephalē Mean in the New Testament?" Women, Authority, and the Bible (see above), 97-110; J. Murphy-O'Connor, "Interpolations in I Corinthians," CBQ 48 (1986) 81-94, esp. 87-90; "The Non-Pauline Character of 1 Corinthians 11:2-16?" JBL 95 (1976) 615-21; "Sex and Logic in 1 Corinthians 11:2-16," CBQ 42 (1980) 482-500, esp. 491-94; P. B. Payne, "Response," Women, Authority, and the Bible (see above), 118-32; R. Scroggs, "Paul and the Eschatological Woman," JAAR 40 (1972) 283-303; "Paul and the Eschatological Woman: Revisited," JAAR 42 (1974) 532-37; "Paul: Chauvinist or Liberationist?" ChrC 89 (1972) 307-9; R. A. Tucker, "A Response," Women, Authority, and the Bible (see above), 111-17.

See further M. D. Hooker, "Authority on Her Head: An Examination of I Cor. xi.10," NTS 10 (1963-64) 410-16; A. Jaubert, "Le voile des femmes (I Cor. xi.2-16)," NTS 18 (1971-72) 419-30; J. P. Meier, "On the Veiling of Hermeneutics (1 Cor 11:2-16)," CBQ 40 (1978) 212-26; J. Murphy-O'Connor, "1 Corinthians 11:2-16 Once Again," CBQ 50 (1988) 165-74; R. Oster, "When Men Wore Veils to Worship: The Historical Context of 1 Corinthians 11:4," NTS 34 (1988) 481-505; C. L. Thompson, "Hairstyles, Head-Coverings, and St. Paul: Portraits from Roman Corinth," BA 51 (1988) 99-115; G. W. Trompf, "On Attitudes toward Women in Paul and Paulinist Literature: 1 Corinthians 11:3-16 and Its Context," CBQ 42 (1980) 196-215; W. O. Walker, "1 Corinthians 11:2-16 and Paul's Views regarding Women," JBL 94 (1975) 94-110.

3. Cervin, "Does kephalē Mean" (n. 2 above) 112.

4. See the references in n. 2 above.

5. This text can also be found in Eusebius, Praeparatio evangelica 3.9.2.

6. See M. D. Johnson's translation in J. H. Charlesworth (ed.), The Old Testament Pseudepigrapha (2 vols.; Garden City, NY: Doubleday, 1983, 1985), 2.279.

7. See the references in n. 2 above and also in NTS 35 (1989) 505-6.

8. Pace Cervin, the fact that Plato uses *despotoun* here (lit., "lording over" [neuter active participle of *despotein*]) shows that he does not understand *kephalē* merely as "the preeminent part of the human body" (p. 95). It is clearly the part that exercises authority over the rest. Even though Plato is speaking of the anatomical head, he attributes to it precisely the quality in *kephalē* that means "ruler."

9. This phrase is often repeated in the writings of later patristic authors, e.g., Eusebius, De die dominica 60, 79, 80, 146, 194.

10. See the comment on this Isaian passage in NTS 35 (1989) 507.

11. See my comments, NTS 35 (1989) 508-9.

12. One might also add the occurrence of kephalē in Judg 10:18; 11:8, 9, where the codices Alexandrinus, Coislinianus, and Basiliano-Vaticanus read it, whereas codex Vaticanus rather has archon. Clearly the Greek scribe who produced the translation in those codices saw no difficulty in rendering Hebrew  $r\bar{o}$ 's in the sense of "leader" as kephalē, the meaning that the Hebrew  $r\bar{o}$ 's has: "ruler, leader" of the inhabitants of Gilead.

13. Under IV.3, *kephalē* is defined as "head" of persons in the 7th and 8th editions of Liddell-Scott, as a Byzantine usage (p. 801); but that meaning is omitted in the 9th edition.

14. So Payne, "Response" (n. 2 above), 118-32.

15. Handwörterbuch der griechischen Sprache (2 vols.; Leipzig: Vogel, 1831), 1.1270: "5) übertr. Hauptperson. ...."

16. Lexicon graecum suppletorium et dialecticum (2 vols.; Leiden: Sijthoff, 1910) 797: "dux," citing Libanius, Or. 52.18.

17. See NTS 35 (1989) 510.

18. Chrysostom's commentary on Isa 7:5-9, which I quoted in NTS 35 (1989) 507, and to which I referred previously, is worthy of attention, since it clearly shows that Chrysostom understood kephale in the sense of "having authority over" someone or something: "all'he kephale Aram Damaskos": he arche autou, phesin, he exousia autou en Damaskō stēsetai kai peraiterō ou probēsetai. "kai hē kephalē Damaskou Rhasēn": kai ho archon Damaskou, phēsi, kai ho kraton Rhasen estai, toutestin, en tois autou menei kai pleiona ou prosthēsei dynamin auto. "'The head of Aram is Damascus': Sovereignty over it, he says, authority over it will stay in Damascus, and it will not spread beyond [it]. 'And the head of Damascus is Rezin': The ruler of Damascus, he says, and the one who exercises authority will be Rezin; that is, he will remain in its [territory] and will not add to it further power" (In Isaiam 7.3; SC 304.306). Again, "All' estai hē kephalē Ephraim": toutestin, ton deka phylon, "hē Samareia." ekei gar ēn hē basileia. kai ouk ektathēsetai peraiterō, kai hē kephalē Samareias ho basileus tou Israēl, hoper epi tou Damaskēnou elege, touto kai entautha dēlon, hoti ouden pleon hexousin, hon nyn katechousin. "'But the head of Ephraim,' that is, of the ten tribes, 'will be Samaria.' For there was their kingship, and it will not spread beyond [it]. And the head of Samaria is the king of Israel. What he said about the Damascene, that he declares here too, that they will not have more than what they now have" (ibid.).

19. To the evidence of the Greek patristic writers cited, one could also add many Latin writers of the same period, who similarly explain 1 Cor 11:3 or use a phrase from it, showing this traditional meaning. I have not cited this evidence in the body of the article, because Latin *caput* clearly had the figurative sense of "ruler, leader, one having authority over." The Oxford Latin Dictionary (ed. P. G. W. Glare; Oxford: Clarendon, 1968-82) 275 cites many instances in classical Latin literature of *caput* in the sense of "the prime mover or leader (in any action), ring-leader, principle" (§13) and also in the sense of "the leading person or persons" (in state, confederacy, etc., §14). As an example of Latin writers in the patristic period one could quote Tertullian, Adversus Marcionem 5.8.1: "Caput uiri Christus est. Quis Christus? Qui non est uiri auctor? Caput enim ad auctoritatem posuit, auctoritas autem non alterius erit quam auctoris" (CCLat 1.685). See further the article of Tucker, "Response" (n. 2 above).

# Eighteen

## THE CONSECUTIVE MEANING OF ἐφ' ῷ IN ROMANS 5:12\*

THE PASSAGE OF ROMANS 5:12-21 has long been a *crux interpretum*, and especially v. 12 in that passage. This verse reads:

διὰ τοῦτο ὥσπερ δι' ἐνὸς ἀνθρώπου ἡ ἁμαρτία εἰς τὸν κόσμον εἰσῆλθεν καὶ διὰ τῆς ἁμαρτίας ὁ θάνατος, καὶ οὕτως εἰς πάντας ἀνθρώπους ὁ θάνατος διῆλθεν, ἐφ' ῷ πάντες ἥμαρτον.

This has usually been translated:

"Therefore, just as sin came into the world through one man, and death came through sin, and so death spread to all because all have sinned" (NRSV).

Four problems confront the interpreter in this verse, the meaning of  $\varkappa \alpha i$ outor, the meaning of  $\theta \alpha \nu \alpha \tau \sigma c$ , the meaning of  $\eta \mu \alpha \rho \tau \sigma \nu$ , and in particular the meaning of  $\dot{\epsilon} \phi^{2} \phi$ . If I now return to a discussion of the last item, it is because of the recent writing of a full-scale commentary on the Letter to the Romans for the Anchor Bible series. I have realized that much more could be said about  $\dot{\epsilon} \phi^{2} \phi$  than past discussions of it have undertaken. Many proposals have been made over the centuries for the understanding of this phrase; some of them have merit, and others little merit. In my own study of this Pauline writing over the last few decades, I have normally gone along with most recent interpreters of the phrase  $\dot{\epsilon} \phi^{2} \phi$  and understood it in a causal sense, "since, inasmuch as."<sup>1</sup> Recent study of the phrase, however, has led me to reconsider that meaning. My study has been aided by access to occurrences of the phrase in Greek literature that are now available in the use of the CD-ROM of the *Thesaurus Linguae Graecae*.<sup>2</sup> So I should like now to present some of the new evidence uncovered, which, I believe, bears on the interpretation of this phrase in Romans in an important way.<sup>3</sup>

My discussion of  $\dot{\epsilon}\phi' \, \dot{\phi}$  will fall into three parts: (1) a survey of various meanings of  $\dot{\epsilon}\phi' \, \dot{\phi}$  that have been proposed for Rom 5:12 and of problems that they involve; (2) an assessment of instances of  $\dot{\epsilon}\phi' \, \dot{\phi}$  in Greek literature that bear on the Pauline verse; and (3) a conclusion that can be drawn from such an assessment.

### I. Survey of Various Meanings of $\dot{\epsilon}\phi'\,\dot{\phi}$ Proposed for Rom 5:12

In studying the meanings proposed for  $\dot{\epsilon}\phi' \, \ddot{\phi}$  over past centuries and decades, I have found that some of them have often been used, and others not so frequently. A look at them reveals the problems that confront anyone who studies Rom 5:12. In general, the various meanings fall into two categories, depending on whether  $\dot{\epsilon}\phi' \, \ddot{\phi}$  is understood as a phrase introducing a relative clause or as the equivalent of a conjunction.

First of all, the meanings that treat it as introducing a relative clause or that treat  $\delta$  as a relative pronoun:

(1) "In whom," with the masculine pronoun, referring to Adam. It would imply the incorporation of all human beings in the first parent. This meaning is based on the VL and Vg translation "in quo" and was commonly used in the western church by many theologians since Ambrosiaster.<sup>4</sup> Augustine, who at first (A.D. 412) explained the antecedent of "quo" as either sin ("peccatum") or Adam ("ille unus homo"),<sup>5</sup> later (A.D. 420) opted for Adam, when he realized that the Greek word for sin ( $\dot{\alpha}\mu\alpha\rho\tau i\alpha$ ) was feminine.<sup>6</sup> The Augustinian interpretations were generally followed by Latin theologians: either "sive in Adamo, sive in peccato" (Peter Chrysologus, Ps.-Primasius, Ps.-Bede, Thomas Aquinas, and Denis of Chartres) or "in Adamo" (Sedulius, Fulgentius of Ruspe, Walafrid Strabo, Alexander of Hales, Hugh of Saint-Cher, and Bonaventure). The latter interpretation was unknown to the Greek Fathers before John Damascene.

Incorporation of humanity in Adam would not have been an impossible idea for Paul, and it is sometimes further explained by invoking the Old Testament idea of corporate personality or solidarity.<sup>7</sup> Yet if Paul had meant "in whom" (in the sense of incorporation), he would have written  $\dot{\epsilon}v \,\tilde{\phi}$ , just as he writes  $\dot{\epsilon}v$ Abáµ in 1 Cor 15:22.<sup>8</sup> Moreover,  $\dot{\epsilon}v \delta\varsigma \,dv \theta\rho \omega \pi \sigma \upsilon$  as the personal antecedent of the relative pronoun is too far removed in the sentence from the pronoun.

(2) "Because of whom," with the masculine pronoun, referring to Adam, i.e., because of whom all have sinned. So several Greek Fathers: John Chrysostom,<sup>9</sup> Theodoret of Cyrrh,<sup>10</sup> John Damascene,<sup>11</sup> Theophylact,<sup>12</sup> and possibly Oecumenius.<sup>13</sup> In modern times they have been followed by J. Cambier.<sup>14</sup> Again this interpretation encounters the difficulty that ἑνὸς ἀνθρώπου as the antecedent

of the relative pronoun is too far removed and that Paul is actually saying that all human beings have indeed sinned. The causality is not solely Adamic.

(3) "Because of the one by whom," an interpretation in which  $\dot{\epsilon}\phi'$   $\dot{\phi}$  is explained as an ellipse for  $\dot{\epsilon}\pi i$  τούτ $\phi$   $\dot{\epsilon}\phi'$   $\dot{\phi}$  and which understands  $\dot{\phi}$  as masculine, referring to Adam. It would thus imply "a relationship between the state of sin and its initiator"; so L. Cerfaux.<sup>15</sup> But it is not clear that the phrase is elliptical, or that the preposition  $\dot{\epsilon}\pi i$  is meant to have two different meanings, "because of" and "by," in such close proximity.

(4) "After whom," with the masculine pronoun referring to Adam. So J. F. Schleusner.<sup>16</sup>

(5) "To the extent that all have sinned," an interpretation that understands  $\dot{\epsilon}\phi'$   $\ddot{\phi}$  as neuter and equal to  $\varkappa\alpha\theta'$  ő. So Cyril of Alexandria,<sup>17</sup> and in modern times J. Meyendorff.<sup>18</sup> A. Nygren also seems to align himself with this meaning.<sup>19</sup> Cyril, however, understood it to mean that all sinned in imitation of Adam:  $\tau\eta\varsigma$  ėv Åδàμ παραβάσεως γεγόναμεν μιμηταί, a meaning that Pelagius also used, even though he understood ėφ'  $\phi$  as "in quo."

(6) "On the grounds of which," or "because of which," an interpretation that takes  $\theta \dot{\alpha} v \alpha \tau o \varsigma$ , "death," as the antecedent of masculine  $\tilde{\phi}$  and considers death as the origin or ground of human sin. So J. Leipoldt,<sup>20</sup> H. Schlier,<sup>21</sup> K. Galling,<sup>22</sup> and possibly even R. Bultmann.<sup>23</sup> But this meaning is hard to reconcile with 5:21; 6:23, where death is regarded as the result of sin, not its source. This interpretation seems to put the cart before the horse.<sup>24</sup>

(7) "Toward which," again with  $\theta \dot{\alpha} \nu \alpha \tau \sigma \varsigma$  as the antecedent, but expressing the end or goal of human sin. So J. Héring<sup>25</sup> and E. Stauffer.<sup>26</sup> But this meaning is farfetched.

(8) "On the basis of what (law) all sinned," understanding  $v \phi \mu \phi$  from the general context and especially v. 13. So F. W. Danker.<sup>27</sup> But that introduces into the sentence and the verse a notion that is not clearly envisaged.<sup>28</sup>

(9) "On the basis of which," "under which circumstances," with the antecedent understood as the preceding clauses in the verse. So T. Zahn,<sup>29</sup> who thus stresses the fact of, and the reason for, the universality of sin. Of the relativepronoun understandings of  $\dot{\epsilon}\phi$ '  $\dot{\phi}$ , this one makes the best sense, and it has extrabiblical parallels.<sup>30</sup> With this meaning the aorist  $\eta\mu\alpha\rho\tau\sigma\nu$  could have the timeless or omnitemporal meaning "sin" (equalling "are sinners").<sup>31</sup>

The preceding nine interpretations understand  $\dot{\epsilon}\phi'$   $\ddot{\phi}$  as introducing a relative clause. The following interpretations, however, understand it as the equivalent of a conjunction.

(10) "Since, because, inasmuch as," the equivalent of the causal conjunction  $\delta_1$  of  $\tau_1$ , or as the equivalent of the phrase  $\epsilon \pi i \tau_0 \tau_0 \sigma_1$ , "auf Grund der Tatsache, dass," "weil," "c'est pourquoi,"<sup>32</sup> as many modern commentators understand it, who often compare 2 Cor 5:4; Phil 3:12; 4:10.<sup>33</sup> So Achtemeier, Althaus, Bardenhewer, Barrett, Bengel, Bonsirven, Brandenburger, Bruce, Bultmann,

Byrne, Cranfield, Dibelius, Dodd, Dunn, Gaugler, Huby, Käsemann, Kümmel, Kuss, Lagrange, Lindeskog, Meyer, Michel, Moo, Moule, Murray, Pesch, Prat, Sanday-Headlam, Schlier, Wilckens, and Winer. F. Montagnini thinks that  $\dot{\epsilon}\phi'$   $\ddot{\phi}$  is the equivalent of Hebrew  $\forall \forall$  and would translate it as "ecco perché,"<sup>34</sup> which is only a refinement of the commonly used "since." This interpretation would ascribe to human beings an individual responsibility for death.

The trouble with this interpretation is that there are almost no certain instances in Greek literature where  $\dot{\epsilon}\phi'$   $\ddot{\phi}$  is used as the equivalent of causal  $\delta_1 \dot{\sigma} \tau$ . The fourteenth-century grammarian Thomas Magister is quoted as saying that  $\dot{\epsilon}\phi'$   $\ddot{\phi}$  stands for  $\delta_1 \dot{\sigma} \tau$ .<sup>35</sup> but he gives only the dubious example of Synesius quoted below, which others interpret differently. Moreover, alleged examples in the Pauline corpus apart from Rom 5:12 are far from certain. To these I shall return below.

Hence, one has to take with a grain of salt the statement of Photius that myriad examples of this expression in the causal sense can be found.<sup>36</sup> For S. Lyonnet seems to have been right after all in saying that "the alleged current use" of  $\dot{\epsilon}\phi'$   $\dot{\phi}$  for  $\delta\tau\tau$  or  $\delta\tau\dot{\tau}\tau$  "has in no way been proved."<sup>37</sup>

(11) "In view of the fact that, on condition that," an interpretation that employs the proviso meaning of neuter  $\dot{e}\phi$ '  $\tilde{\phi}$  understood as a conjunction, as in classical and Hellenistic Greek. So R. Rothe,<sup>38</sup> J. H. Moulton,<sup>39</sup> S. Lyonnet,<sup>40</sup> and M. Black.<sup>41</sup> 'E $\phi$ '  $\tilde{\phi}$ , then, would be taken to mean that Paul is expressing a condition or proviso about the ratification of Adam's sin by the sins of all individuals. He would thus be conceding to individual human sins a secondary causality or personal responsibility for death.

Normally, however,  $\dot{\epsilon}\phi'\,\tilde{\phi}$ , expressing a proviso, governs a future indicative or an infinitive. Paul uses it in Rom 5:12 with an aorist indicative, which has always created a difficulty for understanding the phrase in the proviso sense. An example of it with an aorist indicative, apart from the instance in Phil 3:12, has been cited by Lyonnet from a letter of fourth-century Bp. Synesius,<sup>42</sup> which expresses a fulfilled condition:  $\varkappa\alpha$  tov  $\eta\lambda_{10}v$  eldev  $\dot{\epsilon}\pi$   $\dot{\rho}\eta\tau\sigma$   $\tilde{c}$   $\dot{\epsilon}v\theta\rho\omega\pi\sigma\varsigma$   $\dot{\epsilon}\phi'\,\tilde{\phi}$  $\Gamma\epsilon\nu\nu\alpha\delta_{10}v\,\dot{\epsilon}\gamma\rho\alpha\psi\alpha\tau\sigma$ , "and a person saw the sun (only) on condition that he wrote (an accusation against) Gennadius."<sup>43</sup>

A. J. M. Wedderburn has questioned whether  $\dot{\epsilon}\phi' \tilde{\phi}$  in the proviso sense with an aorist indicative would really differ from the causal sense (§ 10 above).<sup>44</sup> Perhaps not, but then why would Paul have written  $\dot{\epsilon}\phi' \tilde{\phi}$ , when he could have written  $\delta i \delta \tau_i$ , if he had meant "since, because"?

In any case, it has been said that the last two meanings, both proviso and causal, seem to make Paul say in 5:12d something contradictory to what he says in 5:12a-c. At the beginning of v. 12 sin and death are ascribed to Adam; now death seems to be owing to human acts. This difficulty was perceived by H. Lietzmann.<sup>45</sup>

This survey of the various meanings given to  $\dot{\epsilon}\phi$  over the centuries

provides the background for the further discussion of the phrase that I should now like to undertake.

### II. Assessment of Instances of $\dot{\epsilon}\phi'\,\tilde{\phi}$ in Greek Literature

I shall pass over the numerous instances in which  $\dot{\epsilon}\phi'$   $\ddot{\phi}$  is used to introduce a normal relative clause and where it means something like "for which" or "on which," as well as most of those instances in which it is elliptical, standing for  $\dot{\epsilon}\pi i$  τούτ $\phi$   $\dot{\epsilon}\phi'$   $\ddot{\phi}$ . My concern is rather with what has sometimes been called the improper use of  $\dot{\epsilon}\phi'$   $\ddot{\phi}$ , when it is the equivalent of a conjunction. In this category I list four usages.

(12) An Elliptical Use. This is the ubiquitous use of  $\dot{\epsilon}\phi'\,\tilde{\phi}$  in Aristotle, especially in his philosophical, physical, or mathematical writings, which I find mentioned nowhere in grammars. The phrase is often omitted in free translations, being simply passed over. One instance of it will suffice. In his Nicomachean Ethics, Aristotle writes:

Ποιεῖ δὲ τὴν ἀντίδοσιν τὴν κατ' ἀναλογίαν ἡ κατὰ διάμετρον σύζευξις, οἶον οἰκοδόμος ἐφ' ῷ A, σκυτοτόμος ἐφ' ῷ B, οἰκία ἐφ' ῷ Γ, ὑπόδημα ἐφ' ῷ Δ..., "diagonal conjunction produces proportional requital; for example, let A be a builder, B a shoemaker, C a house, and D a shoe..." (5.5.8 [1133a 6-9]).

In this case, one should probably translate  $\partial i \varkappa \partial \delta \omega c \dot{\phi} \dot{\phi} \dot{\phi}$  A literally as "(Let) a builder (be that) for which (the name is) A."<sup>46</sup> Many, many other examples of this Aristotelian usage could be given.<sup>47</sup> It has no bearing, however, on the Pauline usage, but is mentioned here merely for the sake of completeness.

(13) The Proviso Use. As already mentioned,  $\dot{\epsilon}\phi'$ ,  $\ddot{\phi}$  in this construction is used with the future indicative or the infinitive, or on rare occasions with the subjunctive or optative, especially in later Greek.<sup>48</sup> This proviso usage is well discussed by grammarians.<sup>49</sup> To be noted is that Schwyzer, Kühner-Gerth, and Smyth relate this proviso usage of  $\dot{\epsilon}\phi'$ ,  $\ddot{\phi}$  to consecutive  $\ddot{\omega}\sigma\tau\epsilon$ . To this relationship I shall return.

(14) The Causal Use. The causal sense of  $\dot{\epsilon}\phi' \,\dot{\phi}$  is thought to be valid for 2 Cor 5:4. This Pauline verse reads,

καὶ γὰρ οἱ ὄντες ἐν τῷ σκήνει στενάζομεν βαρούμενοι, ἐφ' ῷ οὐ θέλομεν ἐκδύσασθαι ἀλλ' ἐπενδύσασθαι, ἵνα καταποθῆ τὸ θνητὸν ὑπὸ τῆς ζωῆς.

"For while we are in this tent we groan and are weighed down, because we do not wish to be unclothed but to be further clothed, so that what is mortal may be swallowed up by life."

So the revised New Testament of the NAB renders it, and the NRSV, NIV, and REB similarly use "because." The RSV, however, has simply "... we sigh

with anxiety; not that we would be unclothed. . . .<sup>"50</sup> There is, moreover, a variant reading  $\dot{\epsilon}\pi\epsilon\iota\delta\eta$  in minuscule manuscripts 7<sup>\*</sup>, 20<sup>\*</sup>, and 93,<sup>51</sup> which would support the causal meaning of  $\dot{\epsilon}\varphi'$ ,  $\dot{\phi}$ , and the Vg translation has "eo quod."<sup>52</sup> But the sense seems rather to be that of a relative clause with  $\dot{\epsilon}\pi i$  expressing cause: "we are weighed down because of that which we do not want to take off, but (because of that which we want) to put on." Indeed, M. E. Thrall and N. Baumert have contested the causal-conjunction meaning of  $\dot{\epsilon}\varphi'$ ,  $\dot{\phi}$  in 2 Cor 5:4. Thrall insists that it means "on condition that," as in classical usage,<sup>53</sup> whereas Baumert takes it as the equivalent  $\dot{\epsilon}\pi\iota$  to $\dot{\tau}\varphi$   $\dot{\delta}$ .<sup>54</sup> If the sense were truly causal here, it would be an instance with the present indicative  $\theta \dot{\epsilon}\lambda o \mu \epsilon v$ , and not with the aorist indicative, as in Rom 5:12.

The aorist tense, however, does occur in Phil 3:12:

ούχ ὅτι ἤδη ἔλαβον ἢ ἤδη τετελείωμαι, διώκω δὲ εἰ καὶ καταλάβω, ἐφ' ῷ καὶ κατελήμφθην ὑπὸ Χριστοῦ [Ἰησοῦ].

"Not that I have already obtained this or am already perfect; but I press on to make it my own, because Christ Jesus has made me his own" (*RSV*, similarly *NRSV*).

Though this translation may seem correct, the *NIV* renders the text differently: ". . . but I press on to take hold of that for which Christ Jesus took hold of me."<sup>55</sup> This rendering understands  $\dot{\epsilon}\phi' \tilde{\phi}$  as elliptical for  $\tau \sigma \tilde{\upsilon} \tau \sigma \dot{\epsilon} \phi' \tilde{\phi}$ , which wholly eliminates the causal sense.<sup>56</sup> In my opinion, the latter understanding is considerably better.

Again, in Phil 4:10 we read:

έχάρην δὲ ἐν κυρίω μεγάλως ὅτι ἤδη ποτὲ ἀνεθάλετε τὸ ὑπὲρ ἐμοῦ φρονεῖν, ἐφ'  $\tilde{\phi}$  καὶ ἐφρονεῖτε, ἠκαιρεῖσθε δέ.

"I rejoice in the Lord greatly that now at length you have revived your concern for me; you were indeed concerned for me, but you had no opportunity." (RSV)

The revised New Testament of the *NAB* and the *NRSV* imitate the omission of  $\dot{\epsilon}\phi'\,\dot{\phi}$ , and the *NIV* translates similarly, avoiding the issue.<sup>57</sup> I suspect that the real sense of the text is the following: "you have revived your concern for me, for whom you were once indeed concerned, but lacked the opportunity"; or possibly "with regard to which" (i.e., tò unit performance of the causal sense of  $\dot{\epsilon}\phi'$ concerned.<sup>58</sup> In any case, there is no solid reason to read the causal sense of  $\dot{\epsilon}\phi'$  $\dot{\phi}$  in either of the Philippians passages or in Rom 5:12.

Moreover, most of the examples of  $\dot{\epsilon}\phi$ '  $\ddot{\phi}$  in the alleged causal sense cited from elsewhere in Greek literature by BAG or B-A are simply invalid. They do

not mean "since" as a conjunction and have not been so rendered in standard translations of such texts. Thus,  $\dot{\epsilon}\phi' \tilde{\phi}$  in Diodorus Siculus, *Bibl. hist.* 19.98, means "because of this" or "for which reason" (not the conjunction "because").<sup>59</sup> Nor is the example Appian, *Bell. Civ.* 1.112 valid; it means "at which" or "for which reason" (not the conjunction "because").<sup>60</sup> Similarly, Aelius Aristides, *Or.* 53 (640D),<sup>61</sup> should be translated, "on the basis of which." The passage in Synesius, *Ep.* 73 should be understood as "on condition that."<sup>62</sup> Hence, of the examples of the causal sense cited in such dictionaries the only valid one is that of the sixth-century philosopher, Damascius, *Vita Isidori* 154.<sup>63</sup>

The causal sense also appears clearly in the tenth-century writer Syntipas, 124/5, 127/8.<sup>64</sup> But the problem is whether it occurs earlier. Photius, who claimed that this usage could be found in myriad extrabiblical writers,<sup>65</sup> may merely be a witness to contemporary ninth-century usage. The only example of a possible causal sense of  $\dot{\epsilon}\phi'$   $\ddot{\phi}$  that I have found so far occurs in the second-century historian Appian of Alexandria:

Σικελοί και τέως άγανακτοῦντες ἐπὶ τῆ ἀμότητι Μαρκέλλου τοῦ στρατηγοῦ, μαλλον τι καὶ τῷδε τῷ ἔργῷ συνεταράσσοντο, ἐφ' ῷ κατὰ προδοσίαν ἐς Συρακούσας ἐσῆλθεν, καὶ πρὸς Ἱπποκράτη μετετίθεντο καὶ συνώμνυντο μὴ διαλύσασθαι χωρὶς ἀλλήλων.

"The Sicilians, angered for some time at the severity of the general Marcellus, were still more thrown into confusion even by this deed, because he had entered Syracuse by treachery, and they joined Hippocrates and swore together not to seek a solution without the others." (*Sicelica* 4.1.2-4)

Yet even this instance is not clear, for  $\dot{\epsilon}\phi'$   $\dot{\phi}$  may simply be relative, with  $\dot{\epsilon}\rho\gamma\phi$  as the antecedent: "by this deed, by which he entered."

(15) The Consecutive Sense. I have already noted above that the grammarians Schwyzer, Kühner-Gerth, and Smyth have related the phrase  $\dot{\epsilon}\phi' \,\tilde{\phi}$  to the consecutive use of  $\ddot{\omega}\sigma\tau\epsilon$  (see §13 above). Kühner-Gerth comment: "Statt  $\ddot{\omega}\sigma\tau\epsilon$ in der Bedeutung: *ea condicione, ut* oder *ita, ut* braucht die nachhomerische Sprache auch:  $\dot{\epsilon}\phi' \,\tilde{\phi}$  oder  $\dot{\epsilon}\phi' \,\tilde{\phi}\tau\epsilon$ , welchem im Hauptsatze das demonstrative  $\dot{\epsilon}\pi\dot{\tau}\tau\sigma\dot{\tau}\sigma\phi$  (auch  $\dot{\epsilon}\pi\dot{\tau}\tau\sigma\sigma\sigma\delta\epsilon$  b. Herod. u. Thukyd.) entsprechen kann."<sup>66</sup> They give no clear examples of this usage. Indeed, I discovered the discussion of this connection of  $\dot{\epsilon}\phi' \,\tilde{\phi}$  with  $\ddot{\omega}\sigma\tau\epsilon$  in these grammars only after I had come to the conclusion that in a number of places in the corpus of Greek literature  $\dot{\epsilon}\phi' \,\tilde{\phi}$  had to have the meaning "with the result that, so that." What is important is that  $\dot{\epsilon}\phi' \,\tilde{\phi}$  in a consecutive sense occurs with verbs in a past indicative, especially an aorist, and this is immediately relevant for the passage in Rom 5:12.

In any case, the instances that seem to have a consecutive sense are the

following.<sup>67</sup> The first comes from Athenaeus of Naucratis in Egypt, who ca. A.D. 200 wrote his work on the Learned Banquet. In it he reports, using  $\dot{\epsilon}\phi' \,\dot{\phi}$  with the infinitive:

έθος ήν έν τοις δείπνοις τῷ έστιάτορι κατακλιθέντι προδίδοσθαι γραμματείδιόν τι περιέχον ἀναγραφήν τῶν παρεσκευασμένων, ἐφ' ῷ εἰδέναι ὅ τι μέλλει ὄψον φέρειν ὁ μάγειρος.

"It was a custom at banquets that a tablet was handed to the diner who had just reclined containing a list of what had been prepared so that he would know what food the cook would provide." (Athenaeus, *Deipnosophistae* 2.49d)

Here one should not be misled by the English translation. 'E $\phi$ '  $\phi$  with the infinitive would not be expressing purpose; its meaning seems rather to be consecutive, i.e., the intended or potential result.<sup>68</sup> In this instance the relative, "for which reason," would make little sense.

Again, in the following passage from Diogenes Laertius:

ήγούμενόν τε των έφήβων έπί τινα θέαν ύπ' άνέμου παραγυμνωθηναι καὶ όφθηναι άχίτωνα έφ' ὦ κρότω τιμηθηναι ύπ' Ἀθηναίων.

"(We are told that) he [the philosopher Cleanthes], while leading some youths to a (public) spectacle, was exposed by the wind and was seen to be without an undergarment so that he was honored with applause by the Athenians." (Diogenes Laertius, *Vitae philos.* 7.169.4-6)<sup>69</sup>

Other examples, which have the verb in a past indicative, are more important for the interpretation of the passage in Romans. They come from the philosopher and biographer L. Mestrius Plutarchus, who might have been roughly contemporary with Paul the Apostle. In his life of Aratus, a Sicyonian statesman of the third century B.C., Plutarch tells of the bad reputation that Aratus got because he permitted the lawless execution of one Aristomachus of Argos:

τὸν δ' Ἀριστόμαχον ἐν Κεγχρεαῖς στρεβλώσαντες κατεπόντισαν, ἐφ' ῷ καὶ μάλιστα κακῶς ἤκουσεν ὁ Ἄρατος, ὡς ἄνθρωπον οὐ πονηρόν, ἀλλὰ καὶ κεχρημένον ἐκείνῷ καὶ πεπεισμένον ἀφεῖναι τὴν ἀρχὴν καὶ προσαγαγεῖν τοῖς Ἀχαιοῖς τὴν πόλιν, ὅμως περιϊδὼν παρανόμως ἀπολλύμενον.

"Having tortured Aristomachus on the rack in Cenchreae, they drowned (him) in the sea, with the result that Aratus enjoyed an especially bad reputation as one who had allowed a man to perish in disregard of the law, one who was not wicked, but who had cooperated with him and who had been persuaded (by him) to renounce (his) office and attach (his) city to the Achaean League." (Plutarch, Aratus 44.4.1)

Another example, which might be particularly pertinent because, as in Rom 5:12, it contains  $\pi \acute{\alpha} v \tau \epsilon \varsigma$  and an aorist indicative, is also found in Plutarch. He tells of a famous Rusticus, whom the Emperor Domitian later put to death. Rusticus once attended a lecture of Plutarch in Rome, during which a soldier arrived with a letter from the emperor for Rusticus:

γενομένης δὲ σιωπῆς, κἀμοῦ διαλιπόντος ὅπως ἀναγνῷ τὴν ἐπιστολήν, οὐκ ἡθέλησεν οὐδ' ἔλυσε πρότερον ἢ διεξελθεῖν ἐμὲ τὸν λόγον καὶ διαλυθῆναι τὸ ἀκροατήριον ἐφ' ῷ πάντες ἐθαύμασαν τὸ βάρος τοῦ ἀνδρός.

"When silence came (upon all) and I had stopped (lecturing) so that he might read the letter (from the emperor), he was unwilling (to do so) and did not break (the seal) before I had finished the lecture and the audience was dispersed, so that all were amazed at the dignity of the man." (Plutarch, *De curiositate* 522E 4-6)

Another example of  $\dot{\epsilon}\phi'$   $\ddot{\phi}$  in a consecutive sense can be found in Plutarch's life of Cimon:

τότε δὴ πολλῆ φιλοτιμία τοῦ σηχοῦ μόγις ἐξευρηθέντος, ἐνθέμενος ὁ Κίμων εἰς τὴν αὐτοῦ τριήρη τὰ ὀστὰ καὶ τἆλλα κοσμήσας μεγαλοπρεπῶς, κατήγαγεν εἰς τὸ ἄστυ δι' ἐτῶν σχεδὸν τετρακοσίων· ἐφ' ῷ καὶ μάλιστα πρὸς αὐτὸν ἡδέως ὁ δῆμος ἔσχεν.

"Then with great enthusiasm Cimon, having discovered with difficulty the burial-place, placed the bones [of Theseus] and the other things on his own trireme and brought them back to the city with great pomp after almost 400 years with the result that the citizenry became most kindly disposed toward him." (Plutarch, *Cimon* 8.6.4)

Other instances of this consecutive use of  $\dot{\epsilon}\phi' \, \dot{\phi}$  can be found in the writings of the historian Cassius Dio toward the end of the second century. For example, on one occasion Cassius Dio tells how Gnaeus Domitius Afer, a famous Roman orator and consul, had angered the emperor Gaius Caligula, because of what he said about a woman related to the emperor's mother, Agrippina, but was saved eventually from death by her:

ήχθετο μέν γὰρ αὐτῷ καὶ ἄλλως ὁ Γάιος, ὅτι ἐπὶ Τιβερίου γυναικός τινος τῷ Άγριππίνῃ τῇ μητρὶ αὐτοῦ προσηκούσης κατηγορήκει, ἐφ' ῷ δὴ ἐκείνη συναντήσασά ποτε αὐτῷ, καὶ μαθοῦσα ὅτι ἐξέστη τῆς ὁδοῦ δι' αἰσχύνην, προσεκαλέσατό τε αὐτὸν καὶ ἔφη, Θάρσει, Δομίτιε, οὐ γὰρ σύ μοι αἴτιος εἶ, ἀλλ' Ἀγαμέμνων.

"Gaius [Caligula] was angry with him [Domitius Afer] in any case, because in the time of Tiberius he had accused a certain woman related to his mother Agrippina, with the result that, in fact, once, when she later met him and noted how he stood off from the path out of embarrassment, she called out to him and said, 'Fear not, Domitius, you are not to blame in my sight, but rather Agamemnon'" [alluding to Homer, *Iliad* 1.335]. (Cassius Dio, *Hist. Rom.* 59.19.1-2)

Again Cassius Dio relates how Gaius Caligula moved against those who were consuls and removed them from office in order to appoint Domitius to that post:

έκείνους μέν δη δια ταῦτα αὐθημερὸν τῆς ἀρχῆς ἔπαυσε, τὰς ῥάβδους σφῶν προσυντρίψας, ἐφ' ὦ δη ὁ ἕτερος αὐτῶν ἀδημονήσας ἑαυτὸν ἔσφαξε.

"So for these reasons he [Gaius] put an end to their (consular) rule that very day, having first broken their fasces, so that one of them killed himself in anguish." (Cassius Dio, *Hist. Rom.* 59.20.3)

Cassius Dio also recounts how Nero, deserted by his bodyguards, fled toward the villa of Phaon on the Via Nomentana and in his tragic fate came upon a cave:

μετήλθεν ές τὸ ἄντρον, κάνταῦθα καὶ ἔφαγε πεινήσας ἄρτον ὁποῖον οὐδεπώποτε ἐβεβρώκει, καὶ ἔπιε διψήσας ὕδωρ ὁποῖον οὐδεπώποτε ἐπεπώκει, ἐφ'  $\tilde{\phi}$  δυσανασχετήσας εἶπε 'τοῦτό ἐστιν ἐκεῖνο τὸ ποτὸν τὸ ἐμὸν τὸ ἄπεφθον.'

"He [Nero] entered the cave and there both ate bread in his hunger such as he had never eaten before and in his thirst drank water such as he had never drunk before, so that he was in great distress and said, 'So this is that famous cold drink of mine.'" (Cassius Dio, *Hist. Rom.* 63.28.5)<sup>70</sup>

The third-century writer Diogenes Laertius tells a story about Cleanthes, who had come to Athens to study philosophy under Zeno and was notorious for his industry and his poverty:

Σωσιθέου τοῦ ποιητοῦ ἐν θεάτρῷ εἰπόντος πρὸς αὐτὸν παρόντα, οῦς ἡ Κλεάνθους μωρία βοηλατεῖ ἕμεινεν ἐπὶ ταὐτοῦ σχήματος· ἐφ' ῷ ἀγασθέντες οἱ ἀκροαταὶ τὸν μὲν ἐκρότησαν, τὸν δὲ Σωσίθεον ἐξέβαλον.

"Once when he [the philosopher Cleanthes] was present, the poet Sositheus said in the theatre about him,

'Whom the folly of Cleanthes drives like cattle,'

he [Cleanthes] remained unmoved in the same attitude, so that the audience in amazement applauded him and drove Sositheus from the stage." (Diogenes Laertius, Vitae philos. 7.173.1-5)

To these instances one should possibly add two further passages from the fourth-century Greek rhetorician Libanius of Antioch, who in one of his speeches said of the emperor:

ή γὰρ σύχ οὗτος ἐκεῖνος ὁ τοὺς θήξαντας ἐπ' αὐτὸν τὰ ξίφη καὶ βεβουλευμένους ποῦ καὶ πότε δεῖ τὴν κοινὴν εὐτυχίαν ἐκτεμεῖν, ἐλέγξας μὲν καὶ μεμψάμενος, τῶν ψυχῶν δὲ οὐκ ἀποστερήσας, ἐφ' ῷ καὶ μᾶλλον τὴν οἰκουμένην ἢ τοῖς τροπαίοις ἐξέπληξε;

"Was he [the emperor] not that one who, having convicted and blamed those who had sharpened their swords against him and who had conspired about where and when they might best cut short the good fortune of all, did not take their lives so that he astounded the world even more than by his triumphs? (Libanius, Or. 15.43)

Again, in another of his speeches Libanius remarks:

τὰς τῶν δυναστευόντων τιμωρίας ὑπερβὰς ἐπὶ τὴν τοῦ ῥήτορος ἦκε καὶ παρὸν στρεβλῶσαι καὶ ἀποκτεῖναι λόγῷ τὴν πόλιν ἀμύνεται, ταὐτόν, οἶμαι, καὶ πρόσθεν πεποιηκὼς πρὸς ἄνδρα 'Ρωμαῖον θρασυνόμενόν τι τοιοῦτον, ἐφ' ῷ δικαίως ἄν, εἰ καὶ μηδὲν ἕτερον, ἐξέπεσε τῶν ὄντων.

"Having exceeded the punishments that despots inflict, he turned to that of the orator and, though it was in his power to torture and kill, he avenged himself on the city with a discourse, the same one, I gather, that he had previously delivered against a Roman who had been bold enough to act in some impudent way, so that he would have justly deprived him of his possessions, if not of anything else." (Libanius, *Or.* 18.198)

I consider the preceding examples as especially relevant, as good examples of the consecutive sense of  $\dot{\epsilon}\phi$ ,  $\dot{\phi}$ . There are, however, a few further instances

where the consecutive sense may be present, but where one cannot exclude the possibility of some relative usage. Thus Plutarch recounts about Cicero:

ήψατο δὲ καὶ πολέμου, ληστὰς τῶν περὶ τὸν Ἀμανὸν οἰκούντων τρεψάμενος, ἐφ' ὦ καὶ αὐτοκράτωρ ὑπὸ τῶν στρατιωτῶν ἀνηγορεύθη.

"He [Cicero] also engaged in war, defeating the bandits who dwelled on Mt. Amanos, so that he was even acclaimed Imperator by the soldiers. (Plutarch, *Cicero* 36.4.5)

Possibly one should translate here merely "for which (reason) he was even acclaimed" or possibly "at which place."

Similarly, Plutarch, Amatoriae narrationes 775E 8; Sulla 5.5.1; Pseudo-Plutarch, Vitae decem orat. 840F 3-4; 846D 2-3. The same might also have to be said about the following instance in Cassius Dio:

ἀχθεσθεὶς ἐκέλευσεν αὐτὸν ἐς τὸν Τίβεριν ἐμβληθῆναι· ἔτυχε γὰρ πλησίον αὐτοῦ δικάζων, ἐφ' ῷ δὴ ὁ Δομίτιος ὁ Ἄφρος, πλεῖστον τῶν καθ' ἑαυτὸν ἐν τῷ συναγορεύειν τισὶν ἰσχύσας, κάλλιστα ἀπέσκωψε· δεηθέντος γάρ τινος ἀνθρώπου τῆς παρ' αὐτοῦ βοηθείας, ἐπειδὴ ὑπὸ τοῦ Γαλλίκου ἐγκατελείφθη, ἔφη πρὸς αὐτὸν ὅτι 'καὶ τίς σοι εἶπεν ὅτι κρεῖττον ἐκείνου νήχομαι;'

"In his anger, he [the Emperor Claudius] ordered him [an orator Julius Gallicus] to be thrown into the Tiber, for he happened to be arguing a case near him, with the result that Domitius Afer, who was the most capable of the advocates of his time, invented the neatest of jests. When he was asked for help by a certain man who had been left in the lurch by Gallicus, he said to him, 'And who told you that I swim better than he?" "(Cassius Dio, *Hist. Rom.* 61.33.8)

In this case  $\dot{\epsilon}\phi$   $\dot{\phi}$  might possibly mean merely "on which (occasion) Domitius Afer . . . invented." To this list I might add the following texts:

καὶ γνοὺς ὁ Φλάκκος τοῦτο ἐπέθετο αὐτοῖς καὶ πάντας ἀπώλεσε καὶ τοὺς ἀπομάχους διέφθειρεν ἄπαντας· ἐφ᾽ ῷ ὁ Δομιτιανὸς ἐπαρθεὶς εἶπε πρὸς τὴν βουλὴν ὅτι 'Νασαμῶνας ἐκώλυσα εἶναι.'

"And Flaccus [the governor of Numidia], learning about this, attacked them [the Numidians] and annihilated all of them, even destroying all the noncombatants, with the result that Domitian, elated, said to the Senate, 'I have prevented the Nasamones from living.'" (Cassius Dio, *Hist. Rom.* 67.4.6)

καὶ ἔπιεν ἐν μέσῃ τῇ ἀγωνία καμών, κύλικι ῥοπαλωτῇ παρὰ γυναικός γλυκύν

οίνον έψυγμένον λαβών, άμυστί ἐφ' ὦ καὶ ὁ δῆμος καὶ ἡμεῖς παραχρῆμα πάντες τοῦτο δὴ τὸ ἐν τοῖς συμποσίοις εἰωθὸς λέγεσθαι ἐξεβοήσαμεν, 'ζήσειας.'

"Becoming weary in the midst of the struggle, he [the Emperor Commodus] (took) from a woman some chilled sweet wine in a club-shaped cup and drank it at one gulp, with the result that the people and we [senators] all immediately shouted out that toast customary to drinking-bouts, 'Life to you!' " (Cassius Dio, *Hist. Rom.* 73.18.2)

νεανίσκος τις ίππεὺς νόμισμα τὴν εἰκόνα αὐτοῦ ἐς πορνεῖον ἐσήνεγκεν, ἔδειξαν· ἐφ' ῷ δὴ τότε μὲν ὡς καὶ θανατωθησόμενος ἐδέθη, ὕστερον δὲ τελευτῆσαι φθάσαντος αὐτοῦ ἀπελύθη.

"A young knight carried a coin [bearing] his [the emperor's] image into a brothel, and [informers] reported (it), so that he was thereupon imprisoned to be put to death; but he was later released, once he [the emperor] had died." (Cassius Dio, *Hist. Rom.* 78.16.5)

όπηνίκα νῶτα δοὺς τῇ ἐκ Θεοῦ μετὰ Κωνσταντίνου δυνάμει, τὸν πρὸ τῆς πορείας διήει ποταμόν, ὃν αὐτὸς σκάφεσιν ζεύξας καὶ εὖ μάλα γεφυρώσας μηχανὴν ὀλέθρου καθ' ἑαυτοῦ συνεστήσατο ἐφ' ῷ ἦν εἰπεῖν 'λάκκον ὥρυξεν καὶ ἀνέσκαψεν αὐτόν, καὶ ἐμπεσεῖται εἰς βόθρον ὃν εἰργάσατο'....

"When he [Maxentius] turned his back on the God-sent power that was with Constantine, he crossed the river that lay in his path, by bridging (it) himself quite well with joined boats, he put together an engine of destruction for himself, so that it was (possible) to say, 'He made a pit and dug it out, and he will fall into the ditch that he made.'" (Eusebius, *Eccl. Hist.* 9.9.5-6 [citing Ps 7:15 at the end])

#### III. Conclusion

If these examples of  $\dot{\epsilon}\phi'$   $\dot{\phi}$  have any validity, it is clear that we have a solution to the problem of  $\dot{\epsilon}\phi'$   $\dot{\phi}$  πάντες ήμαρτον in Rom 5:12. Paul would, then, be saying:

"Therefore, just as sin entered the world through one man, and through sin death, and so death spread to all human beings, with the result that all have sinned."

This solution seems required by the evidence presented above. It also means that Paul does not necessarily use  $\dot{\epsilon}\phi$ '  $\dot{\phi}$  always in the same sense. Those instances

in 2 Corinthians or Philippians that I have discussed above may, indeed, be instances of genuine relative clauses, but this would rather be an instance of  $\dot{\epsilon}\phi'$   $\dot{\phi}$  as a conjunction, introducing a result clause.

If the  $\dot{\epsilon}\phi$ '  $\dot{\delta}$  clause expresses a result of the sin of Adam, it would be asserting the universal sinfulness of the conduct of human beings.<sup>71</sup> It would also help to explain the connection between 5:12abc and 5:12d, which has seemed problematic to commentators like Lietzmann, Bultmann, and Kuss. For one should not lose sight of the adverb και οῦτως, "and so, and in this way" (5:12c), which establishes the connection between the sin of "one man" and the death and sins of "all human beings." Thus Paul in v. 12 would be ascribing death and human sinfulness to two causes, not unrelated: to Adam and to the conduct of all human beings. The fate of sinful humanity ultimately rests on what its head, Adam, has done to it; the primary causality for its sinful and mortal condition is ascribed to him, but a secondary resultant causality is attributed to the sinful conduct of all human beings. For "no one sins entirely alone and no one sins without adding to the collective burden of mankind."72 Yet no matter how one understands 5:12d, the universal causality of Adam's sin is presupposed in 5:15a, 16a, 17a, 18a, and 19a. Hence it would be false to the thrust of the whole paragraph to interpret 5:12 as though it implied that the sinful human condition before Christ's coming were owing solely to individual personal conduct, as Pelagius seems to have advocated, in imitation of Adam. If the consecutive sense of  $\dot{\epsilon}\phi'$   $\dot{\phi}$  is valid, then the connection, expressed by και ούτως, is confirmed. Paul would thus be attributing the entire perverse corruption of humanity to Adam in the sense that it began with his transgression of the command laid by God upon him but continued as a result in the sinful conduct of those descended from him. Their mortal and sinful condition, i.e., the upsetting of the normal condition between humanity and God, the strife between the Ego and the flesh, and humanity's failure to attain its destined goal, stems from Adam, but not without its own resultant responsibility.73

#### NOTES

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1. So in "The Letter to the Romans," The Jerome Biblical Commentary (ed. R. E. Brown et al.; Englewood Cliffs, NJ: Prentice Hall, 1968) art. 53 §56; The New Jerome Biblical Commentary (1990) art. 51 §56.

2. I wish at this point to acknowledge my indebtedness to the *Thesaurus Linguae* Graecae of the University of California at Irvine for the help that I derived from its disc. I also want to thank a graduate student of the Biblical Studies department at the Catholic University of America, Rev. Patrick J. Madden, for his assistance in searching for the

phrase on this CD-ROM. My gratitude is likewise due to Professors Francis T. Gignac, S.J., of the same university, Alan C. Mitchell of Georgetown University, and G. H. R. Horsley of La Trobe University, who read earlier drafts of this paper and offered criticisms of it. I am also indebted to Professor R. E. Renehan for his criticism of this paper.

3. Specific bibliographical references will be given in notes below. For generic discussions, see Anon. "Ueber eph'hö, bei Römer 5,12," TQ 13 (1831) 397-444; E. Brandenburger, Adam und Christus: Exegetisch-religionsgeschichtliche Untersuchung zu Römer 5,12-21 (1 Kor. 15) (WMANT 7; Neukirchen-Vluyn: Neukirchener, 1962) 168-80; J. Freundorfer, Erbsünde und Erbtod beim Apostel Paulus: Eine religionsgeschichtliche und exegetische Untersuchung über Römerbrief 5,12-21 (NTAbh 13/1-2; Münster in W.: Aschendorff, 1927) 173-214, 232-38; S. Hoekstra, "Proeve van verklaring der woorden: eph'hō pantes hēmarton, Rom. 5.12<sup>b</sup>," TTijd 2 (1868) 6-74; W. G. Kümmel, Man in the New Testament (London: Epworth, 1963) 64 n. 72.

4. In ep. ad Rom. 5.12; CSEL 81.165: "in Adam . . . quasi in massa."

5. De pecc. merit. et remiss. 1.10.11; CSEL 60.12.

6. Contra duas ep. Pelagianorum 4.4.7; CSEL 60.527: "in illo homine peccaverunt omnes." By the phrase "in illo" Augustine did not mean "in that all sinned," understanding "quo" (or  $\tilde{\phi}$ ) as neuter, as E. Evans (Saint Augustine's Enchiridion [London: SPCK, 1953] 116) believed; see G. Bonner, "Augustine on Romans 5.12," SE V [TU 103; Berlin: Akademie, 1968] 242-47), who sets the matter straight.

7. So J. De Fraine, Adam and the Family of Man (Staten Island, NY: Alba House, 1965) 142-52; F. F. Bruce, The Epistle of Paul to the Romans: An Introduction and Commentary (TynNTC 6; Leicester: Inter-Varsity; Grand Rapids: Eerdmans, 1985) 126; E. E. Ellis, Paul's Use of the Old Testament (Grand Rapids: Baker, 1981) 58-60; The Old Testament in Early Christianity: Canon and Interpretation in the Light of Modern Research (WUNT 54; Tübingen: Mohr [Siebeck], 1991) 111.

Another form of this interpretation is used by some commentators who translate  $\dot{\epsilon}\phi'$  $\dot{\phi}$  as a causal conjunction, but then render it, "because all — in Adam — have sinned." So Bardenhewer, Bisping, Cornely, Freundorfer, Gutjahr, and Sickenberger.

8. Cf. Heb 7:9-10.

9. In ep. ad Rom. Hom. 10.1; PG 60.474, paraphrasing it as exeivou resoverce.

10. Interpr. ep. ad Rom. 5.12; PG 82.100.

11. In ep. ad Rom. 5.12; PG 95.477, paraphrasing it as δι' ού.

12. Expos. in ep. ad Rom. 5.12; PG 124.404, paraphrasing it as  $\pi \epsilon \sigma \delta v \tau \circ \zeta \epsilon \varkappa \epsilon i v \circ v$ .

13. Comm. in ep. ad Rom. 7; PG 118.416.

14. "Péchés des hommes et péché d'Adam en Rom. v. 12," NTS 11 (1964-65) 217-55, esp. 247; L'Evangile de Dieu, 1.237-49. For a critique of Cambier's understanding, see F. W. Danker, NTS 14 (1967-68) 424-25, 429, 436.

15. Christ in the Theology of St Paul (New York: Herder and Herder, 1959) 232.

16. Novum lexicon graeco-latinum in Novum Testamentum . . . (5th ed.; 2 vols.; Glasgow: A. and J. Duncan, 1817), 1.637: "post quem omnes peccarunt."

17. In ep. ad Rom. 5.12; PG 74.784.

18. "'Eφ' ϕ̃ (Rom. 5,12) chez Cyrille d'Alexandrie et Théodoret," Studia patristica 4 (TU 79; Berlin: Akademie, 1961) 157-61. Cf. Theodoret, Interp. ep. ad Rom. 5.12; PG 82.100.

19. Commentary on Romans (Philadelphia: Muhlenberg, 1949) 214.

20. Der Tod bei Griechen und Juden (Leipzig: Wigand, 1942) 61-62.

21. Der alte und der neue Mensch (BEvT 8; Munich: Kaiser, 1942) 26.

22. Das Bild vom Menschen in biblischer Sicht (Mainzer Universitäts-Reden 3; Mainz: Kupferberg, 1927) 16.

23. R. Bultmann, "Adam and Christ according to Romans 5," Current Issues in New Testament Interpretation: Essays in Honor of Otto A. Piper (ed. W. Klassen and G. F. Snyder; New York: Harper & Bros., 1962) 143-65, esp. 153, where he toys with this interpretation, even though he translates it "because all sinned," a meaning that he seems to prefer in TDNT, 3.15 n. 69.

24. That the verse was so understood is clear from the transposition  $\delta i \eta \lambda \theta \epsilon v \delta \theta \delta v \alpha \tau o \varsigma$  read in ms.  $\Psi$  and by such patristic writers as Chrysostom and Theodoret.

25. J. Héring, Le royaume de Dieu et sa venue: Étude sur l'espérance de Jésus et de l'apôtre Paul (New ed.; Neuchâtel: Delachaux et Niestlé, 1959) 155-59, esp. 157: "c'est pour récolter la mort ( $\dot{\epsilon}\phi$ '  $\dot{\phi}$ ) que tous ont péché."

26. New Testament Theology (London: SCM, 1955) 270 n. 176: "in the direction of"; "death, to which they fell man by man through their sin."

27. "Romans v. 12: Sin under Law," NTS 14 (1967-68) 424-39. He argues that  $\dot{\epsilon}\phi'$  $\dot{\phi}$  is the same as  $\dot{\epsilon}\pi$  toic advoic voluce  $\dot{\epsilon}\phi'$  olorep in Menander Frg. 531.6-7. Cf. "Under Contract," Festschrift to Honor F. Wilbur Gingrich (ed. E. H. Barth and R. E. Cocroft; Leiden: Brill, 1972) 91-114, esp. 104-5.

28. For a critique of Danker's position, see S. E. Porter, "The Pauline Concept of Original Sin, in Light of Rabbinic Background," *TynBull* 41 (1990) 3-30.

29. Der Brief des Paulus an die Römer ausgelegt (Kommentar zum Neuen Testament 6; Leipzig: Deichert, 1910; 3rd ed. rev. F. Hauck, 1925) 265-68: "das relativische 袒  $\phi$ auf den ganzen vorangehenden Satz zu beziehen," p. 268. Cf. Zahn's *Grundriß der* neutestamentlichen Theologie (2d ed.; Leipzig: Deichert, 1932) 103: "Unter dem Tode ist die ganze Verderbtheit der menschlichen Natur zu verstehen, welche bei dem ersten Menschen infolge seiner Sünde eintrat und von ihm aus auf dem Wege der Fortpflanzung ein allgemeiner Zustand aller Menschen geworden ist, d.h. die Sterblichkeit und der leibliche Tod, aber auch die Störung des normalen Verhältnisses zwischen dem Ich und der leiblichen Natur, die Abhängigkeit des Willens vom Fleisch, die hiedurch bedingte Gottwidrigkeit des gesamten menschlichen Daseins und der damit gegebene Ausschluß von der belebenden und beseligenden Gemeinschaft mit Gott."

30. E.g., Demosthenes, In Dionysodorum 42.4-5; Diodorus Siculus, Bibl. Hist. 2.48.7; Dionysius of Halicarnassus, Antiq. Rom. 11.6.1.

31. As S. E. Porter (Verbal Aspect in the Greek of the New Testament [New York: Lang, 1989] 100, 222, 237) has taken it.

32. The Peshitta translates  $\dot{\epsilon}\phi'\,\dot{\phi}$  as  $b\check{e}h\bar{a}y\,d\check{e}$ .

33. See B-A, 582; BAG, 287; BDF §235.2 ("for the reason that, because"), §294.4; EDNT 2.22; M. Zerwick, Biblical Greek Illustrated by Examples (Rome: Biblical Institute, 1963) §127; A. T. Robertson, A Grammar of the Greek New Testament in the Light of Historical Research (4th ed.; New York: Doran, 1914) 963 ("è\$\$\phi\$" is causal in Ro. 5:12; 2 Cor. 5:4; Ph. 4:10").

34. Rom. 5.12-14 alla luce del dialogo rabbinico (RivBSup 4; Brescia: Paideia, 1971). 35. έφ' ὦ ἀντὶ τοῦ διότι (P. 129, 3). He is so quoted in B-A, 582, which probably derives it from E. Schwyzer, Griechische Grammatik auf der Grundlage von Karl Brugmanns Griechischer Grammatik (2 vols.; HAW II.I/1-2; rev. A. Debrunner; Munich: Beck, 1939, 1950), 2.681. 36. Quaest. 84 ad Amphiloch.; PG 101.553: ἐφ' ὦ πάντες ἥμαρτον, διότι πάντες ἤμαρτον. Καὶ μυρία μὲν, καὶ παρὰ τοῖς ἔξω, ἡ τοιαύτη συνθήκη τῶν λόγων, "And legion are (the examples), even in outside [i.e. extrabiblical] (writers), of this sort of collocation of words." Photius then quotes 2 Cor 5:4.

37. "Le sens de *eph' hō en* Rom 5,12 et l'exégèse des Pères grecs," *Bib* 36 (1955) 436-56, esp. 455; repr. *Études sur l'épître aux Romains* (AnBib 120; Rome: Istituto Biblico, 1989) 185-202, esp. 201 (cf. *TD* 5 [1957-58] 54-57). Cf. "Le péché originel en Rom 5.12: L'exégèse des Pères grecs et les décrets du Concile de Trente," *Bib* 41 (1960) 325-55. In this judgment N. Baumert, M. Black, and J. Cambier now concur.

Lyonnet reports that he was unable to find the causal meaning in any of the specialized lexica for Aristophanes, Aristotle, Demosthenes, Epictetus, Plato, Plutarch, or Xenophon, or in those for the Apostolic Fathers, Apologists, or Athanasius.

38. Neuer Versuch einer Auslegung der paulinischen Stelle Römer V.12-21 (Wittenberg: Zimmermann, 1836) 17-19.

39. A Grammar of New Testament Greek: 1. Prolegomena (Edinburgh: Clark, 1908) 107: "in view of the fact that." N. Turner, however, in vol. 3 (Syntax [1963]) lists it under  $\epsilon\pi$ i with the dative meaning cause and translates it "because" (p. 272), influenced by the note in Sanday-Headlam's commentary. When he later returns to the problem in Grammatical Insights into the New Testament (Edinburgh: Clark, 1965) 116-18, he prefers to render it as a genuine relative clause, "under the power of" whom, "within the jurisdiction of" whom (Adam).

40. See n. 37 above. Cf. G. Lafont, "Sur l'interprétation de Romains v. 15-21," RSR 45 (1957) 481-513, esp. 498-500.

41. Romans (New Century Bible Commentary; 2d ed.; London: Marshall, Morgan & Scott; Grand Rapids: Eerdmans, 1989) 81-82.

42. Ep. 73; PG 66.1440B. See also R. Hercher, Epistolographi graeci (Paris: A. Firmin Didot, 1873) 685.

43. For a critique of Lyonnet's position, see F. W. Danker, NTS 14 (1967-68) 437; E. Brandenburger, Adam (n. 3 above), 171-72.

44. "The Theological Structure of Romans v. 12," NTS 19 (1972-73) 339-54, esp. 350.

45. An die Römer (HNT 8; 5th ed.; Tübingen: Mohr [Siebeck], 1971) 62. It is echoed by R. Bultmann, "Adam" (n. 23 above), 153; O. Kuss, Der Römerbrief übersetzt und erklärt (Regensburg: Pustet, 1963) 230.

46. J. Burnet in his commentary *The Ethics of Aristotle, Edited with an Introduction* and Notes (London: Methuen, 1900; repr. New York: Arno, 1973) 225, says " $\dot{\epsilon}\phi$ ,  $\dot{\phi}$  sc.  $\ddot{\rho}\rho\phi$ ... The  $\ddot{\rho}\rho_0$  are neither lines nor points, but dots." Yet it is not clear how one is to understand this construction or translate it.

47. E.g., Nic. Eth. 5.4.12 (1132b 6-8); Analyt. pr. 30a 29-31; 31b 4-6; De caelo 273a 27-32; Mechan. 850a 15-17; Meteor. 363b 3-5.

48. With a future indicative: Herodotus, *Hist.* 3.83; 5.82; 6.65; 7.153; 7.158; 8.4; Thucydides, *Pel. War* 1.103.1; 1.113.3; 1.126.11; 4.30.4; Aeschines, *Ag. Ctesiphon* 114; Xenophon, *Sympos.* 4.35; Aristotle, *Nic. Eth.* 9.8.9 (1169a 27-28); [Aristotle], *Athen. Pol.* 14.4.3-5; 34.3.1-3; 3 Macc 3.28; Athenaeus, *Deipnosophistae* 1.30a; 6.85.11-13; 12.521a; Polybius, *Hist.* 1.59.7; Plutarch, *Consol. ad Apollon.* 112B 4; Pausanias, *Desc. Graec.* 2.18.4; Strabo, *Geogr.* 10.4.10; Diogenes Laetius, *Vitae phil.* 10.17.1. This use with the future indicative is also found in papyrus texts from Egypt: *P.Tebt.* 1.108.4; 2.381.16;

P.Hib. 1.77.6 (cited in MM, 234); P.Deissmann 59.7 (P. M. Meyer, Griechische Texte aus Ägypten [Berlin: Weidmann, 1916] 180); P.Lond. 1727.41; P.KaranisMich 562.17; P.ZenonMich 62.12.

With an infinitive: Herodotus, Hist. 1.22; 7.154; Plato, Apol. 17 §29C; Demosthenes, Ag. Androtion 45.5; Ag. Aristogeiton 2.13.3; Ag. Dionysodorus 3.2; 5.3; 20:1; 42.4; 49.1; Ag. Neaera 32.4; 124.10; Ag. Spudias 4; Aristophanes, Thesm. 1162; Plutus 1000; Aeschines, Ag. Ctesiphon 183; Xenophon, Anab. 4.2.19; 4.4.6; 6.6.22; Hell. 2.2.20; 2.3.11; 2.4.38; 3.5.1; 3.5.24; 6.3.18; Agesilaus 4.1; Menander, Frg. 531.1-2; Aristotle, Oeconomica 1348b.1-3; Ep. Aristeas 25; Josephus, Ant. 10.9.3 §163; Athenaeus, Deipnosophistae 6.272c; Polybius, Hist. 1.16.9; 1.31.8; 1.72.5; 1.88.12; 2.46.3; 3.10.3; 3.27.9; 3.62.6; 4.29.7; 4.46.3; 4.76.7; 5.28.2; 5.60.1; 5.67.10; 5.76.9; 7.4.1; 8.25.1; 9.27.11; 11.5.5; 11.29.12; 11.30.3; 18.39.6; 21.26.14; 21.29.14; 22.7.3; 24.4.3; Strabo, Geogr. 14.1.22; Appian, Samnitica 10.15.3-5; Cassius Dio, Hist. Rom. 1.2; 27.3; 56.22.4; P.Apoll. 57.4-5; P.Lond. 1691.17; 1693.8 (where both the infinitive and the future indicative occur together).

With a subjunctive: Cassius Dio, *Hist. Rom.* 36.34.2; 38.32.2; 40.26.1; 41.22.4; Pausanias, *Descr. Graec.* 3.6.6; *P.Apoll.* 64.3-4; *P.Lond.* 1727.44, 50.

With an optative: Thucydides, Pel. War 5.41.1(?); Cassius Dio, Hist. Rom. 53.25.4.
49. See H. W. Smyth, Greek Grammar (rev. G. M. Messing; Cambridge, MA: Harvard University, 1956) §2279; W. W. Goodwin, Greek Grammar (rev. C. B. Gulick; Boston: Ginn and Co., 1930) §1477; E. Schwyzer, Griechische Grammatik (n. 35 above), 2.681; R. Kühner, Ausführliche Grammatik der griechischen Sprache (2 parts in 4 vols.; 3rd ed., rev. F. Blass and B. Gerth; Hannover: Hahn, 1890, 1892, 1898, 1904; repr. Darmstadt: Wissenschaftliche Buchgesellschaft, 1966) 2/2, 505; W. W. Goodwin, Syntax of the Moods and Tenses of the Greek Verb (London: Macmillan, 1897) §610.

50. This causal interpretation of 2 Cor 5:4 is stoutly defended by V. P. Furnish, *Il Corinthians Translated with Introduction, Notes, and Commentary* (AB 32A; Garden City, NY: Doubleday, 1984) 269. It is also used by H. Lietzmann, *An die Corinther I.II* (HNT 9; rev. W. G. Kümmel; Tübingen: Mohr [Siebeck], 1969) 120 ("weil"); C. K. Barrett, *A Commentary on the Second Epistle to the Corinthians* (HNTC; New York: Harper & Row, 1973) 149 ("because"); S. Lyonnet, *Exegesis epistulae secundae ad Corinthios* (Rome: Biblical Institute, 1955-56) 213 ("quatenus," "eo magis quod"). The *RSV* seems to handle the phrase as did the Peshitta, which renders that clause simply as *wělā şābēnan*.

51. C. Tischendorf, Novum Testamentum graece (8th ed.; 3 parts in 4 vols.; Leipzig: Giesecke & Devrient, 1869, 1872, 1890, 1894), 2.589. He notes that Oecumenius commented, ἐφ' ῷ ἀντὶ τοῦ ἐπειδή. Cf. PG 118.969.

52. As does the Neovulgata.

53. Greek Particles in the New Testament: Linguistic and Exegetical Studies (NTTS 3; Leiden: Brill, 1962) 93-94.

54. Täglich sterben und auferstehen: Der Literalsinn von 2 Kor 4, 12–5, 10 (SANT 34; Munich: Kösel, 1973) 195, 386-401. Baumert shows that the causal meaning, "weil," does not suit this passage or many others alleged in BAG or B-A to mean that.

55. Similarly the *REB*, S. Lyonnet, *Etudes*, 200. The Peshitta renders the last two clauses as 'ellā' rādep 'nā dalmā' 'adrek lěmeddem děmețțulāteh 'adrěkan(y) Yešū' měšîhā' (probably "that because of which").

56. The Vg has "in quo et comprehensus sum a Christo Iesu," whereas the Neovulgata reads, "sicut et comprehensus sum." 57. The Vg and the Neovulgata both use "sicut et sentiebatis." Similarly the Peshitta, 'avkannā' dě'āp yāsepin (h)waytūn.

58. As it is understood by C. F. D. Moule, An Idiom Book of New Testament Greek (Cambridge, UK: Cambridge University, 1953) 132. It is perhaps even better understood as "in the matter in which you were concerned," as F. W. Danker has suggested (NTS 14 [1967-68] 434). Cf. S. Lyonnet, *Etudes* (n. 37 above), 200. Thrall (*Greek Particles* [n. 53 above], 94) has correctly recognized the unsuitability of the causal meaning here: "the translation 'because' makes very little sense," but she is hardly correct in saying that  $\dot{\epsilon}\phi'$   $\dot{\omega}$  in Rom 5:12 is "undoubtedly causal" (p. 94 n. 4). That begs the question.

59. In this instance, G. B. Winer (A Treatise on the Grammar of New Testament Greek [2d ed.; rev. W. F. Moulton; Edinburgh: Clark, 1877] 491) translates  $\dot{\epsilon}\phi \phi$  as "wherefore." Yet F. Bizière reads, not  $\dot{\epsilon}\phi' \phi$ , but  $\dot{\epsilon}\phi' \phi$ , and translates it "De ce fait" (Diodore de Sicile, Bibliothèque Historique Livre XIX [Coll. Budé; Paris: 'Les Belles Lettres,' 1975] 133), which is certainly more accurate. See also Lyonnet, Etudes (n. 37 above), 187; Baumert, Täglich sterben (n. 54 above), 388, who have also come to the same conclusion.

60. Again see Baumert, Täglich sterben (n. 54 above), 388.

61. See Baumert, ibid.

62. See §11 above.

63. The text reads: ἀλλά γε τοιοῦτος καὶ ὁ φιλόσοφος ἱστορεῖται Ζηνόδοτος, παιδικὰ τοῦ Πρόκλου καλεῖσθαι μόνος ἀξιωθείς, ἐφ' ὦ δὴ καὶ τὰς μάλιστα ἐπεῖχεν ἐλπίδας, "Such indeed is the philosopher Zenodotus reported to be, the only one considered worthy to be called the darling pupil of Proclus, on the ground that he offered the highest hopes" (C. Zintzen, Damascii vitae Isidori reliquiae [Bibliotheca graeca et latina suppletoria 1; Hildesheim: Olms, 1967] 206).

64. Again see Baumert, Täglich sterben (n. 54 above), 391.

65. See §10 above.

66. Ausführliche Grammatik (n. 49 above), 2/2.505. Similarly, Schwyzer says, "Mit čotte berührt sich bedeutungsmässig éo'  $\tilde{\phi}(\tau \epsilon)$ , 'unter der Bedingung daß' (vgl.  $\epsilon \pi t$  mit Lok. 'auf Grundlage von, zum Zweck, unter der Bedingung'...; darum wird der ihm gebührende Indicativ [des Futurums] oft durch den Infinitiv ersetzt," Griechische Grammatik (n. 35 above), 2.681 §9). Though Schwyzer says this, he nevertheless defines éo'  $\tilde{\phi}$  as "weil" and refers to Phil 3:12, comparing Phil 4:10, for which he gives the meaning "denn," "mit relat. Anschluß"! — Cf. K. Meisterhans, Grammatik der attischen Inschriften (3rd ed.; Berlin: Weidmann, 1900, repr. 1971) 253 §28: "Für čotte = 'unter der Bedingung, daß" tritt mehrfach éo'  $\tilde{\phi}$  ein, sogar in einer und derselben Formel und Inschrift." He refers to CIA 2, add. 834; 2.8.51. J. M. Stahl, Kritisch-historische Syntax des griechischen Verbums der klassischen Zeit (Indogermanische Bjbliothek 1.1.4; Heidelberg: Winter, 1907) 501.1.

A table of occurrences of  $\dot{\epsilon}\phi'$   $\ddot{\phi}$  with the indicative and with the infinitive in Attic inscriptions can be found in E. Hermann, *Griechische Forschungen 1: Die Nebensätze* in den griechischen Dialektinschriften . . . (Leipzig/Berlin: Teubner, 1912) 61-65. This table lists not only the instances of  $\dot{\epsilon}\phi'$   $\dot{\phi}$  and  $\dot{\epsilon}\phi'$   $\ddot{\phi}\tau\epsilon$ , but also those of  $\ddot{\omega}\sigma\tau\epsilon$ , which again suggests the close relation of consecutive  $\dot{\epsilon}\phi'$   $\dot{\phi}$  to result clauses.

Lyonnet (*Etudes* [n. 37 above], 191), having cited two examples of  $\dot{\epsilon}\phi'$   $\dot{\phi}$  from Demosthenes, says of them, "En tous ces exemples, on le voit, bien loin de prendre le sens de  $\delta\tau\iota$ ,  $\dot{\epsilon}\phi'$   $\dot{\phi}$  se rapproche de  $\dot{\omega}\sigma\tau\epsilon$ , si bien que l'*Index Demosthenicus* range ces

emplois dans la même catégorie que ceux de &ote." Having said that, Lyonnet did not pursue the suggestion that  $\&oten & \phiten & \phiten$ 

Cf. BDF §235.4, which notes that  $\dot{\epsilon}\pi i$  with the dative can express purpose or result; similarly, BDR §235.4, "Zweck, Folge (klass.)."

Though Liddell-Scott-Jones (A Greek-English Lexicon [2 vols.; Oxford: Clarendon, 1940] 747) explains  $\dot{\epsilon}\phi'\,\dot{\phi}$ ,  $\dot{\epsilon}\phi'\,\dot{\phi}\tau\epsilon$  as  $\dot{\epsilon}\pi\dot{\tau}$  τούτφ ώστε and refers to  $\dot{\epsilon}\pi\dot{\tau}$ , it then lists (p. 622) Rom 5:12 under B.III.3 "of the condition upon which a thing is done," and translates it as "wherefore." This is probably wrong. Note that the KJV introduces Rom 5:12 with "wherefore" (=  $\delta\iota\dot{\alpha}$  τοῦτο), but then translates  $\dot{\epsilon}\phi'\,\dot{\phi}$  as "for that all have sinned," as F. T. Gignac has called to my attention.

67. I have checked the following texts in standard editions. The translations are my own and seek to bring out as literally as possible the sense of the writer. I have often checked my translations against those in the Loeb Classical Library or against translations in other modern languages.

68. So BDF §391.3 explains the use of ὤστε with the infinitive in Luke 4:29 (ὥστε κατακρημνίσαι αὐτόν, "to throw him over it"), where mss. A, C, and  $\Psi$  and the Koine text-tradition read rather εἰς τό. Cf. Luke 9:52, where there is a variant reading of ὡς; also Matt 10:1; 27:1; Luke 20:20; Acts 19:10; Heb 13:6. Cf. BDR §391.3 and n. 7. See also E. Mayser, *Grammatik der griechischen Papyri aus der Ptolomäerzeit* . . . (Berlin/Leipzig: de Gruyter) 2/1 (1926) 214: he, too, speaks of the "beabsichtige Folge" and lists many instances of ἐϕ' ῷ in this sense with the future indicative and one with the infinitive (*P.Hibeh* 77.6). Cf. M. J. Higgins, "New Testament Result Clauses with Infinitive," *CBQ* 23 (1961) 233-41; T. Muraoka, "Purpose or Result? "Ωστε in Biblical Greek," NovT 15 (1973) 205-19.

69. This construction of consecutive  $\dot{\epsilon}\phi'$   $\dot{\phi}$  with the infinitive is also found in Polybius, *Hist.* 9.28.7 ("so that he did damage only to you"). It may also occur in Diogenes Laertius, *Vitae philos.* 6.10.4; 6.91.3, where translators often use simply "whereupon." This meaning would also suit some instances of  $\dot{\epsilon}\phi'$   $\dot{\phi}$  with the indicative: Cassius Dio, *Hist. Rom.* 67.8.4; 77.5.1.

70. This is a reference to *decocta*, "boiled (water)," a chilled drink that Nero had invented; see Pliny, *Nat. Hist.* 31.23.40; Suetonius, *Neronis vita* 48.3.

71. Even though C. E. B. Cranfield prefers to interpret  $\dot{\epsilon}\phi' \,\dot{\phi}$  as "because," he caught the right sense of the clause when he wrote that one must "understand  $\ddot{\eta}\mu\alpha\rho\tau\sigma\nu$  as referring to men's sinning in their own persons but as a result of the corrupt nature inherited from Adam" (SJT 22 [1969] 331). Though it is undoubtedly better to speak of the tainted nature inherited from Adam, Cranfield recognizes this situation as a "result," for which the evidence of this paper argues.

72. B. Byrne, Reckoning with Romans: A Contemporary Reading of Paul's Gospel (Good News Studies 18; Wilmington, DE: Glazier, 1986) 116.

73. For a discussion of the passage as a whole, see *Romans: A New Translation with* Introduction and Commentary (AB 33; New York: Doubleday, 1993) 405-28.

### Nineteen

# THE RESURRECTION OF JESUS CHRIST ACCORDING TO THE NEW TESTAMENT\*

WHEN PAUL OF TARSUS WROTE to the Christians of ancient Corinth, he exclaimed in his First Letter, "If Christ has not been raised [from the dead], then our preaching [to you] is in vain, and your faith is in vain" (15:14). In other words, one is not a Christian unless one believes in the resurrection of Christ. For the essence of one's allegiance to Jesus of Nazareth as God's Christ or Messiah is intimately tied up with belief in his resurrection. This is also the implication of the early Christian slogan, "Jesus is Lord," which one even finds today pasted on the bumpers of cars of evangelical Christians in the U.S.A. "Lord" or *Kyrios* is the title par excellence in the New Testament writings for the *risen* Christ. To acknowledge the influence of Jesus' lordship in one's daily life is to associate oneself with a venerable tradition of Christian allegiance. Paul himself spelled out the implications of the slogan "Jesus is Lord" when he wrote to the Christians of Rome, "If you admit with your lips that Jesus is Lord and believe in your heart that God raised him from the dead, you will be saved" (10:9). In other words, Christian salvation depends on such an acknowledgment and faith.

The modern problem is to explain what is meant by "God raised him from the dead." All too frequently that clause, derived from Rom 10:9, is given nuances that create difficulties of understanding for people today. My purpose in this discussion is to try to clear the air for a proper understanding of what *the New Testament itself* is saying when it affirms the resurrection of Jesus Christ.<sup>1</sup> My purpose is not to debate the philosophical presuppositions of what the New Testament purports to affirm. I am rather concerned to delimit the New Testament affirmation, which is, after all, the beginning of the modern problem of the resurrection of Jesus Christ.<sup>2</sup>

All four Gospels tell of Jesus dying on the cross (Mark 15:37; Matt 27:50;

Luke 23:46; John 19:30); they also tell of his burial in a nearby tomb outside of Jerusalem (Mark 15:46; Matt 27:60; Luke 23:53; John 19:41). These events, the death and burial of Jesus, are clear and uncontested; but it is *the sequel* to the death and burial that is the subject of much modern debate and questioning. No little part of the debate is involved in the nuances with which one reads the New Testament data about that sequel.

In my treatment of such data I shall make my remarks under three headings: (1) New Testament ways of referring to the sequel of Jesus' death and burial; (2) New Testament accounts of the appearances of the risen Christ; and (3) the meaning of Christ's resurrection as depicted in the New Testament. By dividing up the material in this way, I hope to reflect the groping of the early Christians themselves, as they gradually tried to understand that sequel to Jesus' death and burial and to formulate their faith in it in words and phrases of human speech.

### I. New Testament Ways of Referring to the Sequel of Jesus' Death and Burial

The ways in which the New Testament refers to the sequel of Jesus' death and burial are not all derived from the earliest period of the primitive tradition about the risen Christ, but I shall start with the earlier testimonies and move on to the later ones. I shall begin with ways that do not immediately use the verbs "raise," "resurrect," or the noun "resurrection," because some of the earliest material speaks about that sequel in other terminology.

The earliest texts to be mentioned are those that have already been discussed in Chapter 13 above, "The Ascension of Christ and Pentecost."<sup>3</sup> There I recalled the way in which Paul in Phil 2:5-11 spoke of the sequel as an "exaltation": "God has so greatly exalted him" (hyperypsosen, 2:9). In that primitive pre-Pauline hymn God is said to have "exalted" Christ Jesus to heavenly glory without any mention of the resurrection. Similarly, in the primitive hymnic composition embedded in 1 Tim 3:16, where six aspects of Christ's career are listed. The first of the six affirmations refers to the incarnation ("Who was made manifest in the flesh"), but the other five are interpretations of the sequel of his death ("vindicated by the Spirit, seen by angels, preached among the nations, believed in throughout the world, and taken up in glory"). Not only is Jesus' death not mentioned here, but his resurrection is also passed over in silence. Yet it ends with his being "taken up in glory" (anelemphthe en doxe).<sup>4</sup> To these texts I also related the various passages in the Fourth Gospel in which the Johannine Jesus speaks in an ambiguous way about his being "lifted up" (hypsoun, John 3:14; 8:28; 12:32-34), where one finds that his being raised on the cross implies a raising to glory.<sup>5</sup> Being lifted up on the cross is seen as the beginning of a process of exaltation to glory. These passages are partly found

in later New Testament writings, but commentators are inclined to regard them as traces of early tradition preserved there. In any case, this way of expressing the sequel to Jesus' death and burial bypasses the resurrection. The sequel to Jesus' death is expressed indeed, but in terms of his *exaltation*, as if he were exalted to celestial existence from the cross itself: "Therefore God superexalted him and bestowed on him the name that is above all others" (Phil 2:9). One may ask whether "exaltation" is only another way of referring to Jesus' ascension, but that only compounds the problem of the mysterious silence about the resurrection itself.

Before I turn to the explicit use of verbs that express "resurrection," I shall cite two other ways of expressing the sequel to Jesus' death and burial in a later New Testament writer. They do not make use of the verbs "raise" or "resurrect." Yet they provide other insights into the understanding of that sequel. They are both drawn from the Lucan Gospel. As Jesus hangs on the cross, crucified between two criminals, one of them taunts him and challenges him as the Messiah to save himself and them. The other criminal chides the first one and turns in repentance to Jesus, saying, "Jesus, remember me when you come into your kingdom" (23:42). The crucified Jesus answers, "Today, you shall be with me in Paradise!" (23:43). One often meditates on the gracious and merciful response of the crucified Savior made to a penitent outcast of humanity, but few ponder the further implications of that answer. The word "paradise" is strange. It probably means no more than the "glory" of the Father's presence. Much more important, however, is the implication of the crucified Jesus' use of "Today (sēmeron), you shall be with me in Paradise/ glory." Why "today"? One may wonder how that fits in with "resurrection" or "on the third day" (1 Cor 15:4)? Thus this Lucan passage seems to imply a passage directly from death to glory.<sup>6</sup>

The other passage is in the last chapter of the Lucan Gospel, where the risen Christ walks with Cleopas and his companion towards the village of Emmaus. Having explained what the prophets had spoken about him, the risen Christ queries, "Was not the Messiah bound to suffer all this before entering his glory?" (*ouchi tauta edei pathein ton Christon kai eiselthein eis tēn doxan autou*, 24:26). Here the "suffering" refers to all that we normally understand as Jesus' passion and death; but the sequel to it is immediately expressed in terms of the "glory" into which he had to enter. The impersonal verb *dei*, "it is necessary," is used in the past tense already on the evening of the day of the discovery of the empty tomb. Moreover, Jesus has entered this glory without any reference to the resurrection or ascension.<sup>7</sup>

Now none of the foregoing ways of referring to the sequel of Jesus' death and burial is meant to deny the resurrection. They are simply other modes of describing that sequel and reflect other, perhaps earlier, attempts to express it.<sup>8</sup> However, in primitive kerygmatic fragments that the Apostle Paul has incorporated into his letters the sequel is clearly expressed as "resurrection." Thus in Rom 4:25, Paul speaks of Jesus our Lord as one who "was handed over to death for our trespasses and raised ( $\bar{e}gerth\bar{e}$ ) for our justification." Similarly, in the famous kerygmatic fragment used by him in 1 Cor 15:3-5, Paul affirms as traditional the belief "that Christ died because of our sins according to the Scriptures, that he was buried, that he was raised ( $eg\bar{e}gertai$ ) on the third day according to the Scriptures, and that he appeared to Cephas. . . ." To this one can add a passage from his earliest letter, 1 Thess 1:10. The verb *egeirein*, "wake up" (from the sleep of death), or *anastēsai*, "cause to stand up," eventually came to dominate the way of speaking about that sequel, and from the latter verb we derive the noun *anastasis*, "resurrection."<sup>9</sup>

In one of the early speeches of Peter in Acts, the two notions of resurrection and exaltation are combined. On Pentecost, when Peter addresses the Jews assembled in the city of Jerusalem for the Feast of the Assembly or the Feast of Weeks, he asserts, "This Jesus God has raised up (*anestēsen*), and of that we are all witnesses. Being therefore exalted (*hypsōtheis*) at the right hand of God, and having received from the Father the promise of the Holy Spirit, he has poured this out . . ." (Acts 2:32-33).

I shall return to implications of this diversity of language used to describe the sequel of the death and burial of Jesus in the third part.

#### II. New Testament Accounts of the Appearances of the Risen Christ

Each of the canonical Gospels ends with a resurrection narrative of some sort. The remarkable parallelism that one notes in the passion narratives of the Synoptic and Johannine Gospels continues into the first episode of the resurrection narratives, for not only the Synoptics (Mark, Matthew, and Luke), but even the Fourth Gospel begins the sequel to the passion narrative with the account of the discovery of the empty tomb. Yet once its discovery by the women is recounted, each of the resurrection narratives goes its own way, recounting appearances of the risen Christ to his followers. There is no further parallelism, and no similarity of order, time, or place. Some of the appearances take place in Jerusalem or its environs; others in Galilee.

Two things make up the usual resurrection narrative in each Gospel: the story of the discovery of the empty tomb and accounts of the appearances of the risen Christ. Although I am mainly interested here in the accounts of the appearances of the risen Christ, two comments have to be made first about the discovery of the empty tomb.<sup>10</sup>

First, was the tomb really found empty? People often pose this query today. Yet it has not been asked for the first time only in the twentieth century. Matthew's Gospel reveals that it was already being raised in the first century. That Gospel even incorporates a tradition that purports to answer objections about the empty tomb. In Matt 27:62-66 the tomb is sealed and guards are posted by the chief priests and Pharisees, "lest his disciples come and steal him away and tell the people, 'He has risen from the dead.'" Again, in Matt 28:11-15 the guards report to the chief priests about all that has happened, and bribes are given to them to get them to say "that Jesus' disciples came by night and stole him away." No one reading such passages today can mistake the defensive apologetics against "the Jews" (28:15) that underlay the composition of such passages. They show that queries about the reality of the empty tomb were urfacing in Matthew's time. Yet this sort of defensive apologetics, though it clearly marks the Matthean account, is not detected in every form of the story of the discovery of the empty tomb. The two passages about guards at the tomb were exclusive to the Matthean Gospel, and neither the earlier Marcan account of the empty tomb nor the almost certainly independent Johannine account has that apologetic thrust.

Moreover, it is sometimes argued that the story of the empty tomb is a late addition to the gospel tradition about Christ's death and resurrection. This is maintained because the primitive kerygmatic fragment inserted into 1 Cor 15:3-5, which we quoted earlier, makes no mention of the empty tomb. One will recall the text itself and will note its four parallel thats: "... that Christ died because of our sins according to the Scriptures, that he was buried, that he was raised on the third day according to the Scriptures, and that he appeared to Cephas. . . ." Here one finds the sequence death, burial, resurrection, and appearances, but no mention of the empty tomb. From this failure to mention the empty tomb some have concluded that the story of its discovery is really of later vintage than the early kerygma and that it has been evoked by the very apologetic need that Matthew 27-28 reflects. Yet it should be noted that the kerygmatic fragment of 1 Cor 15:3-5 is stylized; its fourfold repetition of the conjunction hoti, "that," reveals its stereotyped character, which was preferred for mnemonic purposes to the inclusion of all details. No one will deny that the narrative account of the discovery of the empty tomb may reflect a later vintage than the kerygma quoted, but that later emergence or formation of the narrative does not deprive the discovery itself of all factuality.

Second, the more important element in the story of the empty tomb is the *praeconium paschale*, "Easter proclamation," the message conveyed to the women who visit the tomb by the "young man" (Mark 16:6) or "the angel" (Matt 28:5): "You seek Jesus of Nazareth who was crucified; he has been raised, he is not here." So runs the essential message in that passage in all four Gospels despite its variant wording. It is the proclamation of Christ's resurrection, his triumph over death and over the evil that caused it. As Paul would put it, "We know that Christ, being raised from the dead, will never die again; death no longer has dominion over him. The death he died, he died to sin, once for all;

but the life he lives, he lives to God" (Rom 6:9-10). Cf. 1 Cor 15:55-57. The Easter proclamation in the four Gospels thus captures the sense of the story of the empty tomb.

When we turn to the other element in the resurrection narratives, the accounts of the appearances of the risen Christ to his followers, we have to distinguish in the New Testament itself six different forms of such accounts:

(1) Mark 16:1-8.<sup>11</sup> This is, in reality, not only the story of the empty tomb, but *the end* of the Marcan Gospel in some of the best Greek mss. ( $\aleph$ , B, 304, 2386) and in some ancient versions (Latin, Syriac, Armenian, and Georgian).<sup>12</sup> Verses 1-8 recount the discovery of the empty tomb by the women, the Easter proclamation made to them, and the charge given them by a "young man" to go tell the disciples and Peter that Jesus precedes them to Galilee, where they will see him. In fear the women depart and say nothing to anyone. What is striking here is the form of the Marcan resurrection narrative in which no appearance of the risen Christ is recounted, but only promised (and that in Galilee). Moreover, there is no description of the resurrection itself. Did the Marcan Gospel really end with v. 8? As is well known, some English Bibles mark the termination of the Marcan Gospel thus (in dependence on the best Greek mss.), without any account of an appearance of the risen Christ.

(2) Matt 28:1-20.<sup>13</sup> This is the conclusion of the Matthean Gospel. It reports the discovery of the empty tomb (28:1-8) with the same proclamatory message and charge given to the women as in Mark 16:1-8. Then Jesus appears to the women in Jerusalem as they make their way to the disciples (28:9-10). This appearance is followed by the Matthean insertion, the story of the bribing of the guards (28:11-15) and then by the story of the appearance of Christ to the Eleven in Galilee, when he commissions them to make disciples, to teach, and to baptize (28:16-20). In this Matthean resurrection narrative there is, again, no description of the resurrection, even though it does contain accounts of two appearances of the risen Christ, one in Jerusalem and one in Galilee.

(3) Luke 23:56b-24:53.<sup>14</sup> This is the conclusion of the Lucan Gospel, with five episodes that all take place on the day of the discovery of the empty tomb itself: (a) The story of the discovery (23:56b-24:11), with the Easter proclamation and the charge to the women; this time they are bidden to recall what Jesus had told them in Galilee (thus is eliminated all need to mention an appearance of the risen Christ in Galilee). The women go and make their report, but "the Eleven and all the others" (24:9) fail to believe their tale. To this story, however, Luke adds a piece of tradition about Peter visiting the empty tomb (v. 12, a verse once relegated by Westcott and Hort to their "Western Non-Interpolations," but now recognized as part of Luke's original account).<sup>15</sup> (b) Christ's appearance to two disciples on the road to Emmaus, their recognition of him, their return to Jerusalem, and their report (24:13-35). (c) Christ's appearance to the Eleven and those who were with them in Jerusalem (24:36-43). (d) Christ's commission to

these followers to be witnesses of him (24:44-49). Finally, (e) Christ leads these followers out to Bethany, where he parts from them and is carried off to heaven (24:50-53). Amid all this detail, Luke never describes the resurrection, as he does the ascension (Acts 1:9-11), and he depicts the risen Christ appearing to his followers *only in Jerusalem or its environs*, never in Galilee. The new details in this Lucan account are two: the primitive report that "the Lord has risen indeed and has appeared to Simon" (24:34); and the notice of the ascension of Christ on Easter Sunday night itself.

(4) John 20:1-29.<sup>16</sup> This is the original conclusion of the Johannine Gospel. It includes the story of the empty tomb, in which Mary Magdalene, Peter, and the Beloved Disciple visit it (20:1-10); further, the appearance of Christ to Mary Magdalene near the tomb (20:11-18), then his appearance to the disciples in Jerusalem, with Thomas absent (20:19-23), and again his appearance to them a week later, with Thomas present (20:24-29). Once again, we find here no description of the resurrection itself, and all three appearances of the risen Christ take place in Jerusalem. An oblique reference is made to Christ's ascension in v. 17, when Jesus tells Mary to stop clinging to him.<sup>17</sup>

(5) John 21:1-23.<sup>18</sup> The last chapter of the Johannine Gospel is really an appendix to it (possibly added by the editors of the Gospel in a final stage of its development). Being an appendix, it contains no story of the empty tomb, but only an account of an appearance of the risen Christ in Galilee, who reveals himself to seven disciples who have gone fishing at the Sea of Tiberias (21:1-14). Immediately afterwards Christ commissions Peter to feed his flock, and the future roles of Peter and the Beloved Disciple are contrasted (21:15-23). This appearance of Christ is said to be "the third time that Jesus manifested himself to the [male] disciples since he was raised from the dead" (21:14).<sup>19</sup>

(6) Mark 16:9-20.20 This is the so-called appendix to the Marcan Gospel, being found only in some Greek mss. of Mark (A, C, D, K, W, X,  $\Delta$ ,  $\Theta$ ,  $\Pi$ ,  $\Psi$ , 099, 0112, f13, and the Koine text-tradition); with additional material in v. 8 and v. 14 in some mss.<sup>21</sup> Verses 9-20 are not of Marcan authorship, but they are stylistically different and are considered as canonical in the Roman Catholic and Orthodox churches. The appendix was added to make up for the missing accounts of appearances of the risen Christ in the form of the Marcan Gospel mentioned under (1) above. In a sense, it parallels the appendix to the Johannine Gospel in chap. 21. This appendix to the Marcan Gospel records three appearances of Christ in Jerusalem: (a) to Mary Magdalene (16:9-11); (b) to two disciples walking in the nearby countryside, who go back to Jerusalem and report the appearance to incredulous disciples (16:12-13); and (c) to the Eleven seated at table, who are upbraided for their disbelief, but whom Christ eventually commissions to preach the gospel to all creation (16:14-18). The appendix ends with the notice of "the Lord Jesus" being "taken up into heaven" (16:19), not from Bethany, as in Luke 24:50, but from the room where the Eleven sat at table on Easter Sunday night.

In these resurrection narratives one notes the parallelism in the empty-tomb story in each Gospel (along with its Easter proclamation), but also the difference of the accounts of the appearances of the risen Christ, save for two details: (a) The appearance of Christ to two disciples walking in the countryside, described in Mark 16:12-13, is the kernel of a tradition known also to Luke, who develops it into a dramatic account of 23 verses, the Emmaus story (24:13-35). (b) The ascension of Jesus on Easter Sunday night is recounted in both Mark 16:19 and Luke 24:50-53, albeit with varying details.

In these different accounts, the emphasis falls on Jesus' resurrection and on the appearance of the risen Christ to followers under varied circumstances. When we consider the variety of detail along with the diverse ways of referring to the sequel of Jesus' death and burial, which we discussed in section I, we are naturally led to the question of the meaning of Christ's resurrection as conveyed in the New Testament, which brings us to section III.

#### III. The Meaning of Christ's Resurrection as Depicted in the New Testament

Here we must guard against reading things into the text of the various New Testament passages that deal with the resurrection. We must concentrate rather on what the texts are actually saying about the sequel to Christ's death and burial.

I begin by recalling what is said in chap. 13 about Acts 1:21-22. After Judas has died, a need is felt to reconstitute the Twelve. Someone has to take his place along with the Eleven. Peter stands up among the brethren and clarifies the need: "One of the men (andron) who accompanied us during all the time that the Lord Jesus went in and out among us, beginning from the baptism of John up until the day that he was taken up from us, one of these men must become with us a witness of his resurrection" (1:21-22). Luke depicts Peter desiring someone who can bear witness not only to the risen Christ but also to the earthly ministry of Jesus. Such a man must become "a witness of his resurrection." (The same expression occurs again in Acts 4:33.) The problem is that no one in the New Testament is ever depicted as witnessing the resurrection. Since Luke is giving criteria for the choice of Judas' successor, he formulates this requirement in the abstract. What he really means is that the one chosen to take Judas' place must likewise be one to whom the risen Christ has appeared. In that sense he must be a "witness of his resurrection." This Lucan abstract formulation, however, sometimes leads readers to think that someone in the New Testament is actually said to have observed the resurrection of Jesus itself.

When I was discussing the various forms of the story of the empty tomb, I pointed out that in no Gospel was there a description of the resurrection. Not

even Matt 28:2, which tells how the stone before the tomb was rolled back by "the angel of the Lord," goes on to describe the resurrection itself. No New Testament writer does for the resurrection what Luke has done in describing Christ's ascension in Acts  $1:9-11.^{22}$ 

What no canonical evangelist has done for the resurrection of Jesus, that the author of the apocryphal *Gospel of Peter* does:

Now in the night in which the Lord's day dawned, when the soldiers were keeping guard, two by two in every watch, there rang out a loud voice in heaven. They saw the heavens opened, and two men came down from there in great splendor and drew near to the tomb. That stone, which had been laid against the entrance, started to roll of itself and gave way to the side. The tomb was opened, and both of the young men entered in. When then the soldiers saw this, they woke up the centurion and the elders, for they too were there to assist at the watch. While they were relating what they had seen, they again saw three men come out of the tomb, two of them sustaining the other, and a cross following them. They saw the heads of the two reaching to the heavens, but that of him who was led by them by the hand surpassing the heavens. Then they heard a voice crying out from the heavens, "Hast thou preached to them that sleep?" And from the cross there was heard the answer, "Yes." ( $\S35-42$ ).<sup>23</sup>

The developing gospel tradition has finally come to a description of the resurrection such as none of the canonical Gospels contains.

What the Gospel of Peter has supplied is the answer to the question that readers of the canonical Gospels might naturally have asked, "Well, how did it happen?" It is an answer that the Christian church never saw fit to incorporate into its canonical Scriptures. Because no one "witnessed" the resurrection, despite Luke's abstract formulation of a criterion for membership in the Twelve, early Christian curiosity about the event eventually made up the imaginative description just excerpted from the apocryphal Gospel of Peter.

Moreover, no New Testament writer ever depicts the resurrection of Christ as a resuscitation or a reanimation. Here one must distinguish the resurrection of Christ from the miracles of resuscitation found in the New Testament: that of Lazarus (John 11:42-43), the son of the widow of Nain (Luke 7:11-17), the daughter of Jairus (Mark 5:35-43 parr.), or Tabitha (Acts 9:36-41). In each of these instances the resuscitated person returns to a former mode of terrestrial existence. The risen Christ, however, is never portrayed so inhabiting the earth, not even for forty days. He is not like someone ensconced behind an arras, or even a stage curtain. Luke, moreover, goes out of his way in 24:37-39 to reject the idea that Christ was like a spook. The risen Christ walked the road, indeed, to Emmaus with Cleopas and his companion; but after being recognized by them "in the breaking of the bread" (24:35), he "vanished from their sight" (*kai autos*  aphantos egeneto ap' autōn, 24:31). Vanishing from sight is hardly the mode of departure or withdrawal for a person enjoying natural, terrestrial existence. The real question is, "Whither did he vanish?"

Though the New Testament does not say so explicitly, it implies time and again that when the risen Christ appeared, he appeared from "glory," i.e., from the presence of the Father who raised him from the dead by his own "glory" (Rom 6:4). The risen Christ himself queries Cleopas and his companion on the road to Emmaus, "Was not the Messiah bound to suffer all this before entering his glory?" Or to put it more literally, "Was it not necessary for the Messiah to suffer these things and [so] enter into his glory?" (24:26). Again, even on the evening of the day of the discovery of his empty tomb Christ uses the past tense, implying that he has already entered that glory, prior to the ascension that is still to be recounted in 24:50-53! Hence, from such glory the risen Christ appears to his followers, and to such glory he vanishes at Emmaus. To explain Acts 1:3, from such glory "he presented himself alive after his passion by many proofs, appearing to them [the apostles] during forty days." From this we can see that the basic affirmation of the New Testament about the sequel to the death and burial of Jesus is that of a passage from death to the Father's glorious presence, whether one calls that passage an "exaltation," a "resurrection," or an "ascension." It is a way of affirming the mysterious triumph that is Christ's over death itself. I repeat the words of Peter on Pentecost, proclaimed to the Jews assembled from Judea and the diaspora and gathered in Jerusalem for their feast: "[David] foresaw and spoke of the resurrection of the Messiah, that he was not abandoned to Hades and his flesh did not see corruption. This Jesus God raised up (anestēsen), and of that we are all witnesses. Being therefore exalted at the right hand of God, and having received from the Father the promise of the Holy Spirit, he has poured this out. . . . Therefore let all the house of Israel know that God has made both Lord and Messiah this Jesus whom you crucified" (Acts 2:31-33, 36). The passage from death and burial to glory also explains why the crucified Jesus says to the penitent criminal crucified beside him, "Today, you shall be with me in Paradise" (Luke 23:43).

To make this point a bit clearer, one may ask what difference there was between the appearance of the risen Christ "to Cephas, to the Twelve," etc. (1 Cor 15:5-6) and his appearance to Paul on the road to Damascus (Gal 1:12, 16; cf. Acts 9:3-5; 22:6-8; 26:13-15). As far as I can see, the only difference was temporal: in Paul's case it was postpentecostal, whereas for the others it was prepentecostal; but in either case its "spatial" *terminus a quo* was the same, viz., from heavenly glory. In this connection one might recall that Paul says that Christ was "raised from the dead by the glory of the Father" (Rom 6:4). The spatial *terminus a quo*, then, for all the appearances of the risen Christ to his disciples was the glory of the Father's presence — if one may be permitted the use of the adjective "spatial." Whereas the risen Christ who appears to his followers insists on his identity, he is also said to have appeared to two of them "[in another form"] (en hetera morphē), as they were walking into the country (appendix to Mark, 16:12). For this reason he is not at first recognized either by Cleopas and his companion (Luke 24:16) or by Mary Magdalene, who at first supposes him "to be the gardener" (John 20:15). However one wants to explain this "difference, yet identity," one must recall what Paul says about the difference between a "physical body" sown in death and a "spiritual body" raised therefrom (1 Cor 15:42-44). Indeed, when Paul tries to describe the risen body, he identifies it expressly with all that is not "body," viz., with "spirit" or "spiritual." That may be something more than rhetorical oxymoron, but it is not very enlightening. It at least cautions us about how we should or should not imagine to ourselves the risen Christ and his "glorified" body. In the long run much of the modern difficulty about the resurrection of Christ stems from how one imagines or depicts for oneself the reality of the risen Christ.

The affirmation that Christ was raised from the dead belongs to the primitive Palestinian kerygma or the basic proclamation of the Christian church, which originated in Jewish Palestine itself. The fragment of such preaching preserved in 1 Cor 15:3-5 affirms the death, burial, resurrection, and appearance of Christ. Originating in such a Jewish-Christian setting, where many Jews (the Pharisees at least, and probably also the Essenes<sup>24</sup>) believed in the resurection of the dead (see Dan 12:2; cf. Acts 23:6), that kerygma must have carried with it the connotation of a bodily resurrection. For Jewish Palestine was a milieu where the philosophical dichotomy of body and soul was not yet widely borrowed from Hellenism.<sup>25</sup> So the resurrection of Christ is never presented in terms of immortality, a Greek notion found in the Book of Wisdom, indeed, but in a book of Alexandrian, not Palestinian, provenience. When, then, one uses the New Testament expression "resurrection" for the sequel of Jesus' death and burial, that has to be understood in terms of Dan 12:2, which refers to a bodily resurrection. Such a kerygma would imply that Jesus had been "raised" by the Father to the state of glory in some bodily form, even if modern expositors cannot explain adequately what a bodily resurrection is or how it would happen, any more than Paul could.<sup>26</sup> Yet that does not mean that the New Testament does not conceive of Christ's resurrection in such a bodily or corporeal manner. The primitive kerygma did not content itself to proclaim merely that Jesus was alive or that he was a living influence in the existence of his followers. It included an admission that he had been "raised" to a state of glory in the presence of his Father, and that would have had to mean "raised bodily."

In light of such an understanding of the resurrection we recall what has already been explained as the "ascension" of Christ?<sup>27</sup> Luke is the only New Testament writer who describes the "ascension," and that he does 40 days after the resurrection, according to Acts 1:9-11. Although he mentions the ascension

at the end of his Gospel on Easter Sunday night itself (24:50-53), as does Mark 16:9, it is not *described* in detail there. The "ascension," however, was in reality the last appearance of the risen Christ to his followers assembled as a group. It was his final, dramatic farewell to them in their corporate apostolic unity, as he appeared to them from glory for this event, to make known to them that henceforth they would be aware of his presence among them "in the breaking of the bread" (24:35) and in the "promise of my Father" (24:49), i.e., through the Holy Spirit (as that "promise" is eventually explained in Acts 1:4-5). Luke historicized and dramatized that final appearance to separate the resurrection from the ascension in preparation for the fiftieth day, Pentecost itself.

In all of this matter the greatest difficulty is how we picture to ourselves what the New Testament has said about the resurrection of Christ. It is imperative that we learn to listen to *its* formulations and not impose on them our own, sometimes preconceived, ideas.

#### NOTES

\* Originally published in The Month 258 (no. 1439, November 1987) 402-10.

1. See further P. Benoit, The Passion and Resurrection of Jesus Christ (London: Darton, Longman and Todd, 1969); W. Marxsen, The Resurrection of Jesus of Nazareth (London: SCM, 1970); R. E. Brown, The Virginal Conception and Bodily Resurrection of Jesus (New York: Paulist, 1973); B. Rigaux, Dieu l'a ressuscité: Exégèse et théologie biblique (Gembloux: Duculot, 1973); X. Léon-Dufour, Resurrection and the Message of Easter (London: Chapman, 1974); U. Wilckens, Resurrection: Biblical Testimony to the Resurrection: An Historical Examination and Explanation (Atlanta: John Knox, 1978); G. O'Collins, What Are They Saying about the Resurrection? (New York: Paulist, 1978); P. Perkins, Resurrection: New Testament Witness and Contemporary Reflection (Garden City, NY: Doubleday, 1984).

2. See P. Perkins, *Resurrection* (n. 1 above), 421-52; X. Léon-Dufour, *Resurrection* (n. 1 above), 195-261.

3. See pp. 265-67 above.

4. See p. 267 above.

5. See pp. 268-69. Also X. Léon-Dufour, *Resurrection* (n. 1 above), 227-28; R. E. Brown, *The Gospel according to John* (AB 29, 29A; Garden City, NY: Doubleday, 1966-70) 541-42, 930-31, 1013-14.

6. For further discussion of this passage, see "'Today You Shall Be with Me in Paradise' (Luke 23:43)," Luke the Theologian: Aspects of His Teaching (New York/ Mahwah, NJ: Paulist, 1989) 203-33.

7. See p. 275 above.

8. See further my commentary, *The Gospel according to Luke* (AB 28, 28A; Garden City, NY: Doubleday, 1981-85) 193-94.

9. See X. Léon-Dufour, Resurrection (n. 1 above), 5-24.

10. See P. Benoit, *The Passion* (n. 1 above), 231-61; B. Rigaux, *Dieu* (n. 1 above), 184-213; P. Perkins, *Resurrection* (n. 1 above), 91-95; F. Neirynck, "John and the Syn-

optics: The Empty Tomb Stories," NTS 30 (1984) 161-87; W. L. Craig, "The Historicity of the Empty Tomb of Jesus," NTS 31 (1985) 39-67; K. Grayston, "The Empty Tomb," *ExpTim* 92 (1980-81) 263-67.

11. See further B. Rigaux, Dieu (n. 1 above), 184-200; U. Wilckens, Resurrection (n. 1 above), 27-39; P. Perkins, Resurrection (n. 1 above), 114-24.

12. See TCGNT (2d ed., 1994), 102-6.

13. See X. Léon-Dufour, Resurrection (n. 1 above), 139-49; U. Wilckens, Resurrection (n. 1 above), 48-50; P. Perkins, Resurrection (n. 1 above), 124-37.

14. See Luke (n. 8 above), 1532-93; X. Léon-Dufour, Resurrection (n. 1 above), 150-68; U. Wilckens, Resurrection (n. 1 above), 50-54; P. Perkins, Resurrection (n. 1 above), 151-69.

15. See Luke (n. 8 above), 130-31; TCGNT (2d ed., 1994), 157-58.

16. See X. Léon-Dufour, *Resurrection* (n. 1 above), 161-80; P. Perkins, *Resurrection* (n. 1 above), 169-80.

17. See R. E. Brown, *The Gospel according to John* (n. 5 above), 992-93, 1003-4 on the meaning of Jesus' words.

18. See B. Rigaux, *Dieu* (n. 1 above), 238-52; X. Léon-Dufour, *Resurrection* (n. 1 above), 181-90; U. Wilckens, *Resurrection* (n. 1 above), 54-62; P. Perkins, *Resurrection* (n. 1 above), 180-86.

19. The evangelist does not include in his counting the appearance of Christ to Mary Magdalene; see R. E. Brown, *The Gospel according to John* (n. 5 above), 1077.

20. See U. Wilckens, Resurrection (n. 1 above), 62-63.

21. See TCGNT (2d ed., 1994), 103-7.

22. See pp. 272-73 above.

23. The translation is my own. The Greek text of this fragmentary Gospel can be found in A. de Santos Otero, Los evangelios apócrifos (BAC 148; 3d ed., Madrid: EDICA, 1979) 389-90. See Luke (n. 8 above), 1538; cf. W. Schneemelcher, New Testament Apocrypha: Revised Edition (2 vols.; Cambridge, UK: James Clarke & Co., 1991-92), 1.224-25.

24. See now E. Puech, La croyance des Esséniens en la vie future: Immortalité, résurrection, vie éternelle? Histoire d'une croyance dans le judaïsmé ancien (EBib n.s. 21-22; Paris: Gabalda, 1993).

25. Josephus records that some Palestinian Jews thought in terms of the immortality of the soul (J.W. 2.8.11 §154). Even if he is predicating of them a view that reflects other thinking, at least he is aware of this idea.

26. See 1 Cor 15:35-56. Also the comments of E. J. Epp ("The Ascension" [chap. 13, n. 16]) on the attempts made by scribes of the Western text to get rid of any thought of a bodily ascension of Christ.

27. See pp. 271-73 above. Cf. X. Léon-Dufour, Resurrection (n. 1 above), 259-60; U. Wilckens, Resurrection (n. 1 above), 67-73.

### APPENDIX

IN THIS APPENDIX corrections or further comments are made at times on some of the topics discussed in TAG. More frequently, leads are given to recent discussions of the topics, which may support or disagree with the views I had proposed in TAG. The first number indicates the page of the original book; the second, the line or the note. An asterisk before the second number means "line from bottom."

40, 6 Read pp. 6, 17-18 above.

44, 20 Read 1960.

83, 21ff. There has been some discussion recently about whether a woman in ancient Jewish Palestine could divorce her husband. Part of the discussion has been caused by a notice written by J. T. Milik in DJD 2.108, where he cites a few words from an as yet unpublished  $g\bar{e}t$ , "writ of divorce," which he says reads:

הוי לך (m.) מנה (f.) גט שבקין ותרכ[ין]

Milik does not translate the words or comment on them, except to say that the formula is similar to one in the text that he is publishing there. The few words that Milik quotes have been taken as proof that a woman could indeed divorce her husband (see B. Brooten, "Konnten Frauen im alten Judentum die Scheidung betreiben? Überlegungen zu Mk 10,11-12 und 1 Kor 7,10-11," *EvT* 42 (1982) 65-80; "Zur Debatte über das Scheidungsrecht der jüdischen Frau," EvT 43 (1983) 466-78. The matter has been treated at length in an article, "The So-called Aramaic Divorce Text from Wadi Seiyal," which I have submitted for publication in *Eretz Israel* 26 (1998?). Meanwhile, one can consult a more correct interpretation of the text to which Milik refers given by J. C. Greenfield, "The Texts from Nahal Şe'elim (Wadi Seiyal)," *The Madrid Qumran Congress: Proceedings of the International Congress on the Dead Sea Scrolls, Madrid* 18-21 March, 1991 (STDJ 11/1-2; ed. J. Trebolle Barrera and L. Vegas Montaner; Leiden: Brill; Madrid: Editorial Complutense, 1992) 661-65, esp. 664.

93, 13 See C. Schedl, "Zur Ehebruchklausel der Bergpredigt im Lichte der neu gefundenen Tempelrolle," *TPQ* 130 (1982) 362-65.

104, n. 30, \*1 This note is also affected by what I have written above apropos of 83, 21ff.

111, \*1 See further: J. Murphy-O'Connor, "The Divorced Woman in 1 Cor 7:10-11," JBL 100 (1981) 601-6; E. Lövestam, "Divorce and Remarriage in the New Testament," The Jewish Law Annual 4 (1981) 47-65; E. Lipiński, "The Wife's Right to Divorce in the Light of an Ancient Near Eastern Tradition," ibid., 9-27; A. Ammassari, "Lo statuto matrimoniale del re di Israele (Deut. 17,17) secondo l'esegesi del 'Rotolo di Tempio' (57, 15-19) e le risonanze neotestamentarie (Ef. 5,32-33; Apoc. 21,9-10)," Euntes docete 32 (1979) 123-27; B. Witherington, "Matthew 5.32 and 19.9 — Exception or Exceptional Situation?" NTS 31 (1985) 571-76; C. Marucci, Parole di Gesù sul divorzio (Brescia: Morcelliana, 1982); M. McDonnell, "Divorce Initiated by Women in Rome," AJAH 8 (1983) 54-80; D. T. Smith, "The Matthean Exception Clause in the Light of Matthew's Theology and Community," Studia biblica et theologica 17 (1989) 55-82.

**121, n. 15, \*1** Add: B. E. Ehrman, "Cephas and Peter," *JBL* 109 (1990) 463-74; D. C. Allison, "Peter and Cephas: One and the Same," *JBL* 111 (1992) 489-95.

**128, 12** See S. J. Harrison, "Cicero and 'crurifragium,' " *CQ* 33 (1983) 453-55.

146, \*1 Add: F. García Martínez, "4QpNah y la crucifixión: Nueva hipótesis de reconstrucción de 4Q169 3-4 i 4-8," *EstBíb* 38 (1979-80) 221-35; D. J. Halperin, "Crucifixion, the Nahum Pesher, and the Rabbinic Penalty of Strangulation," JJS 32 (1981) 32-46; P.-E. Guillet, "Les 800 'crucifiés' d'Alexandre Jannée," *Cahiers du Cercle Ernest Renan* 100 (1977) 11-16; J. M. Baumgarten, "Hanging and Treason in Qumran and Roman Law," *H. M. Orlinsky Volume* (Eretz Israel 16; Jerusalem: Israel Exploration Society, 1982) 7\*-16\*; V. Tzaferis, "Crucifixion — The Archaeological Evidence," *BARev* 11/1 (1985) 44-53; J. Zias and E. Sekeles, "The Crucified Man from Giv'at ha-Mivtar' — A Re-

appraisal," BA 48 (1985) 190-91 (summary in IEJ 35 [1985] 22-27); M. J. Bernstein, "יללת אלהים תלוי" (Deut. 21:23): A Study in Jewish Exegesis," JQR 74 (1983) 21-45; A. Caneday, "'Redeemed from the Curse of the Law': The Use of Deut 21:22-23 in Gal 3:13," TrinJ 19 (1989) 185-209.

161, \*1 Add: See P. Stuhlmacher, "Das paulinische Evangelium," Das Evangelium und die Evangelien: Vorträge von Tübinger Symposium 1982 (WUNT 28; ed. P. Stuhlmacher; Tübingen: Mohr [Siebeck], 1983) 157-82.

164, 12 Read "the story of the cross."<sup>16</sup>

**185**, \*1 Add: R. Bieringer, "2 Kor 5,19a und die Versöhnung der Welt," *ETL* 63 (1987) 295-326.

246, \*1 Add: O. P. Robertson, "'The Justified (by Faith) Shall Live by His Steadfast Trust' — Habakkuk 2:4," *Presbyterion* 9 (1983) 52-71.

#### Index of Subjects

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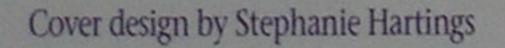
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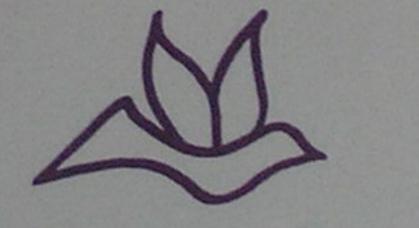
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